

STATE OF INDIANA

INDIANA UTILITY REGULATORY COMMISSION

JOINT PETITION OF CITY OF EVANSVILLE,)
INDIANA AND JOHNSON CONTROLS, INC. FOR) CAUSE NO. 44123
APPROVAL OF A GUARANTEED PERFORMANCE)
CONTRACT AND ASSOCIATED FINANCING) APPROVED: JAN 25 2012
TERMS)

PREHEARING CONFERENCE ORDER OF THE COMMISSION

Presiding Officers:

Larry S. Landis, Commissioner
Jeffery A. Earl, Administrative Law Judge

On November 28, 2011, the City of Evansville, Indiana, (“Evansville”) and Johnson Controls, Inc. (“JCI”) (collectively “Joint Petitioners”) filed their petition with the Indiana Utility Regulatory Commission (“Commission”) in this matter.

Pursuant to notice and as provided for in 170 IAC 1-1.1-15, a Prehearing Conference in this Cause was held at 9:30 a.m. on January 18, 2012, in Hearing Room 224, 101 West Washington Street, Indianapolis, Indiana. Proofs of publication of the notices of the Prehearing Conference have been incorporated into the record and placed in the official files of the Commission. Joint Petitioners and the Indiana Office of Utility Consumer Counselor (“OUCC”) appeared and participated at the Prehearing Conference. No members of the general public appeared.

Prior to the opening of the record and with the consent of all parties in attendance, an informal discussion was held regarding procedural and scheduling matters in this Cause. The agreement of the parties was then read into the record.

Based upon the agreement of the parties, the Commission now enters the following Findings and Order which shall become a part of the record in this proceeding:

1. **Joint Petitioners’ Prefiling Date.** Joint Petitioners prefiled with the Commission the prepared testimony and exhibits constituting their case-in-chief on December 29, 2011.
2. **OUCC’s and Intervenors’ Prefiling Date.** The OUCC and any Intervenors shall prefile with the Commission the prepared testimony and exhibits constituting their respective cases-in-chief on or before March 21, 2012. Copies of same shall be served upon all parties of record.
3. **Joint Petitioners’ Rebuttal Prefiling.** Joint Petitioners shall prefile with the Commission their prepared rebuttal testimony on or before April 2, 2012. Copies of same shall be served upon all parties of record.

4. **Evidentiary Hearing on the Parties' Cases-In-Chief.** In the event this Cause is not settled the cases-in-chief of the Joint Petitioners, the OUCC, and any Intervenors shall be presented in an evidentiary hearing to commence at 9:30 a.m. on April 19, 2012, in Hearing Room 222, 101 West Washington Street, Indianapolis, Indiana. At such time, the direct evidence of the respective parties should be presented and their respective witnesses examined. Thereafter, Joint Petitioners should present their rebuttal evidence as well as any additional evidence rebutting evidence adduced on cross-examination of the OUCC's or Intervenors' witnesses. If the parties reach settlement, the agreement and supporting testimony and exhibits shall be submitted to the Commission at least five (5) business days prior to the Evidentiary Hearing.

5. **Sworn Testimony.** Any witness testimony to be offered into the record of this proceeding shall be made under oath or affirmation. In accordance with 170 IAC 1-1.1-18(h), if the prefiled testimony of a witness is to be offered into evidence at the Evidentiary Hearing, and the witness sponsoring the prefiled testimony is not required to, and does not, attend the Evidentiary Hearing, the prefiled testimony shall be accompanied by the witness's sworn affidavit or written verification at the time the evidence is offered into the record.

6. **Discovery.** Discovery is available for all parties and shall be conducted on an informal basis. Any response or objection to a discovery request shall be made within ten (10) calendar days of the receipt of such request until April 2, 2012. After April 2, 2012, any response or objection to a discovery request shall be made within four (4) business days. Any discovery served after noon on a Friday shall be deemed to have served on the following business day. The parties have agreed to conduct discovery by electronic means.

7. **Prefiling of Workpapers.** When prefilng technical evidence with the Commission, each party shall file copies of the work papers used to produce that evidence within two (2) business days after the prefilng of the technical evidence. Copies of same shall also be provided to any other party requesting such in writing. When submitting workpapers to the Commission, two (2) copies of each document shall be filed with the Secretary of the Commission.

8. **Number of Copies/Corrections.** With the exception of work papers, the parties shall file with the Commission an original and five (5) copies of all prefiled testimony and exhibits. Any corrections to prefiled testimony shall be made in writing as soon as possible after discovery of the need to make such corrections. Although the Commission's rules require that original copies be one-sided, it is the Commission's preference that duplicate copies use both sides of the paper.

Parties may also elect to file documents with the Commission using the Commission's Electronic Filing System in lieu of filing paper documents. Pursuant to the terms and conditions of the Electronic Filing System, documents filed electronically are deemed filed the date they are submitted, subject to verification and acceptance by the Commission, and will receive an electronic file stamp. For filings greater than thirty (30) pages in length, a party shall file with the Commission an original and one (1) paper copy within two (2) business days of the electronic filing. When supplying such copies, the party must provide a copy of the email reflecting the electronic filing was accepted by the Commission.

9. **Objections to Prefiled Testimony and Exhibits.** Any objections to the admissibility of prefiled testimony or exhibits shall be filed with the Commission and served on all parties of record no less than two (2) business days prior to the date scheduled for commencement of the hearing at which the testimony or exhibit will be offered into the record.

10. **Intervenors.** Any party permitted to become an Intervenor in this Cause shall be bound by the record as it stands at the time its Petition to Intervene is granted, pursuant to 170 IAC 1-1.1-11.

IT IS THEREFORE ORDERED BY THE INDIANA UTILITY REGULATORY COMMISSION that:

1. The determinations of the Prehearing Conference set forth in this Order are made a part of the record in this Cause and shall be binding on all present and future parties of record during the proceedings of this Cause.

2. This Order shall be effective on and after the date of its approval.

ATTERHOLT, LANDIS, MAYS AND ZIEGNER CONCUR; BENNETT ABSENT:

APPROVED: **JAN 25 2012**

**I hereby certify that the above is a true
and correct copy of the Order as approved.**



Brenda A. Howe
Secretary to the Commission