

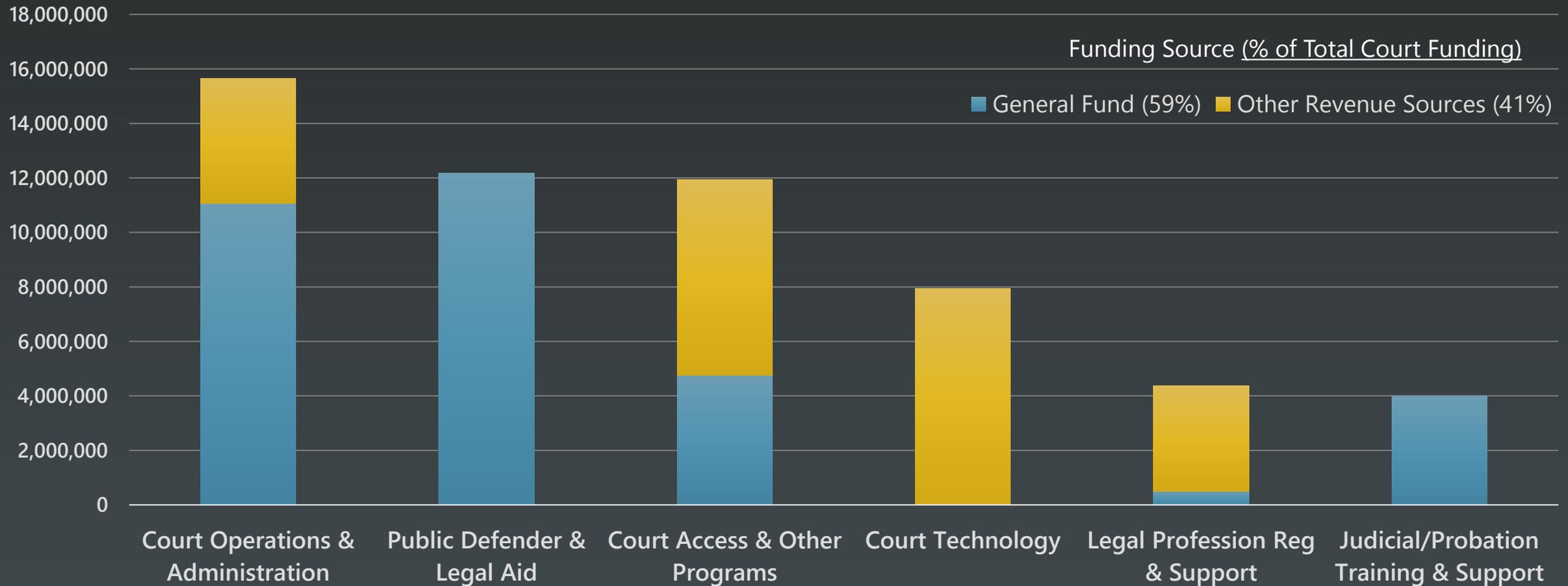


# Indiana Supreme Court

BUDGET HEARING – SENATE APPROPRIATIONS COMMITTEE

MARCH 19, 2015

# 2013-2014 Indiana Court Funding\*



\* Graph excludes funding for payment of local judge and prosecutor salaries totaling \$90,071,108

# Indiana Supreme Court Funding Requests

	2015-2016			2016-2017		
	Requests Above Baseline Appropriation	Funding Included in HB 1001	Requests in Addition to HB 1001	Requests Above Baseline Appropriation	Funding Included in HB 1001	Requests in Addition to HB 1001
<b>Court Technology</b>	\$11,600,000	\$ 3,700,000	\$ 7,900,000	\$12,800,000	\$ 4,900,000	\$ 7,900,000
<b>Criminal Code Reform</b>	\$30,220,925	\$ 3,500,000	\$26,720,925	\$30,611,335	\$ 8,500,000	\$22,111,335
<b>Juvenile Justice Reform (JDAI)</b>	\$ 1,608,145	\$ 1,000,000	\$ 608,145	\$ 2,463,439	\$ 1,000,000	\$ 1,463,439
<b>Guardian ad Litem/CASA</b>	\$ 2,100,000	\$ -	\$ 2,100,000	\$ 2,100,000	\$ -	\$ 2,100,000
<b>Court Interpreter Program</b>	\$ 1,500,000	\$ -	\$ 1,500,000	\$ 2,500,000	\$ -	\$ 2,500,000
<b>Employee Salaries</b>	\$ 798,303	\$ -	\$ 798,303	\$ 995,261	\$ -	\$ 995,261
<b>Court Admin. Expenses</b>	\$ 235,835	\$ -	\$ 235,835	\$ 287,587	\$ 16,200	\$ 271,387
<b>Judicial Training</b>	\$ 269,284	\$ -	\$ 269,284	\$ 252,586	\$ -	\$ 252,586
<b>Supreme Court Security</b>	\$ 165,681	\$ 104,000	\$ 61,681	\$ 60,016	\$ -	\$ 60,016
<b>Adult Guardianship</b>	\$ -	\$ 500,000	\$ -	\$ -	\$ 500,000	\$ -
<b>Other Expenses</b>	\$ 88,600	\$ 75,000	\$ 13,600	\$ 28,200	\$ 15,000	\$ 13,200
<b>Totals</b>	\$48,586,773	\$ 8,879,000	\$40,207,773	\$52,098,424	\$14,931,200	\$37,667,224

# Criminal Code Reform Requested Funding

	2015-2016	2016-2017
Probation Officer Training	\$ 500,000	\$ 500,000
Community Supervision Grants	\$10,000,000	\$10,000,000
Increased Probation Capacity *	\$19,520,925	\$19,911,335
Probation Quality Assurance	\$ 200,000	\$ 200,000
Juvenile Justice Reform (JDAI)	\$ 1,608,145	\$ 2,463,439
Total	\$31,829,070	\$33,074,774

\* Note: HB 1006 provides \$30,000,000 in 2015-16 and \$50,000,000 in 2016-17 to the Justice Reinvestment Fund

# Probation Training Request

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**\$500,000  
per year**

80 days of training to 400 probation officers in last two years

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Seek to train all 1,300 probation officers

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Trainings are time-intensive and focus on learning and practicing new skills along with coaching support

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Changing culture to elevate probation officer role in reducing recidivism

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“Train the Trainer” program will send trainers to all corners of the state in 2015

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# Community Supervision Grants Request

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**\$10,000,000  
per year**

Documented need for funding  
at local level

32 courts requested  
\$7.5M in funding from  
2014 forensic diversion  
grants (out of \$2M  
available)

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Judicial Center has provided general  
training on evidence-based practices  
for the last 10 years

Probation has knowledge,  
needs enhanced skills

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Grants fund addiction and mental  
health treatment, risk assessment,  
monitoring, case management, and  
programs to address criminal thinking

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# Grants to Increase Capacity for Probation Services

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**\$19,520,925**  
(2015-16)

Funding goes into "State Aid to Probation" fund created under IC § 11-13-2-1

**\$19,911,335**  
(2016-17)

Judicial Center Collaborative Committee will make grants available to increase capacity of local probation services to enable success of criminal code reform

Grants will require data collection and measurement of outcomes to evaluate success and validate reform

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# Advisory Council

Probation

Department of  
Correction

Sheriff's  
Association

Judicial  
Center

Prosecuting  
Attorneys  
Council

Division of Mental  
Health and  
Addiction

Public  
Defender  
Council

Criminal  
Justice  
Institute

Community  
Corrections

## HB1006

### Community Corrections Fund

#### Grants to Community Corrections Programs and Court Supervised Recidivism Reduction Programs

- Education
- Mental Health
- Drug or Alcohol Abuse Counseling
- Housing
- Supervision Services

## HB 1006

### Justice Reinvestment Fund

#### Grants to Courts and Community Supervision Programs

- Probation services
- Problem-solving courts
- Mental health and Substance abuse treatment
- Forensic diversion programs
- Community corrections
- Other alternatives to incarceration

## SB 464

### Mental Health & Addiction Forensic Treatment Services Account

#### Grants and Vouchers

- Community Corrections Programs
- Court Administered Programs
- Probation Programs
- Community Mental Health Centers
- Certified Mental Health or Addiction Providers

# Justice Reinvestment Fund

## Tasks

- - Develop Application
  - Create application guidelines
  - Evaluation plan
  - Review applications
  - Develop funding recommendations
  - Issue grant award notices
  - Conduct grantee site visits
  - Create performance measures application
  - Analyze performance measure reports
  - Submit annual reports / recommendations to advisory council, ICJI, LSA, and budget committee

## Sample Programs

- - Cognitive-Behavioral Therapy
  - Problem-Solving Courts
  - Medication-Assisted Treatment
  - Mental Health Services
  - Substance Abuse Treatment
  - Veterans Services
  - Psychiatric Services
  - Education/Vocational /Employment Programs
  - Supportive Living Programs
  - Domestic Violence Programs
  - Healthy Families Programs

## Data & Performance Measures

- - Program Completion Rates
  - Number of referrals
  - Risk levels
  - Units of service
  - Drugs of choice
  - Retention rates
  - Completion rates
  - Re-arrest rates
  - Outcome measures to determine what programs are working
  - Quarterly reports from programs

# History of Indiana Juvenile Justice Reform (JDAI)

2006

- **Implementation in Marion County**
- Coverage 13% of Indiana's youth ages 10-17

2010

- **Expansion into 7 more counties**
- Coverage of 34% of youth ages 10-17

2013

- **Expansion into 11 more counties**
- 19 counties currently operating encompass 56% of youth ages 10-17

# Producing Results

**End of 2013** (original 8 Counties)

- Admissions to Secure Detention
- Average Daily Population in Secure Detention
- Juvenile Re-Offense Rates
- Commitments to IN Department of Correction

51%

44%

38%

36%

Estimated **\$6.8 Million** savings in DOC commitments in 2013 alone

JDAI has helped reduce recidivism rate by **22%** (over past three years)

# Indiana Department of Correction Capacity & Population

DOC Juvenile Capacity  
2006-2014

Year	Male	Female	Total
2006	971	153	1124
2007	1177	313	1490
2008	1177	313	1490
2009	973	199	1172
2010	983	177	1160
2011	895	178	1073
2012	895	172	1067
2013	752	172	924
2014	752	172	924

DOC Juvenile Average Daily Populations  
2006-2014

Year	Male	Female	Total
2006	806	148	954
2007	861	175	1036
2008	811	146	957
2009	775	126	901
2010	616	80	696
2011	555	66	621
2012	493	49	542
2013	432	55	487
2014	383	45	428

# GOAL for Next Biennium For Juvenile Justice Reform (JDAI)

## Add 16 More JDAI Counties

Total number of  
counties - 35

Comprising 75% of  
Indiana's Youth  
(ages 10-17)

Accelerated goal upon  
urging by legislators  
for expedited  
expansion

# Juvenile Justice Reform (JDAI) Requested Funding

	2015-2016	2016-2017
<b>Additional Personnel</b> Adds 3 Juvenile Justice Specialists and a Supervisor to the 4 existing Specialists currently serving 19 counties	\$354,332	\$351,479
<b>Travel</b> Specialists travel regularly to local sites	\$78,618	\$111,960
<b>Local Support</b> \$100k grants to new counties for first three years	\$800,000	\$1,600,000
<b>State Juvenile/JDAI Data System</b> Data consultant providing data strategies, technical development and support, training at state and local levels, coordination with Court Technology	\$75,200	\$200,000
<b>State Juvenile/Data Repository</b> Development of analytics system juvenile justice information and repository connecting youth data across state and local courts and agencies	\$300,000	\$200,000
<b>Total</b>	<b>\$1,608,145</b>	<b>\$2,463,439</b>

# Guardian ad Litem/Court Appointed Special Advocate (GAL/CASA) Request

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**\$2,100,000**  
**per year**

Under IC § 31-34-10-3, all courts are required to appoint GAL/CASA for every abused or neglected child

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As of the end of 2014, over 4,000 children remained on the waiting list for GAL/CASA

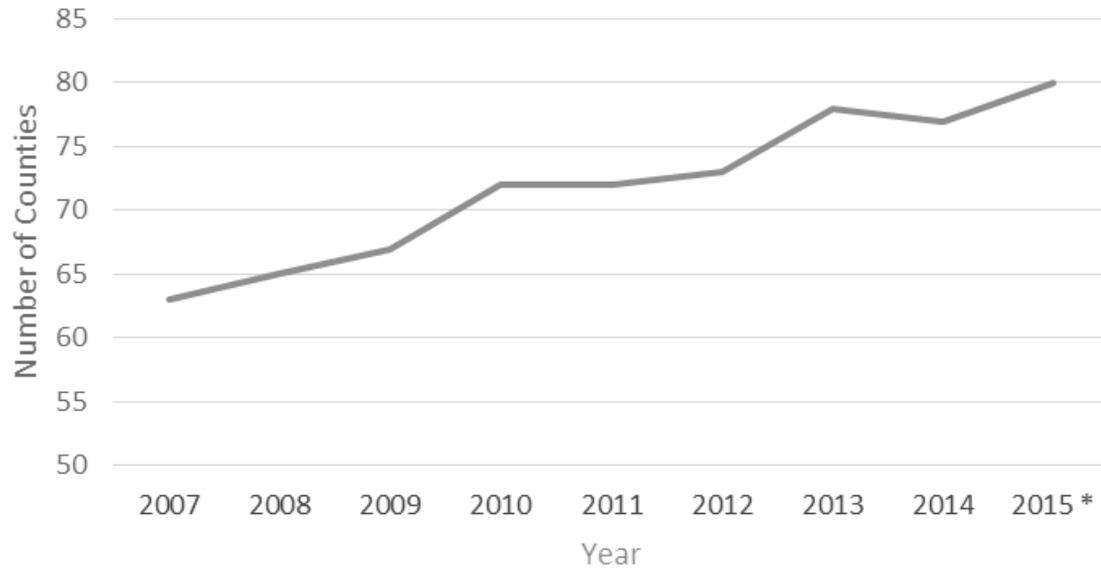
Funding level for GAL/CASA has been constant since 2007, but 14 more counties (22% increase) now depend on that funding

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Requested funding will provide needed capacity to recruit, train, supervise, and retain volunteers

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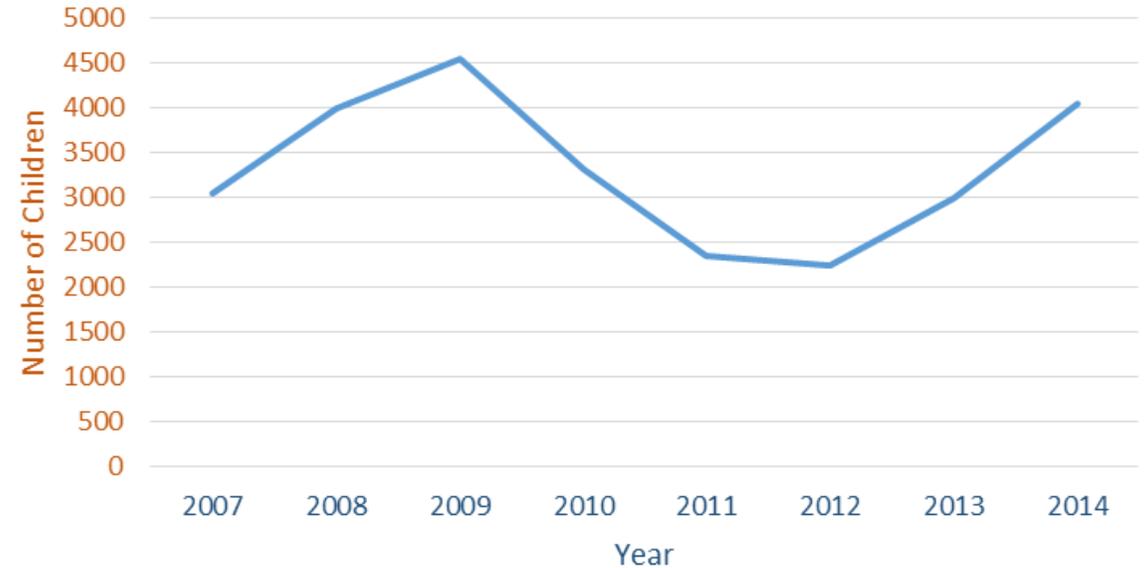
### Counties with GAL/CASA Programs



\* Three Counties pending in 2015

- GAL/CASA funding has remained constant since 2007
- The number of counties running programs **has increased 22%** (3 more counties pending in 2015), reducing the support each individual county may obtain
- Over 400,000 volunteer hours logged in 2013 and another 350,000 hours in 2014

### Number of Children on Waiting List



- Current funding (supporting \$2.7 million in annual grants since 2007), has not reduced the number of children waiting for GAL/CASA
- Additional \$1.7 million per year in grants will increase the capacity of county programs to recruit, train, supervise, and retain volunteers

# Court Interpreter Program Request

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**\$1,500,000**  
**(2015-16)**

Increasing numbers of non-English speakers have produced surge in demand for court interpreters (14,600 hours last year, up 65% from 2012)

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**\$2,500,000**  
**(2016-17)**

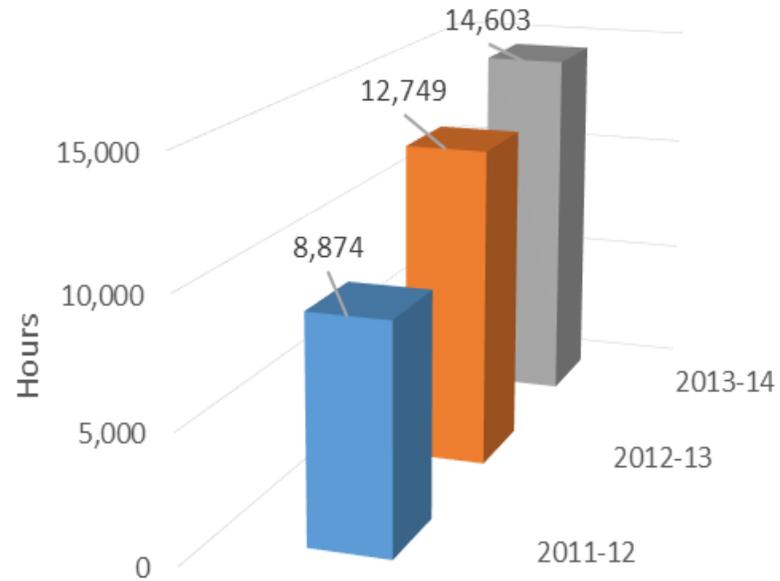
Lack of funding for court interpreters exposes the State to lawsuits (the State currently is defending against suits)

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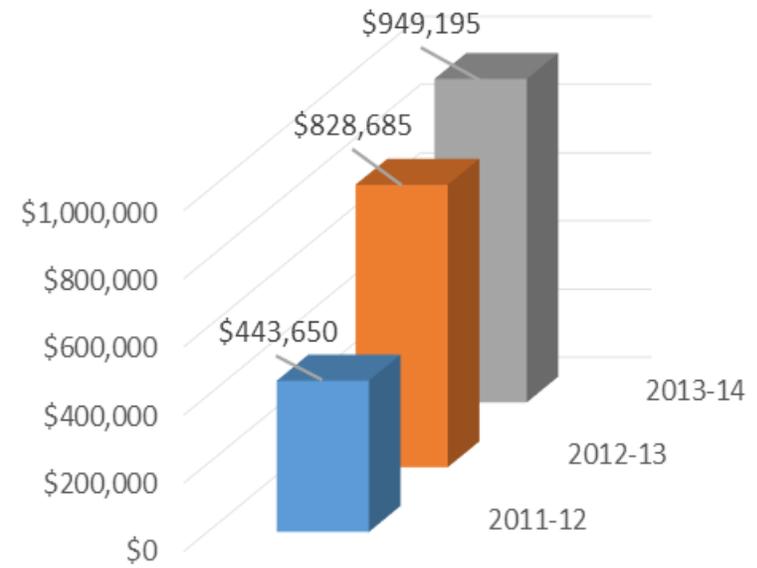
Funding will train and certify more court interpreters, expand remote interpreter services, and train court staff in serving non-English speakers

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### Hours of Interpreters Used by the Courts



### Statewide Estimated Cost of Court Interpreters



- Courts now require thousands more hours of interpreter time and incur double the costs than they did just a few years ago

- Without specific funding for court interpreters, the Court granted \$235,000 to counties last year, only a small percentage of the need

# Other Requested Funding

	2015-2016	2016-2017
<b>Employee Salaries</b> Employee salary increases of <u>2.2%</u> per year (Court is not part of the Personal Services Contingency Fund)	\$ 798,303	\$ 995,261
<b>Administrative and Operating Expenses</b> Office lease, research subscriptions and fees, utilities, disciplinary hearing expenses, Judicial Qualifications staffing	\$ 249,435	\$ 300,787
<b>Judicial Training</b> Increased judicial training requirements, increased number of judges, and rising facility and material costs	\$ 269,284	\$ 252,586
<b>Supreme Court Security</b> Card readers, cameras at Supreme Court offices; security deputy to ensure coverage during all Court working hours	\$ 165,681	\$ 60,016
<b>Courtroom/Justice Chamber Renovation</b>	\$ 75,000	\$ 15,000

# Court Technology Costs

Project	FY 2016	FY 2017
Odyssey current expenses and expansion	\$5.4 M	\$5.4 M
INcite current expenses and expansion	\$4.1 M	\$4.1 M
E-Filing	\$3.7 M	\$5.4 M
Reserve for unanticipated expenses*	\$1.3 M	\$1.3 M
<i>Less committed ARK Reserve</i>	<i>- \$2.9 M</i>	<i>- \$3.4 M</i>
<b>Total</b>	<b>\$11.6 M</b>	<b>\$12.8 M</b>

\*Unanticipated expenses include items such as additional bandwidth, personnel and hardware as needed during conversions and e-filing rollout.

## All levels of government receive vital information from INcite and Odyssey

Software provided by the Indiana Supreme Court

## STATE

Appellate courts and agencies use INcite to pass information back and forth with local courts, such as receiving trial court statistics or providing access to benchbooks that summarize laws and rules based on jurisdiction.

Many other state agencies get information from local courts through INcite, including:

### Department of Revenue

Tax Warrants  
Tax Intercept

### State Auditor

Report of Collections

### Department of Health

Marriage Licenses

### Department of Child Services

Protection Order Registry  
Juvenile Placements & Services

### Prosecuting Attorney's Council

Interface to Odyssey

### Bureau of Motor Vehicles

E-Tickets  
Driver Convictions

### State Police

E-Tickets  
Protection Orders  
Mental Health Adjudication  
Odyssey via Interact

### State Police DNA Lab

Offender Management System

### Department of Natural Resources & Excise Police

E-Tickets

### Department of Correction

Abstract of Judgment/PSI  
Risk Assessment  
CMS Search

### Legislative Services Agency

Statistics Reporting

### Disciplinary Commission

Odyssey  
Driver Convictions  
E-Tickets  
Protection Order Registry

### State Court Administration and Judicial Center

Benchbooks  
CMS Search  
E-Tickets  
Indiana Courts Online Reports  
Protective Order Registry  
Retention Rule

### Appellate Courts

Driver Records  
Protection Order Registry  
Offender Management System/  
Abstracts

# INDIANA COURT TECHNOLOGY

Information sharing and services

## FEDERAL

INcite not only provides data to federal agencies, it has also helped to secure federal funds Indiana receives by improving the timeliness of data reporting.

### Federal Bureau of Investigation

Protection Order Registry  
Mental Health Adjudication  
Odyssey

### Probation Offices

Driver Convictions  
Protection Order Registry  
Offender Management System/Abstracts  
CMS Search  
Odyssey

### Federal Courts

Driver Records  
Protection Order Registry  
Offender Management System/Abstracts

## LOCAL

INcite and Odyssey are first and foremost built to benefit local courts and related agencies. Local governments have access to a variety of tools, including:

### Clerk's Offices

Odyssey and data warehouse  
E-Tickets  
Driver Convictions  
Tax Warrants  
Marriage Licenses  
Protection Order Registry  
Guardianship Registry  
Tax Intercept  
Indiana Courts Online Reports  
Jury Pool/Jury Management  
CMS Search  
Court Improvement Program  
Timeliness Data  
Clerk Review System  
Statistics Reporting  
Mental Health Adjudication  
Auditor Report of Collections

### Courts

Odyssey and data warehouse  
CMS Search  
Driver Convictions  
E-Tickets

### Jury Pool/Jury Management

Presentence Investigation  
Reports  
Abstracts of Judgment  
Protection Orders  
Mental Health Adjudication  
Statistics Reporting  
Court Improvement Program  
Timeliness Data  
Benchbooks  
Guardianship Registry  
IV-D Writ/Warrant Registry

### Prosecutors

Odyssey  
E-Tickets  
Marriage License  
Protection Orders  
CMS Search  
Public Defenders  
Odyssey  
Public Defender Information System  
IV-D Writ/Warrant Registry

### Probation

Driver Records  
Odyssey and data warehouse  
Department of Child Services  
Interface  
Home Detention Reporting  
Statistics Reporting  
Protection Order Registry  
Presentence Investigation  
Report  
Risk Assessment  
Juvenile Reports  
CMS Search  
Offender Management System/  
Abstracts

### Local Law Enforcement

E-Tickets  
CMS Search  
Protective Order Registry  
Adult Guardianship Registry  
IV-D Writ/Warrant Registry

### County Jails

Odyssey  
E-Tickets  
CMS Search  
Protection Order Registry  
Offender Management/Abstract  
Victim Advocates  
Protection Orders

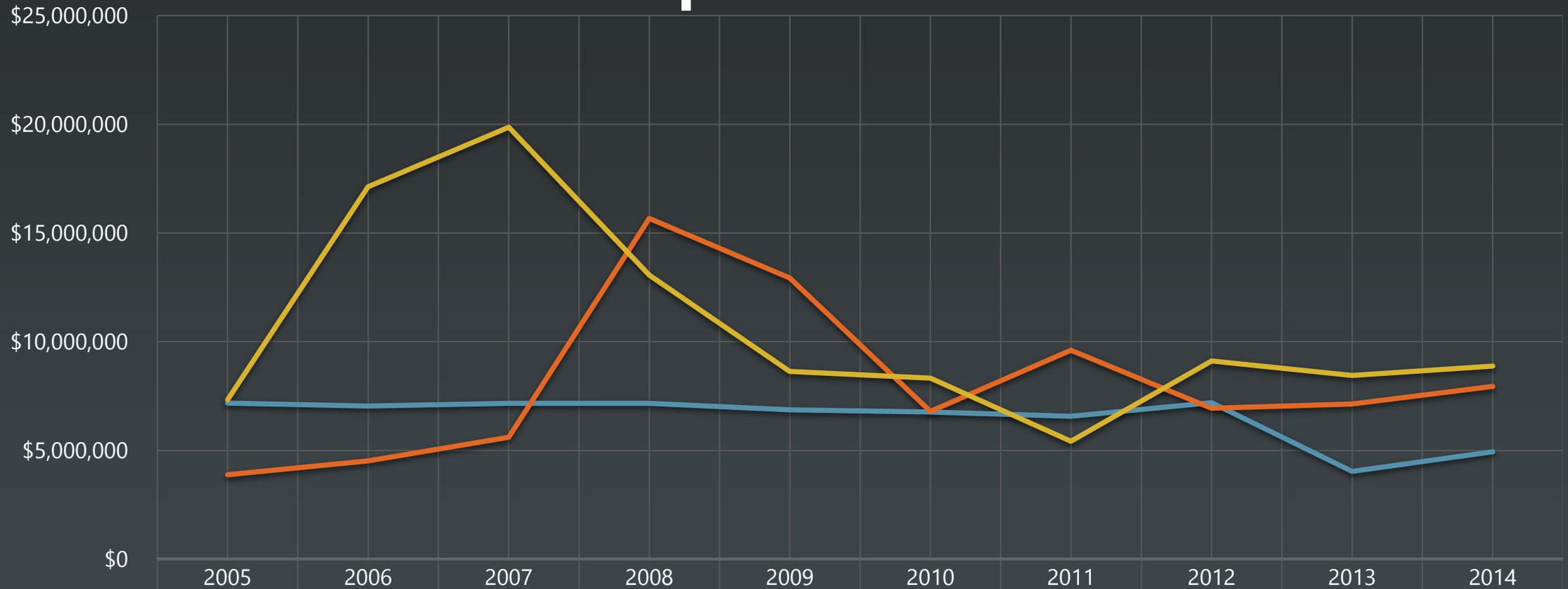
### Community Corrections & Parole Officers

Home Detention Reporting  
Protection Order Registry  
CMS Search  
Risk Assessment  
Offender Management/Abstract

### Juvenile Detention Centers

Home Detention Reporting  
Statistical Reports  
Protection Order Registry  
Risk Assessment  
Offender Management/Abstract

# ARK Fee Revenue and Reserve vs. Expenditures



	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
ARK Revenue	\$7,176,700	\$7,039,496	\$7,166,375	\$7,167,671	\$6,869,243	\$6,763,851	\$6,567,960	\$7,198,183	\$4,040,165	\$4,938,794
Expenditures	\$3,880,740	\$4,521,205	\$5,604,877	\$15,675,426	\$12,930,286	\$6,793,721	\$9,604,860	\$6,937,723	\$7,140,041	\$7,948,064
Reserve	\$7,332,341	\$17,132,401	\$19,868,465	\$13,070,704	\$8,631,652	\$8,324,296	\$5,424,280	\$9,119,441	\$8,453,071	\$8,881,213



THANK YOU