
TITLE 710 SECURITIES DIVISION

Notice of Intent to Adopt a Rule

LSA Document #09-84

Under [IC 4-22-2-23](#), the Securities Division intends to adopt a rule concerning the following:

OVERVIEW: Adopts rules for the Indiana Uniform Securities Act, [IC 23-19](#), concerning definitions, exemptions from registration of securities, registration of securities, disclosure requirements, reporting requirements, review of securities offerings, registration of broker-dealers, registration of agents, registration of investment advisers, standards of practice, Securities Division proceedings, Securities Division records and investigations, and Securities Division rules of practice for investigations. Repeals [710 IAC 1-8](#) through [710 IAC 1-21](#). Questions concerning the proposed rule may be addressed to the following telephone number: (317) 232-6681. Statutory authority: [IC 23-19-6-5](#).

For purposes of [IC 4-22-2-28.1](#), the Small Business Regulatory Coordinator for this rule is:

Jeffrey Bush, Chief Deputy Commissioner
Indiana Secretary of State Todd Rokita
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