

FEDERAL IDENTIFICATION NUMBER:
35-6001180

STATE OF INDIANA
STATE BOARD OF ACCOUNTS
302 W. WASHINGTON ST., E418
INDIANAPOLIS, IN 46204-2765
TELEPHONE: (317) 232-2513

UNIT NAME:
ROCKPORT CIVIL CITY

COUNTY:
SPENCER COUNTY

ID: 74-3-458
(State Board of Accounts USE ONLY)

ANNUAL CITY AND TOWN FINANCIAL REPORT
PRESCRIBED BY
THE STATE BOARD OF ACCOUNTS

CTAR-1 (REVISED 2009)

FOR THE FISCAL YEAR THAT ENDED 2009

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CERTIFICATION: This is to certify that the data contained in this report is accurate and agrees with the financial records, to the best of my knowledge and belief.

SIGNATURE OF OFFICIAL: _____

TITLE: CLERK-TREASURER

PRINTED NAME OF OFFICIAL: HAROLD GOFFINET

DATE SIGNED: _____

ADDRESS: 426 MAIN ST.

CITY: ROCKPORT

EMAIL ADDRESS: HGGOFFINET@GMAIL.COM

TELEPHONE (BETWEEN THE HOURS OF 8:30 AM AND 4:00 PM):

(812) 649-2242

ZIP: 47635-0000

*If the unit of government, or departments thereof, has more than one Federal Identification Number, please list on a separate sheet and attach to this report (1) the assigned number(s), (2) the name or department identified by this number(s), and (3) corresponding address(es).

CASH UNITS ONLY

PART 1 - STATEMENT OF RECEIPTS, DISBURSEMENTS, CASH BALANCES, AND INVESTMENT BALANCES(CITY/TOWN ONLY)

FOR THE FISCAL YEAR ENDING 2009

<u>Funds</u>	<u>Beginning Cash Fund Balances 01/01/2009</u>	<u>2009 Receipts</u>	<u>2009 Disbursements</u>	<u>Ending Cash Fund Balances 12/31/2009</u>	<u>Investments at 12/31/2009</u>	<u>Total Cash and Investments at 12/31/2009</u>
Section I						
FUND TYPE: GENERAL						
609 CASH CHANGE	\$50.00	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
101 GENERAL	\$413,221.36	\$502,462.54	\$459,127.50	\$456,556.40	\$25,000.00	\$481,556.40
783 MISC DEDUCTION	\$0.00	\$0.00	\$707.07	\$-707.07	\$0.00	\$-707.07
616 PETTY CASH	\$50.00	\$0.00	\$0.00	\$50.00	\$0.00	\$50.00
Total by Fund Type:	\$413,321.36	\$502,462.54	\$459,834.57	\$455,949.33	\$25,000.00	\$480,949.33
FUND TYPE: SPECIAL REVENUE						
210 CANINE FUND	\$32.88	\$0.00	\$0.00	\$32.88	\$0.00	\$32.88
205 CEMETERY OPERATING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
445 DONATIONS	\$0.00	\$3,187.00	\$0.00	\$3,187.00	\$0.00	\$3,187.00
233 LECE	\$2,520.10	\$1,759.00	\$2,697.62	\$1,581.48	\$0.00	\$1,581.48
202 LOCAL ROAD AND STREET	\$5,316.75	\$19,130.69	\$21,700.27	\$2,747.17	\$0.00	\$2,747.17
201 MOTOR VEHICLE HIGHWAY	\$123,791.77	\$154,651.71	\$133,096.75	\$145,346.73	\$10,000.00	\$155,346.73
204 PARKS AND RECREATION	\$23,718.58	\$155,595.30	\$145,428.54	\$33,885.34	\$0.00	\$33,885.34
622 RAINY DAY	\$42,207.08	\$52,517.88	\$40,000.00	\$54,724.96	\$0.00	\$54,724.96
225 SANITATION	\$18,716.10	\$60,836.83	\$58,726.00	\$20,826.93	\$0.00	\$20,826.93
471 SANITATION RESERVE	\$63,033.80	\$18,267.21	\$7,203.68	\$74,097.33	\$10,000.00	\$84,097.33
207 SCHOOL TRAFFIC	\$11,846.52	\$6,945.00	\$4,794.93	\$13,996.59	\$0.00	\$13,996.59
500 TELEVISION FUND	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
404 WILLIAM NATCHER BRIDGE FUND	\$19,804.66	\$419,750.55	\$0.00	\$439,555.21	\$0.00	\$439,555.21
Total by Fund Type:	\$310,988.24	\$892,641.17	\$413,647.79	\$789,981.62	\$20,000.00	\$809,981.62
FUND TYPE: CAPITAL PROJECTS						
401 CAPITAL IMPROVEMENTS	\$11,693.08	\$6,623.28	\$2,979.85	\$15,336.51	\$0.00	\$15,336.51
900 CAPITAL PROJECTS - OTHER	\$183,587.96	\$0.00	\$102,019.64	\$81,568.32	\$0.00	\$81,568.32
444 CREDIT CAPITAL PROJECTS	\$219,475.54	\$257,324.56	\$96,313.49	\$380,486.61	\$0.00	\$380,486.61
Total by Fund Type:	\$414,756.58	\$263,947.84	\$201,312.98	\$477,391.44	\$0.00	\$477,391.44
FUND TYPE: PRIVATE PURPOSE						

CASH UNITS ONLY

PART 1 - STATEMENT OF RECEIPTS, DISBURSEMENTS, CASH BALANCES, AND INVESTMENT BALANCES(CITY/TOWN ONLY)

FOR THE FISCAL YEAR ENDING 2009

<u>Funds</u>	<u>Beginning Cash Fund Balances 01/01/2009</u>	<u>2009 Receipts</u>	<u>2009 Disbursements</u>	<u>Ending Cash Fund Balances 12/31/2009</u>	<u>Investments at 12/31/2009</u>	<u>Total Cash and Investments at 12/31/2009</u>
Section I						
206 CEMETARY PEERPETUAL CARE	\$392.14	\$23.18	\$0.00	\$415.32	\$1,500.00	\$1,915.32
217 DONATION TRUST #1	\$0.00	\$56,375.26	\$6,328.00	\$50,047.26	\$0.00	\$50,047.26
Total by Fund Type:	\$392.14	\$56,398.44	\$6,328.00	\$50,462.58	\$1,500.00	\$51,962.58
FUND TYPE: AGENCY						
701 PAYROLL	\$27,844.18	\$359,546.18	\$371,139.81	\$16,250.55	\$0.00	\$16,250.55
731 PAYROLL WITHHOLDING-FEDERAL	\$-4,896.95	\$34,042.83	\$34,124.09	\$-4,978.21	\$0.00	\$-4,978.21
737 PAYROLL WITHHOLDING-INSURANCE	\$-14,761.61	\$33,285.03	\$17,084.26	\$1,439.16	\$0.00	\$1,439.16
735 PAYROLL WITHHOLDING-LOCAL TAX	\$-523.62	\$3,598.83	\$3,219.66	\$-144.45	\$0.00	\$-144.45
733 PAYROLL WITHHOLDING-MEDICARE	\$-140.75	\$13,313.79	\$13,489.89	\$-316.85	\$0.00	\$-316.85
734 PAYROLL WITHHOLDING-STATE	\$-2,043.57	\$15,739.66	\$13,983.36	\$-287.27	\$0.00	\$-287.27
732 PAYROLL WITHHOLDINGS-OTHER	\$2,977.54	\$56,931.58	\$57,679.44	\$2,229.68	\$0.00	\$2,229.68
736 PERF	\$-9,507.94	\$5,282.54	\$61.40	\$-4,286.80	\$0.00	\$-4,286.80
Total by Fund Type:	\$-1,052.72	\$521,740.44	\$510,781.91	\$9,905.81	\$0.00	\$9,905.81
Subtotal All Funds:	\$1,138,405.60	\$2,237,190.43	\$1,591,905.25	\$1,783,690.78	\$46,500.00	\$1,830,190.78

Section II

Less:

Investment Sales	\$18,000.00					
Investment Purchases			\$63.68			
Transfers In	\$58,000.00					
Transfers Out			\$58,000.00			
Net Receipts and Disbursements		\$2,161,190.43	\$1,533,841.57			

CASH AND INVESTMENTS ON PART 4 ARE 46500.00! MUST EQUAL ENDING CASH AND INVESTMENTS ON THIS PAGE! PLEASE CORRECT.

CASH UNITS ONLY

PART 1 - STATEMENT OF RECEIPTS, DISBURSEMENTS, CASH BALANCES, AND INVESTMENT BALANCES(UTILITIES ONLY)

FOR THE FISCAL YEAR ENDING 2009

<u>Funds</u>	<u>Beginning Cash Fund Balances 01/01/2009</u>	<u>2009 Receipts</u>	<u>2009 Disbursements</u>	<u>Ending Cash Fund Balances 12/31/2009</u>	<u>Investments at 12/31/2009</u>	<u>Total Cash and Investments at 12/31/2009</u>
Section I						
FUND TYPE: ENTERPRISE						
614 UTILITY IMPROVEMENT	\$15,058.68	\$15,000.00	\$14,417.08	\$15,641.60	\$0.00	\$15,641.60
611 WASTEWATER UTIL-BOND AND INTEREST	\$228,152.94	\$154,217.38	\$151,672.27	\$230,698.05	\$181,910.70	\$412,608.75
608 WASTEWATER UTILITY-CUSTOMER DEPOSIT	\$15,884.84	\$6,352.01	\$7,688.80	\$14,548.05	\$0.00	\$14,548.05
612 WASTEWATER UTILITY-DEBT RESERVE	\$17,472.00	\$17,472.00	\$0.00	\$34,944.00	\$0.00	\$34,944.00
606 WASTEWATER UTILITY-OPERATING	\$228,205.91	\$736,114.45	\$693,318.28	\$271,002.08	\$0.00	\$271,002.08
613 WASTEWATER UTILITY-OTHER #2	\$9,190.48	\$183,306.73	\$183,306.73	\$9,190.48	\$183,306.73	\$192,497.21
607 WASTEWATER UTILITY-OTHER #3	\$24,160.10	\$316,669.78	\$268,611.50	\$72,218.38	\$0.00	\$72,218.38
602 WATER UTILITY-BOND AND INTEREST	\$148,690.46	\$190,983.16	\$235,207.50	\$104,466.12	\$0.00	\$104,466.12
604 WATER UTILITY-CUSTOMER DEPOSIT	\$14,990.32	\$6,147.04	\$7,529.36	\$13,608.00	\$0.00	\$13,608.00
603 WATER UTILITY-DEBT RESERVE	\$1,494.38	\$133,966.32	\$132,900.00	\$2,560.70	\$285,281.23	\$287,841.93
601 WATER UTILITY-OPERATING	\$1,095,665.18	\$661,796.90	\$536,658.77	\$1,220,803.31	\$0.00	\$1,220,803.31
605 WATER UTILITY-OTHER #1	\$0.00	\$192.25	\$0.00	\$192.25	\$0.00	\$192.25
Total by Fund Type:	\$1,798,965.29	\$2,422,218.02	\$2,231,310.29	\$1,989,873.02	\$650,498.66	\$2,640,371.68
Subtotal All Funds:	\$1,798,965.29	\$2,422,218.02	\$2,231,310.29	\$1,989,873.02	\$650,498.66	\$2,640,371.68

Section II

Less:

Investment Sales	\$0.00	
Investment Purchases		\$0.00
Transfers In	\$496,400.00	
Transfers Out		\$496,400.00
Net Receipts and Disbursements	\$1,925,818.02	\$1,734,910.29

CASH AND INVESTMENTS ON PART 4 ARE 650498.66! MUST EQUAL ENDING CASH AND INVESTMENTS ON THIS PAGE! PLEASE CORRECT.

UNIT NAME: ROCKPORT CIVIL CITY

PART 2 - RECEIPTS

COUNTY: SPENCER COUNTY

FOR THE FISCAL YEAR ENDING 2009

	<u>Title</u>	<u>Amount</u>
Fund:	<u>101 GENERAL</u>	
101110	GENERAL PROPERTY TAXES	\$313,834.84
	Total for: TAXES	\$313,834.84
101221	BUILDING AND PLANNING PERMITS	\$3,830.00
	Total for: LICENSES AND PERMITS	\$3,830.00
101494	FEDERAL PAYMENTS IN LIEU OF TAXES	\$14,364.00
101122	ABC EXCISE TAX DISTRIBUTION	\$36,167.61
101351	LIQUOR GALLONAGE TAX DISTRIBUTION	\$20,791.78
101126	FINANCIAL INSTITUTION TAX INTERGOVERNMENTAL	\$2,810.00
101217	COMMERCIAL VEHICLE EXCISE TAX INTERGOVERNMENTAL	\$675.66
101125	COUNTY OPTION INCOME TAX INTERGOVERNMENTAL	\$44,652.50
	Total for: INTERGOVERNMENTAL	\$119,461.55
101491	SALE OF CEMETERY LOTS	\$2,084.00
101990	OPENING AND CLOSING GRAVES	\$16,411.78
101495	CHARGES FOR SERVICES-OTHER	\$12,078.77
	Total for: CHARGES FOR SERVICES	\$30,574.55
101426	FINES AND FEES-OTHER	\$0.00
101499	FINES AND FEES-OTHER	\$3,760.74
101530	FINES AND FEES-OTHER	\$72.00
	Total for: FINES, FORFEITURES, AND FEES	\$3,832.74
101610	INTEREST EARNED	\$1,574.20
101609	INTEREST EARNED	\$11,354.66
	Total for: MISCELLANEOUS	\$12,928.86
101632	TRANSFER OF FUNDS-OTHER	\$0.00
101395	SALE OF INVESTMENTS	\$18,000.00
101670	OTHER FINANCING SOURCES	\$0.00
	Total for: OTHER FINANCING SOURCES	\$18,000.00
	TOTAL RECEIPTS FOR 101 GENERAL	\$502,462.54
Fund:	<u>201 MOTOR VEHICLE HIGHWAY</u>	
201110	GENERAL PROPERTY TAXES	\$50,803.38
	Total for: TAXES	\$50,803.38
201357	MOTOR VEHICLE HIGHWAY DISTRIBUTION	\$52,503.68
201126	FINANCIAL INSTITUTION TAX INTERGOVERNMENTAL	\$431.00
	Total for: INTERGOVERNMENTAL	\$52,934.68
201610	INTEREST EARNED	\$267.21
	Total for: MISCELLANEOUS	\$267.21
201395	SALE OF INVESTMENTS	\$0.00
201990	REIMBURSEMENTS-OTHER	\$50,646.44
	Total for: OTHER FINANCING SOURCES	\$50,646.44

PART 2 - RECEIPTS
FOR THE FISCAL YEAR ENDING 2009

UNIT NAME: ROCKPORT CIVIL CITY
COUNTY: SPENCER COUNTY

	<u>Title</u>	<u>Amount</u>
TOTAL RECEIPTS FOR 201 MOTOR VEHICLE HIGHWAY		\$154,651.71
Fund: <u>202 LOCAL ROAD AND STREET</u>		
202358	LOCAL ROAD AND STREET DISTRIBUTION	\$19,130.69
	Total for: INTERGOVERNMENTAL	\$19,130.69
TOTAL RECEIPTS FOR 202 LOCAL ROAD AND STREET		\$19,130.69
Fund: <u>205 CEMETERY OPERATING</u>		
205110	GENERAL PROPERTY TAXES	\$0.00
	Total for: TAXES	\$0.00
205491	SALE OF CEMETERY LOTS	\$0.00
205495	CHARGES FOR SERVICES-OTHER	\$0.00
	Total for: CHARGES FOR SERVICES	\$0.00
205610	INTEREST EARNED	\$0.00
205960	MISCELLANEOUS REVENUE-OTHER	\$0.00
	Total for: MISCELLANEOUS	\$0.00
205395	SALE OF INVESTMENTS	\$0.00
	Total for: OTHER FINANCING SOURCES	\$0.00
TOTAL RECEIPTS FOR 205 CEMETERY OPERATING		\$0.00
Fund: <u>445 DONATIONS</u>		
445632	CONTRIBUTIONS AND DONATIONS	\$3,187.00
	Total for: MISCELLANEOUS	\$3,187.00
TOTAL RECEIPTS FOR 445 DONATIONS		\$3,187.00
Fund: <u>225 SANITATION</u>		
225442	GARBAGE AND TRASH COLLECTION FEES	\$60,307.97
	Total for: CHARGES FOR SERVICES	\$60,307.97
225913	MISCELLANEOUS REVENUE-OTHER	\$528.86
	Total for: MISCELLANEOUS	\$528.86
205990	AGENCY FUND ADDITIONS	\$0.00
	Total for: OTHER FINANCING SOURCES	\$0.00
TOTAL RECEIPTS FOR 225 SANITATION		\$60,836.83
Fund: <u>204 PARKS AND RECREATION</u>		
204110	GENERAL PROPERTY TAXES	\$98,456.16
	Total for: TAXES	\$98,456.16
204632	FED. GRANTS-PARK AND RECREATION	\$0.00
204126	FINANCIAL INSTITUTION TAX INTERGOVERNMENTAL	\$836.00
204217	COMMERCIAL VEHICLE EXCISE TAX INTERGOVERNMENTAL	\$51.85

UNIT NAME: ROCKPORT CIVIL CITY

PART 2 - RECEIPTS

COUNTY: SPENCER COUNTY

FOR THE FISCAL YEAR ENDING 2009

	<u>Title</u>	<u>Amount</u>
Fund:	<u>204 PARKS AND RECREATION</u>	
	Total for: INTERGOVERNMENTAL	\$887.85
204474	CONCESSION STANDS	\$5,145.38
204473	CHARGES FOR SERVICES, OTHER CULTURE AND REC	\$10,719.75
	Total for: CHARGES FOR SERVICES	\$15,865.13
204475	RENTAL OF PROPERTY	\$6,720.00
204960	MISCELLANEOUS REVENUE-OTHER	\$33,666.16
	Total for: MISCELLANEOUS	\$40,386.16
	TOTAL RECEIPTS FOR 204 PARKS AND RECREATION	\$155,595.30
Fund:	<u>622 RAINY DAY</u>	
622499	TRANSFER OF FUNDS-OTHER	\$40,000.00
	OTHER FINANCING SOURCES	\$12,517.88
	Total for: OTHER FINANCING SOURCES	\$52,517.88
	TOTAL RECEIPTS FOR 622 RAINY DAY	\$52,517.88
Fund:	<u>404 WILLIAM NATCHER BRIDGE FUND</u>	
404610	INTEREST EARNED	\$419,750.55
	Total for: MISCELLANEOUS	\$419,750.55
	TOTAL RECEIPTS FOR 404 WILLIAM NATCHER BRIDGE FUND	\$419,750.55
Fund:	<u>233 LECE</u>	
233421	LICENSES, OTHER	\$76.00
	Total for: LICENSES AND PERMITS	\$76.00
233422	GUN PERMIT APPLICATIONS	\$590.00
233427	CHARGES FOR SERVICES-OTHER	\$85.00
	Total for: CHARGES FOR SERVICES	\$675.00
233510	FINES AND FEES-OTHER	\$8.00
	Total for: FINES, FORFEITURES, AND FEES	\$8.00
2336700	CONTRIBUTIONS AND DONATIONS	\$1,000.00
	Total for: MISCELLANEOUS	\$1,000.00
	TOTAL RECEIPTS FOR 233 LECE	\$1,759.00
Fund:	<u>207 SCHOOL TRAFFIC</u>	
207493	CHARGES FOR SERVICES, OTHER PUBLIC SAFETY	\$6,945.00
	Total for: CHARGES FOR SERVICES	\$6,945.00
	TOTAL RECEIPTS FOR 207 SCHOOL TRAFFIC	\$6,945.00
Fund:	<u>471 SANITATION RESERVE</u>	

PART 2 - RECEIPTS
FOR THE FISCAL YEAR ENDING 2009

UNIT NAME: ROCKPORT CIVIL CITY
COUNTY: SPENCER COUNTY

	<u>Title</u>	<u>Amount</u>
Fund:	<u>471 SANITATION RESERVE</u>	
471610	INTEREST EARNED	\$267.21
	Total for: MISCELLANEOUS	\$267.21
471920	TRANSFER OF FUNDS-OTHER	\$18,000.00
471395	SALE OF INVESTMENTS	\$0.00
	Total for: OTHER FINANCING SOURCES	\$18,000.00
	TOTAL RECEIPTS FOR 471 SANITATION RESERVE	\$18,267.21
Fund:	<u>500 TELEVISION FUND</u>	
500640	CABLE TV RECEIPTS	\$0.00
	Total for: CHARGES FOR SERVICES	\$0.00
	TOTAL RECEIPTS FOR 500 TELEVISION FUND	\$0.00
Fund:	<u>444 CREDIT CAPITAL PROJECTS</u>	
444126	CREDIT INTERGOVERNMENTAL	\$257,324.56
	Total for: INTERGOVERNMENTAL	\$257,324.56
	TOTAL RECEIPTS FOR 444 CREDIT CAPITAL PROJECTS	\$257,324.56
Fund:	<u>401 CAPITAL IMPROVEMENTS</u>	
401354	CIGARETTE TAX DISTR-CCI FUND	\$6,623.28
	Total for: INTERGOVERNMENTAL	\$6,623.28
	TOTAL RECEIPTS FOR 401 CAPITAL IMPROVEMENTS	\$6,623.28
Fund:	<u>900 CAPITAL PROJECTS - OTHER</u>	
900990	MISCELLANEOUS REVENUE-OTHER	\$0.00
	Total for: MISCELLANEOUS	\$0.00
	TOTAL RECEIPTS FOR 900 CAPITAL PROJECTS - OTHER	\$0.00
Fund:	<u>217 DONATION TRUST #1</u>	
2174990	OTHER CONTRIBUTIONS	\$56,375.26
	Total for: MISCELLANEOUS	\$56,375.26
	TOTAL RECEIPTS FOR 217 DONATION TRUST #1	\$56,375.26
Fund:	<u>206 CEMETARY PEERPETUAL CARE</u>	
206610	INTEREST EARNED	\$23.18
	Total for: MISCELLANEOUS	\$23.18
	TOTAL RECEIPTS FOR 206 CEMETARY PEERPETUAL CARE	\$23.18
Fund:	<u>701 PAYROLL</u>	

PART 2 - RECEIPTS
FOR THE FISCAL YEAR ENDING 2009

UNIT NAME: ROCKPORT CIVIL CITY

COUNTY: SPENCER COUNTY

	<u>Title</u>	<u>Amount</u>
Fund:	<u>701 PAYROLL</u>	
701110	AGENCY FUND ADDITIONS	\$359,546.18
	Total for: OTHER FINANCING SOURCES	\$359,546.18
TOTAL RECEIPTS FOR 701 PAYROLL		\$359,546.18
Fund:	<u>732 PAYROLL WITHHOLDINGS-OTHER</u>	
732732	AGENCY FUND ADDITIONS	\$56,931.58
782990	AGENCY FUND ADDITIONS	\$0.00
	Total for: OTHER FINANCING SOURCES	\$56,931.58
TOTAL RECEIPTS FOR 732 PAYROLL WITHHOLDINGS-OTHER		\$56,931.58
Fund:	<u>736 PERF</u>	
736736	AGENCY FUND ADDITIONS	\$5,282.54
	Total for: OTHER FINANCING SOURCES	\$5,282.54
TOTAL RECEIPTS FOR 736 PERF		\$5,282.54
Fund:	<u>731 PAYROLL WITHHOLDING-FEDERAL</u>	
731731	AGENCY FUND ADDITIONS	\$34,042.83
	Total for: OTHER FINANCING SOURCES	\$34,042.83
TOTAL RECEIPTS FOR 731 PAYROLL WITHHOLDING-FEDERAL		\$34,042.83
Fund:	<u>734 PAYROLL WITHHOLDING-STATE</u>	
734734	AGENCY FUND ADDITIONS	\$15,739.66
	Total for: OTHER FINANCING SOURCES	\$15,739.66
TOTAL RECEIPTS FOR 734 PAYROLL WITHHOLDING-STATE		\$15,739.66
Fund:	<u>735 PAYROLL WITHHOLDING-LOCAL TAX</u>	
735735	AGENCY FUND ADDITIONS	\$3,598.83
	Total for: OTHER FINANCING SOURCES	\$3,598.83
TOTAL RECEIPTS FOR 735 PAYROLL WITHHOLDING-LOCAL TAX		\$3,598.83
Fund:	<u>737 PAYROLL WITHHOLDING-INSURANCE</u>	
737737	AGENCY FUND ADDITIONS	\$33,285.03
	Total for: OTHER FINANCING SOURCES	\$33,285.03
TOTAL RECEIPTS FOR 737 PAYROLL WITHHOLDING-INSURANCE		\$33,285.03
Fund:	<u>733 PAYROLL WITHHOLDING-MEDICARE</u>	
733733	AGENCY FUND ADDITIONS	\$13,313.79
	Total for: OTHER FINANCING SOURCES	\$13,313.79

UNIT NAME: ROCKPORT CIVIL CITY

PART 2 - RECEIPTS

COUNTY: SPENCER COUNTY

FOR THE FISCAL YEAR ENDING 2009

<u>Title</u>	<u>Amount</u>
Fund: <u>737 PAYROLL WITHHOLDING-INSURANCE</u>	
TOTAL RECEIPTS FOR 733 PAYROLL WITHHOLDING-MEDICARE	\$13,313.79
Total Receipts:	\$2,237,190.43

(CTAR-1) ANNUAL FINANCIAL REPORT
PART 3A- DISBURSEMENTS
FOR THE FISCAL YEAR ENDING 2009

ID: 74-3-458 PAGE: 1
COUNTY: SPENCER COUNTY
UNIT NAME: ROCKPORT CIVIL CITY

Fund:	783 MISC DEDUCTION		
	OTHER DISBURSEMENTS		\$707.07
	TOTAL		\$707.07

Fund:	201 MOTOR VEHICLE HIGHWAY		
	PERSONAL SERVICES		\$89,047.60
	SUPPLIES		\$11,841.56
	OTHER SERVICES AND CHARGES		\$32,207.59
	PURCHASE OF INVESTMENTS		\$0.00
	TOTAL		\$133,096.75

Fund:	202 LOCAL ROAD AND STREET		
	OTHER SERVICES AND CHARGES		\$15,253.22
	CAPITAL OUTLAY		\$6,447.05
	TOTAL		\$21,700.27

Fund:	205 CEMETERY OPERATING		
	PERSONAL SERVICES		\$0.00
	SUPPLIES		\$0.00
	OTHER SERVICES AND CHARGES		\$0.00
	CAPITAL OUTLAY		\$0.00
	OTHER DISBURSEMENTS		\$0.00
	TRANSFER OF FUNDS		\$0.00
	TOTAL		\$0.00

Fund:	225 SANITATION		
	PERSONAL SERVICES		\$18,531.74
	SUPPLIES		\$950.72
	OTHER SERVICES AND CHARGES		\$21,243.54
	OTHER DISBURSEMENTS		\$0.00
	TRANSFER OF FUNDS		\$18,000.00
	TOTAL		\$58,726.00

Fund:	204 PARKS AND RECREATION		
	PERSONAL SERVICES		\$54,382.12
	SUPPLIES		\$9,822.57
	OTHER SERVICES AND CHARGES		\$61,303.52
	CAPITAL OUTLAY		\$17,976.28
	OTHER DISBURSEMENTS		\$1,944.05
	TOTAL		\$145,428.54

Fund:	622 RAINY DAY		
	OTHER DISBURSEMENTS		\$0.00
	TRANSFER OF FUNDS		\$40,000.00
	TOTAL		\$40,000.00

Fund:	210 CANINE FUND		
	SUPPLIES		\$0.00
	TOTAL		\$0.00

(CTAR-1) ANNUAL FINANCIAL REPORT
PART 3A- DISBURSEMENTS
FOR THE FISCAL YEAR ENDING 2009

ID: 74-3-458 PAGE: 2
COUNTY: SPENCER COUNTY
UNIT NAME: ROCKPORT CIVIL CITY

Fund: 233 LECE		
	OTHER SERVICES AND CHARGES	\$683.50
	OTHER DISBURSEMENTS	\$2,014.12
	TOTAL	\$2,697.62

Fund: 207 SCHOOL TRAFFIC		
	SUPPLIES	\$4,462.53
	OTHER DISBURSEMENTS	\$332.40
	TOTAL	\$4,794.93

Fund: 471 SANITATION RESERVE		
	OTHER DISBURSEMENTS	\$7,140.00
	PURCHASE OF INVESTMENTS	\$63.68
	TOTAL	\$7,203.68

Fund: 500 TELEVISION FUND		
	OTHER SERVICES AND CHARGES	\$0.00
	TOTAL	\$0.00

Fund: 444 CREDIT CAPITAL PROJECTS		
	CAPITAL OUTLAY	\$96,313.49
	TOTAL	\$96,313.49

Fund: 401 CAPITAL IMPROVEMENTS		
	CAPITAL OUTLAY	\$2,979.85
	TOTAL	\$2,979.85

Fund: 900 CAPITAL PROJECTS - OTHER		
	OTHER SERVICES AND CHARGES	\$102,019.64
	TOTAL	\$102,019.64

Fund: 217 DONATION TRUST #1		
	ADMINISTRATIVE AND GENERAL	\$6,328.00
	TOTAL	\$6,328.00

Fund: 701 PAYROLL		
	AGENCY FUND DEDUCTIONS	\$371,139.81
	TOTAL	\$371,139.81

Fund: 732 PAYROLL WITHHOLDINGS-OTHER		
	AGENCY FUND DEDUCTIONS	\$57,679.44
	TOTAL	\$57,679.44

Fund: 736 PERF		
	AGENCY FUND DEDUCTIONS	\$61.40
	TOTAL	\$61.40

(CTAR-1) ANNUAL FINANCIAL REPORT
PART 3A- DISBURSEMENTS
FOR THE FISCAL YEAR ENDING 2009

ID: 74-3-458 PAGE: 3
COUNTY: SPENCER COUNTY
UNIT NAME: ROCKPORT CIVIL CITY

Fund:	731 PAYROLL WITHHOLDING-FEDERAL	
	AGENCY FUND DEDUCTIONS	\$34,124.09
	TOTAL	\$34,124.09

Fund:	734 PAYROLL WITHHOLDING-STATE	
	AGENCY FUND DEDUCTIONS	\$13,983.36
	TOTAL	\$13,983.36

Fund:	735 PAYROLL WITHHOLDING-LOCAL TAX	
	AGENCY FUND DEDUCTIONS	\$3,219.66
	TOTAL	\$3,219.66

Fund:	737 PAYROLL WITHHOLDING-INSURANCE	
	AGENCY FUND DEDUCTIONS	\$17,084.26
	TOTAL	\$17,084.26

Fund:	733 PAYROLL WITHHOLDING-MEDICARE	
	AGENCY FUND DEDUCTIONS	\$13,489.89
	TOTAL	\$13,489.89

TOTAL DISBURSEMENTS:		\$1,132,777.75
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(CTAR-1) ANNUAL FINANCIAL REPORT
PART 3B- DISBURSEMENTS
FOR THE FISCAL YEAR ENDING 2009

ID: 74-3-458 PAGE: 1
COUNTY: SPENCER COUNTY
UNIT NAME: ROCKPORT CIVIL CITY

Fund:	101 GENERAL		
Dept:	CLERK TREASURER		
	PERSONAL SERVICES		\$9,070.37
	SUPPLIES		\$1,698.29
	OTHER SERVICES AND CHARGES		\$1,257.07
	OTHER DISBURSEMENTS		\$530.00
TOTAL			\$12,555.73
<hr/>			
Dept:	MAYOR		
	PERSONAL SERVICES		\$11,684.21
	SUPPLIES		\$64.00
	OTHER SERVICES AND CHARGES		\$80.52
TOTAL			\$11,828.73
<hr/>			
Dept:	CITY COUNCIL/TOWN BOARD		
	PERSONAL SERVICES		\$57,967.93
	SUPPLIES		\$769.61
	OTHER SERVICES AND CHARGES		\$16,383.37
	CAPITAL OUTLAY		\$0.00
TOTAL			\$75,120.91
<hr/>			
Dept:	CITY/TOWN HALL		
	PERSONAL SERVICES		\$11,382.97
	SUPPLIES		\$862.73
	OTHER SERVICES AND CHARGES		\$0.00
	CAPITAL OUTLAY		\$2,867.43
	OTHER DISBURSEMENTS		\$28,786.71
	PURCHASE OF INVESTMENTS		\$0.00
TOTAL			\$43,899.84
<hr/>			
Dept:	POLICE DEPARTMENT		
	PERSONAL SERVICES		\$211,991.20
	SUPPLIES		\$11,673.92
	OTHER SERVICES AND CHARGES		\$14,944.64
	CAPITAL OUTLAY		\$1,200.00
TOTAL			\$239,809.76
<hr/>			
Dept:	GENERAL EXPENDITURES, OTHER		
	PERSONAL SERVICES		\$24,592.22
	SUPPLIES		\$7,452.30
	OTHER SERVICES AND CHARGES		\$30,897.60
	CAPITAL OUTLAY		\$3,262.75
	OTHER DISBURSEMENTS		\$9,707.66
TOTAL			\$75,912.53

(CTAR-1) ANNUAL FINANCIAL REPORT
PART 3B- DISBURSEMENTS
FOR THE FISCAL YEAR ENDING 2009

ID: 74-3-458 PAGE: 2
COUNTY: SPENCER COUNTY
UNIT NAME: ROCKPORT CIVIL CITY

BY OBJECT FOR GENERAL

PERSONAL SERVICES	\$326,688.90
SUPPLIES	\$22,520.85
OTHER SERVICES AND CHARGES	\$63,563.20
CAPITAL OUTLAY	\$7,330.18
OTHER DISBURSEMENTS	\$39,024.37
TRANSFER OF FUNDS	\$0.00
PURCHASE OF INVESTMENTS	\$0.00

TOTAL GENERAL

\$459,127.50

PART 4 - ENTERPRISE FUND REPORT
FOR THE FISCAL YEAR ENDING 2009

REVENUES

Fund Name	Expense Category	Account Title	Amount
601 WATER UTILITY-OPERATING WATER UTILITY OPERATING	NO FUNCTION NEEDED	601441 METERED-RESIDENTIAL WATER/USEAGE FEES	\$387,239.25
	NO FUNCTION NEEDED	601446 METERED-OTHER WATER/TAP FEES	\$500.00
	NO FUNCTION NEEDED	601510 PENALTIES WATER/PENALTIES	\$2,697.74
	NO FUNCTION NEEDED	601442 AIRPORT REVENUES WATER TAX	\$9,251.51
	NO FUNCTION NEEDED	601990 OTHER REVENUE WATER/OTHER	\$241,196.47
	NO FUNCTION NEEDED	601520 OTHER REVENUE WATER/OVER/UNDER	\$3.45
	NO FUNCTION NEEDED	601609 INTEREST EARNED WATER/BANK INTEREST	\$20,908.48
	Expense Category Total:		\$661,796.90
	Fund Total:		\$661,796.90
602 WATER UTILITY-BOND AND INTEREST WATER BOND_INT	NO FUNCTION NEEDED	602610 INTEREST EARNED WATER/BANK INTEREST ON INVESTMENTS	\$583.16
	NO FUNCTION NEEDED	602920 TRANSFER OF FUNDS-OTHER WATER/TRANSFER BOND	\$190,400.00
	Expense Category Total:		\$190,983.16
	Fund Total:		\$190,983.16
604 WATER UTILITY-CUSTOMER DEPOSIT WATER METER DEPOSITS	NO FUNCTION NEEDED	604445 GUARANTEED REVENUES WATER/METER DEPOSITS	\$6,147.04
	Expense Category Total:		\$6,147.04
	Fund Total:		\$6,147.04
605 WATER UTILITY-OTHER #1 WATER CASH RESERVE	NO FUNCTION NEEDED	605920 OTHER REVENUE WATER/CASH RESERVE	\$192.25
	Expense Category Total:		\$192.25
	Fund Total:		\$192.25
603 WATER UTILITY-DEBT RESERVE WATER SERVICE RES	NO FUNCTION NEEDED	603610 INTEREST EARNED WATER/INT. ON INV. DEBT SER.	\$133,966.32
	Expense Category Total:		\$133,966.32
	Fund Total:		\$133,966.32
606 WASTEWATER UTILITY-OPERATING SEWER OPERATING	NO FUNCTION NEEDED	606510 PENALTIES SEWER/PENALTIES	\$14,384.84
	NO FUNCTION NEEDED	606441 UTILITY COLLECTIONS SEWER/USAGE REVENUE	\$589,353.81
	NO FUNCTION NEEDED	606446 SEWER CONNECTION FEES SEWER/TAP FEES	\$6,000.00

PART 4 - ENTERPRISE FUND REPORT
FOR THE FISCAL YEAR ENDING 2009

Fund Name	Expense Category	Account Title	Amount
606 WASTEWATER UTILITY-OPERATING SEWER OPERATING	NO FUNCTION NEEDED	606499 OTHER REVENUE SEWER/OTHER	\$119,847.01
	NO FUNCTION NEEDED	606126 INTEREST EARNED SEWER/BANK INTEREST	\$6,528.79
	Expense Category Total:		\$736,114.45
	Fund Total:		\$736,114.45
611 WASTEWATER UTIL-BOND AND INTEREST AK BOND 1997	NO FUNCTION NEEDED	611670 CAPITAL CONTRIBUTIONS AK BOND/CONTRIBUTIONS FROM AK	\$154,217.38
	Expense Category Total:		\$154,217.38
	Fund Total:		\$154,217.38
608 WASTEWATER UTILITY-CUSTOMER DEPOSIT SEWER DEPOSITS	NO FUNCTION NEEDED	608445 GUARANTEED REVENUES SEWER/METER DEPOSITS	\$6,352.01
	Expense Category Total:		\$6,352.01
	Fund Total:		\$6,352.01
613 WASTEWATER UTILITY-OTHER #2 DEBT SEER.200/REV BONDS	GENERAL CATEGORIES	613920 AIRPORT REVENUES SEWER/OTHER	\$183,306.73
	Expense Category Total:		\$183,306.73
	Fund Total:		\$183,306.73
607 WASTEWATER UTILITY-OTHER #3 SEWER BOND INT. 2000	NO FUNCTION NEEDED	607610 INTEREST EARNED SEWER BOND INTEREST ON INVESTMENTS	\$10,669.78
	NO FUNCTION NEEDED	607920 TRANSFER OF FUNDS-OTHER SEWER DEPOSIT/TRANSFER	\$306,000.00
	NO FUNCTION NEEDED	607950 SALE OF INVESTMENTS SEWER/SALE OF INVESTMENTS	\$0.00
	Expense Category Total:		\$316,669.78
	Fund Total:		\$316,669.78
612 WASTEWATER UTILITY-DEBT RESERVE DEBT SER.RES.1997 AK STEEL	NO FUNCTION NEEDED	612670 CAPITAL CONTRIBUTIONS DEBT/CONTRIBUTIONS	\$17,472.00
	Expense Category Total:		\$17,472.00
	Fund Total:		\$17,472.00
614 UTILITY IMPROVEMENT SEWER EXTEN.REPLACEMENT	NO FUNCTION NEEDED	614920 OTHER REVENUE SEWER/DEBT SERVICE	\$15,000.00
	Expense Category Total:		\$15,000.00
	Fund Total:		\$15,000.00
Total REVENUES:			\$2,422,218.02

PART 4 - ENTERPRISE FUND REPORT
FOR THE FISCAL YEAR ENDING 2009

EXPENDITURES

Fund Name	Expense Category	Account Title	Amount
601 WATER UTILITY-OPERATING WATER UTILITY OPERATING	GENERAL CATEGORIES	601008351 ACCOUNTING AND COLLECTION LABOR WATER/UTILITIES	\$24,381.71
	GENERAL CATEGORIES	601001111 SALARIES AND WAGES-OFFICERS, DIRECTORS WATER/WAGES OFFICERS,ELECTEDAPPOINTED	\$45,132.21
	GENERAL CATEGORIES	601001133 EMPLOYEE PENSIONS AND BENEFITS WATER/PENSIONS _BENEFITS	\$1,547.24
	GENERAL CATEGORIES	601008322 OFFICE SUPPLIES WATER/OFFICE SUPPLIES	\$2,064.11
	GENERAL CATEGORIES	601001223 CHEMICALS WATER/CHEMICALS	\$5,299.39
	GENERAL CATEGORIES	601001235 MATERIALS AND SUPPLIES WATER/MATERIAL _SUPPLIES	\$14,234.87
	GENERAL CATEGORIES	601008361 REPAIRS WATER/REPAIRS AND MAINT.	\$11,866.47
	GENERAL CATEGORIES	601001315 CONTRACTUAL SERVICES-OTHER WATER/CONTRACTED SERVICES	\$101,089.16
	GENERAL CATEGORIES	601008342 INSURANCE-GENERAL LIABILITY WATER/LIABILITY INS.	\$12,157.00
	GENERAL CATEGORIES	601001131 TAXES WATER/FICA EMPLOYER	\$3,304.06
	GENERAL CATEGORIES	601008590 MISCELLANEOUS WATER/MISC EXPENSES	\$193,655.64
	Expense Category Total:		\$414,731.86
	NO FUNCTION NEEDED	601008520 TRANSFER OF FUNDS-OTHER WATER/TRANSFER	\$121,926.91
	Expense Category Total:		\$121,926.91
	Fund Total:		\$536,658.77
602 WATER UTILITY-BOND AND INTEREST WATER BOND_INT	NO FUNCTION NEEDED	602008381 DEBT SERVICE OF PRINCIPAL WATER/PRINCIPAL	\$135,000.00
	Expense Category Total:		\$135,000.00
	ADMINISTRATION AND GENERAL	602008382 BONDS RETIRED (PRINCIPAL ONLY) WATER/INTEREST	\$100,207.50
	Expense Category Total:		\$100,207.50
	Fund Total:		\$235,207.50
604 WATER UTILITY-CUSTOMER DEPOSIT WATER METER DEPOSITS	ADMINISTRATION AND GENERAL	604001391 GUARANTEED DEPOSIT REFUNDS WATER/REFUNDS _APPLIED	\$7,529.36
	Expense Category Total:		\$7,529.36
	Fund Total:		\$7,529.36

PART 4 - ENTERPRISE FUND REPORT
FOR THE FISCAL YEAR ENDING 2009

Fund Name	Expense Category	Account Title	Amount
603 WATER UTILITY-DEBT RESERVE WATER DEBTNO FUNCTION NEEDED SERVICE RES		603008454 BONDS RETIRED (PRINCIPAL ONLY) WATER/PURCHASE OF INVESTMENT	\$132,900.00
	Expense Category Total:		\$132,900.00
	Fund Total:		\$132,900.00
606 WASTEWATER UTILITY-OPERATING SEWER OPERATING	GENERAL CATEGORIES	606008351 ACCOUNTING AND COLLECTION LABOR SEWER/UTILITIES	\$86,093.27
	GENERAL CATEGORIES	606001111 SALARIES AND WAGES-EMPLOYEES SEWER/OFFICE,ELECTED AND APPOINTED WAGES	\$41,129.50
	GENERAL CATEGORIES	606001112 SALARIES AND WAGES-OFFICERS, DIRECTORS SEWER/OPERATIONAL WAGES	\$52,767.55
	GENERAL CATEGORIES	606001133 EMPLOYEE PENSIONS AND BENEFITS SEWER/PENSIONS _BENEFITS	\$14,590.69
	GENERAL CATEGORIES	606008210 OFFICE SUPPLIES SEWER/OFFICE SUPPLIES	\$1,652.79
	GENERAL CATEGORIES	606008223 CHEMICALS SEWER/CHEMICALS	\$19,893.02
	GENERAL CATEGORIES	606008231 MATERIALS AND SUPPLIES SEWER/MATERIALS AND SUPPLIES	\$34,844.49
	GENERAL CATEGORIES	606008361 REPAIRS SEWER/REPAIRS AND MAINT.	\$64,865.92
	GENERAL CATEGORIES	606001315 CONTRACTUAL SERVICES-OTHER SEWER/CONTRACTED SERVICES	\$107,967.36
	GENERAL CATEGORIES	606008323 TRANSPORTATION EXPENSE SEWER/TRANSPORTATION	\$0.00
	GENERAL CATEGORIES	606008342 INSURANCE-GENERAL LIABILITY SEWER/LIABILITY	\$11,158.00
	GENERAL CATEGORIES	606001131 TAXES SEWER/FICA EMPLOYER	\$6,797.75
	GENERAL CATEGORIES	606008590 MISCELLANEOUS SEWER/MISC	\$20,700.25
	Expense Category Total:		\$462,460.59
	NO FUNCTION NEEDED	606008520 TRANSFERS PER BOND ORDINANCE SEWER/TRANSFER	\$191,166.36
	NO FUNCTION NEEDED	606008490 CAPITAL OUTLAY-OTHER SEWER/OTHER CAPITAL OUTLAYS	\$8,286.46
	NO FUNCTION NEEDED	606008520 OTHER DISBURSEMENTS SEWER/TRANSFER	\$31,404.87
	Expense Category Total:		\$230,857.69
	Fund Total:		\$693,318.28
611 WASTEWATER UTIL-BOND AND INTEREST AK BOND 1997	COLLECTION SYSTEM-OPERATIONS	611001382 BONDS RETIRED (PRINCIPAL ONLY) AK BOND/INTEREST ON DEBT	\$40,040.00
	COLLECTION SYSTEM-OPERATIONS	611001381 BONDS RETIRED (PRINCIPAL ONLY) AK BOND/PRINCIPLE ON DEBT	\$111,632.27
	Expense Category Total:		\$151,672.27

PART 4 - ENTERPRISE FUND REPORT
FOR THE FISCAL YEAR ENDING 2009

Fund Total:

\$151,672.27

Fund Name	Expense Category	Account Title	Amount
608 WASTEWATER UTILITY-CUSTOMER DEPOSIT SEWER DEPOSITS	COLLECTION SYSTEM-OPERATIONS	608001391 GUARANTEED DEPOSIT REFUNDS SEWER/REFUNDS APPLIED DEPOSITS	\$7,688.80
	Expense Category Total:		\$7,688.80
	Fund Total:		\$7,688.80
613 WASTEWATER UTILITY-OTHER #2 DEBT SEER.200/REV BONDS	NO FUNCTION NEEDED	613002590 TRANSFER OF FUNDS-OTHER DEBT/TRANSFER	\$183,306.73
	Expense Category Total:		\$183,306.73
	Fund Total:		\$183,306.73
607 WASTEWATER UTILITY-OTHER #3 SEWER BONDJNT. 2000	GENERAL CATEGORIES	607008381 MISCELLANEOUS SEWER/PRINCIPLE	\$189,000.00
	GENERAL CATEGORIES	607008382 MISCELLANEOUS SEWER/INTEREST	\$79,611.50
	Expense Category Total:		\$268,611.50
	Fund Total:		\$268,611.50
614 UTILITY IMPROVEMENT SEWER EXTEN.REPLACEMENT	GENERAL CATEGORIES	614005590 MISCELLANEOUS SEWER/OTHER	\$14,417.08
	Expense Category Total:		\$14,417.08
	Fund Total:		\$14,417.08
Total EXPENDITURES:			\$2,231,310.29

PART 5 - CASH AND INVESTMENTS
FOR THE FISCAL YEAR ENDING 2009

CASH

<u>Purchase Date</u>	<u>Fund Name</u>	<u>Description</u>	<u>Serial No./Acct. No.</u>	<u>Investment Balance, Jan 1</u>	<u>Maturity Date</u>	<u>Interest Rate</u>	<u>Purchase Cost</u>
		GENERAL CHECKING ACCT.	44522322601	\$1,138,035.37			\$1,782,903.76
		WATER CHECKING ACCT.	4452327001	\$1,260,572.60			\$1,373,085.25
		SEWER CHECKING ACCT.	4452327002	\$537,857.18			\$679,697.51

Total CASH: **\$3,835,686.52**

INVESTMENTS

<u>Purchase Date</u>	<u>Fund Name</u>	<u>Description</u>	<u>Serial No./Acct. No.</u>	<u>Investment Balance, Jan 1</u>	<u>Maturity Date</u>	<u>Interest Rate</u>	<u>Purchase Cost</u>
09/06/2007	101 GENERAL	CERTIFICATE OF DEPOSIT	4056681229	\$18,000.00	09/06/2009	4.87	\$0.00
11/17/2008	101 GENERAL	CERTIFICATE OF DEPOSIT	93186173	\$25,000.00	11/17/2010	2.70	\$25,000.00

Total by Fund: **\$25,000.00**

11/17/2008	201 MOTOR VEHICLE HIGHWAY	CERTIFICATE OF DEPOSIT	93186173	\$10,000.00	11/17/2010	2.70	\$10,000.00
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Total by Fund: **\$10,000.00**

09/06/2007	404 WILLIAM NATCHER BRIDGE FUND	CERTIFICATE OF DEPOSIT	4056681230	\$400,000.00	09/06/2009	4.87	\$0.00
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Total by Fund: **\$0.00**

11/17/2008	471 SANITATION RESERVE	CERTIFICATE OF DEPOSIT	93186173	\$10,000.00	11/17/2010	2.70	\$10,000.00
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INVESTMENTS

<u>Purchase Date</u>	<u>Fund Name</u>	<u>Description</u>	<u>Serial No./Acct. No.</u>	<u>Investment Balance, Jan 1</u>	<u>Maturity Date</u>	<u>Interest Rate</u>	<u>Purchase Cost</u>
Total by Fund:							\$10,000.00
07/05/2007	603 WATER UTILITY-DEBT RESERVE	CERTIFICATE OF DEPOSIT	8900876	\$152,381.23	07/05/2009	5.35	\$152,381.23
03/16/2007	603 WATER UTILITY-DEBT RESERVE	CERTIFICATE OF DEPOSIT	40566681228	\$127,436.10	03/16/2009	4.26	\$132,900.00
Total by Fund:							\$285,281.23
05/16/2008	611 WASTEWATER UTIL-BOND AND INTEREST	CERTIFICATE OF DEPOSIT	100147608	\$111,128.43	05/16/2010	2.73	\$111,128.43
09/05/2007	611 WASTEWATER UTIL-BOND AND INTEREST	CERTIFICATE OF DEPOSIT	8901007	\$70,782.27	09/05/2009	5.00	\$70,782.27
Total by Fund:							\$181,910.70
09/05/2007	613 WASTEWATER UTILITY-OTHER #2	CERTIFICATE OF DEPOSIT	8901007	\$183,306.73	09/05/2009	5.00	\$183,306.73
Total by Fund:							\$183,306.73
07/12/2008	206 CEMETARY PEERPETUAL CARE	CERTIFICATE OF DEPOSIT	4452322325	\$1,500.00	07/12/2010	2.04	\$1,500.00
07/05/2007	206 CEMETARY PEERPETUAL CARE	CERTIFICATE OF DEPOSIT	1015008777	\$64,016.78	07/05/2009	5.35	\$0.00

INVESTMENTS

<u>Purchase Date</u>	<u>Fund Name</u>	<u>Description</u>	<u>Serial No./Acct. No.</u>	<u>Investment Balance, Jan 1</u>	<u>Maturity Date</u>	<u>Interest Rate</u>	<u>Purchase Cost</u>
Total by Fund:							\$1,500.00
Total INVESTMENTS:							\$696,998.66
Total Cash and Investments:							\$4,532,685.18

FOR THE FISCAL YEAR ENDING 2009

PART 6 - INDEBTEDNESS

OUTSTANDING 1-1- (BEGINNING YEAR)

PRINCIPAL ISSUED DURING YEAR

PRINCIPAL RETIRED DURING YEAR

OUTSTANDING 12-31- (ENDING YEAR)

INTEREST PAID DURING (FULL YEAR)

PART 7 - INTERGOVERNMENTAL EXPENDITURES

OF THE EXPENDITURES REPORTED IN PART 3, HOW MUCH WAS PAID TO
OTHER GOVERNMENTAL UNITS FOR:

FIRE PROTECTION	\$28,303.00	
TOTAL PAID TO LOCAL GOVERNMENTS		\$28,303.00
TOTAL PAID TO LOCAL AND STATE GOVERNMENTS		\$28,303.00

(CTAR-1) ANNUAL FINANCIAL REPORT

UNIT NAME: ROCKPORT CIVIL CITY

ID: 74-3-458

COUNTY: SPENCER COUNTY

PART 8 - STATEMENT OF FEDERAL GRANT RECEIPTS, DISBURSEMENTS AND BALANCES
FOR THE FISCAL YEAR ENDING 2009

PAGE: 1

<u>CFDA Number</u>	<u>Federal Title</u>	<u>Agency</u>	<u>Award Amount</u>	<u>Fund Title</u>	<u>Receipts</u>	<u>Disbursements</u>
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(CTAR-1) ANNUAL FINANCIAL REPORT

UNIT NAME: ROCKPORT CIVIL CITY

ID: 74-3-458

COUNTY: SPENCER COUNTY

PART 9 - STATEMENT OF STATE GRANT RECEIPTS, DISBURSEMENTS AND BALANCES
FOR THE FISCAL YEAR ENDING 2009

PAGE: 1

<u>Grant Number</u>	<u>Project Name</u>	<u>State Agency</u>	<u>Award Amount</u>	<u>Fund Title</u>	<u>Receipts</u>	<u>Disbursements</u>
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(CTAR-1) ANNUAL FINANCIAL REPORT
PART 10 - REPORT ON CAPITAL ASSETS
FOR THE FISCAL YEAR ENDING 2009

COUNTY: SPENCER COUNTY
UNIT NAME: ROCKPORT CIVIL CITY

ID: 74-3-458
PAGE: 1

BALANCE, JANUARY 1

ADDITIONS:

LAND

INFRASTRUCTURE

BUILDINGS

IMPROVEMENTS OTHER THAN BUILDINGS

MACHINERY AND EQUIPMENT

CONSTRUCTION IN PROGRESS

TOTAL ADDITIONS

REDUCTIONS:

LAND

INFRASTRUCTURE

BUILDINGS

IMPROVEMENTS OTHER THAN BUILDINGS

MACHINERY AND EQUIPMENT

CONSTRUCTION IN PROGRESS

TOTAL REDUCTIONS

BALANCE, DECEMBER 31

(CTAR-1) ANNUAL FINANCIAL REPORT

UNIT NAME: ROCKPORT CIVIL CITY

ID: 74-3-458

COUNTY: SPENCER COUNTY

PART 11 - FINANCIAL ASSISTANCE TO NONGOVERNMENTAL ENTITIES
FOR THE FISCAL YEAR ENDING 2009

PAGE: 1

<u>Federal ID</u>	<u>Name</u>	<u>Address</u>	<u>County of Operation</u>	<u>Name of Operating Officer</u>	<u>Phone Number</u>	<u>Description of Funding</u>	<u>Amount</u>
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