
TITLE 710 SECURITIES DIVISION

Notice of Intent to Adopt a Rule

LSA Document #08-442

Under [IC 4-22-2-23](#), the Securities Division intends to adopt a rule concerning the following:

OVERVIEW: Adopts rules for the Indiana Uniform Securities Act, [IC 23-19](#), concerning definitions, exemptions from registration of securities, registration of securities, disclosure requirements, reporting requirements, review of securities offerings, registration of broker-dealers, registration of agents, registration of investment advisers, standards of practice, Securities Division proceedings, Securities Division records and investigations, and Securities Division rules of practice for investigations. Questions concerning the proposed rule may be addressed to the following telephone number: (317) 232-6681. Statutory authority: [IC 23-19-6-5](#).

For purposes of [IC 4-22-2-28.1](#), the Small Business Regulatory Coordinator for this rule is:

Jeffrey Bush, Chief Deputy Commissioner
Indiana Secretary of State Todd Rokita
Securities Division
Indiana Government Center South
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Indianapolis, IN 46204
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