

**Notice of Public Hearing**

LSA Document #09-619

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Under [IC 4-22-2-24](#), notice is hereby given that on April 26, 2010, at 1:30 p.m., at the Indiana Government Center South, 402 West Washington Street, Conference Center Room D, Indianapolis, Indiana, the Securities Division will hold a public hearing on a proposed rule adding [710 IAC 4-15](#), which details procedures by which a broker-dealer selected for completion of a compliance report can schedule and submit a report.

The proposed rule merely lays out the qualifications to apply to become an approved compliance examiner, the method for submitting the compliance report, and time limitations on using approved compliance examiners. The rule does not impose any additional costs under [IC 4-22-2-24\(d\)\(3\)](#).

Copies of these rules are now on file at the Indiana Government Center South, 302 West Washington Street, Room E111 and Legislative Services Agency, One North Capitol, Suite 325, Indianapolis, Indiana and are open for public inspection.

Chris Naylor, Commissioner  
Securities Division  
Secretary of State

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