

ORIGINAL

STATE OF INDIANA

INDIANA UTILITY REGULATORY COMMISSION

PETITION OF AQUA INDIANA, INC. (“AQUA)
INDIANA”) FOR (1) APPROVAL OF THE SALE AND)
TRANSFER TO AQUA INDIANA OF WASTEWATER)
UTILITY ASSETS IN CRAWFORD COUNTY, INDIANA)
USED BY JUSTIN LEWIS AND/OR WHITE OAK)
SEWAGE TREATMENT, LLC PURSUANT TO AN)
ASSET PURCHASE AGREEMENT; (2) APPROVAL OF)
CERTAIN ACCOUNTING AND OTHER RELIEF)
RELATED TO THE ACQUISITION OF THOSE)
ASSETS; (3) ISSUANCE TO AQUA INDIANA OF A)
CERTIFICATE OF TERRITORIAL AUTHORITY)
ENCOMPASSING RURAL AREAS IN LIBERTY)
TOWNSHIP IN CRAWFORD COUNTY; AND (4))
CONSENTING TO CRAWFORD COUNTY GRANTING)
AQUA INDIANA LICENSES, PERMITS OR)
FRANCHISES FOR THE USE OF COUNTY-OWNED)
PROPERTY.)

CAUSE NO. 44811

APPROVED: AUG 10 2016

PREHEARING CONFERENCE ORDER OF THE COMMISSION

Presiding Officers:
James F. Huston, Commissioner
Loraine L. Seyfried, Chief Administrative Law Judge

On July 1, 2016, Aqua Indiana, Inc. (“Petitioner”) filed its petition with the Indiana Utility Regulatory Commission (“Commission”) in this matter.

Pursuant to notice and as provided for in 170 IAC 1-1.1-15, a Prehearing Conference in this Cause was held in Room 224 of the PNC Center, 101 West Washington Street, Indianapolis, Indiana, at 9:30 a.m., on August 4, 2016. Proofs of publication of the notice of the Prehearing Conference have been incorporated into the record and placed in the official files of the Commission. Petitioner and the Indiana Office of Utility Consumer Counselor (“OUCC”) appeared and participated at the Prehearing Conference. No members of the general public appeared.

Prior to the opening of the record and with the consent of all parties in attendance, an informal discussion was held regarding procedural and scheduling matters in this Cause. The agreement of the parties was then read into the record.

Based upon the agreement of the parties, the Commission enters the following Findings and Order which shall become a part of the record in this proceeding:

1. **Petitioner's Prefiling Date.** Petitioner shall prefile with the Commission its prepared testimony and exhibits constituting its case-in-chief on or before August 25, 2016. Copies of same shall be served upon all parties of record.

2. **OUCC's and Intervenors' Prefiling Date.** The OUCC and all Intervenors shall prefile with the Commission the prepared testimony and exhibits constituting their respective cases-in-chief on or before October 20, 2016. Copies of same shall be served upon all parties of record.

3. **Petitioner's Rebuttal Prefiling.** Petitioner shall prefile with the Commission its prepared rebuttal testimony on or before November 10, 2016. Copies of same shall be served upon all parties of record.

4. **Evidentiary Hearing on the Parties' Cases-In-Chief.** In the event this Cause is not settled, the cases-in-chief of the Petitioner, the OUCC, and any Intervenors shall be presented in an evidentiary hearing to commence at 9:30 a.m. on November 28, 2016, in Room 222 of the PNC Center, 101 West Washington Street, Indianapolis, Indiana. At such time, the direct evidence of the respective parties shall be presented and their respective witnesses examined. Thereafter, Petitioner shall present its prefiled rebuttal evidence as well as any additional evidence rebutting evidence adduced on cross-examination of the OUCC's or Intervenors' witnesses. If the parties reach settlement, the agreement and supporting testimony and exhibits shall be submitted to the Commission 10 business days prior to the evidentiary hearing.

5. **Sworn Testimony.** Any witness testimony to be offered into the record of this proceeding shall be made under oath or affirmation. In accordance with 170 IAC 1-1.1-18(h), if the prefiled testimony of a witness is to be offered into evidence at the evidentiary hearing, and the witness sponsoring the prefiled testimony is not required to, and does not, attend the evidentiary hearing, the prefiled testimony shall be accompanied by the witness's sworn affidavit or written verification at the time the evidence is offered into the record.

6. **Discovery.** Discovery is available for all parties and shall be conducted on an informal basis. Any response or objection to a discovery request shall be made within 10 calendar days of the receipt of such request. After November 10, 2016, any response or objection to a discovery request shall be made within five business days. In addition, any discovery served after noon on a Friday shall be deemed to have been served on the next business day.

7. **Prefiling of Workpapers.** When prefiling technical evidence with the Commission, each party shall file copies of the work papers used to produce that evidence within two business days after the prefiling of such technical evidence. Copies of same shall also be served on the other parties to this Cause. When submitting workpapers to the Commission, two copies of each document shall be filed with the Secretary of the Commission.

8. **Number of Copies/Corrections.** With the exception of work papers, the parties shall file with the Commission an original and two copies of all prefiled testimony and exhibits. Any corrections to prefiled testimony shall be made in writing as soon as possible after discovery

of the need to make such corrections. Although the Commission's rules require that original copies be one-sided, it is the Commission's preference that duplicate copies use both sides of the paper.

Parties may also elect to file documents with the Commission using the Commission's Electronic Filing System in lieu of filing paper documents. Pursuant to the terms and conditions of the Electronic Filing System, documents filed electronically are deemed filed the date they are submitted, subject to verification and acceptance by the Commission, and will receive an electronic file stamp. For filings greater than 30 pages in length, a party shall file with the Commission an original and two paper copies within two business days of the electronic filing. When supplying such copies, the party must provide a copy of the email reflecting the electronic filing was accepted by the Commission.

9. Objections to Prefiled Testimony and Exhibits. Any objections to the admissibility of prefiled testimony or exhibits shall be filed with the Commission and served on all parties of record no less than two business days prior to the date scheduled for commencement of the hearing at which the testimony or exhibit will be offered into the record.

10. Intervenors. Any party permitted to become an Intervenor in this Cause shall be bound by the record as it stands at the time its Petition to Intervene is granted, pursuant to 170 IAC 1-1.1-11.

IT IS THEREFORE ORDERED BY THE INDIANA UTILITY REGULATORY COMMISSION that:

1. The determinations of the Prehearing Conference set forth in this Order are made a part of the record in this Cause and shall be binding on all present and future parties of record during the proceedings of this Cause.

2. This Order shall be effective on and after the date of its approval.

ZIEGNER, HUSTON, AND WEBER CONCUR; STEPHAN ABSENT:

APPROVED: **AUG 10 2016**

I hereby certify that the above is a true and correct copy of the Order as approved.



Mary M. Becerra
Secretary of the Commission