

Indiana Utility Regulatory Commission (IURC)  
Gas Customer Choice Marketer Registration  
For the Northern Indiana Public Service Company  
Service Territory

SUBMIT COMPLETED FORM TO:

Indiana Utility Regulatory Commission  
Attention: Natural Gas Division, via  
E-mail: [jsteinhauer@urc.in.gov](mailto:jsteinhauer@urc.in.gov)

Or

FAX: 317-232-6758

Or

US Mail:

Indiana Utility Regulatory Commission  
Attn: Natural Gas Division  
101 West Washington Street, Suite 1500 E.  
Indianapolis IN 46204

1. Marketer Information

Legal Name

FTR Energy Services, LLC

Doing Business Name (if applicable)

Not Applicable

Business Address

64 North Main Street  
Norwalk, Connecticut 06854

Telephone Number

1-877-811-7023

Fax Number

To be determined

Web Site Address

To be determined

List all names under which the applicant does business in the United States or Canada

FTR Energy Services, LLC

Parent Company Name; address and phone number (if applicable)

Regional Energy Holdings, Inc.  
64 North Main Street  
Norwalk, Connecticut 06854  
1-203-663-7542

Form of ownership (sole proprietorship; partnership; limited liability partnership; limited liability company; corporation; or other)

Limited Liability Company

Affiliate Relationships with NIPSCO

None

Years in business

FTR Energy Services, LLC is a newly formed subsidiary of Regional Energy Holdings, Inc. In August 2009 Regional Energy Holdings began operations as a holding company that provides energy services through its wholly owned subsidiaries.

Federal Employer Identification Number

██████████

## 2. Contact Information

Contact Person for Regulatory or Emergency matters:

Name: Jan L. Fox

Title: Senior Vice President and General Counsel

Address: 64 North Main Street; Norwalk, Connecticut 06854

(t) 203.517.0130

(f) 203.738.1044

Email: [jfox@viridian.com](mailto:jfox@viridian.com)

Contact Person for Commission Staff use in investigating customer complaints:

Name: Betsy Webb

Title: Vice President Regulatory Affairs

Address: 64 North Main Street; Norwalk, Connecticut 06854

(t) 203.663.7523

(f) 203.413.4434

Email: [bwebb@viridian.com](mailto:bwebb@viridian.com)

Contact Person and Address for customer service and complaints:

Name: Mike Chester

Title: Vice President, Operations

Address: 64 North Main Street; Norwalk, Connecticut 06854

(t) 1-877-811-7023

(f) 203-413-4434

E-mail: [mchester@viridian.com](mailto:mchester@viridian.com)

3. Identify the types of customers you intend to enroll and supply with natural gas (residential, general service or both).

Both

4. Identify each State or Province in the United States or Canada in which you are currently providing service or intend to provide service.

FTR Energy Services, LLC plans to provide natural gas service in Pennsylvania, New York, California, Michigan, Illinois, Ohio, and Indiana.

5. Provide an outline of staffing and procedure for responding to customer inquires and customer complaints.

Please see Attachment A

6. Provide proof that Northern Indiana Public Service Company (NIPSCO) has performed the necessary creditworthiness valuation and that you are approved to participate in the Choice Program.

**See Attachment B**

**7. Supply proof of registration with the Indiana Secretary of State's Office.**

**See Attachment C**

**Marketer shall notify the IURC of any changes to the above information.**

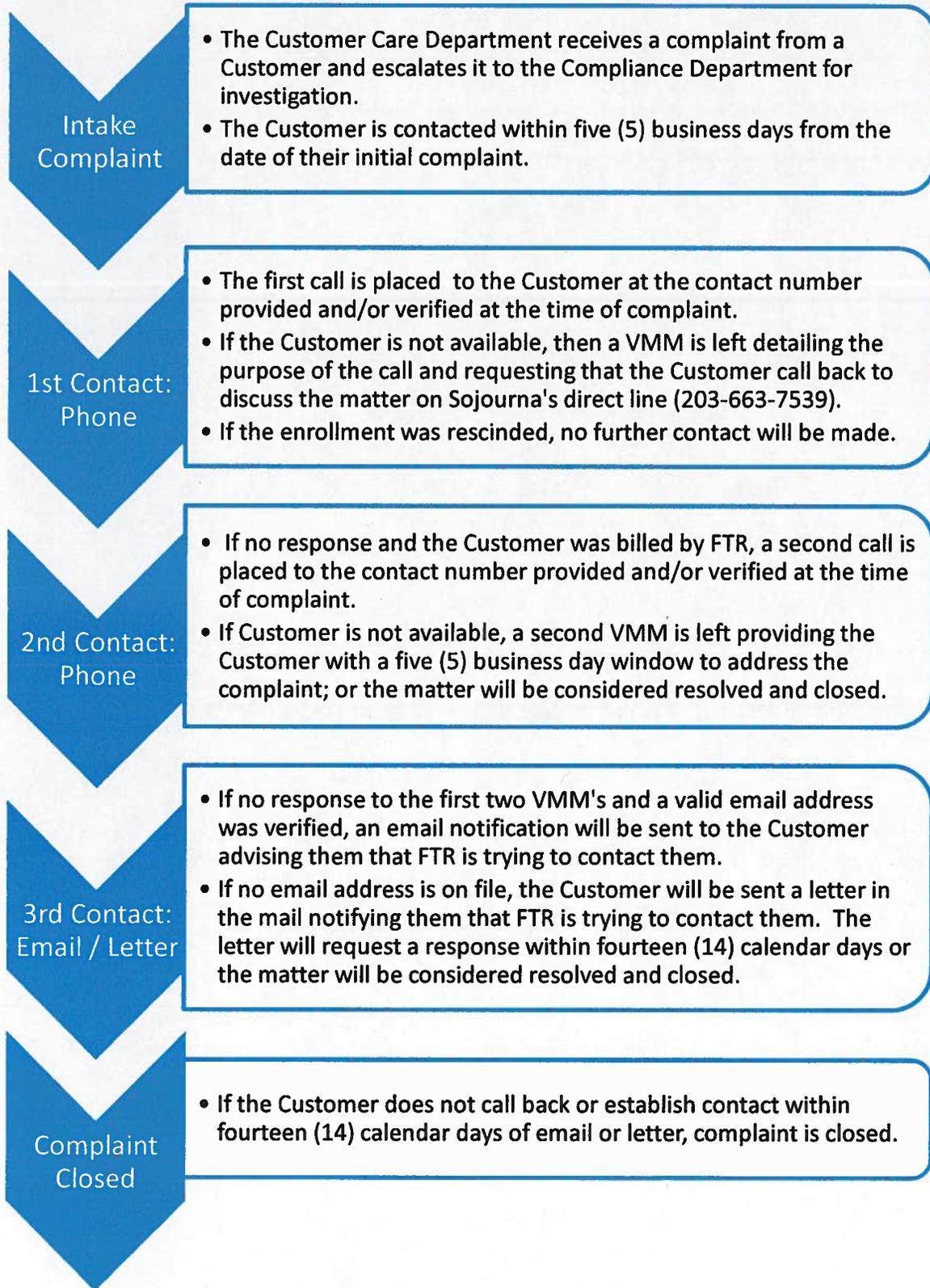
**Marketer shall, upon request, provide additional information not listed in this Registration form if requested by the IURC Staff.**

## ATTACHMENT A

### STAFFING AND PROCEDURES FOR RESPONDING TO CUSTOMER INQUIRES AND CUSTOMER COMPLAINTS

Betsy Webb, Vice President of Regulatory Affairs, leads a team that will promptly respond to customer inquiries and customer complaints. Ms. Webb reports directly to FTR Energy Services, LLC's CEO, Mr. Fallquist. Ms. Webb has several years of experience in promptly resolving customer concerns, including with companies that provide retail natural gas and electricity service.

## Customer Contact Procedure: Complaints



**ATTACHMENT B**

**APPROVAL LETTER FROM NIPSCO**



801 E. 86th Ave.  
Merrillville, IN 46410  
www.nipSCO.com

**August 22, 2012**

**Ms. Jan L. Fox, SVP and General Counsel  
FTR Energy Services, LLC  
64 North Main Street  
Norwalk, CT 06854**

**Dear Ms. Fox:**

**This letter is to provide proof that Northern Indiana Public Service Company (NIPSCO) has performed the necessary creditworthiness evaluation for FTR Energy Services, LLC and that they are approved to participate in the Choice Program in the NIPSCO service territory in Indiana.**

**Sincerely,**

A handwritten signature in blue ink that reads "Angela Y. Allen". The signature is written in a cursive style.

**Angela Y. Allen  
Choice Program Administrator**

**ed**

**ATTACHMENT C**

**Proof of registration with the Indiana Secretary of State**

**INDIANA SECRETARY OF STATE  
BUSINESS SERVICES DIVISION  
CORPORATIONS CERTIFIED COPIES**

INDIANA SECRETARY OF STATE  
BUSINESS SERVICES DIVISION  
302 West Washington Street, Room E018  
Indianapolis, IN 46204

<http://www.sos.in.gov>

June 13, 2012

Company Requested: FTR ENERGY SERVICES, LLC  
Control Number: 2012061200922

Date	Transaction	# Pages
06/12/2012	Application for Certificate of Authority	3



State of Indiana  
Office of the Secretary of State

I hereby certify that this is a true and complete copy of this 3 page document filed in this office.

Dated: June 13, 2012  
Certification Number: 2012061310819

*Connie Lawson*

Connie Lawson  
Secretary of State

**State of Indiana**  
**Office of the Secretary of State**

**CERTIFICATE OF AUTHORITY**  
of  
**FTR ENERGY SERVICES, LLC**

I, CONNIE LAWSON, Secretary of State of Indiana, hereby certify that Application for Certificate of Authority of the above Nevada Foreign Limited Liability Company (LLC) has been presented to me at my office, accompanied by the fees prescribed by law and that the documentation presented conforms to law as prescribed by the provisions of the Indiana Business Flexibility Act.

NOW, THEREFORE, with this document I certify that said transaction will become effective Tuesday, June 12, 2012.

In Witness Whereof, I have caused to be affixed my signature and the seal of the State of Indiana, at the City of Indianapolis, June 12, 2012.



*Connie Lawson*

CONNIE LAWSON,  
SECRETARY OF STATE

The Indiana Secretary of State filing office certifies that this copy is on file in this office.

Indiana Secretary of State  
Packet: 2012061200922  
Filing Date: 06/12/2012  
Effective Date: 06/12/2012

*WJW*



**APPLICATION FOR CERTIFICATE OF AUTHORITY OF A FOREIGN LIMITED LIABILITY COMPANY**

State Form 48484 (R4 / 4-12)  
Approved by State Board of Accounts, 2007  
Indiana Code 23-18-11-4 et seq.

**CONNIE LAWSON  
SECRETARY OF STATE**  
Mail to the following address:  
BUSINESS SERVICES DIVISION  
302 W. Washington Street, Room ED18  
Indianapolis, Indiana 46204-3700  
Telephone: (317) 232-8678  
www.sos.in.gov

- NOTES:**
1. An Original Certificate of Existence duly authenticated by the proper authority from corporation's domiciliary state within the last sixty (60) days must be submitted with this application.
  2. A Registered Agent with an Indiana street address (not a PO BOX) must be listed in ARTICLE II.

Filing Fee: \$ 90.00  
Make check or money order payable to Secretary of State

- INSTRUCTIONS:**
1. Use 9-1/2" x 11" white paper for attachments.
  2. Present original and one (1) copy to the address on upper right corner of this form.
  3. Please TYPE or PRINT.
  4. Please visit our office on the web at [www.sos.in.gov](http://www.sos.in.gov).

**APPLICATION FOR CERTIFICATE OF AUTHORITY OF**  
**FTR Energy Services, LLC**  
**A FOREIGN LLC**  
**TO TRANSACT BUSINESS IN THE STATE OF INDIANA**

The undersigned manager or member of the above Nevada (State of Domicile) LLC  
desiring to effectuate the admittance of the LLC to transact business in the State of Indiana, under the name of  
FTR Energy Services, LLC certifies the following facts:  
*(If using a fictitious business name, please specify the name above)*

**APPROVED AND FILED**  
*Connie Lawson*  
**IND. SECRETARY OF STATE**

**ARTICLE I: NAME AND PRINCIPAL OFFICE**

Name of LLC (This must be identical to name shown in Articles of Organization and Amendments thereto.)  
FTR Energy Services, LLC

Address of the principal office of LLC (number and street, city, state, and ZIP code)  
64 North Main Street, Norwalk, CT 06854

**ARTICLE II: REGISTERED OFFICE AND REGISTERED AGENT**

Name of the registered agent of the LLC  
National Registered Agents Inc.

Indiana address of the registered office of LLC (number and street, city, state, and ZIP code)  
320 N. Meridian Street Indianapolis, IN 46204

**ARTICLE III: DATE OF ORGANIZATION AND DURATION OF EXISTENCE**

Date of organization in domiciliary state (month, day, year)  
7/15/11

Expected period of duration listed in the Articles of Organization (month, day, year or perpetual)  
Perpetual

**ARTICLE IV: MANAGEMENT**

The Articles of Organization state that the LLC is to be managed by its members.  
 The Articles of Organization provide for a manager or managers.

In witness whereof, the undersigned being the Member of said LLC executes this  
(Manager or member)  
Application for Certificate of Authority, and verifies subject to penalties of perjury, that the facts contained herein are true this  
8<sup>th</sup> day of June, 2012.

Signature Regional Energy Holdings Inc. Printed name  
By: [Signature] Jan. L. Fox

SECRETARY OF STATE  
RECEIVED

2012 JUN 12 AM 11:25

# SECRETARY OF STATE



## CERTIFICATE OF EXISTENCE WITH STATUS IN GOOD STANDING

I, **ROSS MILLER**, the duly elected and qualified Nevada Secretary of State, do hereby certify that I am, by the laws of said State, the custodian of the records relating to filings by corporations, non-profit corporations, corporation soles, limited-liability companies, limited partnerships, limited-liability partnerships and business trusts pursuant to Title 7 of the Nevada Revised Statutes which are either presently in a status of good standing or were in good standing for a time period subsequent of 1976 and am the proper officer to execute this certificate.

I further certify that the records of the Nevada Secretary of State, at the date of this certificate, evidence, **FTR ENERGY SERVICES, LLC**, as a limited liability company duly organized under the laws of Nevada and existing under and by virtue of the laws of the State of Nevada since July 15, 2011, and is in good standing in this state.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the Great Seal of State, at my office on June 8, 2012.

Handwritten signature of Ross Miller.

**ROSS MILLER**  
Secretary of State



Electronic Certificate  
Certificate Number: C20120808-0584  
You may verify this electronic certificate  
online at <http://www.nvsoa.gov/>