

ORIGINAL

STATE OF INDIANA

INDIANA UTILITY REGULATORY COMMISSION

VERIFIED PETITION OF DUKE ENERGY INDIANA, INC., FOR )  
APPROVAL OF (1) A PHASE 3 PLAN TO ENSURE )  
COMPLIANCE WITH REGULATED AIR EMISSION LIMITS; )  
(2) PETITIONER'S PHASE 3 PLAN PROJECTS AS QUALIFIED )  
POLLUTION CONTROL PROPERTY AND CLEAN ENERGY )  
PROJECTS; (3) CERTAIN FINANCIAL INCENTIVES IN )  
CONNECTION WITH PETITIONER'S PHASE 3 COMPLIANCE )  
PLAN, INCLUDING THE TIMELY RECOVERY OF COSTS )  
INCURRED DURING CONSTRUCTION AND OPERATION OF )  
THE CLEAN ENERGY PROJECTS VIA DUKE ENERGY )  
INDIANA'S RIDER NOS. 62 AND 71, AND THE USE OF )  
ACCELERATED DEPRECIATION; (4) THE AUTHORITY TO )  
DEFER POST-IN-SERVICE CARRYING COSTS AS A )  
REGULATORY ASSET UNTIL THE APPLICABLE COSTS ARE )  
REFLECTED IN PETITIONER'S RATES; (5) THE AUTHORITY )  
TO DEFER DEPRECIATION AND INCREMENTAL )  
OPERATION AND MAINTENANCE EXPENSES ON AN )  
INTERIM BASIS UNTIL THE APPLICABLE COSTS ARE )  
REFLECTED IN PETITIONER'S RATES; AND (6) THE TIMELY )  
RECOVERY OF FUTURE COMPLIANCE PLAN )  
DEVELOPMENT, ENGINEERING, TESTING AND PRE- )  
CONSTRUCTION COSTS )

CAUSE NO. 44418

APPROVED:

DEC 18 2013

PREHEARING CONFERENCE ORDER OF THE COMMISSION

**Presiding Officers:**  
**David E. Ziegner, Commissioner**  
**Jeffery A. Earl, Administrative Law Judge**

On November 7, 2013, Duke Energy Indiana, Inc. ("Petitioner") filed its petition with the Indiana Utility Regulatory Commission ("Commission") in this matter.

Pursuant to notice and as provided for in 170 IAC 1-1.1-15, a Prehearing Conference in this Cause was held at 9:30 a.m. on December 12, 2013, in Hearing Room 224, 101 West Washington Street, Indianapolis, Indiana. Proofs of publication of the notice of the Prehearing Conference have been incorporated into the record and placed in the official files of the Commission. Petitioner and the Indiana Office of Utility Consumer Counselor ("OUCC") appeared and participated at the Prehearing Conference. No members of the general public appeared.

Prior to the opening of the record and with the consent of all parties in attendance, an informal discussion was held regarding procedural and scheduling matters in this Cause. The agreement of the parties was then read into the record.

Based upon the agreement of the parties, the Commission now enters the following Findings and Order which shall become a part of the record in this proceeding:

1. **Petitioner's Prefiling Date.** The Petitioner prefiled its prepared testimony and exhibits constituting its case-in-chief on or before November 12, 2013. Copies of same shall be served upon all parties of record.

2. **OUCC's and Intervenors' Prefiling Date.** The OUCC and any Intervenors shall prefile with the Commission the prepared testimony and exhibits constituting their respective cases-in-chief on or before February 14, 2014. Copies of same shall be served upon all parties of record.

3. **Petitioner's Rebuttal Prefiling.** The Petitioner shall prefile with the Commission its prepared rebuttal testimony on or before February 27, 2014. Copies of same shall be served upon all parties of record.

4. **Witness Order.** Parties shall submit their intended order of witnesses to the Commission and the parties in writing at least 72 hours in advance of the evidentiary hearing.

5. **Evidentiary Hearing on the Parties' Cases-In-Chief.** The cases-in-chief of the Petitioner, the OUCC and any Intervenors shall be presented in an evidentiary hearing to commence at 9:30 a.m. on March 13, 2014, in Hearing Room 222, 101 West Washington Street, Indianapolis, Indiana. At such time, the direct evidence of the respective parties should be presented and their respective witnesses examined. Thereafter, Petitioner should present its prefiled rebuttal evidence as well as any additional evidence rebutting evidence adduced on cross-examination of the OUCC's or Intervenors' witnesses. If the parties reach settlement, the agreement and supporting testimony and exhibits shall be submitted to the Commission five business days prior to the Evidentiary Hearing.

6. **Sworn Testimony.** Any witness testimony to be offered into the record of this proceeding shall be made under oath or affirmation. In accordance with 170 IAC 1-1.1-18(h), if the prefiled testimony of a witness is to be offered into evidence at the Evidentiary Hearing, and the witness sponsoring the prefiled testimony is not required to, and does not, attend the Evidentiary Hearing, the prefiled testimony shall be accompanied by the witness's sworn affidavit or written verification at the time the evidence is offered into the record.

7. **Discovery.** Discovery is available for all parties and shall be conducted on an informal basis. Any response or objection to a discovery request shall be made within ten calendar days of the receipt of such request. Any discovery received after, December 20, 2013, will be deemed to have been received on January 2, 2014. After February 27, 2014, any response or objection to a discovery request shall be made within five business days. The parties have agreed to conduct discovery by electronic means.

8. **Prefiling of Workpapers.** When prefiling technical evidence with the Commission, each party shall file copies of the work papers used to produce that evidence within two business days after the prefiling of the technical evidence. Copies of same shall also be provided to any other party requesting

such in writing. When submitting workpapers to the Commission, two copies of each document shall be filed with the Secretary of the Commission.

9. **Number of Copies/Corrections.** With the exception of work papers, the parties shall file with the Commission an original and five copies of all prefiled testimony and exhibits. Any corrections to prefiled testimony shall be made in writing as soon as possible after discovery of the need to make such corrections. Although the Commission's rules require that original copies be one-sided, it is the Commission's preference that duplicate copies use both sides of the paper.

Parties may also elect to file documents with the Commission using the Commission's Electronic Filing System in lieu of filing paper documents. Pursuant to the terms and conditions of the Electronic Filing System, documents filed electronically are deemed filed the date they are submitted, subject to verification and acceptance by the Commission, and will receive an electronic file stamp. For filings greater than 30 pages in length, a party shall file with the Commission an original and one paper copy within two business days of the electronic filing. When supplying such copies, the party must provide a copy of the email reflecting the electronic filing was accepted by the Commission.

10. **Objections to Prefiled Testimony and Exhibits.** Any objections to the admissibility of prefiled testimony or exhibits shall be filed with the Commission and served on all parties of record no less than two business days prior to the date scheduled for commencement of the hearing at which the testimony or exhibit will be offered into the record.

11. **Intervenors.** Any party permitted to become an Intervenor in this Cause shall be bound by the record as it stands at the time its Petition to Intervene is granted, pursuant to 170 IAC 1-1.1-11.

**IT IS THEREFORE ORDERED BY THE INDIANA UTILITY REGULATORY COMMISSION that:**

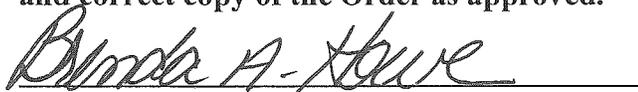
1. The determinations of the Prehearing Conference set forth in this Order are made a part of the record in this Cause and shall be binding on all present and future parties of record during the proceedings of this Cause.

2. This Order shall be effective on and after the date of its approval.

**ATTERHOLT, LANDIS, MAYS, AND ZIEGNER CONCUR:**

**APPROVED: DEC 18 2013**

**I hereby certify that the above is a true and correct copy of the Order as approved.**



**Brenda A. Howe  
Secretary to the Commission**