



Reprinted  
April 6, 1999

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## ENGROSSED SENATE BILL No. 606

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DIGEST OF SB 606 (Updated April 5, 1999 5:49 pm - DI 77)

**Citations Affected:** IC 1-1; IC 4-15; IC 5-1; IC 5-20; IC 12-7; IC 12-8; IC 12-9; IC 12-10; IC 12-11; IC 12-12; IC 12-13; IC 12-15; IC 12-17; IC 12-24; IC 12-26; IC 12-28; IC 16-29; IC 16-39; IC 20-1; IC 34-30; noncode.

**Synopsis:** Disability and rehabilitative services. Removes references to the New Castle State Developmental Center and the Northern Indiana State Developmental Center. Provides that the Indiana housing finance authority may allocate federal low income housing credits to a building providing housing for persons with disabilities even if the building is not "primarily" used to provide residential housing for persons with disabilities. Requires the authority to allocate the federal  
(Continued next page)

**Effective:** July 1, 1998; July 1, 1999.

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### Riegsecker, Gard

(HOUSE SPONSORS — KLINKER, ALDERMAN, ULMER, HERRELL)

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January 21, 1999, read first time and referred to Committee on Health and Provider Services.

February 11, 1999, amended, reported favorably — Do Pass.

February 16, 1999, read second time, ordered engrossed.

February 17, 1999, engrossed.

February 25, 1999, read third time, passed. Yeas 50, nays 0.

#### HOUSE ACTION

March 3, 1999, read first time and referred to Committee on Human Affairs.

March 25, 1999, amended, reported — Do Pass.

April 5, 1999, read second time, amended, ordered engrossed.

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credits under this provision based on the proportionate amount of a qualified building that is used to provide residential housing for persons with disabilities. Relocates provisions within the Indiana Code governing the family support program and the funding requirements for centers for independent living. Revises the duties of the bureau of developmental disabilities services. Authorizes the adult protective services unit to use the prosecuting attorney to obtain a protective order. Establishes an ombudsman for persons with a developmental disability who receive waivers services. Requires the board of interpreter standards to adopt certain rules. Removes reference to state owned children's facilities under the authority of the director of the division of family and children. Allows the statewide waiver ombudsman access to a patient's mental health records without the patient's consent. Makes numerous changes to transition services offered to disabled students who leave the special education program. Provides that a participant in the assistance to residents of county homes program or the room, board, and assistance program may retain one-half of the person's employment income, minus certain deductions, regardless of whether the income is a result of a personal habilitation plan. Repeals existing provisions governing community residential programs, case management services, respite care, diagnostic assessment, rehabilitation services, the emergency support fund, and the family subsidy program. Adds duties to the Indiana commission on mental retardation and developmental disabilities. Extends the term of the commission until 2005. Makes conforming amendments.

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April 6, 1999

First Regular Session 111th General Assembly (1999)

PRINTING CODE. Amendments: Whenever an existing statute (or a section of the Indiana Constitution) is being amended, the text of the existing provision will appear in this style type, additions will appear in **this style type**, and deletions will appear in ~~this style type~~.

Additions: Whenever a new statutory provision is being enacted (or a new constitutional provision adopted), the text of the new provision will appear in **this style type**. Also, the word **NEW** will appear in that style type in the introductory clause of each SECTION that adds a new provision to the Indiana Code or the Indiana Constitution.

Conflict reconciliation: Text in a statute in *this style type* or ~~this style type~~ reconciles conflicts between statutes enacted by the 1998 General Assembly.

## ENGROSSED SENATE BILL No. 606

A BILL FOR AN ACT to amend the Indiana Code concerning human services.

*Be it enacted by the General Assembly of the State of Indiana:*

- 1 SECTION 1. IC 1-1-3.5-5 IS AMENDED TO READ AS  
2 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 5. (a) The governor  
3 shall forward a copy of the executive order issued under section 3 of  
4 this chapter to:  
5 (1) the director of the Indiana state library;  
6 (2) the election division; and  
7 (3) the Indiana Register.  
8 (b) The director of the Indiana state library, or an employee of the  
9 Indiana state library designated by the director to supervise a state data  
10 center established under IC 4-23-7.1, shall notify each state agency  
11 using population counts as a basis for the distribution of funds or  
12 services of the effective date of the tabulation of population or  
13 corrected population count.  
14 (c) The agencies that the director of the Indiana state library must  
15 notify under subsection (b) include the following:

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- 1 (1) The auditor of state, for distribution of money from the  
 2 following:  
 3 (A) The cigarette tax fund in accordance with IC 6-7-1-30.1.  
 4 (B) Excise tax revenue allocated under IC 7.1-4-7-8.  
 5 (C) The local road and street account in accordance with  
 6 IC 8-14-2-4.  
 7 (D) The repayment of loans from the Indiana University  
 8 permanent endowment funds under IC 21-7-4.  
 9 (2) The board of trustees of Ivy Tech State College, for the board's  
 10 division of Indiana into service regions under IC 20-12-61-9.  
 11 (3) The department of commerce, for the distribution of money  
 12 from the following:  
 13 (A) The rural development fund under IC 4-4-9.  
 14 (B) The growth investment program fund under IC 4-4-20.  
 15 (4) The division of disability, aging, and rehabilitative services,  
 16 for establishing priorities for community residential facilities  
 17 under ~~IC 12-11-1-9~~ **IC 12-11-1.1** and IC 12-28-4-12.  
 18 (5) The department of state revenue, for distribution of money  
 19 from the motor vehicle highway account fund under IC 8-14-1-3.  
 20 (6) The enterprise zone board, for the evaluation of enterprise  
 21 zone applications under IC 4-4-6.1.  
 22 (7) The Indiana alcoholic beverage commission, for the issuance  
 23 of permits under IC 7.1.  
 24 (8) The Indiana library and historical board, for distribution of  
 25 money to eligible public library districts under IC 4-23-7.1-29.  
 26 (9) The state board of accounts, for calculating the state share of  
 27 salaries paid under IC 33-13-12, IC 33-14-7, and IC 33-15-26.  
 28 **SECTION 2. IC 4-15-2-3.8 IS AMENDED TO READ AS**  
 29 **FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 3.8. "State service"**  
 30 **means public service by:**  
 31 (1) employees and officers, including the incumbent directors, of  
 32 the county offices of family and children; and  
 33 (2) employees and officers, except members of boards and  
 34 commissions or individuals hired for or appointed to, after June  
 35 30, 1982, positions as appointing authorities, deputies, assistants  
 36 reporting to appointing authorities, or supervisors of major units  
 37 within state agencies, irrespective of the title carried by those  
 38 positions, of the division of disability, aging, and rehabilitative  
 39 services, Fort Wayne State Developmental Center, Muscatatuck  
 40 State Developmental Center, ~~New Castle State Developmental~~  
 41 ~~Center, Northern Indiana State Developmental Center,~~ division of  
 42 mental health, Larue D. Carter Memorial Hospital, Evansville

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1 State Psychiatric Treatment Center for Children, Central State  
 2 Hospital, Evansville State Hospital, Logansport State Hospital,  
 3 Madison State Hospital, Richmond State Hospital, state  
 4 department of health, Indiana School for the Blind, Indiana  
 5 School for the Deaf, Indiana Veterans' Home, Indiana Soldiers'  
 6 and Sailors' Children's Home, Silvercrest Children's Development  
 7 Center, department of correction, Westville Correctional Facility,  
 8 Plainfield Juvenile Correctional Facility, Putnamville  
 9 Correctional Facility, Indianapolis Juvenile Correctional Facility,  
 10 Indiana State Prison, Indiana Women's Prison, Pendleton  
 11 Correctional Facility, Reception and Diagnostic Center, Rockville  
 12 Correctional Facility, Youth Rehabilitation Facility, Plainfield  
 13 Correctional Facility, department of fire and building services,  
 14 state emergency management agency (excluding a county  
 15 emergency management organization and any other local  
 16 emergency management organization created under IC 10-4-1),  
 17 civil rights commission, criminal justice planning agency,  
 18 department of workforce development, Indiana historical bureau,  
 19 Indiana state library, division of family and children, Indiana state  
 20 board of animal health, Federal Surplus Property Warehouse,  
 21 Indiana education employment relations board, public employees'  
 22 retirement fund, teachers' retirement fund, department of labor,  
 23 Indiana protection and advocacy services commission,  
 24 commission on public records, Indiana horse racing commission,  
 25 and state personnel department.

26 SECTION 3. IC 5-1-16-1 IS AMENDED TO READ AS FOLLOWS  
 27 [EFFECTIVE JULY 1, 1999]: Sec. 1. As used in this chapter:

28 "Authority" refers to the Indiana health facility financing authority.

29 "Bonds" includes bonds, refunding bonds, notes, interim  
 30 certificates, bond anticipation notes, and other evidences of  
 31 indebtedness of the authority, issued under this chapter.

32 "Building" or "buildings" or similar words mean any building or part  
 33 of a building or addition to a building for health care purposes. The  
 34 term includes the site for the building (if a site is to be acquired),  
 35 equipment, heating facilities, sewage disposal facilities, landscaping,  
 36 walks, drives, parking facilities, and other structures, facilities,  
 37 appurtenances, materials, and supplies that may be considered  
 38 necessary to render a building suitable for use and occupancy for health  
 39 care purposes.

40 "Cost" includes the following:

- 41 (1) The cost and the incidental and related costs of the  
 42 acquisition, repair, restoration, reconditioning, refinancing, or

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- 1 installation of health facility property.
- 2 (2) The cost of any property interest in health facility property,
- 3 including an option to purchase a leasehold interest.
- 4 (3) The cost of constructing health facility property, or an addition
- 5 to health facility property, acquiring health facility property, or
- 6 remodeling health facility property.
- 7 (4) The cost of architectural, engineering, legal, trustee,
- 8 underwriting, and related services; the cost of the preparation of
- 9 plans, specifications, studies, surveys, and estimates of cost and
- 10 of revenue; and all other expenses necessary or incident to
- 11 planning, providing, or determining the need for or the feasibility
- 12 and practicability of health facility property.
- 13 (5) The cost of financing charges, including premiums or
- 14 prepayment penalties and interest accrued during the construction
- 15 of health facility property or before the acquisition and
- 16 installation or refinancing of such health facility property for up
- 17 to two (2) years after such construction, acquisition, and
- 18 installation or refinancing and startup costs related to health
- 19 facility property for up to two (2) years after such construction,
- 20 acquisition, and installation or refinancing.
- 21 (6) The costs paid or incurred in connection with the financing of
- 22 health facility property, including out-of-pocket expenses, the cost
- 23 of any policy of insurance; the cost of printing, engraving, and
- 24 reproduction services; and the cost of the initial or acceptance fee
- 25 of any trustee or paying agent.
- 26 (7) The costs of the authority, incurred in connection with
- 27 providing health facility property, including reasonable sums to
- 28 reimburse the authority for time spent by its agents or employees
- 29 in providing and financing health facility property.
- 30 (8) The cost paid or incurred for the administration of any
- 31 program for the purchase or lease of or the making of loans for
- 32 health facility property, by the authority and any program for the
- 33 sale or lease of or making of loans for health facility property to
- 34 any participating provider.
- 35 "County" means any county in the state that owns and operates a
- 36 county hospital.
- 37 "Health facility property" means any tangible or intangible property
- 38 or asset owned or used by a participating provider and which:
- 39 (1) is determined by the authority to be necessary or helpful,
- 40 directly or indirectly, to provide:
- 41 (A) health care;
- 42 (B) medical research;

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- 1 (C) training or teaching of health care personnel;  
 2 (D) habilitation, rehabilitation, or therapeutic services; or  
 3 (E) any related supporting services;  
 4 in Indiana, regardless of whether such property is in existence at  
 5 the time of, or is to be provided after the making of, such finding;  
 6 (2) is a residential facility for:  
 7 (A) the physically, mentally, or emotionally disabled;  
 8 (B) the physically or mentally ill; or  
 9 (C) the elderly; or  
 10 (3) is a licensed child caring institution providing residential care  
 11 described in IC 12-7-2-29(1).  
 12 "Health facility" means any facility or building owned or used by a  
 13 participating provider which is utilized, directly or indirectly:  
 14 (1) in:  
 15 (A) health care;  
 16 (B) habilitation, rehabilitation, or therapeutic services;  
 17 (C) medical research;  
 18 (D) the training or teaching of health care personnel; or  
 19 (E) any related supporting services;  
 20 (2) to provide a residential facility for:  
 21 (A) the physically, mentally, or emotionally disabled;  
 22 (B) the physically or mentally ill; or  
 23 (C) the elderly; or  
 24 (3) as a child caring institution and provides residential care  
 25 described in IC 12-7-2-29(1).  
 26 "Net revenues" means the revenues of a hospital remaining after  
 27 provision for proper and reasonable expenses of operation, repair,  
 28 replacement, and maintenance of the hospital.  
 29 "Participating provider" means a person, corporation, municipal  
 30 corporation, political subdivision, or other entity, public or private,  
 31 which:  
 32 (1) is:  
 33 (A) licensed under IC 12-25, IC 16-21, or IC 16-28;  
 34 (B) a regional blood center;  
 35 (C) a community mental health center or community mental  
 36 retardation and other developmental disabilities center (as  
 37 defined in IC 12-7-2-38 and IC 12-7-2-39);  
 38 (D) an entity that contracts with the **division of disability,**  
 39 **aging, and rehabilitative services or the** division of mental  
 40 health to provide the program described in ~~IC 12-11-2~~  
 41 **IC 12-11-1.1-1(e)** or IC 12-22-2;  
 42 (E) a vocational rehabilitation center established under

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- 1 IC 12-12-1-4(1);  
 2 (F) the owner or operator of a facility that is utilized, directly  
 3 or indirectly, to provide health care, habilitation, rehabilitation,  
 4 therapeutic services, medical research, the training or teaching  
 5 of health care personnel, or any related supporting services, or  
 6 of a residential facility for the physically, mentally, or  
 7 emotionally disabled, physically or mentally ill, or the elderly;  
 8 (G) a licensed child caring institution providing residential  
 9 care described in IC 12-7-2-29(1);  
 10 (H) an integrated health care system between or among  
 11 providers, a health care purchasing alliance, a health insurer  
 12 or third party administrator that is a participant in an integrated  
 13 health care system, a health maintenance or preferred provider  
 14 organization, or a foundation that supports a health care  
 15 provider; or  
 16 (I) an individual, a business entity, or a governmental entity  
 17 that owns an equity or membership interest in any of the  
 18 organizations described in clauses (A) through (H); and  
 19 (2) under this chapter, contracts with the authority for the  
 20 financing or refinancing of, or the lease or other acquisition of,  
 21 health facility property.  
 22 "Regional blood center" means a nonprofit corporation or  
 23 corporation created under 36 U.S.C. 1 that:  
 24 (1) is:  
 25 (A) accredited by the American Association of Blood Banks;  
 26 or  
 27 (B) registered or licensed by the Food and Drug  
 28 Administration of the Department of Health and Human  
 29 Services; and  
 30 (2) owns and operates a health facility that is primarily engaged  
 31 in:  
 32 (A) drawing, testing, processing, and storing human blood and  
 33 providing blood units or components to Indiana hospitals; or  
 34 (B) harvesting, testing, typing, processing, and storing human  
 35 body tissue and providing this tissue to Indiana hospitals.  
 36 SECTION 4. IC 5-20-1-2 IS AMENDED TO READ AS FOLLOWS  
 37 [EFFECTIVE JULY 1, 1999]: Sec. 2. As used in this chapter:  
 38 "Assisted" means, with respect to a loan:  
 39 (1) the payment by the United States or any duly authorized  
 40 agency ~~thereof~~ **of the United States** of assistance payments,  
 41 interest payments, or mortgage reduction payments with respect  
 42 to such loan; or

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1 (2) the provision of insurance, guaranty, security, collateral,  
 2 subsidies, or other forms of assistance or aid acceptable to the  
 3 authority for the making, holding, or selling of a loan from the  
 4 United States, any duly authorized agency ~~thereof~~, **of the United**  
 5 **States**, or any entity or corporation acceptable to the authority,  
 6 other than the sponsor.

7 "Authority" means the Indiana housing finance authority created  
 8 under this chapter.

9 "Bonds" or "notes" means the bonds or notes authorized to be issued  
 10 by the authority under this chapter.

11 "Development costs" means the costs approved by the authority as  
 12 appropriate expenditures and credits which may be incurred by  
 13 sponsors, builders, and developers of residential housing prior to  
 14 commitment and initial advance of the proceeds of a construction loan  
 15 or of a mortgage, including but not limited to:

16 (1) payments for options to purchase properties on the proposed  
 17 residential housing site, deposits on contracts of purchase, or,  
 18 with prior approval of the authority, payments for the purchase of  
 19 such properties;

20 (2) legal, organizational, and marketing expenses, including  
 21 payments of attorney's fees, project manager, clerical, and other  
 22 incidental expenses;

23 (3) payment of fees for preliminary feasibility studies and  
 24 advances for planning, engineering, and architectural work;

25 (4) expenses for surveys as to need and market analyses;

26 (5) necessary application and other fees;

27 (6) credits allowed by the authority to recognize the value of  
 28 service provided at no cost by the sponsors, builders, or  
 29 developers; and

30 (7) such other expenses as the authority deems appropriate for the  
 31 purposes of this chapter.

32 "Governmental agency" means any department, division, public  
 33 agency, political subdivision, or other public instrumentality of the  
 34 state of Indiana, the federal government, any other state or public  
 35 agency, or any two (2) or more thereof.

36 "Construction loan" means a loan to provide interim financing for  
 37 the acquisition or construction of single family residential housing,  
 38 including land development.

39 "Mortgage" or "mortgage loan" means a loan to provide permanent  
 40 financing for:

41 (1) the rehabilitation, acquisition, or construction of single family  
 42 residential housing, including land development; or

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- 1 (2) the weatherization of single family residences.
- 2 "Mortgage lender" means a bank, trust company, savings bank,  
3 savings association, credit union, national banking association, federal  
4 savings association or federal credit union maintaining an office in this  
5 state, a public utility (as defined in IC 8-1-2-1), a gas utility system  
6 organized under IC 8-1-11.1, an insurance company authorized to do  
7 business in this state, or any mortgage banking firm or mortgagee  
8 authorized to do business in this state and approved by either the  
9 authority or the Department of Housing and Urban Development.
- 10 "Land development" means the process of acquiring land primarily  
11 for residential housing construction for persons and families of low and  
12 moderate income and making, installing, or constructing nonresidential  
13 housing improvements, including water, sewer, and other utilities,  
14 roads, streets, curbs, gutters, sidewalks, storm drainage facilities, and  
15 other installations or works, whether on or off the site, which the  
16 authority deems necessary or desirable to prepare such land primarily  
17 for residential housing construction.
- 18 "Obligations" means any bonds or notes authorized to be issued by  
19 the authority under this chapter.
- 20 "Persons and families of low and moderate income" means persons  
21 and families of insufficient personal or family income to afford  
22 adequate housing as determined by the standards established by the  
23 authority, and in determining such standards the authority shall take  
24 into account the following:
- 25 (1) The amount of total income of such persons and families  
26 available for housing needs.
- 27 (2) The size of the family.
- 28 (3) The cost and condition of housing facilities available in the  
29 different geographic areas of the state.
- 30 (4) The ability of such persons and families to compete  
31 successfully in the private housing market and to pay the amounts  
32 at which private enterprise is providing sanitary, decent, and safe  
33 housing.
- 34 The standards shall, however, comply with the applicable limitations  
35 of section 4(b) of this chapter.
- 36 "Residential facility for children" means a facility:
- 37 (1) that provides residential services to individuals who are:
- 38 (A) under twenty-one (21) years of age; and
- 39 (B) adjudicated to be children in need of services under  
40 IC 31-34 (or IC 31-6-4 before its repeal) or delinquent children  
41 under IC 31-37 (or IC 31-6-4 before its repeal); and
- 42 (2) that is:

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- 1 (A) a child caring institution that is or will be licensed under  
 2 IC 12-17.4;  
 3 (B) a residential facility that is or will be licensed under  
 4 IC 12-28-5; or  
 5 (C) a facility that is or will be certified by the division of  
 6 mental health under IC 12-23.

7 "Residential facility for the developmentally disabled" means a  
 8 facility that is approved for use in a community residential program for  
 9 the developmentally disabled under ~~IC 12-11-2-1(1), IC 12-11-2-1(2),~~  
 10 ~~or IC 12-11-2-1(3)~~. **IC 12-11-1.1.**

11 "Residential facility for the mentally ill" means a facility that is  
 12 approved by the division of mental health for use in a community  
 13 residential program for the mentally ill under IC 12-22-2-3(1),  
 14 IC 12-22-2-3(2), IC 12-22-2-3(3), or IC 12-22-2-3(4).

15 "Residential housing" means a specific work or improvement  
 16 undertaken primarily to provide single or multiple family housing for  
 17 rental or sale to persons and families of low and moderate income,  
 18 including the acquisition, construction, or rehabilitation of lands,  
 19 buildings, and improvements ~~thereto~~, **to the housing**, and such other  
 20 nonhousing facilities as may be incidental or appurtenant ~~thereto~~. **to**  
 21 **the housing.**

22 "Sponsors", "builders", or "developers" means corporations,  
 23 associations, partnerships, limited liability companies, or other entities  
 24 and consumer housing cooperatives organized pursuant to law for the  
 25 primary purpose of providing housing to low and moderate income  
 26 persons and families.

27 "State" means the state of Indiana.

28 "Tenant programs and services" means services and activities for  
 29 persons and families living in residential housing, including the  
 30 following:

- 31 (1) Counseling on household management, housekeeping,  
 32 budgeting, and money management.  
 33 (2) Child care and similar matters.  
 34 (3) Access to available community services related to job training  
 35 and placement, education, health, welfare, and other community  
 36 services.  
 37 (4) Guard and other matters related to the physical security of the  
 38 housing residents.  
 39 (5) Effective management-tenant relations, including tenant  
 40 participation in all aspects of housing administration,  
 41 management, and maintenance.  
 42 (6) Physical improvements of the housing, including buildings,



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1 recreational and community facilities, safety measures, and  
 2 removal of code violations.  
 3 (7) Advisory services for tenants in the creation of tenant  
 4 organizations which will assume a meaningful and responsible  
 5 role in the planning and carrying out of housing affairs.  
 6 (8) Procedures whereby tenants, either individually or in a group,  
 7 may be given a hearing on questions relating to management  
 8 policies and practices either in general or in relation to an  
 9 individual or family.

10 SECTION 5. IC 5-20-1-4.5 IS AMENDED TO READ AS  
 11 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 4.5. (a) As used in this  
 12 section, "person with a disability" means a person who, by reason of  
 13 physical, mental, or emotional defect or infirmity, whether congenital  
 14 or acquired by accident, injury, or disease, is totally or partially  
 15 prevented from achieving the fullest attainable physical, social,  
 16 economic, mental, and vocational participation in the normal process  
 17 of living.  
 18 (b) As used in this section, "qualified building" means a building:  
 19 (1) that is used or will be used ~~primarily~~ to provide residential  
 20 housing for persons with disabilities; and  
 21 (2) for which a taxpayer is eligible to claim a low income housing  
 22 credit under 26 U.S.C. 42.  
 23 (c) Subject to subsection (d), the authority shall allocate to qualified  
 24 buildings at least ten percent (10%) of the total dollar amount of federal  
 25 low income housing credits allocated to the authority under 26 U.S.C.  
 26 42. **The authority shall allocate credits under this section based on**  
 27 **the proportionate amount of a qualified building that is used to**  
 28 **provide residential housing for persons with disabilities, as**  
 29 **determined by the authority.**  
 30 (d) The authority shall hold available the allocation made under  
 31 subsection (c) for qualified buildings through October 31 of each  
 32 calendar year. Beginning November 1 of each calendar year, any part  
 33 of the allocation that remains unassigned shall be available for any  
 34 appropriate use under 26 U.S.C. 42.  
 35 SECTION 6. IC 12-7-2-15 IS AMENDED TO READ AS  
 36 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 15. "Applicant" means  
 37 the following:  
 38 (1) For purposes of the following statutes, a person who has  
 39 applied for assistance for the applicant or another person under  
 40 any of the following statutes:  
 41 (A) IC 12-10-6.  
 42 (B) IC 12-10-12.

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- 1           ~~(C)~~ ~~IC 12-11-4.~~
- 2           ~~(D)~~ **(C)** IC 12-13.
- 3           ~~(E)~~ **(D)** IC 12-14.
- 4           ~~(F)~~ **(E)** IC 12-15.
- 5           ~~(G)~~ **(F)** IC 12-17-1.
- 6           ~~(H)~~ **(G)** IC 12-17-2.
- 7           ~~(I)~~ **(H)** IC 12-17-3.
- 8           ~~(J)~~ **(I)** IC 12-17-9.
- 9           ~~(K)~~ **(J)** IC 12-17-10.
- 10          ~~(L)~~ **(K)** IC 12-17-11.
- 11          ~~(M)~~ **(L)** IC 12-19.

12           (2) For purposes of IC 12-17-12, the meaning set forth in  
13           IC 12-17-12-1.

14           (3) For purposes of IC 12-17-13, the meaning set forth in  
15           IC 12-17-13-1.

16           (4) For the purposes of IC 12-17.2, a person who seeks a license  
17           to operate a child care center or child care home.

18           (5) For purposes of IC 12-17.4, a person who seeks a license to  
19           operate a child caring institution, foster family home, group home,  
20           or child placing agency.

21           SECTION 7. IC 12-7-2-18 IS AMENDED TO READ AS  
22           FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 18. "Assistance", for  
23           purposes of the following statutes, means money or services regardless  
24           of the source, paid or furnished under any of the following statutes:

- 25           (1) IC 12-10-6.
- 26           (2) IC 12-10-12.
- 27           ~~(3)~~ ~~IC 12-11-4.~~
- 28           ~~(4)~~ **(3)** IC 12-13.
- 29           ~~(5)~~ **(4)** IC 12-14.
- 30           ~~(6)~~ **(5)** IC 12-15.
- 31           ~~(7)~~ **(6)** IC 12-17-1.
- 32           ~~(8)~~ **(7)** IC 12-17-2.
- 33           ~~(9)~~ **(8)** IC 12-17-3.
- 34           ~~(10)~~ **(9)** IC 12-17-9.
- 35           ~~(11)~~ **(10)** IC 12-17-10.
- 36           ~~(12)~~ **(11)** IC 12-17-11.
- 37           ~~(13)~~ **(12)** IC 12-19.

38           SECTION 8. IC 12-7-2-20 IS AMENDED TO READ AS  
39           FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 20. "Autistic", for  
40           purposes of ~~IC 12-11-1-8~~ **IC 12-11-1.1-6** and IC 12-28-4-13, refers to  
41           the characteristics of a neurological disorder that is described in the  
42           Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition,

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1 Washington, American Psychiatric Association, 1994, pages 70 and 71.

2 SECTION 9. IC 12-7-2-21 IS AMENDED TO READ AS  
3 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 21. "Blind" means the  
4 following:

5 (1) For purposes of the following statutes, the term refers to an  
6 individual who has vision in the better eye with correcting glasses  
7 of 20/200 or less, or a disqualifying visual field defect as  
8 determined upon examination by an ophthalmologist or  
9 optometrist who has been designated to make such examinations  
10 by the county office and approved by the division of family and  
11 children or by the division in the manner provided in any of the  
12 following statutes:

- 13 (A) IC 12-10-6.  
14 (B) IC 12-10-12.  
15 ~~(C) IC 12-11-4.~~  
16 ~~(D)~~ (C) IC 12-13.  
17 ~~(E)~~ (D) IC 12-14.  
18 ~~(F)~~ (E) IC 12-15.  
19 ~~(G)~~ (F) IC 12-17-1.  
20 ~~(H)~~ (G) IC 12-17-2.  
21 ~~(I)~~ (H) IC 12-17-3.  
22 ~~(J)~~ (I) IC 12-17-9.  
23 ~~(K)~~ (J) IC 12-17-10.  
24 ~~(L)~~ (K) IC 12-17-11.  
25 ~~(M)~~ (L) IC 12-19.

26 (2) For purposes of the following statutes, the term refers to an  
27 individual who has a central visual acuity of 20/200 or less in the  
28 individual's better eye with the best correction or a field of vision  
29 that is not greater than twenty (20) degrees at its widest diameter:

- 30 (A) IC 12-12-1.  
31 (B) IC 12-12-3.  
32 (C) IC 12-12-5.  
33 (D) IC 12-12-6.

34 SECTION 10. IC 12-7-2-22 IS AMENDED TO READ AS  
35 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 22. "Board" means the  
36 following:

- 37 (1) For purposes of IC 12-10-10 and IC 12-10-11, the community  
38 and home options to institutional care for the elderly and disabled  
39 board established by IC 12-10-11-1.  
40 (2) For purposes of 12-12-7-5, the meaning set forth in ~~IC~~  
41 ~~12-12-7-5.~~ **IC 12-12-7-5(a).**  
42 (3) For purposes of IC 12-15-35, the meaning set forth in

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1 IC 12-15-35-2.  
 2 (4) For purposes of IC 12-17-2-36, the meaning set forth in  
 3 IC 12-17-2-36(a).  
 4 (5) For purposes of IC ~~12-17.2~~ and IC ~~12-17.4~~, the board for the  
 5 coordination of child care regulation established by IC  
 6 ~~12-17.2-3-1~~.  
 7 SECTION 11. IC 12-7-2-24 IS AMENDED TO READ AS  
 8 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 24. "Bureau" means the  
 9 following:  
 10 (1) For purposes of IC 12-10, the bureau of aging and in-home  
 11 services established by IC 12-10-1-1.  
 12 (2) For purposes of IC 12-11, the bureau of developmental  
 13 disabilities services established by ~~IC 12-11-1-1~~. **IC 12-11-1.1-1.**  
 14 (3) For purposes of IC 12-12, the rehabilitation services bureau of  
 15 the division of disability, aging, and rehabilitative services  
 16 established by IC 12-12-1-1.  
 17 (4) For purposes of IC 12-17-2, the meaning set forth in  
 18 IC 12-17-2-1.  
 19 SECTION 12. IC 12-7-2-26.1 IS AMENDED TO READ AS  
 20 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 26.1. "Center for  
 21 independent living", for purposes of ~~IC 12-11-1-1~~, **IC 12-12-8**, has the  
 22 meaning set forth in ~~IC 12-11-1-1-1~~. **IC 12-12-8-1.**  
 23 SECTION 13. IC 12-7-2-40 IS AMENDED TO READ AS  
 24 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 40. "Community  
 25 residential program", ~~refers to the following~~:  
 26 (1) For purposes of ~~IC 12-11-1~~, the program described in IC  
 27 ~~12-11-1-1~~.  
 28 (2) for purposes of IC 12-22-2, ~~refers to the program programs~~  
 29 described in IC 12-22-2-3.  
 30 SECTION 14. IC 12-7-2-40.7 IS AMENDED TO READ AS  
 31 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 40.7. "Consumer  
 32 control", for purposes of ~~IC 12-11-1-1~~, **IC 12-12-8**, has the meaning  
 33 set forth in ~~IC 12-11-1-1-2~~. **IC 12-12-8-2.**  
 34 SECTION 15. IC 12-7-2-51.9 IS AMENDED TO READ AS  
 35 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 51.9. "Cross-disability",  
 36 for purposes of ~~IC 12-11-1-1~~, **IC 12-12-8**, has the meaning set forth  
 37 in ~~IC 12-11-1-1-3~~. **IC 12-12-8-3.**  
 38 SECTION 16. IC 12-7-2-62 IS AMENDED TO READ AS  
 39 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 62. "Developmentally  
 40 disabled individual", for purposes of ~~IC 12-11-2~~ and ~~IC 12-11-3~~;  
 41 **IC 12-11-1.1 and IC 12-11-2.1**, refers to an individual who has a  
 42 developmental disability.

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1 SECTION 17. IC 12-7-2-82.5 IS AMENDED TO READ AS  
 2 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 82.5. "Family support  
 3 program", for purposes of ~~IC 12-11-10~~, **IC 12-8-14**, has the meaning  
 4 set forth in ~~IC 12-11-10-1~~. **IC 12-8-14-1**.

5 SECTION 18. IC 12-7-2-99 IS AMENDED TO READ AS  
 6 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 99. "A person with a  
 7 disability" means, ~~the following~~:

8 ~~(1)~~ for purposes of the following statutes, an individual who has  
 9 a physical or mental disability and meets the program eligibility  
 10 requirements of the division of disability, aging, and rehabilitative  
 11 services:

12 (A) IC 12-8-1-11.

13 (B) IC 12-12-1.

14 (C) IC 12-12-6.

15 ~~(2)~~ For purposes of ~~IC 12-11-5~~, the meaning set forth in ~~IC~~  
 16 ~~12-11-5-1~~.

17 SECTION 19. IC 12-7-2-158 IS AMENDED TO READ AS  
 18 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 158. "Recipient" means  
 19 the following:

20 (1) For purposes of the following statutes, a person who has  
 21 received or is receiving assistance for the person or another  
 22 person under any of the following statutes:

23 (A) IC 12-10-6.

24 ~~(B)~~ ~~IC 12-11-4~~.

25 ~~(C)~~ **(B)** IC 12-13.

26 ~~(D)~~ **(C)** IC 12-14.

27 ~~(E)~~ **(D)** IC 12-15.

28 ~~(F)~~ **(E)** IC 12-17-1.

29 ~~(G)~~ **(F)** IC 12-17-2.

30 ~~(H)~~ **(G)** IC 12-17-3.

31 ~~(I)~~ **(H)** IC 12-17-9.

32 ~~(J)~~ **(I)** IC 12-17-10.

33 ~~(K)~~ **(J)** IC 12-17-11.

34 ~~(L)~~ **(K)** IC 12-19.

35 (2) For purposes of IC 12-20-10 and IC 12-20-11:

36 (A) a single individual receiving poor relief; or

37 (B) if poor relief is received by a household with at least two  
 38 (2) individuals, the member of the household most suited to  
 39 perform available work.

40 SECTION 20. IC 12-7-2-160 IS AMENDED TO READ AS  
 41 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 160. (a)  
 42 "Rehabilitation", for purposes of the statutes listed in subsection (b),



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1 means a process of providing services to meet the current and future  
 2 needs of persons with disabilities so that the individuals may prepare  
 3 for and engage in gainful employment to the extent of their capabilities,  
 4 as provided in 29 U.S.C. 720.

5 (b) This section applies to the following statutes:

6 (1) IC 12-8-1-11.

7 ~~(2) IC 12-11-5.~~

8 ~~(3) (2) IC 12-12-1.~~

9 ~~(4) (3) IC 12-12-3.~~

10 ~~(5) (4) IC 12-12-6.~~

11 SECTION 21. IC 12-7-2-166 IS AMENDED TO READ AS  
 12 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 166. "Residential  
 13 facility for the developmentally disabled", for purposes of IC 12-28-4  
 14 and IC 12-28-5, means a facility that provides residential services for  
 15 developmentally disabled individuals in a program described in ~~IC~~  
 16 ~~12-11-1-1~~. **IC 12-11-1.1-1(e)(1) or IC 12-11-1.1-1(e)(2).**

17 SECTION 22. IC 12-7-2-168 IS AMENDED TO READ AS  
 18 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 168. "Respite care"  
 19 means the following:

20 (1) For purposes of IC 12-10-4 and IC 12-10-5, temporary care or  
 21 supervision of an individual with Alzheimer's disease or a related  
 22 senile dementia that is provided because the individual's family  
 23 or caretaker is temporarily unable or unavailable to provide  
 24 needed care.

25 ~~(2) For purposes of IC 12-11-3, the meaning set forth in IC~~  
 26 ~~12-11-3-1.~~

27 ~~(3) For purposes of IC 12-22-1, the meaning set forth in~~  
 28 ~~IC 12-22-1-1.~~

29 SECTION 23. IC 12-7-2-182 IS AMENDED TO READ AS  
 30 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 182. "State  
 31 developmental center", for purposes of ~~IC 12-11-3~~; ~~has the meaning set~~  
 32 ~~forth IC 12-11-2.1, refers to an institution listed in IC 12-11-3-2.~~  
 33 **IC 12-24-1-1.**

34 SECTION 24. IC 12-7-2-184 IS AMENDED TO READ AS  
 35 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 184. (a) "State  
 36 institution" means an institution:

37 (1) owned or operated by the state;

38 (2) for the observation, care, treatment, or detention of an  
 39 individual; and

40 (3) under the administrative control of a division.

41 (b) The term includes the following:

42 (1) Central State Hospital.



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- 1 (2) Evansville State Hospital.
- 2 (3) Evansville State Psychiatric Treatment Center for Children.
- 3 (4) Fort Wayne State Developmental Center.
- 4 (5) Larue D. Carter Memorial Hospital.
- 5 (6) Logansport State Hospital.
- 6 (7) Madison State Hospital.
- 7 (8) Muscatatuck State Developmental Center.
- 8 ~~(9) New Castle State Developmental Center.~~
- 9 ~~(10) Northern Indiana State Developmental Center.~~
- 10 ~~(11)~~ (9) Richmond State Hospital.

11 SECTION 25. IC 12-7-2-188.5 IS AMENDED TO READ AS  
 12 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 188.5. "Supervised  
 13 group living facility for the developmentally disabled", for purposes of  
 14 IC 12-28-4 and IC 12-28-5, refers to a supervised group living facility  
 15 for developmentally disabled individuals in a program described in ~~IC~~  
 16 ~~12-11-1-1(b)(1)~~. **IC 12-11-1.1-1(e)(1).**

17 SECTION 26. IC 12-8-14 IS ADDED TO THE INDIANA CODE  
 18 AS A NEW CHAPTER TO READ AS FOLLOWS [EFFECTIVE  
 19 JULY 1, 1999]:

20 **Chapter 14. Family Support Program**

21 **Sec. 1. The family support program is established to develop a**  
 22 **family support policy state plan for the support of families of**  
 23 **persons with disabilities and for persons with disabilities, including**  
 24 **children with special health care needs or emotional disorders. The**  
 25 **objectives of the family support program include the following:**

- 26 (1) Assist families to care for persons with disabilities in the
- 27 persons' own homes, including implementation of proposals
- 28 regarding personal attendant services.
- 29 (2) Assist persons with disabilities to make decisions for
- 30 themselves.
- 31 (3) Allow a person with a disability to live separately from the
- 32 person's family, if the person with a disability so chooses.

33 **Sec. 2. The family support policy state plan must do the**  
 34 **following:**

- 35 (1) Focus on the family and the community of the person with
- 36 a disability, including the parents of the person with a
- 37 disability.
- 38 (2) Encourage a partnership among families, consumers, and
- 39 professionals in the development of an individual family
- 40 support service plan that begins within a reasonable time and
- 41 that is periodically reviewed.
- 42 (3) Promote the participation of families of persons with

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- disabilities in local step ahead councils.**
- (4) Foster the involvement of families and persons with disabilities in decision making, needs assessment, and providing services.**
- (5) Provide for the establishment of a systematic process for admission to participation in the family support program and other programs that help individuals and families identify and satisfy their health, education, social service, and other needs and choices.**
- (6) Encourage program flexibility as unique family needs change and provide flexible program funding for alternative choices.**
- (7) Examine funding mechanisms, including sliding fee scales and annual budgeting processes for the delivery of services.**
- (8) Promote a system of funding for family support services that follows the person receiving the services.**
- (9) Encourage interagency collaboration among different agencies and programs at the community level, with coordination and review by the office of the secretary of family and social services to ensure statewide coverage.**
- (10) Provide for the establishment of information and referral systems to determine gaps in services in the state and to develop a resource network that includes the public and private sectors.**
- (11) Encourage outreach to inform families and consumers of services and public and private agencies about the family support program. The outreach includes publishing a brochure listing service options and defining family rights.**
- (12) Promote training of personnel providing individualized support services and training of families and consumers on consumer empowerment and service availability.**
- (13) Promote a community centered approach to include the following:**
  - (A) Available services.**
  - (B) Public and private sector resources.**
  - (C) Governmental agencies.**
  - (D) Public and private agencies providing family support services.**
- (14) Promote integration of a person with a disability into the community.**

**Sec. 3. (a) The secretary of family and social services shall administer the family support program to produce a state plan,**

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1 based on limits of appropriations, to promote the statewide  
2 availability of services.

3 (b) The state plan required by subsection (a) must include the  
4 following objectives:

5 (1) Implementing a fair, swift, and convenient process for  
6 determination of family eligibility.

7 (2) Assuring that all organizations implementing  
8 individualized support services will incorporate a family focus  
9 in providing family support programs.

10 (3) Using existing local organizations as the single point of  
11 entry for families seeking services.

12 (4) Using existing public and private local organizations,  
13 including the county step ahead councils, parent advisory  
14 groups, and natural and informal networks of family and  
15 friends, to carry out the daily operations of the family support  
16 program.

17 (5) Providing a method for contracting with agencies,  
18 grantees, or vendors to provide individualized support  
19 services, especially in regions of Indiana that are inadequately  
20 served.

21 (6) Developing a method for providing technical assistance to  
22 agencies and organizations, including consumer groups, that  
23 are developing or offering individualized support services to  
24 assure the coordination of publicly funded programs and  
25 generic services and to assure that other publicly funded  
26 programs and agencies are not supplanted.

27 (7) Using available state, regional, and local media to support  
28 outreach to families.

29 (8) Providing due process hearings on eligibility and other  
30 decisions affecting services.

31 (9) Exploring sources of and opportunities for federal  
32 participation in and funding of services for families of persons  
33 with disabilities and persons with disabilities.

34 (10) Giving priority to programs preventing and ameliorating  
35 the impact of disabilities on families.

36 Sec. 4. The office of the secretary shall submit an annual report  
37 on the family support program to the governor and to the general  
38 assembly before July 1 of each year.

39 Sec. 5. Services to support families of persons with disabilities  
40 and persons with disabilities may include services available within  
41 the division of family and children, the division of disability, aging,  
42 and rehabilitative services, the division of mental health, the state

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1 department of health, the department of education, the department  
2 of workforce development, and the department of correction,  
3 including case management and service coordination.

4 Sec. 6. (a) The family support council is established. The family  
5 support council consists of twelve (12) members. The governor  
6 shall appoint the members of the family support council. A  
7 member may be removed from the council by the governor and  
8 serves at the governor's pleasure.

9 (b) At least seven (7) of the members of the family support  
10 council must be individuals who are eligible for family support  
11 services.

12 (c) The family support council must include a representative of  
13 the governor's planning council on people with disabilities.

14 (d) The family support council shall meet at least quarterly to  
15 monitor the family support policy state plan.

16 (e) The family support council shall:

17 (1) communicate information and recommendations  
18 concerning family support to the secretary; and

19 (2) assist in developing state policies and programs that have  
20 an impact on individuals with disabilities and their families.

21 (f) The secretary shall designate personnel to assist the family  
22 support council in performing the functions authorized by this  
23 section.

24 Sec. 7. The affirmative votes of a majority of the voting  
25 members appointed to the family support council are required for  
26 the family support council to take action on any measure.

27 SECTION 27. IC 12-9-1-3 IS AMENDED TO READ AS  
28 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 3. The division consists  
29 of the following bureaus:

30 (1) Disability determination bureaus required or permitted under  
31 IC 12-9-6.

32 (2) The bureau of aging and in-home services established by  
33 IC 12-10-1-1.

34 (3) The rehabilitation services bureau established by  
35 IC 12-12-1-1.

36 (4) The bureau of developmental disabilities services established  
37 by ~~IC 12-11-1-1~~. **IC 12-11-1.1-1.**

38 SECTION 28. IC 12-10-3-21 IS AMENDED TO READ AS  
39 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 21. If an alleged  
40 endangered adult does not or is unable to consent to the receipt of  
41 protective services arranged by the division or the adult protective  
42 services unit or withdraws consent previously given, the adult

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1 protective services unit, **either directly or through the prosecuting**  
 2 **attorney's office in the county in which the endangered adult**  
 3 **resides, may petition the court to require the alleged endangered**  
 4 **adult to receive protective services.** ~~or~~ Any person upon consent of  
 5 the adult protective services unit, may petition the court to require the  
 6 alleged endangered adult to receive protective services. The petition  
 7 must be under oath or affirmation and must include the following:

8 (1) The name, age, and residence of the alleged endangered adult  
 9 who is to receive protective services.

10 (2) The nature of the problem or reason for the filing of the  
 11 petition for protective order.

12 (3) The name and address of the petitioner and the name and  
 13 address of the person or organization that may be required to  
 14 complete the court ordered protective services. If the petitioner is  
 15 an organization, the petition must contain information concerning  
 16 the title and authority of the individual filing on behalf of that  
 17 organization.

18 (4) Certification that:

19 (A) notice of the petition has been given to the alleged  
 20 endangered adult, the alleged endangered adult's attorney, if  
 21 any, or the alleged endangered adult's next of kin or guardian,  
 22 if any; and

23 (B) section 21.5 of this chapter regarding notice to the alleged  
 24 endangered adult's next of kin has been complied with.

25 If notice has not been given, a description of the attempts to give  
 26 notice shall be given.

27 (5) The name and address of the individuals most closely related  
 28 by blood or marriage to the alleged endangered adult, if known.

29 (6) A description of the proposed protective services to be  
 30 provided.

31 (7) A statement that the adult protective services unit has been  
 32 notified and consented to the petition if the petitioner is not the  
 33 adult protective services unit.

34 SECTION 29. IC 12-10-3-28 IS AMENDED TO READ AS  
 35 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 28. (a) If:

36 (1) an alleged endangered adult does not or is unable to consent  
 37 to the receipt of protective services arranged by the division or the  
 38 adult protective services unit or withdraws consent previously  
 39 given; and

40 (2) the endangered adult is involved in a life threatening  
 41 emergency;

42 the adult protective services unit, **either directly or through the**

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1 **prosecuting attorney's office of the county in which the alleged**  
 2 **endangered adult resides,** may petition the superior or circuit court in  
 3 the county where the alleged endangered adult resides for an  
 4 emergency protective order.

5 (b) A petition for an emergency protective order must be under oath  
 6 or affirmation and must include the following:

7 (1) The name, age, and residence of the endangered adult who is  
 8 to receive emergency protective services.

9 (2) The nature of the problem and an allegation that a life  
 10 threatening emergency exists.

11 (3) Evidence that immediate and irreparable injury will result if  
 12 there is a delay in the provision of services.

13 (4) The name and address of the petitioner who is filing the  
 14 petition and the name and address of the person or organization  
 15 that may be required to complete the court ordered emergency  
 16 protective services.

17 (5) Certification that:

18 (A) notice has been given to the alleged endangered adult, the  
 19 alleged endangered adult's attorney, if any, or the alleged  
 20 endangered adult's next of kin, if any; and

21 (B) section 21.5 of this chapter regarding notice to the alleged  
 22 endangered adult's next of kin has been complied with.

23 If notice has not been given, a description of the attempts to give  
 24 notice shall be given.

25 (6) A description of the emergency protective services to be  
 26 provided.

27 (c) If, after the hearing of the petition, the court determines that the  
 28 endangered adult should be required to receive emergency protective  
 29 services, the court shall issue an emergency protective order if the court  
 30 finds the following:

31 (1) The individual is an endangered adult.

32 (2) A life threatening emergency exists.

33 (3) The endangered adult is in need of the proposed emergency  
 34 protective services.

35 The court may issue the order ex parte.

36 (d) An emergency protective order must stipulate the following:

37 (1) The objectives of the emergency protective order.

38 (2) The least restrictive emergency protective services necessary  
 39 to attain the objectives of the emergency protective order that the  
 40 endangered adult must receive.

41 (3) The duration during which the endangered adult must receive  
 42 the emergency protective services.

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- 1 (4) That the emergency protective services unit or other person
- 2 designated by the court shall do the following:
- 3 (A) Provide or arrange for the provision of the emergency
- 4 protective services ordered by the court.
- 5 (B) Petition the court to modify or terminate the emergency
- 6 protective order if:
- 7 (i) the emergency protective services ordered by the court
- 8 have not been effective in attaining the objectives of the
- 9 emergency protective order;
- 10 (ii) the physical or mental health of the endangered adult is
- 11 no longer in danger and the termination of the emergency
- 12 protective order will not be likely to place the endangered
- 13 adult's physical or mental health in danger; or
- 14 (iii) the endangered adult has consented to receive the
- 15 emergency protective services ordered by the court.
- 16 (e) The court may issue an order to:
- 17 (1) enjoin a person from interfering with the delivery of services
- 18 ordered by an emergency protective order issued under this
- 19 section; or
- 20 (2) direct a person to take actions to implement the delivery of
- 21 services ordered by an emergency protective order issued under
- 22 this section.
- 23 (f) An emergency protective order issued under this section may not
- 24 remain in effect for longer than:
- 25 (1) ten (10) days; or
- 26 (2) thirty (30) days if the adult protective services unit shows the
- 27 court that an extraordinary need exists that requires the order to
- 28 remain in effect for not more than thirty (30) days.
- 29 (g) If at the expiration of an order the adult protective services unit
- 30 determines that the endangered adult is in need of further protective
- 31 services and that the endangered adult does not consent to the receipt
- 32 of the services, a petition may be filed under section 21 of this chapter.
- 33 SECTION 30. IC 12-10-6-1 IS AMENDED TO READ AS
- 34 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 1. (a) An individual
- 35 who:
- 36 (1) is at least sixty-five (65) years of age, blind, or disabled; and
- 37 (2) is a resident of a county home;
- 38 is eligible to receive assistance payments from the state if the
- 39 individual would be eligible for assistance under the federal
- 40 Supplemental Security Income program except for the fact that the
- 41 individual is residing in a county home.
- 42 (b) The amount of nonmedical assistance to be paid on behalf of a

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1 resident in a county home must be based on the daily rate established  
 2 by the division. The rate for facilities under this section and licensed  
 3 under IC 16-28 may not exceed an upper rate limit established by a rule  
 4 adopted by the division.

5 (c) The rate for facilities under this section but not licensed under  
 6 IC 16-28 must be the lesser of:

7 (1) an upper rate limit established by a rule adopted by the  
 8 division; or

9 (2) a reasonable and adequate rate to meet the costs, determined  
 10 by generally accepted accounting principles, that are incurred by  
 11 efficiently and economically operated facilities in order to provide  
 12 care and services in conformity with quality and safety standards  
 13 and applicable laws and rules.

14 (d) The recipient shall be paid or allowed to retain from the  
 15 recipient's income a personal allowance in an amount to be established  
 16 by the division. The amount:

17 (1) may be not less than twenty-eight dollars and fifty cents  
 18 (\$28.50) and not more than thirty-five dollars (\$35) monthly;

19 (2) is exempt from income eligibility consideration by the  
 20 division; and

21 (3) may be exclusively used by the recipient for personal needs.

22 (e) In addition to the amount that may be retained as a personal  
 23 allowance under this section, an individual is allowed to retain an  
 24 amount equal to the individual's state and local income tax liability.  
 25 The amount that may be retained during a month may not exceed  
 26 one-third (1/3) of the individual's state and local income tax liability for  
 27 the calendar quarter in which the month occurs. This amount is exempt  
 28 from income eligibility consideration by the division. The amount  
 29 retained shall be used by the individual to pay state or local income  
 30 taxes owed.

31 **(f) The personal allowance for one (1) month for an individual**  
 32 **described in subsection (a) is the amount that an individual would**  
 33 **be entitled to retain under subsection (d) plus an amount equal to**  
 34 **one-half (1/2) of the remainder of:**

35 **(1) gross earned income for that month; minus**

36 **(2) the sum of:**

37 **(A) sixteen dollars (\$16); plus**

38 **(B) the amount withheld from the person's paycheck for**  
 39 **that month for payment of state income tax, federal income**  
 40 **tax, and the tax prescribed by the federal Insurance**  
 41 **Contribution Act (26 U.S.C. 3101 et seq.); plus**

42 **(C) transportation expenses for that month; plus**



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**(D) any mandatory expenses required by the employer as a condition of employment.**

~~(f)~~ (g) The division of disability, aging, and rehabilitative services, in cooperation with the state department of health taking into account licensure requirements under IC 16-28, shall adopt rules under IC 4-22-2 governing the reimbursement to facilities under this section. The rules must be designed to determine the costs that must be incurred by efficiently and economically operated facilities to provide room, board, laundry, and other services, along with minimal administrative direction to individuals who receive residential care in the facilities under this section. A rule adopted under this subsection by:

- (1) the division; or
- (2) the state department of health;

must conform to the rules for residential care facilities that are licensed under IC 16-28.

~~(g)~~ (h) A rate established under this section may be appealed according to the procedures under IC 4-21.5.

~~(h)~~ (i) The division shall annually review each facility's rate using the following:

- (1) Generally accepted accounting principles.
- (2) The costs incurred by efficiently and economically operated facilities in order to provide care and services in conformity with quality and safety standards and applicable laws and rules.

SECTION 31. IC 12-10-6-2 IS AMENDED TO READ AS FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 2. (a) An individual who is incapable of residing in the individual's own home may apply for residential care assistance under this section. The determination of eligibility for residential care assistance is the responsibility of the division. Except as provided in subsections (f) and (h), an individual is eligible for residential care assistance if the division determines that the individual:

- (1) is a recipient of Medicaid or the federal Supplemental Security Income program;
- (2) is incapable of residing in the individual's own home because of dementia, mental illness, or a physical disability;
- (3) requires a degree of care less than that provided by a health care facility licensed under IC 16-28; and
- (4) can be adequately cared for in a residential care setting.

(b) Individuals suffering from mental retardation may not be admitted to a home or facility that provides residential care under this section.

(c) A service coordinator employed by the division may:

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1 (1) evaluate a person seeking admission to a home or facility  
2 under subsection (a); or

3 (2) evaluate a person who has been admitted to a home or facility  
4 under subsection (a), including a review of the existing  
5 evaluations in the person's record at the home or facility.

6 If the service coordinator determines the person evaluated under this  
7 subsection is mentally retarded, the service coordinator may  
8 recommend an alternative placement for the person.

9 (d) Except as provided in section 5 of this chapter, residential care  
10 consists of only room, board, and laundry, along with minimal  
11 administrative direction. State financial assistance may be provided for  
12 such care in a boarding or residential home of the applicant's choosing  
13 that is licensed under IC 16-28 or a Christian Science facility listed and  
14 certified by the Commission for Accreditation of Christian Science  
15 Nursing Organizations/Facilities, Inc., that meets certain life safety  
16 standards considered necessary by the state fire marshal. Payment for  
17 such care shall be made to the provider of the care according to  
18 division directives and supervision. The amount of nonmedical  
19 assistance to be paid on behalf of a recipient living in a boarding home,  
20 residential home, or Christian Science facility shall be based on the  
21 daily rate established by the division. The rate for facilities that are  
22 referred to in this section and licensed under IC 16-28 may not exceed  
23 an upper rate limit established by a rule adopted by the division. The  
24 recipient may retain from the recipient's income a personal allowance  
25 in an amount to be established by the division, but not less than  
26 twenty-eight dollars and fifty cents (\$28.50) or more than thirty-five  
27 dollars (\$35) monthly. This amount is exempt from income eligibility  
28 consideration by the division and may be exclusively used by the  
29 recipient for the recipient's personal needs. However, if the recipient's  
30 income is less than the amount of the personal allowance, the division  
31 shall pay to the recipient the difference between the amount of the  
32 personal allowance and the recipient's income. A reserve or an  
33 accumulated balance from such a source, together with other sources,  
34 may not be allowed to exceed the state's resource allowance allowed for  
35 adults eligible for state supplemental assistance or Medicaid as  
36 established by the rules of the office of Medicaid policy and planning.

37 (e) In addition to the amount that may be retained as a personal  
38 allowance under this section, an individual shall be allowed to retain  
39 an amount equal to the individual's state and local income tax liability.  
40 The amount that may be retained during a month may not exceed  
41 one-third (1/3) of the individual's state and local income tax liability for  
42 the calendar quarter in which that month occurs. This amount is

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1 exempt from income eligibility consideration by the division. The  
 2 amount retained shall be used by the individual to pay any state or local  
 3 income taxes owed.

4 (f) The rate of payment to the provider shall be determined in  
 5 accordance with a prospective prenegotiated payment rate predicated  
 6 on a reasonable cost related basis, with a growth of profit factor, as  
 7 determined in accordance with generally accepted accounting  
 8 principles and methods, and written standards and criteria, as  
 9 established by the division. The division shall establish an  
 10 administrative appeal procedure to be followed if rate disagreement  
 11 occurs if the provider can demonstrate to the division the necessity of  
 12 costs in excess of the allowed or authorized fee for the specific  
 13 boarding or residential home. The amount may not exceed the  
 14 maximum established under subsection (d).

15 (g) The personal allowance for one (1) month for an individual  
 16 described in subsection (a) ~~whose employment is part of the~~  
 17 ~~individual's personal habilitation plan or who is working in a sheltered~~  
 18 ~~workshop or day activity center~~ is the amount that an individual would  
 19 be entitled to retain under subsection (d) plus an amount equal to  
 20 one-half (1/2) of the remainder of:

21 (1) gross earned income for that month; minus

22 (2) the sum of:

23 (A) sixteen dollars (\$16); plus

24 (B) the amount withheld from the person's paycheck for that  
 25 month for payment of state income tax, federal income tax,  
 26 and the tax prescribed by the federal Insurance Contribution  
 27 Act (26 U.S.C. 3101 et seq.); plus

28 (C) transportation expenses for that month; **plus**

29 **(D) any mandatory expenses required by the employer as**  
 30 **a condition of employment.**

31 (h) An individual who, before September 1, 1983, has been admitted  
 32 to a home or facility that provides residential care under this section is  
 33 eligible for residential care in the home or facility.

34 (i) The director of the division may contract with the division of  
 35 mental health or the division of disability, aging, and rehabilitative  
 36 services to purchase services for individuals suffering from mental  
 37 illness or a developmental disability by providing money to supplement  
 38 the appropriation for community residential care programs established  
 39 under IC 12-22-2 or community residential programs established under  
 40 ~~IC 12-11-1-1. IC 12-11-1-1.~~

41 (j) A person with a mental illness may not be placed in a Christian  
 42 Science facility listed and certified by the Commission for



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1 Accreditation of Christian Science Nursing Organizations/Facilities,  
2 Inc., unless the facility is licensed under IC 16-28.

3 SECTION 32. IC 12-10-13-14 IS AMENDED TO READ AS  
4 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 14. (a) The office shall  
5 receive, investigate, and attempt to resolve complaints and concerns  
6 that:

- 7 (1) are made by or on behalf of a patient, resident, or client of a  
8 long term care facility or a home care service, **except for an**  
9 **individual with a developmental disability who is receiving**  
10 **waiver services;** and  
11 (2) involve the health, safety, welfare, or rights of a resident or  
12 client.

13 (b) At the conclusion of an investigation of a complaint, the office  
14 shall report the office's findings to the complainant.

15 SECTION 33. IC 12-11-1.1 IS ADDED TO THE INDIANA CODE  
16 AS A NEW CHAPTER TO READ AS FOLLOWS [EFFECTIVE  
17 JULY 1, 1999]:

18 **Chapter 1.1. Bureau of Developmental Disabilities Services;**  
19 **Community Based Services**

20 **Sec. 1. (a) The bureau of developmental disabilities services is**  
21 **established within the division.**

22 (b) **The bureau shall plan, coordinate, and administer the**  
23 **provision of individualized, integrated community based services**  
24 **for developmentally disabled individuals and their families, within**  
25 **the limits of available resources. The planning and delivery of**  
26 **services must be based on the developmentally disabled**  
27 **individual's future plans rather than on traditional determinations**  
28 **of eligibility for discrete services, with an emphasis on the**  
29 **preferences of the developmentally disabled individual and that**  
30 **individual's family.**

31 (c) **Services for developmentally disabled individuals must be**  
32 **services that meet the following conditions:**

- 33 (1) **Are provided under public supervision.**  
34 (2) **Are designed to meet the developmental needs of**  
35 **developmentally disabled individuals.**  
36 (3) **Meet all required state and federal standards.**  
37 (4) **Are provided by qualified personnel.**  
38 (5) **To the extent appropriate, are provided in home and**  
39 **community based settings in which individuals without**  
40 **disabilities participate.**  
41 (6) **Are provided in conformity with a service plan developed**  
42 **under IC 12-11-2.1-2.**



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1 (d) The bureau shall approve entities to provide community  
2 based services and supports.

3 (e) The bureau shall approve and monitor community based  
4 residential, habilitation, and vocational service providers that  
5 provide alternatives to placement of developmentally disabled  
6 individuals in state institutions and health facilities licensed under  
7 IC 16-28 for developmentally disabled individuals. The services  
8 must simulate, to the extent feasible, patterns and conditions of  
9 everyday life that are as close as possible to normal. The  
10 community based service categories include the following:

11 (1) Supervised group living programs, which serve at least  
12 four (4) individuals and not more than eight (8) individuals,  
13 are funded by Medicaid, and are licensed by the community  
14 residential facilities council.

15 (2) Supported living service arrangements to meet the unique  
16 needs of individuals in integrated settings, which may serve  
17 not more than four (4) unrelated individuals in any one (1)  
18 setting. However, the head of the bureau shall waive this  
19 limitation for a setting that was in existence on June 30, 1999.

20 (3) Day habilitation and vocational services that are goal  
21 oriented and person focused to achieve the degree of  
22 independence possible in activities of daily living.

23 (f) To the extent that services described in subsection (e) are  
24 available and meet the individual's needs, an individual is entitled  
25 to receive services in the least restrictive environment possible.

26 (g) Community based services under subsection (e)(1) or (e)(2)  
27 must consider the needs of and provide choices and options for:

28 (1) developmentally disabled individuals; and

29 (2) families of developmentally disabled individuals.

30 (h) The bureau shall administer a system of service coordination  
31 to carry out this chapter.

32 Sec. 2. (a) Except as specified by the terms of the Medicaid  
33 program:

34 (1) an individual who receives services under this chapter;  
35 and

36 (2) the parents of the individual, if the individual is less than  
37 eighteen (18) years of age;

38 are liable for the cost of services and supports.

39 (b) The bureau shall make every effort to assure that  
40 individualized service plans developed for developmentally  
41 disabled individuals maximize the amount of Medicaid funding  
42 available to meet the needs of the individual.



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1 (c) The bureau may provide reimbursement for services  
 2 identified in an individual's individual service plan that are not  
 3 eligible for Medicaid reimbursement and for which the individual  
 4 does not have the resources to pay.

5 **Sec. 3. The division may contract with:**

- 6 (1) community mental retardation and other developmental  
 7 disabilities centers;  
 8 (2) corporations; or  
 9 (3) individuals;

10 that are approved by the division to provide the services described  
 11 in this chapter.

12 **Sec. 4. A developmentally disabled individual who is eligible for**  
 13 **Medicaid remains eligible for Medicaid if transferred to**  
 14 **community based services described in section 1(e) of this chapter.**

15 **Sec. 5. The bureau may continue the approved placement of a**  
 16 **developmentally disabled individual in a child caring institution**  
 17 **licensed under IC 12-17.4, a county home regulated by IC 12-30-3,**  
 18 **or a health facility licensed under IC 16-28 if:**

- 19 (1) the individual was placed in the institution, home, or  
 20 facility before July 1, 1985; and  
 21 (2) the placement continues to be appropriate for the  
 22 individual, as determined by the bureau.

23 **Sec. 6. An individual who has been diagnosed to be autistic may**  
 24 **not be excluded from services for developmentally disabled**  
 25 **individuals because the individual has autism.**

26 **Sec. 7. Subject to the availability of money, the division may**  
 27 **operate community residential facilities for developmentally**  
 28 **disabled individuals who are hard to place, if private providers**  
 29 **cannot be found to operate facilities for those individuals.**  
 30 **Placement of individuals in these facilities is governed by**  
 31 **IC 12-11-2.1.**

32 **Sec. 8. The budget agency shall annually:**

- 33 (1) calculate; and  
 34 (2) report to the budget committee;

35 **any savings realized from the transfer or discharge of individuals**  
 36 **with developmental disabilities from a state developmental center**  
 37 **to a community based resident setting.**

38 **Sec. 9. The director of the division may adopt rules under**  
 39 **IC 4-22-2 to carry out this chapter.**

40 **SECTION 34. IC 12-11-2.1 IS ADDED TO THE INDIANA CODE**  
 41 **AS A NEW CHAPTER TO READ AS FOLLOWS [EFFECTIVE**  
 42 **JULY 1, 1999]:**

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1           **Chapter 2.1. Service Coordination Services for Developmentally**  
2 **Disabled Individuals**

3           **Sec. 1. (a) The bureau shall determine whether or not an**  
4 **individual is a developmentally disabled individual. For individuals**  
5 **for whom there is not enough current information available to**  
6 **make a determination of eligibility, the bureau shall use the results**  
7 **of a diagnostic assessment in determining whether an individual is**  
8 **a developmentally disabled individual. A diagnostic assessment**  
9 **must include the following:**

10           **(1) Diagnostic information concerning the individual's**  
11 **functioning level and medical and habilitation needs.**

12           **(2) All information necessary for the use of the office of**  
13 **Medicaid policy and planning, the Indiana health facilities**  
14 **council, and the division.**

15           **(3) The use of all appropriate assessments conducted under**  
16 **rules adopted under IC 16-28.**

17           **(b) An individual who is found not to be a developmentally**  
18 **disabled individual may appeal the bureau's finding under**  
19 **IC 4-21.5.**

20           **(c) If an individual is determined to be a developmentally**  
21 **disabled individual, the office shall determine whether the**  
22 **individual meets the appropriate federal level of care**  
23 **requirements.**

24           **Sec. 2. The bureau shall, within the limits of available resources,**  
25 **provide service coordination services to developmentally disabled**  
26 **individuals. Service coordination services must include the**  
27 **development of an individual service plan.**

28           **Sec. 3. All services provided to an individual must be provided**  
29 **pursuant to the developmentally disabled individual's individual**  
30 **service plan. To the extent that services described in section 1.1(e)**  
31 **of this chapter are available and meet the individual's needs,**  
32 **services provided to an individual shall be provided in the least**  
33 **restrictive environment possible.**

34           **Sec. 4. The bureau shall serve as the placement authority for**  
35 **developmentally disabled individuals under service plans**  
36 **developed under this chapter, including all placements in a state**  
37 **developmental center or an intermediate care facility.**

38           **Sec. 5. When authorizing services for a developmentally**  
39 **disabled individual in a community based setting, the bureau shall**  
40 **give equal consideration based on need between:**

41           **(1) individuals who resided with a family member, relative, or**  
42 **guardian immediately before the community based residential**

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- placement; and
- (2) individuals being placed from:
  - (A) a state developmental center;
  - (B) an intermediate care facility; or
  - (C) a nursing facility.

**Sec. 6. The bureau may not approve the initial placement of a developmentally disabled individual in an intermediate care facility for the mentally retarded serving more than eight (8) individuals or a nursing facility unless:**

- (1) the individual has medical needs; and
- (2) the placement is appropriate to the individual's needs.

**If the placement is in a nursing facility, that placement must be appropriate to an individual's needs based upon preadmission screening conducted under IC 12-10-12.**

**Sec. 7. Before a developmentally disabled individual is:**

- (1) discharged from a state institution; or
- (2) placed on outpatient status under IC 12-26-14 by a state institution;

**the bureau shall develop a service plan for the individual under section 2 of this chapter.**

**Sec. 8. If a developmentally disabled individual committed to a state developmental center is placed on outpatient status under IC 12-26-14, the bureau shall monitor the individual's compliance with the individual's service plan during the period that the individual is in outpatient status.**

**Sec. 9. The division of mental health and the division shall enter into a memorandum of understanding concerning referrals to the bureau of developmentally disabled individuals discharged from or on an outpatient status from a state institution operated by the division of mental health.**

**Sec. 10. The division shall require service coordination personnel and vocational counselors to coordinate their services.**

**Sec. 11. (a) An individual who is receiving services for developmentally disabled individuals funded by Medicaid and has been decertified by the office because the individual fails to meet appropriate federal level of care requirements must continue to receive the same services, unless an appropriate individual service plan has been developed outlining the services needed by the individual to live in the least restrictive environment.**

**(b) After available federal, local, and individual resources have been used, unencumbered state appropriations that are available, as determined by the budget director, must be used to implement**

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1 plans developed under subsection (a).

2 **Sec. 12. The director of the division may adopt rules under**  
3 **IC 4-22-2 to carry out this chapter.**

4 SECTION 35. IC 12-11-13 IS ADDED TO THE INDIANA CODE  
5 AS A NEW CHAPTER TO READ AS FOLLOWS [EFFECTIVE  
6 JULY 1, 1999]:

7 **Chapter 13. Statewide Waiver Ombudsman**

8 **Sec. 1. This chapter applies only to an individual who:**

- 9 (1) has a developmental disability; and  
10 (2) receives services under a waiver under the federal home  
11 and community based services program.

12 **Sec. 2. As used in this chapter, "ombudsman" refers to the**  
13 **statewide waiver ombudsman established by section 3 of this**  
14 **chapter. The term includes individuals approved to act in the**  
15 **capacity of ombudsmen by the statewide waiver ombudsman.**

16 **Sec. 3. The statewide waiver ombudsman position is established**  
17 **within the division.**

18 **Sec. 4. The director shall appoint an acting ombudsman within**  
19 **thirty (30) days of a vacancy in the position of the ombudsman. The**  
20 **acting ombudsman has the powers and duties of the ombudsman.**

21 **Sec. 5. The ombudsman may consult with experts in fulfilling**  
22 **the duties of the ombudsman.**

23 **Sec. 6. (a) The ombudsman shall receive, investigate, and**  
24 **attempt to resolve complaints and concerns that are made by or on**  
25 **behalf of an individual described in section 1 of this chapter.**

26 **(b) At the conclusion of an investigation of a complaint, the**  
27 **ombudsman shall report the ombudsman's findings to the**  
28 **complainant.**

29 **(c) If the ombudsman does not investigate a complaint, the**  
30 **ombudsman shall notify the complainant of the decision not to**  
31 **investigate and the reasons for the decision.**

32 **Sec. 7. (a) An ombudsman must be provided access to the**  
33 **following:**

- 34 (1) An individual described in section 1 of this chapter.  
35 (2) An entity that provides waiver services to an individual  
36 described in section 1 of this chapter.  
37 (3) Records of an individual described in section 1 of this  
38 chapter, including records held by an entity that provides  
39 services to the individual.  
40 (4) If an individual described in section 1 of this chapter is  
41 incapable of giving consent, as determined by the attending  
42 physician or as otherwise determined under state law, the



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1           name, address, and telephone number of the individual's legal  
2           representative.

3           **Except as provided in subsections (c) and (d), the ombudsman must**  
4           **obtain consent under subsection (b) before having access to the**  
5           **records described in subdivision (3).**

6           **(b) Consent to have access to an individual's records shall be**  
7           **given in one (1) of the following forms:**

8                   **(1) In writing by the individual.**

9                   **(2) Orally by the individual in the presence of a witness.**

10                  **(3) In writing by the legal representative of the individual if:**

11                           **(A) the individual is incapable of giving consent, as**  
12                           **determined by the attending physician or as otherwise**  
13                           **determined under state law; and**

14                           **(B) the legal representative has the authority to give**  
15                           **consent.**

16           **(c) If consent to have access to an individual's records cannot be**  
17           **obtained under subsection (b), an ombudsman may inspect the**  
18           **records of the individual if the individual is incapable of giving**  
19           **consent, as determined by the attending physician or as otherwise**  
20           **determined under state law, and:**

21                           **(1) has no legal representative;**

22                           **(2) has a legal representative but the legal representative**  
23                           **cannot be contacted within three (3) days; or**

24                           **(3) has a legal representative but the legal representative does**  
25                           **not have the authority to give consent to have access to the**  
26                           **records.**

27           **(d) If an ombudsman has:**

28                           **(1) been denied access to an individual's records by the**  
29                           **individual's legal representative;**

30                           **(2) reasonable cause to believe that the individual's legal**  
31                           **representative is not acting in the best interests of the**  
32                           **individual; and**

33                           **(3) received written approval from the state ombudsman;**

34           **the ombudsman may inspect the records of the individual.**

35           **Sec. 8. A provider of waiver services or an employee of a**  
36           **provider of waiver services is immune from:**

37                           **(1) civil or criminal liability; and**

38                           **(2) actions taken under a professional disciplinary procedure;**  
39           **for the release or disclosure of records to the ombudsman under**  
40           **this chapter.**

41           **Sec. 9. A state or local government agency or entity that has**  
42           **records that are relevant to a complaint or an investigation**



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1 conducted by the ombudsman shall provide the ombudsman with  
2 access to the records.

3 **Sec. 10. The ombudsman shall do the following:**

4 (1) Promote effective coordination among the following:

5 (A) Programs that provide legal services for the  
6 developmentally disabled.

7 (B) The division.

8 (C) Providers of waiver services to individuals with  
9 developmental disabilities.

10 (D) Providers of other necessary or appropriate services.

11 (2) Ensure that the identity of an individual described in  
12 section 1 of this chapter will not be disclosed without:

13 (A) the individual's written consent; or

14 (B) a court order.

15 **Sec. 11. The director of the division may adopt rules under**  
16 **IC 4-22-2 necessary to carry out this chapter.**

17 **Sec. 12. The ombudsman is not civilly liable for the good faith**  
18 **performance of official duties.**

19 **Sec. 13. (a) The ombudsman shall prepare a report each year on**  
20 **the operations of the program.**

21 (b) A copy of the report required under subsection (a) shall be  
22 provided to the following:

23 (1) The governor.

24 (2) The legislative council.

25 (3) The division.

26 (4) The members of the Indiana commission on mental  
27 retardation and developmental disabilities established by  
28 P.L.78-1994.

29 **Sec. 14. The ombudsman shall report:**

30 (1) annually; or

31 (2) upon request;

32 to the Indiana commission on mental retardation and  
33 developmental disabilities established by P.L.78-1994.

34 **Sec. 15. The division shall:**

35 (1) establish a statewide toll free telephone line continuously  
36 open to receive complaints regarding individuals described in  
37 section 1 of this chapter; and

38 (2) forward all complaints received from the toll free  
39 telephone line to the statewide waiver ombudsman.

40 **Sec. 16. A person who:**

41 (1) intentionally prevents the work of the ombudsman;

42 (2) knowingly offers compensation to the ombudsman in an

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1 effort to affect the outcome of an investigation or a potential  
2 investigation; or

3 (3) knowingly or intentionally retaliates against a resident, a  
4 client, an employee, or another person who files a complaint  
5 or provides information to the ombudsman;

6 commits a Class B misdemeanor.

7 SECTION 36. IC 12-12-7-5 IS AMENDED TO READ AS  
8 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 5. (a) As used in this  
9 section, "board" refers to the board of interpreter standards.

10 (b) The unit shall establish a board of interpreter standards.

11 (c) The unit and the board shall adopt rules under IC 4-22-2 creating  
12 standards (**including ethical standards and grievance procedures**)  
13 for interpreters **and an enforcement mechanism for the interpreter**  
14 **standards.**

15 (d) Funding for the board must come solely from the unit's existing  
16 budget.

17 SECTION 37. IC 12-12-8 IS ADDED TO THE INDIANA CODE  
18 AS A NEW CHAPTER TO READ AS FOLLOWS [EFFECTIVE  
19 JULY 1, 1999]:

20 **Chapter 8. Centers for Independent Living**

21 **Sec. 1. As used in this chapter, "center for independent living"**  
22 **means a consumer controlled, community based, cross-disability,**  
23 **nonresidential private nonprofit agency that:**

24 (1) is designed and operated within a local community by  
25 individuals with disabilities; and

26 (2) provides an array of independent living services.

27 **Sec. 2. As used in this chapter, "consumer control" means, with**  
28 **respect to a center for independent living or an eligible agency, that**  
29 **the center or eligible agency vests power and authority in**  
30 **individuals with disabilities, including individuals who are or have**  
31 **been recipients of independent living services.**

32 **Sec. 3. As used in this chapter, "cross-disability" means, with**  
33 **respect to a center for independent living, that a center provides**  
34 **independent living services to individuals representing a range of**  
35 **significant disabilities and does not require the presence of one (1)**  
36 **or more specific significant disabilities before determining that an**  
37 **individual is eligible for independent living services.**

38 **Sec. 4. To be eligible to receive state funds, a center for**  
39 **independent living must meet the requirements for federal funding**  
40 **for a center for independent living under:**

41 (1) 29 U.S.C. 796; and

42 (2) 34 CFR Parts 364 through 366;



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1 **that are in effect January 1, 1995.**

2 SECTION 38. IC 12-13-2-3 IS AMENDED TO READ AS  
3 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 3. The director is  
4 responsible for the following:

5 (1) The appointment of state investigators or boards of review  
6 provided by law that are necessary to ensure a fair hearing to an  
7 applicant or a recipient. A fair hearing shall be granted at the  
8 request of an aggrieved person who desires a hearing. The  
9 division shall review cases upon the request of an applicant, a  
10 recipient, or an aggrieved person.

11 (2) The adoption of all policies and rules for the division.

12 (3) The administrative and executive duties and responsibilities  
13 of the division.

14 (4) The establishment of salaries for the officers and employees  
15 of the division within the salary ranges of the pay plan adopted by  
16 the Indiana personnel advisory board and approved by the budget  
17 committee.

18 (5) The establishment of minimum standards of assistance for old  
19 age and dependent children recipients. A standard established  
20 under this subdivision must apply to all individuals in Indiana.

21 ~~(6) The administrative control of and responsibility for state  
22 owned and operated children's facilities, including the Northern  
23 Indiana State Developmental Center.~~

24 SECTION 39. IC 12-15-21-5 IS AMENDED TO READ AS  
25 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 5. (a) As used in this  
26 section, "facility" ~~has the meaning set forth in IC 12-11-1-12.~~ **refers to**  
27 **an intermediate care facility for the mentally retarded (ICF/MR)**  
28 **not operated by a state agency.**

29 (b) The rules adopted by the secretary may not establish eligibility  
30 criteria for Medicaid reimbursement for placement or services in a  
31 facility, including services provided under a Medicaid waiver, that are  
32 more restrictive than federal requirements for Medicaid reimbursement  
33 in a facility or under a Medicaid waiver.

34 (c) The office may not implement a policy that may not be adopted  
35 as a rule under subsection (b).

36 SECTION 40. IC 12-17-15-8 IS AMENDED TO READ AS  
37 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 8. (a) The council  
38 consists of at least fifteen (15) but not more than twenty-five (25)  
39 members appointed by the governor as follows:

40 (1) At least twenty percent (20%) of the members must be  
41 parents, including minority parents, of infants or toddlers with  
42 disabilities or children who are less than thirteen (13) years of age

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- 1 with disabilities who have knowledge of, or experience with,
- 2 programs for infants and toddlers with disabilities. At least one
- 3 (1) of the members described in this subdivision must be a parent
- 4 of an infant or toddler with a disability or a child with a disability
- 5 who is less than seven (7) years of age.
- 6 (2) At least twenty percent (20%) of the members must be public
- 7 or private providers of early intervention services.
- 8 (3) At least one (1) member must be a member of the general
- 9 assembly.
- 10 (4) Each of the state agencies involved in the provision of, or
- 11 payment for, early intervention services to infants and toddlers
- 12 with disabilities and their families must be represented by at least
- 13 one (1) member. The members described in this subdivision must
- 14 have sufficient authority to engage in policy planning and
- 15 implementation on behalf of the state agency the member
- 16 represents.
- 17 (5) At least one (1) member must be involved in personnel
- 18 preparation.
- 19 (6) At least one (1) member must represent a state educational
- 20 agency responsible for preschool services to children with
- 21 disabilities and must have sufficient authority to engage in policy
- 22 planning and implementation on behalf of the agency.
- 23 (7) At least one (1) member must represent the department of
- 24 insurance created under IC 27-1-1-1.
- 25 **(8) At least one (1) member must represent an agency or**
- 26 **program that is located in the state and is authorized to**
- 27 **participate in the Head Start program under 42 U.S.C. 9831**
- 28 **et seq.**
- 29 **(9) At least one (1) member must represent a state agency**
- 30 **responsible for child care.**

31 (b) To the extent possible, the governor shall ensure that the

32 membership of the council reasonably represents the population of

33 Indiana.

34 SECTION 41. IC 12-17-15-13 IS AMENDED TO READ AS

35 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 13. The council shall

36 meet at least ~~four (4) times~~ **quarterly** each year.

37 SECTION 42. IC 12-24-1-1 IS AMENDED TO READ AS

38 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 1. The director of the

39 division of disability, aging, and rehabilitative services has

40 administrative control of and responsibility for the following state

41 institutions:

- 42 (1) Fort Wayne State Developmental Center.

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- 1 (2) Muscatatuck State Developmental Center.
- 2 ~~(3) New Castle State Developmental Center.~~
- 3 ~~(4) Northern Indiana State Developmental Center.~~
- 4 ~~(5)~~ (3) Any other state owned or operated developmental center.

5 SECTION 43. IC 12-24-11-2 IS AMENDED TO READ AS  
 6 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 2. (a) This section  
 7 applies to an individual who has a primary diagnosis of developmental  
 8 disability.

9 (b) Action contemplated by a patient under this section includes  
 10 action by the patient's parent or guardian if the patient is not competent.

11 (c) If a patient is admitted to a state institution, the staff of the state  
 12 institution shall, before the patient is discharged, ask the patient  
 13 whether the patient's medical and treatment records may be sent to ~~the~~  
 14 a service coordinator employed by the division of disability, aging, and  
 15 rehabilitative services under ~~IC 12-11-2~~ **IC 12-11-2.1** so the service  
 16 coordinator may send the records to local agencies serving the needs  
 17 of developmentally disabled individuals in the area in which the patient  
 18 will reside.

19 (d) If a patient agrees to release the records, the patient shall sign a  
 20 form permitting the state institution to release to a service coordinator  
 21 employed by the division of disability, aging, and rehabilitative  
 22 services under ~~IC 12-11-2~~ **IC 12-11-2.1** a copy of the patient's medical  
 23 and treatment records to forward to local agencies serving the needs of  
 24 developmentally disabled individuals in the area in which the patient  
 25 will reside. The form must read substantially as follows:

26 AUTHORIZATION TO RELEASE  
 27 MEDICAL AND TREATMENT  
 28 RECORDS

29 I agree to permit \_\_\_\_\_  
 30 (name of state institution)  
 31 to release a copy of the medical and treatment records of  
 32 \_\_\_\_\_ to \_\_\_\_\_  
 33 (patient's name) (name of local agency  
 34 serving the needs of  
 35 developmentally disabled  
 36 individuals)  
 37 \_\_\_\_\_  
 38 (date) (signature)  
 39 \_\_\_\_\_  
 40 (address)  
 41 \_\_\_\_\_  
 42 (signature of individual (relationship to patient if

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1 insanity under IC 35-36-2-4 and is subject to a civil commitment  
2 under IC 12-26.

3 (3) An individual who is immediately subject to a civil  
4 commitment upon the individual's release from incarceration in  
5 a facility administered by the department of correction or the  
6 Federal Bureau of Prisons, or upon being charged with or  
7 convicted of a forcible felony under IC 35-41-1.

8 (4) An individual placed under the supervision of the division for  
9 addictions treatment under IC 12-23-7 and IC 12-23-8.

10 (5) An individual transferred from the department of correction  
11 under IC 11-10-4.

12 SECTION 45. IC 12-26-11-1 IS AMENDED TO READ AS  
13 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 1. The superintendent  
14 of a facility to which an individual was committed under IC 12-26-6 or  
15 IC 12-26-7 or to which the individual's commitment was transferred  
16 under this chapter, may transfer the commitment of the individual to:

- 17 (1) a state institution;  
18 (2) a community mental health center;  
19 (3) a community mental retardation and other developmental  
20 disabilities center;  
21 (4) a federal facility;  
22 (5) a psychiatric unit of a hospital licensed under IC 16-21;  
23 (6) a private psychiatric facility licensed under IC 12-25;  
24 (7) a community residential program for the developmentally  
25 disabled described in ~~IC 12-11-1~~; **IC 12-11-1.1-1(e)(1) or**  
26 **IC 12-11-1.1-1(e)(2);** or  
27 (8) an intermediate care facility for the mentally retarded  
28 (ICF/MR) that is licensed under IC 16-28 and is not owned by the  
29 state;

30 if the transfer is likely to be in the best interest of the individual or  
31 other patients.

32 SECTION 46. IC 12-28-4-8 IS AMENDED TO READ AS  
33 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 8. (a) A residential  
34 facility for the developmentally disabled:

- 35 (1) for not more than eight (8) developmentally disabled  
36 individuals; and  
37 (2) established under a program authorized by ~~IC 12-11-1~~;  
38 **IC 12-11-1.1-1(e)(1) or IC 12-11-1.1-1-(e)(2);**

39 is a permitted residential use that may not be disallowed by any zoning  
40 ordinance (as defined in IC 36-7-1-22) in a zoning district or  
41 classification that permits residential use.

42 (b) A zoning ordinance may only require a residential facility

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1 described in subsection (a) to meet the same:

- 2 (1) zoning requirements;  
 3 (2) developmental standards; and  
 4 (3) building codes;

5 as other residential structures or improvements in the same residential  
 6 zoning district or classification.

7 SECTION 47. IC 12-28-5-2 IS AMENDED TO READ AS  
 8 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 2. (a) The community  
 9 residential facilities council is established. The council consists of the  
 10 following members appointed by the governor:

- 11 (1) One (1) professional possessing specialized training in the  
 12 field of human development.  
 13 (2) One (1) member of the professional staff of the division of  
 14 disability, aging, and rehabilitative services.  
 15 (3) One (1) member of the professional staff of the office of  
 16 Medicaid policy and planning.  
 17 ~~(4) One (1) member of the professional staff of the state fire~~  
 18 ~~marshal's office.~~  
 19 ~~(5)~~ (4) One (1) member of the professional staff of the state  
 20 department of health.  
 21 ~~(6)~~ (5) One (1) individual possessing a special interest in  
 22 developmentally disabled individuals.  
 23 ~~(7)~~ (6) One (1) individual possessing a special interest in mentally  
 24 ill individuals.  
 25 ~~(8)~~ (7) One (1) individual who is the chief executive officer of a  
 26 facility providing both day services and residential services for  
 27 developmentally disabled individuals.  
 28 ~~(9)~~ (8) One (1) individual who is the chief executive officer of a  
 29 facility providing residential services only for developmentally  
 30 disabled individuals.  
 31 ~~(10)~~ (9) Two (2) members of the public.

32 (b) Except for the members designated by subsection ~~(a)(8)~~ (a)(7)  
 33 and ~~(a)(9)~~; (a)(8), a member of the council may not have an indirect or  
 34 a direct financial interest in a residential facility for the  
 35 developmentally disabled.

36 SECTION 48. IC 16-29-4-2 IS AMENDED TO READ AS  
 37 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 2. (a) As used in this  
 38 chapter, "ICF/MR" refers to an intermediate care facility for the  
 39 mentally retarded.

40 (b) The term does not include a facility administered under ~~IC~~  
 41 ~~12-11-2~~ IC 12-11-1.1 or IC 12-22-2.

42 SECTION 49. IC 16-29-4-3 IS AMENDED TO READ AS



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1 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 3. The Indiana health  
 2 facilities council may recommend, before the conversion of existing  
 3 health facility beds to ICF/MR beds or the construction of a new  
 4 ICF/MR facility, that the state department issue a preliminary approval  
 5 of the proposed project, but only if the council determines that there is  
 6 an insufficient number of available beds to care for all the persons who  
 7 are determined under ~~IC 12-11-2~~ **IC 12-11-2.1** to be appropriate for  
 8 placement in an ICF/MR facility.

9 SECTION 50. IC 16-29-4-4 IS AMENDED TO READ AS  
 10 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 4. A proposed project  
 11 that receives preliminary approval under this chapter may not add more  
 12 beds than the number determined by the Indiana health facilities  
 13 council to be necessary to provide an available bed for each person  
 14 determined under ~~IC 12-11-2~~ **IC 12-11-2.1** to be appropriate for  
 15 placement in an ICF/MR facility. Upon completion of the proposed  
 16 project and compliance with the other requirements for licensure under  
 17 IC 16-28, the state department shall issue a license to the facility.

18 SECTION 51. IC 16-39-2-6 IS AMENDED TO READ AS  
 19 FOLLOWS [EFFECTIVE JULY 1, 1998]: Sec. 6. (a) Without the  
 20 consent of the patient, the patient's mental health record may only be  
 21 disclosed as follows:

22 (1) To individuals who meet the following conditions:

23 (A) Are employed by:

24 (i) the provider at the same facility or agency;

25 (ii) a managed care provider (as defined in  
 26 IC 12-7-2-127(b)); or

27 (iii) a health care provider or mental health care provider, if  
 28 the mental health records are needed to provide health care  
 29 or mental health services to the patient.

30 (B) Are involved in the planning, provision, and monitoring of  
 31 services.

32 (2) To the extent necessary to obtain payment for services  
 33 rendered or other benefits to which the patient may be entitled, as  
 34 provided in IC 16-39-5-3.

35 (3) To the patient's court appointed counsel and to the Indiana  
 36 protection and advocacy services commission.

37 (4) For research conducted in accordance with IC 16-39-5-3 and  
 38 the rules of the division of mental health, the rules of the division  
 39 of disability, aging, and rehabilitative services, or the rules of the  
 40 provider.

41 (5) To the division of mental health for the purpose of data  
 42 collection, research, and monitoring managed care providers (as

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- 1 defined in IC 12-7-2-127(b)) who are operating under a contract
- 2 with the division of mental health.
- 3 (6) To the extent necessary to make reports or give testimony
- 4 required by the statutes pertaining to admissions, transfers,
- 5 discharges, and guardianship proceedings.
- 6 (7) To a law enforcement agency if any of the following
- 7 conditions are met:
- 8 (A) A patient escapes from a facility to which the patient is
- 9 committed under IC 12-26.
- 10 (B) The superintendent of the facility determines that failure
- 11 to provide the information may result in bodily harm to the
- 12 patient or another individual.
- 13 (C) A patient commits or threatens to commit a crime on
- 14 facility premises or against facility personnel.
- 15 (D) A patient is in the custody of a law enforcement officer or
- 16 agency for any reason and:
- 17 (i) the information to be released is limited to medications
- 18 currently prescribed for the patient or to the patient's history
- 19 of adverse medication reactions; and
- 20 (ii) the provider determines that the release of the
- 21 medication information will assist in protecting the health,
- 22 safety, or welfare of the patient.
- 23 Mental health records released under this clause must be
- 24 maintained in confidence by the law enforcement agency
- 25 receiving them.
- 26 (8) To a coroner or medical examiner, in the performance of the
- 27 individual's duties.
- 28 (9) To a school in which the patient is enrolled if the
- 29 superintendent of the facility determines that the information will
- 30 assist the school in meeting educational needs of a person with a
- 31 disability under 20 U.S.C. 1400 et seq.
- 32 (10) To the extent necessary to satisfy reporting requirements
- 33 under the following statutes:
- 34 (A) IC 12-10-3-10.
- 35 (B) IC 12-17-2-16.
- 36 (C) IC 12-24-17-5.
- 37 (D) IC 16-41-2-3.
- 38 (E) IC 31-33-5-4.
- 39 (F) IC 34-30-16-2.
- 40 (G) IC 35-46-1-13.
- 41 (11) To the extent necessary to satisfy release of information
- 42 requirements under the following statutes:

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- 1 (A) IC 12-24-11-2.
- 2 (B) IC 12-24-12-3, IC 12-24-12-4, and IC 12-24-12-6.
- 3 (C) IC 12-26-11.
- 4 (12) To another health care provider in a health care emergency.
- 5 (13) For legitimate business purposes as described in
- 6 IC 16-39-5-3.
- 7 (14) Under a court order under IC 16-39-3.
- 8 (15) With respect to records from a mental health or
- 9 developmental disability facility, to the United States Secret
- 10 Service if the following conditions are met:
- 11 (A) The request does not apply to alcohol or drug abuse
- 12 records described in 42 U.S.C. 290dd-2 unless authorized by
- 13 a court order under 42 U.S.C. 290dd-2(b)(2)(c).
- 14 (B) The request relates to the United States Secret Service's
- 15 protective responsibility and investigative authority under 18
- 16 U.S.C. 3056, 18 U.S.C. 871, or 18 U.S.C. 879.
- 17 (C) The request specifies an individual patient.
- 18 (D) The director or superintendent of the facility determines
- 19 that disclosure of the mental health record may be necessary
- 20 to protect a person under the protection of the United States
- 21 Secret Service from serious bodily injury or death.
- 22 (E) The United States Secret Service agrees to only use the
- 23 mental health record information for investigative purposes
- 24 and not disclose the information publicly.
- 25 (F) The mental health record information disclosed to the
- 26 United States Secret Service includes only:
- 27 (i) the patient's name, age, and address;
- 28 (ii) the date of the patient's admission to or discharge from
- 29 the facility; and
- 30 (iii) any information that indicates whether or not the patient
- 31 has a history of violence or presents a danger to the person
- 32 under protection.
- 33 **(16) To the statewide waiver ombudsman established under**
- 34 **IC 12-11-13, in the performance of the ombudsman's duties.**
- 35 (b) After information is disclosed under subsection (a)(15) and if the
- 36 patient is evaluated to be dangerous, the records shall be interpreted in
- 37 consultation with a licensed mental health professional on the staff of
- 38 the United States Secret Service.
- 39 (c) A person who discloses information under subsection (a)(7) or
- 40 (a)(15) in good faith is immune from civil and criminal liability.
- 41 SECTION 52. IC 20-1-6.1-1 IS AMENDED TO READ AS
- 42 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 1. As used in this

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1 chapter, "annual case review" means the meeting of the case  
 2 conference committee ~~required to be that is~~ conducted **annually** to  
 3 review and, if ~~necessary, if needed,~~ revise ~~the a student's~~  
 4 individualized education program. ~~for each student with disabilities.~~

5 SECTION 53. IC 20-1-6.1-2 IS AMENDED TO READ AS  
 6 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 2. As used in this  
 7 chapter, "case conference committee" means a group ~~comprised~~  
 8 **composed** of ~~school public agency~~ personnel, parents, **the student, if**  
 9 **appropriate**, and others at the discretion of the ~~school public agency~~  
 10 or the parent and under rules adopted by the board that meets to do any  
 11 of the following:

12 **(1) Determine a student's eligibility for special education and**  
 13 **related services.**

14 ~~(1)~~ **(2)** Develop, review, or revise ~~the a student's~~ individualized  
 15 education program. ~~of each student with disabilities.~~

16 ~~(2)~~ **(3)** Determine **an** appropriate educational placement for each  
 17 student. ~~with disabilities.~~

18 ~~(3)~~ Discuss any other necessary or appropriate action as the action  
 19 relates to the student with disabilities.

20 SECTION 54. IC 20-1-6.1-3.1 IS ADDED TO THE INDIANA  
 21 CODE AS A NEW SECTION TO READ AS FOLLOWS  
 22 [EFFECTIVE JULY 1, 1999]: Sec. 3.1. (a) As used in this chapter,  
 23 "transition services" means a coordinated set of activities for a  
 24 student with a disability, designed within an outcome oriented  
 25 process, that promotes movement from the public agency to  
 26 postsecondary school activities, including postsecondary education,  
 27 vocational training, integrated employment (including supported  
 28 employment), continuing and adult education, adult services,  
 29 independent living, or community participation.

30 **(b) The coordinated set of activities described in subsection (a)**  
 31 **must:**

32 **(1) be based on the individual student's needs, taking into**  
 33 **account the student's preferences and interests; and**

34 **(2) include the following:**

35 **(A) Instruction.**

36 **(B) Related services.**

37 **(C) Community experiences.**

38 **(D) The development of employment and other**  
 39 **postsecondary school adult living objectives.**

40 **(E) Where appropriate, acquisition of daily living skills**  
 41 **and a functional vocational evaluation.**

42 SECTION 55. IC 20-1-6.1-3.2 IS ADDED TO THE INDIANA

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1 CODE AS A NEW SECTION TO READ AS FOLLOWS  
 2 [EFFECTIVE JULY 1, 1999]: **Sec. 3.2. As used in this chapter,**  
 3 **"public agency" means a public or private entity that has direct or**  
 4 **delegated authority to provide special education and related**  
 5 **services, including the following:**

6 (1) **Public school corporations that operate programs**  
 7 **individually or cooperatively with other school corporations.**

8 (2) **Community agencies operated or supported by the office**  
 9 **of the secretary of family and social services, state**  
 10 **developmental centers operated by the division of disability,**  
 11 **aging, and rehabilitative services, and state hospitals operated**  
 12 **by the division of mental health.**

13 (3) **State schools and programs operated by the state**  
 14 **department of health.**

15 (4) **Programs operated by the department of correction.**

16 (5) **Private schools and facilities that serve students referred**  
 17 **or placed by a public school corporation, the division of**  
 18 **special education, the division of family and children, or other**  
 19 **public entity.**

20 SECTION 56. IC 20-1-6.1-5 IS AMENDED TO READ AS  
 21 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 5. As used in this  
 22 chapter, ~~"ongoing "adult services"~~ refers to services ~~offered after a~~  
 23 ~~student with disabilities exits the special education program that are~~  
 24 **provided by public agencies and other organizations to facilitate**  
 25 **student movement from the public agency to adult life and provide**  
 26 **services to enhance adult life. The term includes services provided**  
 27 **by a vocational rehabilitation services program, the department of**  
 28 **workforce development, the Social Security Administration, the**  
 29 **bureau of developmental disabilities services, a community mental**  
 30 **health center, a community rehabilitation program, and an area**  
 31 **agency on aging.**

32 SECTION 57. IC 20-1-6.1-6 IS AMENDED TO READ AS  
 33 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 6. As used in this  
 34 chapter, "special education planning district" means the public school  
 35 administrative unit responsible for providing special education and  
 36 related services in a specified geographic area. The term includes one  
 37 (1) **public** school corporation or more than one (1) **public** school  
 38 corporation ~~operating that operates~~ under an ~~approved joint or~~  
 39 ~~cooperative a~~ written agreement.

40 SECTION 58. IC 20-1-6.1-13 IS AMENDED TO READ AS  
 41 FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 13. (a) The  
 42 ~~rehabilitation services bureau, the bureau providing services to~~



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1 individuals who are developmentally disabled, and **division of**  
 2 **disability, aging, and rehabilitative services**, the division of mental  
 3 health, **and the department of workforce development** shall provide  
 4 each school corporation with written material describing the ~~ongoing~~  
 5 adult services available to students ~~with disabilities~~ and the procedures  
 6 to be used to access those services.

7 (b) The material shall be provided in sufficient numbers to allow  
 8 each student and, if the student's family is involved, each student's  
 9 family to receive a copy at the annual case review ~~described in section~~  
 10 ~~8 of this chapter or as authorized under section 12 of this chapter.~~ **if the**  
 11 **purpose of the meeting is to discuss transition services.**

12 SECTION 59. IC 20-1-6.1-17 IS ADDED TO THE INDIANA  
 13 CODE AS A NEW SECTION TO READ AS FOLLOWS  
 14 [EFFECTIVE JULY 1, 1999]: **Sec. 17. The case conference**  
 15 **committee shall review, based on areas addressed in the statement**  
 16 **of transition services, the available adult services provided through**  
 17 **state and local agencies and present information on those services**  
 18 **in writing to the student and the parent.**

19 SECTION 60. IC 20-1-6.1-18 IS ADDED TO THE INDIANA  
 20 CODE AS A NEW SECTION TO READ AS FOLLOWS  
 21 [EFFECTIVE JULY 1, 1999]: **Sec. 18. (a) Upon obtaining**  
 22 **authorization to disclose confidential information, the public**  
 23 **agency and the vocational rehabilitation counselor shall confer at**  
 24 **least one (1) time per year to review transition age students.**

25 (b) If the public agency and the vocational rehabilitation  
 26 counselor believe a student may be eligible for and benefit from  
 27 vocational rehabilitation services, the public agency shall do the  
 28 following:

29 (1) Provide adequate notice to the vocational rehabilitation  
 30 counselor regarding the annual case review to be conducted  
 31 during the school year before the student's projected final  
 32 year of school. The notification to the vocational  
 33 rehabilitation counselor must include the name, address, age,  
 34 and reported disability of the student for whom the annual  
 35 case review is being conducted.

36 (2) At the annual case review, verbally advise and provide  
 37 written materials to the student and the parent that describe  
 38 the array of vocational rehabilitation services that may be  
 39 available and the process to access those services.

40 (c) The vocational rehabilitation counselor shall do the  
 41 following:

42 (1) Attempt to attend the annual case review for which the

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- 1 counselor has been notified under subsection (b)(1).  
 2 (2) Determine with the student and family when an  
 3 application for vocational rehabilitation services will be  
 4 completed and eligibility determined. However, the  
 5 application must be completed not later than the beginning of  
 6 the last semester of the student's last year of receiving services  
 7 by the public agency.  
 8 (3) If the student has been determined eligible for vocational  
 9 rehabilitation services, complete the individual plan for  
 10 employment (IPE) before the student's exit from the public  
 11 agency.  
 12 (4) Provide written information and be available on a  
 13 consultative basis to public agency personnel, students, and  
 14 families to assist in identifying appropriate transition services.  
 15 (5) Perform the duties of advocate and consultant to the  
 16 student and, where appropriate, to the student's family.  
 17 (6) Promote communication with the student and family by  
 18 attending appropriate student activities, including, upon  
 19 invitation, case conferences, career days, family and student  
 20 forums, and other consultative services on behalf of the  
 21 student.

22 SECTION 61. IC 20-1-6.1-19 IS ADDED TO THE INDIANA  
 23 CODE AS A NEW SECTION TO READ AS FOLLOWS  
 24 [EFFECTIVE JULY 1, 1999]: **Sec. 19. (a) The division of special**  
 25 **education shall monitor public agency compliance with the**  
 26 **requirements of this chapter as part of the division's ongoing**  
 27 **program monitoring responsibilities.**

28 (b) The division of disability, aging, and rehabilitative services  
 29 shall monitor vocational rehabilitation services' compliance with  
 30 the requirements of this chapter.

31 (c) The division of special education and the division of  
 32 disability, aging, and rehabilitative services shall confer, at least  
 33 annually, to review compliance with the requirements of this  
 34 chapter and to ensure that students with disabilities are receiving  
 35 appropriate and timely access to services.

36 SECTION 62. IC 34-30-2-43.5 IS ADDED TO THE INDIANA  
 37 CODE AS A NEW SECTION TO READ AS FOLLOWS  
 38 [EFFECTIVE JULY 1, 1999]: **Sec. 43.5. IC 12-11-13-8 (Concerning**  
 39 **disclosure of records to the statewide waiver ombudsman by**  
 40 **providers of waiver services and employees of providers.)**

41 SECTION 63. IC 34-30-2-43.7 IS ADDED TO THE INDIANA  
 42 CODE AS A NEW SECTION TO READ AS FOLLOWS

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1 [EFFECTIVE JULY 1, 1999]: **Sec. 43.7. IC 12-11-13-12 (Concerning**  
 2 **the statewide waiver ombudsman.)**

3 SECTION 64. THE FOLLOWING ARE REPEALED [EFFECTIVE  
 4 JULY 1, 1999]: IC 12-7-2-47; IC 12-7-2-49; IC 12-7-2-50;  
 5 IC 12-7-2-63; IC 12-7-2-117.2; IC 12-7-2-132; IC 12-11-1; IC 12-11-2;  
 6 IC 12-11-3; IC 12-11-4; IC 12-11-5; IC 12-11-6-3; IC 12-11-10;  
 7 IC 12-11-11.1; IC 12-11-12; IC 12-13-11; IC 20-1-6.1-3; IC 20-1-6.1-4;  
 8 IC 20-1-6.1-8; IC 20-1-6.1-9; IC 20-1-6.1-10; IC 20-1-6.1-11;  
 9 IC 20-1-6.1-12; IC 20-1-6.1-14; IC 20-1-6.1-15; IC 20-1-6.1-16.

10 SECTION 65. P.L.245-1997, SECTION 2, IS AMENDED TO  
 11 READ AS FOLLOWS [EFFECTIVE JULY 1, 1999]: (a) As used in  
 12 this SECTION, "commission" refers to the Indiana commission on  
 13 mental retardation and developmental disabilities established by this  
 14 SECTION.

15 (b) The Indiana commission on mental retardation and  
 16 developmental disabilities is established.

17 (c) The commission consists of the following members:

18 (1) Two (2) members of the house of representatives appointed by  
 19 the speaker of the house of representatives. The members  
 20 appointed under this subdivision may not be members of the same  
 21 political party.

22 (2) Two (2) members of the senate appointed by the president pro  
 23 tempore of the senate. The members appointed under this  
 24 subdivision may not be members of the same political party.

25 (3) Three (3) members at large appointed by the governor. Not  
 26 more than two (2) members appointed under this subdivision may  
 27 be members of the same political party.

28 (4) One (1) member appointed by the governor who is a consumer  
 29 of mental retardation/developmental disability services.

30 (5) One (1) member appointed by the governor who is a  
 31 representative of advocacy groups for consumers of mental  
 32 retardation and developmental disability services.

33 (6) Two (2) members appointed by the governor who are  
 34 representatives of families of consumers of mental retardation and  
 35 developmental disability services.

36 (7) One (1) member appointed by the governor who is a  
 37 representative of organizations providing services to individuals  
 38 with mental retardation and developmental disabilities.

39 The governor shall make appointments required by subdivisions (3)  
 40 through (7) before May 16, 1994.

41 (d) The chairman of the legislative council shall designate a  
 42 legislative member of the commission to serve as chairman of the

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1 commission.

2 (e) Each legislative member and each lay member of the  
3 commission is entitled to receive the same per diem, mileage, and  
4 travel allowances paid to individuals serving as legislative and lay  
5 members, respectively, on interim study committees established by the  
6 legislative council.

7 (f) The commission shall do the following:

8 (1) Develop a long range plan to stimulate further development of  
9 cost effective, innovative models of community based services,  
10 including recommendations that identify implementation  
11 schedules, plans for resource development, and appropriate  
12 regulatory changes.

13 (2) Review and make recommendations regarding any unmet need  
14 for mental retardation and developmental disability services,  
15 including the following:

16 (A) Community residential and family support services.

17 (B) Services for aging families caring for adult mentally  
18 retarded and developmentally disabled children.

19 (C) Services for families in emergency or crisis situations.

20 (D) Services needed to move children and adults from nursing  
21 homes and state hospitals to the community.

22 (3) Study and make recommendations for the state to contract  
23 with a private entity to manage and implement home and  
24 community based services waivers under 42 U.S.C. 1396n(c).

25 (4) Study and make recommendations regarding state funding  
26 needed to provide supplemental room and board costs for  
27 individuals who otherwise qualify for residential services under  
28 the home and community based services waivers.

29 (5) Monitor and recommend changes for improvements in the  
30 implementation of home and community based services waivers  
31 managed by the state or by a private entity.

32 **(6) Review and make recommendations regarding the**  
33 **implementation of the comprehensive plan prepared by the**  
34 **developmental disabilities task force (P.L. 245-1997,**  
35 **SECTION 1).**

36 **(7) Review and make recommendations regarding the**  
37 **development by the division of disability, aging, and**  
38 **rehabilitative services of a statewide plan to address quality**  
39 **assurance in community-based services.**

40 (g) The commission shall submit its findings and initial  
41 recommendations to the governor and the general assembly before  
42 December 1 of each year.



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1 (h) This SECTION expires January 1, ~~2001~~ **2005**.

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## COMMITTEE REPORT

Mr. President: The Senate Committee on Health and Provider Services, to which was referred Senate Bill No. 606, has had the same under consideration and begs leave to report the same back to the Senate with the recommendation that said bill be AMENDED as follows:

Page 9, line 10, delete "IC 12-11-2.1" and insert "**IC 12-11-1.1**".

Page 12, line 30, delete "IC 12-11-1.1" and insert "**IC 12-11-1.1-1**".

Page 19, line 17, after "directly" insert "**or**".

Page 22, between lines 6 and 7, begin a new paragraph and insert:  
**"SECTION 29. IC 12-10-6-1 IS AMENDED TO READ AS FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 1. (a) An individual who:**

- (1) is at least sixty-five (65) years of age, blind, or disabled; and
- (2) is a resident of a county home;

is eligible to receive assistance payments from the state if the individual would be eligible for assistance under the federal Supplemental Security Income program except for the fact that the individual is residing in a county home.

(b) The amount of nonmedical assistance to be paid on behalf of a resident in a county home must be based on the daily rate established by the division. The rate for facilities under this section and licensed under IC 16-28 may not exceed an upper rate limit established by a rule adopted by the division.

(c) The rate for facilities under this section but not licensed under IC 16-28 must be the lesser of:

- (1) an upper rate limit established by a rule adopted by the division; or
- (2) a reasonable and adequate rate to meet the costs, determined by generally accepted accounting principles, that are incurred by efficiently and economically operated facilities in order to provide care and services in conformity with quality and safety standards and applicable laws and rules.

(d) The recipient shall be paid or allowed to retain from the recipient's income a personal allowance in an amount to be established by the division. The amount:

- (1) may be not less than twenty-eight dollars and fifty cents (\$28.50) and not more than thirty-five dollars (\$35) monthly;
- (2) is exempt from income eligibility consideration by the division; and
- (3) may be exclusively used by the recipient for personal needs.

(e) In addition to the amount that may be retained as a personal

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allowance under this section, an individual is allowed to retain an amount equal to the individual's state and local income tax liability. The amount that may be retained during a month may not exceed one-third (1/3) of the individual's state and local income tax liability for the calendar quarter in which the month occurs. This amount is exempt from income eligibility consideration by the division. The amount retained shall be used by the individual to pay state or local income taxes owed.

**(f) The personal allowance for one (1) month for an individual described in subsection (a) is the amount that an individual would be entitled to retain under subsection (d) plus an amount equal to one-half (1/2) of the remainder of:**

**(1) gross earned income for that month; minus**

**(2) the sum of:**

**(A) sixteen dollars (\$16); plus**

**(B) the amount withheld from the person's paycheck for that month for payment of state income tax, federal income tax, and the tax prescribed by the federal Insurance Contribution Act (26 U.S.C. 3101 et seq.); plus**

**(C) transportation expenses for that month; plus**

**(D) any mandatory expenses required by the employer as a condition of employment.**

**(f)(g)** The division of disability, aging, and rehabilitative services, in cooperation with the state department of health taking into account licensure requirements under IC 16-28, shall adopt rules under IC 4-22-2 governing the reimbursement to facilities under this section. The rules must be designed to determine the costs that must be incurred by efficiently and economically operated facilities to provide room, board, laundry, and other services, along with minimal administrative direction to individuals who receive residential care in the facilities under this section. A rule adopted under this subsection by:

(1) the division; or

(2) the state department of health;

must conform to the rules for residential care facilities that are licensed under IC 16-28.

**(g)(h)** A rate established under this section may be appealed according to the procedures under IC 4-21.5.

**(h)(i)** The division shall annually review each facility's rate using the following:

(1) Generally accepted accounting principles.

(2) The costs incurred by efficiently and economically operated facilities in order to provide care and services in conformity with

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quality and safety standards and applicable laws and rules."

Page 23, line 41, strike "whose employment is part of the".

Page 23, strike line 42.

Page 24, line 1, strike "workshop or day activity center".

Page 24, line 11, delete "." and insert "; **plus**

**(D) any mandatory expenses required by the employer as a condition of employment."**

Page 25, line 7, delete "natural".

Page 25, line 8, delete "environments, including".

Page 25, line 11, delete "IC 12-11-2.1-1(a)(2)" and insert "**IC 12-11-2.1-2**".

Page 25, line 19, delete "natural or homelike".

Page 25, line 20, delete "atmospheres with".

Page 25, line 29, after "(4)" insert "**unrelated**".

Page 25, line 30, delete "may" and insert "**shall**".

Page 25, line 32, delete "provided in, to the" and insert "**that are**".

Page 25, delete line 33.

Page 25, line 34, delete "services must be".

Page 25, between lines 36 and 37, begin a new paragraph and insert:  
**"(f) To the extent that services described in subsection (e) are available and meet the individual's needs, an individual is entitled to receive services in the least restrictive environment possible."**

Page 25, line 37, delete "(f)" and insert "(g)".

Page 25, line 41, delete "(g)" and insert "(h)".

Page 26, after line 42, begin a new paragraph and insert:

**"Sec. 8. The budget agency shall annually:**

**(1) calculate; and**

**(2) report to the budget committee;**

**any savings realized from the transfer or discharge of individuals with developmental disabilities from a state developmental center to a community based resident setting."**

Page 27, line 1, delete "8" and insert "9".

Page 27, between lines 7 and 8, begin a new paragraph and insert:

**"Sec. 1. (a) The bureau shall determine whether or not an individual is a developmentally disabled individual. For individuals for whom there is not enough current information available to make a determination of eligibility, the bureau shall use the results of a diagnostic assessment in determining whether an individual is a developmentally disabled individual. A diagnostic assessment must include the following:**

**(1) Diagnostic information concerning the individual's functioning level and medical and habilitation needs.**



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(2) All information necessary for the use of the office of Medicaid policy and planning, the Indiana health facilities council, and the division.

(3) The use of all appropriate assessments conducted under rules adopted under IC 16-28.

(b) An individual who is found not to be a developmentally disabled individual may appeal the bureau's finding under IC 4-21.5.

(c) If an individual is determined to be a developmentally disabled individual, the office shall determine whether the individual meets the appropriate federal level of care requirements."

Page 27, line 8, delete "1. (a)" and insert "2".

Page 27, line 8, after "shall" insert ", within the limits of available resources,".

Page 27, line 10, delete "consists of the following functions:" and insert "services must include the development of an individual service plan.".

Page 27, delete lines 11 through 20.

Page 27, line 21, delete "(b)" and insert "Sec. 3.".

Page 27, line 22, after "the" insert "developmentally disabled".

Page 27, line 22, after "individual's" insert "individual".

Page 27, line 22, delete "and individualized budget." and insert ". To the extent that services described in section 1.1(e) of this chapter are available and meet the individual's needs, services provided to an individual shall be provided in the least restrictive environment possible.".

Page 27, line 23, delete "(c)" and insert "Sec. 4.".

Page 27, line 24, after "individuals" insert "under service plans developed under this chapter, including all placements in a state developmental center or an intermediate care facility".

Page 27, line 24, delete "Staff employed by the".

Page 27, delete lines 25 through 28.

Page 27, line 29, delete "(d)" and insert "Sec. 5.".

Page 27, delete lines 39 through 42.

Page 28, delete lines 1 through 17.

Page 28, line 18, delete "3." and insert "6.".

Page 28, line 26, after "screening" insert "conducted under IC 12-10-12".

Page 28, between lines 26 and 27, begin a new paragraph and insert: "Sec. 7. Before a developmentally disabled individual is:

(1) discharged from a state institution; or

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**(2) placed on outpatient status under IC 12-26-14 by a state institution;  
the bureau shall develop a service plan for the individual under section 2 of this chapter."**

Page 28, line 27, delete "4." and insert "**8.**".

Page 28, line 30, delete "individualized program" and insert "**service**".

Page 28, line 32, delete "5." and insert "**9.**".

Page 28, line 37, delete "6." and insert "**10.**".

Page 28, line 39, delete "7." and insert "**11.**".

Page 29, line 8, delete "8." and insert "**12.**".

Page 36, line 2, delete "IC 12-11-2.1" and insert "**IC 12-11-1.1**".

Page 38, line 2, strike "offered after a".

Page 38, line 3, strike "student with disabilities exits the special education program".

Renumber all SECTIONS consecutively.

and when so amended that said bill do pass.

(Reference is to SB 606 as introduced.)

MILLER, Chairperson

Committee Vote: Yeas 9, Nays 0.

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SENATE MOTION

Mr. President: I move that Senator Gard be added as coauthor of Senate Bill 606.

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## COMMITTEE REPORT

Mr. Speaker: Your Committee on Human Affairs, to which was referred Senate Bill 606, has had the same under consideration and begs leave to report the same back to the House with the recommendation that said bill be amended as follows:

Page 10, between lines 9 and 10, begin a new paragraph and insert:

"SECTION 5. IC 5-20-1-4.5 IS AMENDED TO READ AS FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 4.5. (a) As used in this section, "person with a disability" means a person who, by reason of physical, mental, or emotional defect or infirmity, whether congenital or acquired by accident, injury, or disease, is totally or partially prevented from achieving the fullest attainable physical, social, economic, mental, and vocational participation in the normal process of living.

(b) As used in this section, "qualified building" means a building:

- (1) that is used or will be used ~~primarily~~ to provide residential housing for persons with disabilities; and
- (2) for which a taxpayer is eligible to claim a low income housing credit under 26 U.S.C. 42.

(c) Subject to subsection (d), the authority shall allocate to qualified buildings at least ten percent (10%) of the total dollar amount of federal low income housing credits allocated to the authority under 26 U.S.C. 42. **The authority shall allocate credits under this section based on the proportionate amount of a qualified building that is used to provide residential housing for persons with disabilities, as determined by the authority.**

(d) The authority shall hold available the allocation made under subsection (c) for qualified buildings through October 31 of each calendar year. Beginning November 1 of each calendar year, any part of the allocation that remains unassigned shall be available for any appropriate use under 26 U.S.C. 42."

Page 42, after line 20, begin a new paragraph and insert:

"SECTION 59. P.L.245-1997, SECTION 2, IS AMENDED TO READ AS FOLLOWS [EFFECTIVE JULY 1, 1999]: (a) As used in this SECTION, "commission" refers to the Indiana commission on mental retardation and developmental disabilities established by this SECTION.

(b) The Indiana commission on mental retardation and developmental disabilities is established.

(c) The commission consists of the following members:

- (1) Two (2) members of the house of representatives appointed by the speaker of the house of representatives. The members

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appointed under this subdivision may not be members of the same political party.

(2) Two (2) members of the senate appointed by the president pro tempore of the senate. The members appointed under this subdivision may not be members of the same political party.

(3) Three (3) members at large appointed by the governor. Not more than two (2) members appointed under this subdivision may be members of the same political party.

(4) One (1) member appointed by the governor who is a consumer of mental retardation/developmental disability services.

(5) One (1) member appointed by the governor who is a representative of advocacy groups for consumers of mental retardation and developmental disability services.

(6) Two (2) members appointed by the governor who are representatives of families of consumers of mental retardation and developmental disability services.

(7) One (1) member appointed by the governor who is a representative of organizations providing services to individuals with mental retardation and developmental disabilities.

The governor shall make appointments required by subdivisions (3) through (7) before May 16, 1994.

(d) The chairman of the legislative council shall designate a legislative member of the commission to serve as chairman of the commission.

(e) Each legislative member and each lay member of the commission is entitled to receive the same per diem, mileage, and travel allowances paid to individuals serving as legislative and lay members, respectively, on interim study committees established by the legislative council.

(f) The commission shall do the following:

(1) Develop a long range plan to stimulate further development of cost effective, innovative models of community based services, including recommendations that identify implementation schedules, plans for resource development, and appropriate regulatory changes.

(2) Review and make recommendations regarding any unmet need for mental retardation and developmental disability services, including the following:

(A) Community residential and family support services.

(B) Services for aging families caring for adult mentally retarded and developmentally disabled children.

(C) Services for families in emergency or crisis situations.



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(D) Services needed to move children and adults from nursing homes and state hospitals to the community.

(3) Study and make recommendations for the state to contract with a private entity to manage and implement home and community based services waivers under 42 U.S.C. 1396n(c).

(4) Study and make recommendations regarding state funding needed to provide supplemental room and board costs for individuals who otherwise qualify for residential services under the home and community based services waivers.

(5) Monitor and recommend changes for improvements in the implementation of home and community based services waivers managed by the state or by a private entity.

**(6) Review and make recommendations regarding the implementation of the comprehensive plan prepared by the developmental disabilities task force (P.L. 245-1997, SECTION 1).**

**(7) Review and make recommendations regarding the development by the division of disability, aging, and rehabilitative services of a statewide plan to address quality assurance in community-based services.**

(g) The commission shall submit its findings and initial recommendations to the governor and the general assembly before December 1 of each year.

(h) This SECTION expires January 1, ~~2001~~ **2005**."

Renumber all SECTIONS consecutively.

and when so amended that said bill do pass.

(Reference is to SB 606 as printed February 12, 1999.)

SUMMERS, Chair

Committee Vote: yeas 11, nays 0.

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## HOUSE MOTION

Mr. Speaker: I move that Engrossed Senate Bill 606 be amended to read as follows:

Page 27, between lines 1 and 2, begin a new paragraph and insert:

"SECTION 32. IC 12-10-13-14 IS AMENDED TO READ AS FOLLOWS [EFFECTIVE JULY 1, 1999]: Sec. 14. (a) The office shall receive, investigate, and attempt to resolve complaints and concerns that:

(1) are made by or on behalf of a patient, resident, or client of a long term care facility or a home care service, **except for an individual with a developmental disability who is receiving waiver services**; and

(2) involve the health, safety, welfare, or rights of a resident or client.

(b) At the conclusion of an investigation of a complaint, the office shall report the office's findings to the complainant."

Page 31, between lines 32 and 33, begin a new paragraph and insert:

"SECTION 33. IC 12-11-13 IS ADDED TO THE INDIANA CODE AS A NEW CHAPTER TO READ AS FOLLOWS [EFFECTIVE JULY 1, 1999]:

**Chapter 13. Statewide Waiver Ombudsman**

**Sec. 1. This chapter applies only to an individual who:**

(1) has a developmental disability; and

(2) receives services under a waiver under the federal home and community based services program.

**Sec. 2. As used in this chapter, "ombudsman" refers to the statewide waiver ombudsman established by section 3 of this chapter. The term includes individuals approved to act in the capacity of ombudsmen by the statewide waiver ombudsman.**

**Sec. 3. The statewide waiver ombudsman position is established within the division.**

**Sec. 4. The director shall appoint an acting ombudsman within thirty (30) days of a vacancy in the position of the ombudsman. The acting ombudsman has the powers and duties of the ombudsman.**

**Sec. 5. The ombudsman may consult with experts in fulfilling the duties of the ombudsman.**

**Sec. 6. (a) The ombudsman shall receive, investigate, and attempt to resolve complaints and concerns that are made by or on behalf of an individual described in section 1 of this chapter.**

**(b) At the conclusion of an investigation of a complaint, the ombudsman shall report the ombudsman's findings to the complainant.**

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(c) If the ombudsman does not investigate a complaint, the ombudsman shall notify the complainant of the decision not to investigate and the reasons for the decision.

**Sec. 7. (a) An ombudsman must be provided access to the following:**

- (1) An individual described in section 1 of this chapter.
- (2) An entity that provides waiver services to an individual described in section 1 of this chapter.
- (3) Records of an individual described in section 1 of this chapter, including records held by an entity that provides services to the individual.
- (4) If an individual described in section 1 of this chapter is incapable of giving consent, as determined by the attending physician or as otherwise determined under state law, the name, address, and telephone number of the individual's legal representative.

Except as provided in subsections (c) and (d), the ombudsman must obtain consent under subsection (b) before having access to the records described in subdivision (3).

(b) Consent to have access to an individual's records shall be given in one (1) of the following forms:

- (1) In writing by the individual.
- (2) Orally by the individual in the presence of a witness.
- (3) In writing by the legal representative of the individual if:
  - (A) the individual is incapable of giving consent, as determined by the attending physician or as otherwise determined under state law; and
  - (B) the legal representative has the authority to give consent.

(c) If consent to have access to an individual's records cannot be obtained under subsection (b), an ombudsman may inspect the records of the individual if the individual is incapable of giving consent, as determined by the attending physician or as otherwise determined under state law, and:

- (1) has no legal representative;
- (2) has a legal representative but the legal representative cannot be contacted within three (3) days; or
- (3) has a legal representative but the legal representative does not have the authority to give consent to have access to the records.

(d) If an ombudsman has:

- (1) been denied access to an individual's records by the

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individual's legal representative;

(2) reasonable cause to believe that the individual's legal representative is not acting in the best interests of the individual; and

(3) received written approval from the state ombudsman;  
the ombudsman may inspect the records of the individual.

**Sec. 8.** A provider of waiver services or an employee of a provider of waiver services is immune from:

(1) civil or criminal liability; and

(2) actions taken under a professional disciplinary procedure; for the release or disclosure of records to the ombudsman under this chapter.

**Sec. 9.** A state or local government agency or entity that has records that are relevant to a complaint or an investigation conducted by the ombudsman shall provide the ombudsman with access to the records.

**Sec. 10.** The ombudsman shall do the following:

(1) Promote effective coordination among the following:

(A) Programs that provide legal services for the developmentally disabled.

(B) The division.

(C) Providers of waiver services to individuals with developmental disabilities.

(D) Providers of other necessary or appropriate services.

(2) Ensure that the identity of an individual described in section 1 of this chapter will not be disclosed without:

(A) the individual's written consent; or

(B) a court order.

**Sec. 11.** The director of the division may adopt rules under IC 4-22-2 necessary to carry out this chapter.

**Sec. 12.** The ombudsman is not civilly liable for the good faith performance of official duties.

**Sec. 13. (a)** The ombudsman shall prepare a report each year on the operations of the program.

**(b)** A copy of the report required under subsection (a) shall be provided to the following:

(1) The governor.

(2) The legislative council.

(3) The division.

(4) The members of the Indiana commission on mental retardation and developmental disabilities established by P.L.78-1994.



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**Sec. 14. The ombudsman shall report:**

- (1) annually; or
- (2) upon request;

to the Indiana commission on mental retardation and developmental disabilities established by P.L.78-1994.

**Sec. 15. The division shall:**

- (1) establish a statewide toll free telephone line continuously open to receive complaints regarding individuals described in section 1 of this chapter; and
- (2) forward all complaints received from the toll free telephone line to the statewide waiver ombudsman.

**Sec. 16. A person who:**

- (1) intentionally prevents the work of the ombudsman;
- (2) knowingly offers compensation to the ombudsman in an effort to affect the outcome of an investigation or a potential investigation; or
- (3) knowingly or intentionally retaliates against a resident, a client, an employee, or another person who files a complaint or provides information to the ombudsman;

**commits a Class B misdemeanor."**

Page 39, between lines 1 and 2, begin a new paragraph and insert:  
"SECTION 51. IC 16-39-2-6 IS AMENDED TO READ AS FOLLOWS [EFFECTIVE JULY 1, 1998]: Sec. 6. (a) Without the consent of the patient, the patient's mental health record may only be disclosed as follows:

- (1) To individuals who meet the following conditions:
  - (A) Are employed by:
    - (i) the provider at the same facility or agency;
    - (ii) a managed care provider (as defined in IC 12-7-2-127(b)); or
    - (iii) a health care provider or mental health care provider, if the mental health records are needed to provide health care or mental health services to the patient.
  - (B) Are involved in the planning, provision, and monitoring of services.
- (2) To the extent necessary to obtain payment for services rendered or other benefits to which the patient may be entitled, as provided in IC 16-39-5-3.
- (3) To the patient's court appointed counsel and to the Indiana protection and advocacy services commission.
- (4) For research conducted in accordance with IC 16-39-5-3 and the rules of the division of mental health, the rules of the division

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of disability, aging, and rehabilitative services, or the rules of the provider.

(5) To the division of mental health for the purpose of data collection, research, and monitoring managed care providers (as defined in IC 12-7-2-127(b)) who are operating under a contract with the division of mental health.

(6) To the extent necessary to make reports or give testimony required by the statutes pertaining to admissions, transfers, discharges, and guardianship proceedings.

(7) To a law enforcement agency if any of the following conditions are met:

(A) A patient escapes from a facility to which the patient is committed under IC 12-26.

(B) The superintendent of the facility determines that failure to provide the information may result in bodily harm to the patient or another individual.

(C) A patient commits or threatens to commit a crime on facility premises or against facility personnel.

(D) A patient is in the custody of a law enforcement officer or agency for any reason and:

(i) the information to be released is limited to medications currently prescribed for the patient or to the patient's history of adverse medication reactions; and

(ii) the provider determines that the release of the medication information will assist in protecting the health, safety, or welfare of the patient.

Mental health records released under this clause must be maintained in confidence by the law enforcement agency receiving them.

(8) To a coroner or medical examiner, in the performance of the individual's duties.

(9) To a school in which the patient is enrolled if the superintendent of the facility determines that the information will assist the school in meeting educational needs of a person with a disability under 20 U.S.C. 1400 et seq.

(10) To the extent necessary to satisfy reporting requirements under the following statutes:

(A) IC 12-10-3-10.

(B) IC 12-17-2-16.

(C) IC 12-24-17-5.

(D) IC 16-41-2-3.

(E) IC 31-33-5-4.

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(F) IC 34-30-16-2.

(G) IC 35-46-1-13.

(11) To the extent necessary to satisfy release of information requirements under the following statutes:

(A) IC 12-24-11-2.

(B) IC 12-24-12-3, IC 12-24-12-4, and IC 12-24-12-6.

(C) IC 12-26-11.

(12) To another health care provider in a health care emergency.

(13) For legitimate business purposes as described in IC 16-39-5-3.

(14) Under a court order under IC 16-39-3.

(15) With respect to records from a mental health or developmental disability facility, to the United States Secret Service if the following conditions are met:

(A) The request does not apply to alcohol or drug abuse records described in 42 U.S.C. 290dd-2 unless authorized by a court order under 42 U.S.C. 290dd-2(b)(2)(c).

(B) The request relates to the United States Secret Service's protective responsibility and investigative authority under 18 U.S.C. 3056, 18 U.S.C. 871, or 18 U.S.C. 879.

(C) The request specifies an individual patient.

(D) The director or superintendent of the facility determines that disclosure of the mental health record may be necessary to protect a person under the protection of the United States Secret Service from serious bodily injury or death.

(E) The United States Secret Service agrees to only use the mental health record information for investigative purposes and not disclose the information publicly.

(F) The mental health record information disclosed to the United States Secret Service includes only:

(i) the patient's name, age, and address;

(ii) the date of the patient's admission to or discharge from the facility; and

(iii) any information that indicates whether or not the patient has a history of violence or presents a danger to the person under protection.

**(16) To the statewide waiver ombudsman established under IC 12-11-13, in the performance of the ombudsman's duties.**

(b) After information is disclosed under subsection (a)(15) and if the patient is evaluated to be dangerous, the records shall be interpreted in consultation with a licensed mental health professional on the staff of the United States Secret Service.

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(c) A person who discloses information under subsection (a)(7) or (a)(15) in good faith is immune from civil and criminal liability."

Page 42, between lines 38 and 39, begin a new paragraph and insert:

"SECTION 62. IC 34-30-2-43.5 IS ADDED TO THE INDIANA CODE AS A **NEW SECTION** TO READ AS FOLLOWS [EFFECTIVE JULY 1, 1999]: **Sec. 43.5. IC 12-11-13-8 (Concerning disclosure of records to the statewide waiver ombudsman by providers of waiver services and employees of providers.)**

SECTION 63. IC 34-30-2-43.7 IS ADDED TO THE INDIANA CODE AS A **NEW SECTION** TO READ AS FOLLOWS [EFFECTIVE JULY 1, 1999]: **Sec. 43.7. IC 12-11-13-12 (Concerning the statewide waiver ombudsman.)**".

Renumber all SECTIONS consecutively.

(Reference is to ESB 606 as printed March 26, 1999.)

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HOUSE MOTION

Mr. Speaker: I move that Engrossed Senate Bill 606 be amended to read as follows:

Page 16, line 27, after "homes" insert "**, including implementation of proposals regarding personal attendant services**"

(Reference is to ESB 606 as printed March 26, 1999.)

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