



Pipeline Safety Division Investigation Report

Investigation regarding: Steve Carlock

UPPAC Database Record ID: 4886

Report Date: 8/21/2013

Investigator: Howard Friend

Damage Date: 5/31/2013 2:34:05 PM

Damage Address: 17636 In Rt 23, Clay, St Joseph

The Parties

Excavator: Steve Carlock

Address: 17636 In Rt 23 Ste 100, South Bend, In 46635

Facility Owner: NIPSCO

Pipeline Facility

Facility Type: Natural Gas

Facility Function: Distribution

Type of Equipment: Auger

Type of Work Performed: Landscaping

Damage Impact

Product Release: Yes

Ignition: No

Service Interruption: True

Number of Customers Affected: 1

Injuries: 0

Fatalities: 0

Repair Cost (if known): \$

Excavator Activities/Cause of Damage Information:

Excavator Request Locates: No

Indiana 811 Ticket Number:

Original Start Date:

Locate Instructions: LOCATE THE FRONT OF THE PROPERTY

Follow-Up Locate Instructions (if applicable):

Synopsis: Excavator struck and damaged an underground natural gas main while performing landscaping work.

Findings: Reported by Indiana 811; excavator's response to initial notice was received on 7/15/2013. The work was being completed without the benefit of providing notice of excavation.

Conclusion: There was a failure to provide notice of excavation.

Violation: IC 8-1-26-16(g) Failure to provide notice of excavation.



150 West Market Street, Suite 600
Indianapolis, IN 46204

August 12, 2013

Via Electronic Transmission – PipelineDamageCase@urc.in.gov

Pipeline Safety Division – Case No. 4886
Indiana Utility Regulatory Commission
101 West Washington Street, Suite 1500 East
Indianapolis, Indiana 46204

RE: Investigation Request for Information; Pipeline Division Case No. 4886

To Whom It May Concern:

Attached please find Northern Indiana Public Service Company's ("NIPSCO") written information, and supporting documentation, relating to the following event:

Date of Event: 5/31/2013
Event Location: 17636 In Rt 23
City: Indianapolis
Facility Owner: Nipsco
Excavator: Steve Carlock
Other Party: N/A
Pipeline Division Case No. 4886

NIPSCO has reviewed its recovery and damage prevention files for this matter and has provided answers, when known, to the list of questions set forth in the Information Request. To the extent available, NIPSCO is also providing a copy of the Damage Information Report – Pipeline Safety Division previously submitted by NIPSCO to IURC Pipeline Safety as well as additional documentation relating to this Event Location.

Upon completion of the investigation, NIPSCO requests that it be provided with a copy of the Pipeline Division's Investigation Report with findings that will be forwarded to the Underground Plant Protection Advisory Committee. If there are any additional questions, please contact the undersigned.

Very truly yours,

Christopher C. (Kit) Earle
NiSource Corporate Services - Legal
Phone: 317-684-4904
Fax: 317-684-4918
Email: cearle@nisource.com

IURC INFORMATION REQUEST	
Pipeline Safety Division Case No. 4886	
Date of Event	5/31/2013
Event Location	17636 In Rt 23
Event City	Clay
Facility Owner	Nipsco
Excavator	Steve Carlock
Date of IURC Information Request	July 12, 2013
THE PARTIES	
EXCAVATOR:	
BUSINESS NAME	
RESPONSIBLE PARTY PERSONAL NAME	Steve Carlock
TITLE (IF ANY)	
ADDRESS	17636 IN RT 23 STE 100
CITY/ STATE/ZIP	South Bend, IN 46635
PREFERRED TELEPHONE	574 9681060
CELL PHONE TELEPHONE	
EMAIL ADDRESS	
FACILITY INFORMATION - OWNER/OPERATOR OF UTILITY LINE:	
BUSINESS NAME	NORTHERN INDIANA PUBLIC SERVICE COMPANY
RESPONSIBLE PARTY PERSONAL NAME	LUKE SELKING
TITLE	
ADDRESS	1501 HALE AVENUE
CITY/STATE/ZIP	FORT WAYNE, IN 46802
PREFERRED TELEPHONE	260/439-1290
SECONDARY TELEPHONE	
EMAIL ADDRESS	LSELKING@NISOURCE.COM
LOCATOR SERVICE INFORMATION	
BUSINESS NAME	USIC
RESPONSIBLE PARTY PERSONAL NAME	Morgan Thompson
TITLE (IF ANY)	Claims Coordinator
ADDRESS	9045 N. River Rd. Suite 300
CITY/ STATE/ZIP	Indianapolis, IN 46240
PREFERRED TELEPHONE	1-317-538-7301
CELL PHONE TELEPHONE	Same
EMAIL ADDRESS	morganthompson@usicinc.com
OTHER (WITNESS, POLICE, FIRE, OTHER) INFORMATION	
PERSONAL CONTACT	
BUSINESS/ORGANIZATION NAME	
TITLE (IF ANY)	

ADDRESS	
CITY/ STATE/ZIP	
PREFERRED TELEPHONE	
CELL PHONE TELEPHONE	
EMAIL ADDRESS	
UTILITY LINE IMPACT	
LOCATION OF DAMAGE	
ADDRESS	17636 SR 23
CITY/STATE/ZIP	South Bend, IN, 46635
NEAREST INTERSECTION	29 th St
PRODUCT TYPE (Select One)	
NATURAL GAS	X
LIQUID PIPELINE	
UNKNOWN/OTHER	
FACILITY TYPE (Select One)	
DISTRIBUTION	X
GATHERING	
SERVICE/DROP	Click here to enter text.
TRANSMISSION	
UNKNOWN/OTHER	
SIZE (DIAMETER/ETC.)	2"
PRESSURE (PSIG/INCHES)	35
INTERRUPTION IN SERVICE (YES/NO)	Yes
NUMBER OF CUSTOMERS AFFECTED	1
EVACUATION (YES/NO)	No
IF YES, HOW MANY EVACUATED	0
REPAIR COST (IF KNOWN) (\$)	
CAUSE OF DAMAGE INFORMATION:	
TYPE OF EQUIPMENT (Select One)	
Auger	
Backhoe/Trackhoe	
Boring/Drilling	
Directional Drilling	
Explosives	
Farm Equipment	
Grader/Scraper	
Hand Tools	X
Milling Equipment	
Probing Device	

Trancher	
Vacuum Equipment	
Unknown/Other	
TYPE OF WORK PERFORMED (Select One)	
Agriculture	
Cable TV	
Curb/Sidewalk	
Bldg. Construction	
Bldg. Demolition	
Drainage	
Driveway	
Electric	
Engineering/Surveying	
Fencing	
Grading	
Irrigation	
Landscaping	X
Liquid Pipeline	
Milling	
Natural Gas	
Pole	
Public Transit Authority	
Railroad Maintenance	
Road Work	
Sewer (Sanitary/Storm)	
Site Development	
Steam	
Storm Drain/Culvert	
Street Light	
Telecommunications	
Traffic Signal	
Traffic Sign	
Water	
Waterway Improvement	
Unknown/Other	
RELEASE OF PRODUCT (YES/NO)	Yes
IGNITION AND/OR FIRE (YES/NO)	No
EXCAVATOR NOTIFY 811 (YES/NO)	Yes 1305312315
LOCATE INFORMATION:	
EXCAVATOR REQUEST LOCATE (YES/NO)	No

INDIANA 811 LOCATE TICKET NUMBER	N/A
LOCATE MARKS VISIBLE (YES/NO)	No
LOCATE MARKS CORRECT (YES/NO)	
EXCAVATOR "WHITE LINED" (YES/NO)	No
MAPS USED TO MARK FACILITIES (YES/NO)	No
OPERATOR EMPLOYEES ON-SITE DURING EXCAVATION (YES/NO)	No
INCIDENT IMPACT INFORMATION	
NUMBER OF OUTPATIENT TREATED	0
NUMBER OF INPATIENT TREATED	0
NUMBER OF FATALITIES	0
FIRE DEPARTMENT RESPONSE (YES/NO)	N/A
POLICE DEPARTMENT RESPONSE (YES/NO)	N/A
AMBULANCE RESPONSE (YES/NO)	N/A
ADDITIONAL INFORMATION/COMMENTS	
<p>No notification made to the one-call center NIPSCO Emergency Repair Ticket Number: 1305312489</p>	

Fact Based Investigation Report

Notification ID 01820130531006
Damage Date 05/31/2013 14:31
Notified By STEVE CARLOCK ()
Damage Address 17636 IN RT 23
SOUTH BEND, IN
District Northern Indiana
Notification Date 05/31/2013
14:35

Damaged Customer NIPSCO

Investigation Date From 05/31/2013 14:45:00 **To** 05/31/2013 16:15:00

Excavator Involved PROPERTY OWNER
Type of Excavation Augering Stake for Small Tree

Orig. Locate Request 1305064275 **Start Date**
Type of Ticket **Locate Req. Info** N/A Yes

Damage Request # 1305312315 **Start Date** 05/31/2013 14:25

Pictures Taken By Kevin Fox **Date** 05/31/2013 15:40
Photography Type Digital **Frame #** see 1305312315

Investigator Emp. # 130534 **Investigator Name** Josh Scheibelhut
Based on your investigation, is further investigation needed? No

Fact Based Investigation Customer Information

Facility Description Low Profile **Facility ID** Gas Service
Locator Name & EMP # - **Locator Not Known** Yes

Investigation Findings
No Locate Req. By Contractor
Other Notes

Investigation Methods
Investigation Results Verified By Utility Representative
Visual, Facility Exposed At Time Of Investigation

Investigator Statement/Causal Factors
State Farm Insurance Agency proprietor was using a 2ft augering stake to support a tree after storm damage and augered into gas service. No locate request on file. USIC not at fault.
Names of Utility Representatives Contacted or on Site and Statement
Robert Hayward, NIPSCO
Names of Excavator's Representatives Contacted or on Site and Statement
Steve Carlock
Other individuals on site
Kevin Fox, USIC

Were any markings visible on the damage site upon arrival? No
Were any other indicators of facility present in the area? No

Was the excavation within the tolerance zone of marks?	No
Extent of facility damage	n/a
Replacement Footage	n/a
Was contractor assistance required? If yes, who?	No n/a
What contractor equipment was used?	n/a
Is the facility shown on the utility records?	No
If yes, list record numbers	n/a

Facility: Transmission Lines; Folder: N/A; Assigned To: N/A

NIPSCO 00886 IUPPSa 05/31/2013 14:58:43 1305312489-00A EMER NEW STRT

EMERGENCY SEE REMARKS

Ticket : 1305312489 Date: 05/31/2013 Time: 14:54 Oper: CDEEDRICK Chan:092

State: IN Cnty: ST JOSEPH Twp: CLAY
Cityname: SOUTH BEND Inside: Y Near: N
Subdivision:

Address : 17636
Street : IN RT 23
Cross 1 : 29TH ST Within 1/4 mile: Y
Location: LOCATE THE ENTIRE PROPERTY
:
Grids : 4142D8612B
Boundary: n 41.704124 s 41.702441 w -86.211251 e -86.208638

Work type : REPAIR GAS LINE
Done for : NIPSCO
Start date: 05/31/2013 Time: 14:56 Hours notice: 0/000 Priority: EMER
Ug/Oh/Both: U Blasting: N Boring: N Railroad: N Emergency: Y
Duration : 1 DAY Depth: 6 FEET

Company : NIPSCO Type: MEMB
Co addr : 801 EAST 86TH AVENUE
City : MERRILLVILLE State: IN Zip: 46410
Caller : ANNISA BAILEY Phone: (800)322-2806
Contact : RICH WAHLGREN - CELL Phone:
BestTime:
Mobile : (574)360-9736
Fax : (219)647-4764

Remarks : All tickets are taken and processed on Eastern Daylight Time
CREW IN ROUTE

Will you be white-lining the dig site area? NO
:

Submitted date: 05/31/2013 Time: 14:54
Members: AEPIN COMCN ID5610 NIPSCO SBCIN SM

Facility: Transmission Lines; Folder: N/A; Assigned To: N/A

NIPSCO 00837 IUPPSa 05/31/2013 14:34:12 1305312315-01A EMER DAMG GRID

RETRANSMIT SEE REMARKS

Ticket : 1305312315 Date: 05/31/2013 Time: 14:32 Oper: SLUCAS Chan:060
Old Tkt: 1305312315 Date: 05/31/2013 Time: 14:22 Oper: SLUCAS Rev: 00A

State: IN Cnty: ST JOSEPH Twp: CLAY
Cityname: SOUTH BEND Inside: Y Near: N
Subdivision:

Address : 17636
Street : IN RT 23
Cross 1 : 29TH ST Within 1/4 mile: Y
Location: LOCATE THE FRONT OF THE PROPERTY
:
Grids : 4142C8612B 4142C8612C 4142D8612B 4142D8612C
Boundary: n 41.704977 s 41.702088 w -86.211377 e -86.207176

Work type : BRACING TREE WITH STAKE
Done for : STEVE CARLOCK
Start date: 05/31/2013 Time: 14:25 Hours notice: 0/000 Priority: EMER
Ug/Oh/Both: U Blasting: N Boring: N Railroad: N Emergency: Y
Duration : UNKNOWN Depth: 2 FEET

Company : STEVE CARLOCK Type: HOME
Co addr : 17636 IN RT 23 STE 100
City : SOUTH BEND State: IN Zip: 46635
Caller : STEVE CARLOCK Phone: (574)968-1060
Contact : STEVE CARLOCK - OFFICE Phone:
BestTime:
Mobile : (574)968-1060
Email : STEVE.CARLOCK@COMCAST.NET

Remarks : All tickets are taken and processed on Eastern Daylight Time
THIS TICKET IS BEING RETRANSMITTED TO ADD THAT THE LINE IS BELIEVED TO BE NIPSCO
- THANK YOU ----- A LINE BELIEVED TO BE A GAS LINE WAS HIT IN THE FRONT OF
THE PROPERTY - LINE STARTED BLOWING THEN GAS COULD BE SMELLED - CALLER HAS
CALLED THE UTILTY AND 911 - OWNER IS ON SITE - GAS LINE SIZE COLOR AND MATERIAL
ARE UNKNOWN - THERE IS NO PREVIOUS TICKET NUMBER - THANK YOU
Will you be white-lining the dig site area? NO
:

Submitted date: 05/31/2013 Time: 14:32
Members: AEPIN COMCN ID5610 NIPSCO SBCIN SM

NORTHERN INDIANA PUBLIC SERVICE COMPANY
FACILITY DAMAGE REPORT

** COMPLETE FORM FOR ALL DAMAGES TO NIPSCO FACILITIES **

REPORTING OPERATING AREA South Bend MAXIMO WO # _____
OPERATING AREA CONTACT Jim Armstrong JOB ORDER # 602509
TRACKING NUMBER 01820130531006 LOCATE REF # _____
Locate Performed By: _____

DATE AND TIME OF ACCIDENT 5-31-13 14:18 2013, P M DATE OF REPORT _____
PLACE OF DAMAGE (INCLUDE CITY) 17636 D.R. 23, South Bend

DAMAGE WAS TO:
ELECTRIC - POLE / TRANSFORMER: # _____ SIZE _____ YEAR INSTALLED _____ BROKEN YES () NO ()

OTHER (DESCRIBE) _____

GAS: SERVICE () MAIN () SIZE 2" MATERIAL: PLASTIC () STEEL () METER () REG STATION () STUB ()
OTHER (DESCRIBE) _____

DEPTH OF FACILITY (inches) 78" PRESSURE (PSI) 35 Lbs.

RELEASE OF GAS: YES () NO () IGNITION OF GAS: YES () NO () EVACUATION REQUIRED: YES () # _____ NO ()

INTERRUPTION OF SERVICE: YES () NO () NUMBER OF CUSTOMERS LOST: 1

DURATION OF INTERRUPTION: TIME REPORTED 14:18 TIME SHUT OFF 16:00 TIME RESTORED 17:00

DIAMETER/MEASUREMENT OF HOLE IN GAS FACILITY: 1/4"

LOCATE MARKS ON SITE: YES () DISTANCE BETWEEN FACILITY AND LOCATE MARKS _____ NO ()
HOW LOCATED: PAINT () FLAGS () BOTH () WHITE LINED ()

PARTY THAT CAUSED DAMAGES (NAME) Bougenia - Carlock Real Estate LLC

ADDRESS OF PARTY (INCLUDE CITY) 17636 D.R. 23, South Bend, 46635

WHO WAS IN CHARGE OF THE WORK SITE AT TIME OF DAMAGE Bougenia

WITNESS NAME AND ADDRESS _____

WITNESS REMARKS _____

AGENCIES NOTIFIED / ONSITE: POLICE () AGENCY No REPORT # _____

FIRE () AGENCY Clay Township REPORT # _____

OTHER () _____ Any Injuries? () YES # _____ () NO

PHOTOS TAKEN: YES () NO () TAKEN BY: _____ (ATTACH PHOTOS TO REPORT)
MEDIA ON SITE YES () NO ()

- WORK IN PROGRESS WHEN FACILITY DAMAGED - CHECK APPROPRIATE CHOICE BELOW
- | | | | |
|-------------------------|----------------|-------------------|--|
| () AGRICULTURE/FARMING | () CABLE TV | () CURB/SIDEWALK | () TELECOMMUNICATIONS |
| () BLDG CONSTRUCTION | () DEMOLITION | () DRAINAGE | () WATER |
| () DRIVEWAY | () ELECTRIC | () SURVEYING | () DRAINS/CULVERTS |
| () FENCING | () GRADING | () IRRIGATION | () MOWING |
| () LANDSCAPING | () PIPELINE | () MILLING | () OTHER <u>Anchor post installed</u> |
| () POLE/SIGN POST | () ROAD WORK | () SEWER | |

- TYPE OF EQUIPMENT USED - CHECK APPROPRIATE CHOICE BELOW
- | | | |
|-----------------------|--------------------|-----------------------|
| () AUGER | () HAND TOOLS | () BACKHOE/TRACKHOE |
| () MILLING EQUIPMENT | () PROBING DEVICE | () BORING / DRILLING |
| () EXPLOSIVES | () TRENCHER | () FARM EQUIPMENT |
| () VACCUUM EQUIPMENT | () GRADER | () OTHER |

- REASON DAMAGE OCCURRED - CHECK APPROPRIATE CHOICE BELOW
- | | | |
|-------------------------|-----------------------------------|-------------------------------|
| () AUTOMOTIVE ACCIDENT | () EXCAVATING BEFORE LOCATES DUE | () CARELESS MACHINE OPERATOR |
| () NO NOTIFICATION | () MARKS DISTURBED | () STUB |
| | | () OTHER |

COMMENTS: Installed anchor post (Auger type) hit main.

PERSON PREPARING REPORT L. Weesner 057183

FIELD SUPERVISOR Rich Wahlgren

FIELD MANAGER Sau, Dq/L

- ORIGINAL REPORT AND SUPPORTING INFORMATION IS TO BE SENT TO FACILITY DAMAGE RECOVERY
- COPY OF REPORT IS TO BE SENT TO DAMAGE PREVENTION

SKETCH: - Show position of all pertinent information

FOR OFFICE USE ONLY:

- | | | |
|---|-----|----|
| • DID EXCAVATION OCCUR BEFORE LOCATES WERE DUE | YES | NO |
| • NO IN 811 LOCATE CALLED IN | YES | NO |
| • DAMAGE CAUSED FROM EXCAVATION WITHIN 24" ZONE | YES | NO |
| • EXPIRED LOCATE | YES | NO |
| • WAS WHITE LINING INDICATED ON LOCATE REQUEST | YES | NO |

COMPLETED BY: _____ DATE: _____



Property of United States Infrastructure Corporation
Photo taken on 5/31/2013 3:54:24 PM

**INFORMATION REQUEST**

State Form 54909 (R / 3-13)

INDIANA UTILITY REGULATORY COMMISSION - PIPELINE SAFETY DIVISION

RECEIVED

JUL 15 2013

INDIANA UTILITY
REGULATORY COMMISSION

Case Number: 4886

Date of Damage (month, day, year): 05/31/2013

Location of Damage:

Address (number and street): 17636 Sr 23

City, State and ZIP Code: South Bend, IN. 46635

Nearest Intersection: 29th Street

Excavator Information:

Business Name: Bogunia - Carlock REal Estate llc

Responsible Party Personal Name: Steve Carlock

Title (if any): Partner

Address (number and street): 17636 SR 23 Suite 100

City, State and ZIP Code: South Bend In. 46635

Preferred Telephone Number (area code): 574-968-1060

Email Address: cfs.stevecarlock@comcast.net

Utility Information:

Utility Name: Nipsco

Contact Person: unknown

Title (if any):



Cause of Damage Information

Type of Equipment (select one):

Hand Tools

Type of Work Performed (select one):

Unknown/Other

Repair Cost: \$ unknown

Did a leak result from damage: Yes No

Was there ignition: Yes No

Excavator Notify 911 due to leak: Yes No

Excavator Notify 811 upon damage: Yes No

Excavator Notify Utility upon Damage: Yes No

Locate Information

Excavator Request Locate: Yes No

Indiana 811 Locate Ticket Number: _____

Locate Marks Visible: Yes No

Locate Marks Correct: Yes No

Excavator "White Lined": Yes No

Was Locate Provided within Two (2) Working Days: Yes No

Utility Employees On-site during Excavation: Yes No

Incident Information:

Fire Department Response: Yes No

Police Department Response: Yes No

Ambulance Response: Yes No

Additional Information / Comments

Two years ago a storm blew over a small tree on our property, at that time we placed stakes in the ground in order to hold the tree up so it could re-root. Again this year another storm blew the small tree over. When we set it back up we placed the same type of auger stake which is approximately two feet long into the ground in order to hold it up.

At the bottom of placing the stake in the ground the auger portion of the stake punctured the side of the pcv gas pipe. All required parties were notified immediately which include 911,811, Nipsco, and a locater service.

We are not an excavator business, we were simply attempting to stand up a small tree in order for it to re-root itself. In doing so the stake was long enough to reach the gas line and puncture it. Since this occurred a subsequent storm blew our tree over again and we have now removed this tree.

We were not aware that we needed to provide a notice to place a stake in the ground to set up a tree nor were we aware that the gas line was shallow enough that it would be contacted by the stake.

No mechanized equipment was used, only a screw drive to assist with turning the stake.
I hope this information is of help. Please contact me if you need additional information.

Printed Name: Steve Carlock

Signature: Steve Carlock

Date (month, day, year): 7/14/13

**If submitting this form electronically, typing your name in the signature box will act as an electronic signature.*

Please return completed form to:

PipelineDamageCase@urc.in.gov

Or mail to:

**Indiana Utility Regulatory Commission
Pipeline Safety Division - Case Number 4880
101 West Washington Street, 1500E
Indianapolis, IN 46204**

**Or fax to:
317-233-2410**