

ORIGINAL

STATE OF INDIANA

INDIANA UTILITY REGULATORY COMMISSION

JOINT PETITION OF INDIANA AMERICAN WATER )  
COMPANY, INC. ("INDIANA AMERICAN") AND THE )  
YANKEETOWN WATER AUTHORITY, NEWBURGH, )  
INDIANA ("YANKEETOWN") FOR APPROVAL AND )  
AUTHORIZATION OF: (A) THE ACQUISITION BY )  
INDIANA-AMERICAN OF YANKEETOWN'S WATER )  
UTILITY PROPERTIES (THE "YANKEETOWN )  
WATER SYSTEM") IN WARRICK COUNTY, )  
INDIANA IN ACCORDANCE WITH A PURCHASE )  
AGREEMENT THEREFOR; (B) APPROVAL OF )  
ACCOUNTING AND RATE BASE TREATMENT; (C) )  
APPLICATION OF INDIANA AMERICAN'S AREA )  
ONE RATES AND CHARGES TO WATER SERVICE )  
RENDERED BY INDIANA AMERICAN IN THE AREA )  
SERVED BY THE YANKEETOWN WATER SYSTEM )  
("THE YANKEETOWN AREA") ALONG WITH A )  
MONTHLY SURCHARGE IN THE AMOUNT OF \$10 )  
PER CUSTOMER FOR TEN YEARS; (D) )  
APPLICATION OF INDIANA AMERICAN'S )  
DEPRECIATION ACCRUAL RATES TO SUCH )  
ACQUIRED PROPERTIES; (E) THE SUBJECTION OF )  
THE ACQUIRED PROPERTIES TO THE LIEN OF )  
INDIANA AMERICAN'S MORTGAGE INDENTURE; )  
AND (F) THE ASSUMPTION OF THE MORTGAGE )  
ASSOCIATED WITH YANKEETOWN'S 2011 BOND )  
ISSUE. )

CAUSE NO. 44400

APPROVED:

NOV 13 2013

PREHEARING CONFERENCE ORDER OF THE COMMISSION

**Presiding Officers:**  
**Kari A.E. Bennett, Commissioner**  
**David E. Veleta, Administrative Law Judge**

On September 27, 2013, Indiana-American Water Company, Inc. and Yankeetown Water Authority ("Joint Petitioners") filed their Joint Petition with the Indiana Utility Regulatory Commission ("Commission") in this matter.

Pursuant to notice and as provided for in 170 IAC 1-1.1-15, a Prehearing Conference in this Cause was held at 10:00 a.m. on November 6, 2013 in Room 224 of the PNC Center, 101 West Washington Street, Indianapolis, Indiana. Proofs of publication of the notice of the

Prehearing Conference have been incorporated into the record and placed in the official files of the Commission. Joint Petitioners and the Indiana Office of Utility Consumer Counselor ("OUCC") appeared and participated at the Prehearing Conference. No members of the general public appeared.

Prior to the opening of the record and with the consent of all parties in attendance, an informal discussion was held regarding procedural and scheduling matters in this Cause. The agreement of the parties was then read into the record.

Based upon the agreement of the parties, the Commission now enters the following Findings and Order which shall become a part of the record in this proceeding:

1. **Joint Petitioners' Prefiling Date.** The Joint Petitioners prefiled with the Commission their prepared testimony and exhibits constituting their case-in-chief on September 27, 2013. Copies of same were served upon all parties of record.

2. **OUCC's and Intervenors' Prefiling Date.** The OUCC and all Intervenors shall prefile with the Commission the prepared testimony and exhibits constituting their respective cases-in-chief on or before January 27, 2014. Copies of same shall be served upon all parties of record.

3. **Joint Petitioners' Rebuttal Prefiling.** The Joint Petitioners shall prefile with the Commission their prepared rebuttal testimony on or before February 10, 2014. Copies of same shall be served upon all parties of record.

4. **Witness Order.** Parties shall submit their intended order of witnesses to the Commission and the parties in writing at least 72 hours in advance of the evidentiary hearing.

5. **Evidentiary Hearing on the Parties' Cases-In-Chief.** The cases-in-chief of the Joint Petitioners, the OUCC and any Intervenors shall be presented in an evidentiary hearing to commence at 9:30 a.m. on February 27, 2014 in Room 222 of the PNC Center, 101 West Washington Street, Indianapolis, Indiana. At such time, the direct evidence of the respective parties should be presented and their respective witnesses examined. Thereafter, Joint Petitioners should present their prefiled rebuttal evidence as well as any additional evidence rebutting evidence adduced on cross-examination of the OUCC's or Intervenors' witnesses. If the parties reach settlement, the agreement and supporting testimony and exhibits shall be submitted to the Commission five (5) business days prior to the Evidentiary Hearing.

6. **Sworn Testimony.** Any witness testimony to be offered into the record of this proceeding shall be made under oath or affirmation. In accordance with 170 IAC 1-1.1-18(h), if the prefiled testimony of a witness is to be offered into evidence at the Evidentiary Hearing, and the witness sponsoring the prefiled testimony is not required to, and does not, attend the Evidentiary Hearing, the prefiled testimony shall be accompanied by the witness's sworn affidavit

or written verification at the time the evidence is offered into the record.

7. **Discovery.** Discovery is available for all parties and shall be conducted on an informal basis. Any response or objection to a discovery request shall be made within ten (10) calendar days of the receipt of such request. After the Joint Petitioners' rebuttal filing, any response or objection to a discovery request shall be made within five (5) business days of the receipt of such request.

8. **Prefiling of Workpapers.** When prefiling technical evidence with the Commission, each party shall file copies of the work papers used to produce that evidence within two (2) business days after the prefiling of the technical evidence. Copies of same shall also be provided to any other party requesting such in writing. When submitting workpapers to the Commission, two (2) copies of each document shall be filed with the Secretary of the Commission.

9. **Number of Copies/Corrections.** With the exception of work papers, the parties shall file with the Commission an original and five (5) copies of all prefiled testimony and exhibits. Any corrections to prefiled testimony shall be made in writing as soon as possible after discovery of the need to make such corrections. Although the Commission's rules require that original copies be one-sided, it is the Commission's preference that duplicate copies use both sides of the paper.

Parties may also elect to file documents with the Commission using the Commission's Electronic Filing System in lieu of filing paper documents. Pursuant to the terms and conditions of the Electronic Filing System, documents filed electronically are deemed filed the date they are submitted, subject to verification and acceptance by the Commission, and will receive an electronic file stamp. For filings greater than thirty (30) pages in length, a party shall file with the Commission an original and one (1) paper copy within two (2) business days of the electronic filing. When supplying such copies, the party must provide a copy of the email reflecting the electronic filing was accepted by the Commission.

10. **Objections to Prefiled Testimony and Exhibits.** Any objections to the admissibility of prefiled testimony or exhibits shall be filed with the Commission and served on all parties of record no less than two (2) business days prior to the date scheduled for commencement of the hearing at which the testimony or exhibit will be offered into the record.

11. **Intervenors.** Any party permitted to become an Intervenor in this Cause shall be bound by the record as it stands at the time its Petition to Intervene is granted, pursuant to 170 IAC 1-1.1-11.

**IT IS THEREFORE ORDERED BY THE INDIANA UTILITY REGULATORY COMMISSION that:**

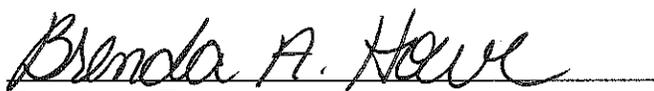
1. The determinations of the Prehearing Conference set forth in this Order are made a part of the record in this Cause and shall be binding on all present and future parties of record during the proceedings of this Cause.

2. This Order shall be effective on and after the date of its approval.

**ATTERHOLT, BENNETT, LANDIS AND ZIEGNER CONCUR; MAYS ABSENT:**

APPROVED:      NOV 13 2013

I hereby certify that the above is a true and correct copy of the Order as approved.

A handwritten signature in cursive script that reads "Brenda A. Howe". The signature is written over a horizontal line.

Brenda A. Howe  
Secretary to the Commission