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| STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION | X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 15G417 | X2) MULTIPLE CONSTRUCTION A. BUILDING 00 B. WING _____ | X3) DATE SURVEY COMPLETED 04/10/2014 |
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| NAME OF PROVIDER OR SUPPLIER REM-INDIANA INC | STREET ADDRESS, CITY, STATE, ZIP CODE 5625 E 56TH ST INDIANAPOLIS, IN 46226 |
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| W000000 | <p>This visit was for the investigation of complaint #IN00147011.</p> <p>This visit was done in conjunction with a PCR (Post Certification Revisit) to the extended annual recertification and state licensure survey completed on 12/9/13.</p> <p>Complaint #IN00147011: Substantiated, federal and state deficiency related to the allegation is cited at W331.</p> <p>Dates of Survey: 4/8/14, 4/9/14 and 4/10/14.</p> <p>Facility Number: 000931 Provider Number: 15G417 AIMS Number: 100244550</p> <p>Surveyor: Keith Briner, QIDP</p> <p>This deficiency also reflects a state finding in accordance with 460 IAC 9. Quality Review completed 4/16/14 by Ruth Shackelford, QIDP.</p> | W000000 | | |
| W000331 | <p>483.460(c) NURSING SERVICES The facility must provide clients with nursing services in accordance with their needs.</p> | | | |

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (see instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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| | <p>Based on record review and interview for 1 of 4 sampled clients (A), the facility nursing services failed to implement a care plan to monitor client A regarding aspiration pneumonia.</p> <p>Findings include:</p> <p>The facility's BDDS (Bureau of Developmental Disabilities Services) forms and investigations were reviewed on 4/8/14 at 2:45 PM. The review indicated the following:</p> <p>-BDDS report dated 3/28/14 indicated, "[Client A] was eating a pudding snack and began to choke. [DSP (Direct Support Professional) #1] followed choking protocol but since it was pudding she was choking on, [DSP #1] did a finger sweep in the back of [client A's] throat and cleared out the pudding. Staff notified [LPN (Licensed Practical Nurse) #1] and she stopped by group home to evaluate [client A]. [LPN #1] noticed [client A] had a decreased level of consciousness and recommended [client A] go to ER (Emergency Room) for further evaluation. [DSP #1] and [LPN #1] transported [client A] to the ER for further evaluation. Plan to resolve: [Client A] was admitted for presumptive aspiration pneumonia."</p> | W000331 | <p>Program Nurse will receive retraining to include ensuring that once a consumer either is diagnosed with pneumonia and/or is showing signs or symptoms of possible pneumonia or general decline in functioning a protocol for daily temperatures and vital signs will be implemented to track if there is any change in consumers medical condition that could lead to a worsening condition. Once certain consumers have been identified as high risk for pneumonia, the Program Nurse will instruct staff to take daily vital signs and temperatures to note any changes. Program Nurse will include in the protocol what baseline range is for the temperature and vitals to fall into. If any vital signs or temperatures are found to be outside the baseline range, the staff will be instructed to notify the Program Nurse immediately so an assessment can be completed on the consumer to determine the further course of action.</p> <p>Ongoing, if a consumer is identified as a high risk for pneumonia, the Program Nurse will implement a protocol for daily temperatures and vital signs to monitor for pneumonia or other medical complications. The Program Nurse will work in conjunction with the Home Manager and Program Director to review the daily temperatures and</p> | 05/10/2014 | |

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| | <p>AD (Area Director) #1 was interviewed on 4/8/14 at 3:20 PM. AD #1 indicated client A had been taken to the ER following her choking incident on 3/27/14. AD #1 indicated client A had not returned to the group home since the 3/27/14 incident and had been released from the hospital to a rehabilitative care facility. AD #1 indicated client A had a history of aspiration pneumonia and had been on a mechanical soft diet prior to the 3/27/14 incident.</p> <p>Client A's record was reviewed on 4/9/14 at 8:39 AM. Client A's NPN (Nursing Progress Notes) indicated the following narrative notes:</p> <p>- "10/8/13, 6:35 AM: Staff called [LPN #1's] phone this AM and stated that she felt [client A's] baseline level of cognition had changed. Stated [client A] had facial drooping, was very slow to respond and could not follow commands (grasping and squeezing staff's hands). Staff unable obtain accurate BP (Blood Pressure) at this time. Instructed to call 911 and send to ER for evaluation and treatment."</p> <p>- "10/8/13, 12:40 PM: [LPN #1] arrived at ER to check on [client A's] status. ER nurse stated that [client A] would be admitted to third floor for pneumonia."</p> | | <p>vital signs a minimum of daily during this period to note any changes in baseline ranges that might require further follow up.</p> <p>Responsible Party: Home Manager, Program Director and Program Nurse</p> | |

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| | <p>- "10/8/13, 2:00 PM: While sitting with [client A] in hospital room, [doctor #1] came in to introduce himself. [LPN #1] explained that [client A] could possibly be 'silently aspirating' on foods/fluids when at the group home. [Doctor #1] stated he would order speech pathology to perform a thorough swallow study."</p> <p>- "10/11/13, 3:30 PM: [Client A] being discharged from hospital this afternoon. Swallow study results were normal."</p> <p>- "3/12/14: [LPN #1] in group home this day and observed [client A] at dinner time meal. [Client A] noted to be pocketing food/fluid in mouth and requires prompting/cueing to swallow. [Client A] eventually swallows but does slightly hesitate. Blood sugars have been running low and staff appropriately notifies [LPN #1]. Insulin has been withheld when blood sugar is too low. [LPN #1] scheduling appointment with [doctor #2], endocrinology, to possibly reduce insulin dose as consumption has gradually decreased from approximately 75% of meals to 50% (per staff report. [Client A] to see [doctor #2] 3/18/14. Also scheduled appointment with PCP (Primary Care Physician) regarding gradual overall decrease in level and functioning."</p> | | | |

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| | <p>- "3/19/14, 6:00 PM: "[Client A] seen by PCP this day. Received new order to begin Clindamycin (antibiotic), for 7 days for left lower lobe infiltrate (abnormal substance in lungs). [Doctor #3] also made recommendation that speech therapy to contact [LPN #1] to schedule an in home evaluation for [client A]."</p> <p>- "3/21/14, 2:00 PM: Dysphasia trigger sheet initiated for [client A] as staff at home have reported increase in coughing and reddened face at meal times. Staff to track dysphasia triggers daily. Tracking sheet to be kept in MAR (Medication Administration Record)."</p> <p>- "3/27/14, 4:30 PM: [LPN #1] notified by staff at approximately 4:30 PM that [client A] had choked on pudding. Staff stated she was feeding pudding snack to [client A] when resident became red in the face and was unable to clear throat. Staff attempted to follow choking protocol but since the food item was pudding the Heimlich maneuver was attempted without success and staff stated [client A] raised hands above head and administered several back blows (also ineffective). Staff then did a finger sweep and was able to clear the pudding and some other food particles from the resident's mouth/throat. [Client A] began</p> | | | |

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| | <p>to cough and was able to regain normal respirations."</p> <p>-"3/27/14, 4:50 PM: [LPN #1] accompanied [client A] and staff to ER for evaluation and possible treatment. Upon arriving to ER [client A's] core body temperature was noted to be quite low (90.2). [Client A] was alert (and) able to answer some question but mostly just observed her surroundings."</p> <p>Client A's Medical Appointment form dated 3/19/14 indicated, "[LPN #1] is observing a notable physical decline in day to day activities. Pocketing food and fluid in mouth, does not want to swallow. Decrease in verbalization. Always (previously) fed self now frequently relies on staff to feed her. Currently assist with transfers. Attached a copy of swallow study... had requested when she was hospitalized in October 2013 for pneumonia. I can't believe she passed. And her aspiration risks have only worsened since then."</p> <p>Client A's record did not indicate documentation of daily temperature and vital readings to assess for continued aspiration pneumonia and/or lower lung infiltrate infection.</p> <p>LPN #1 was interviewed on 4/8/14 at</p> | | | |

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| | <p>3:45 PM. LPN #1 indicated client A had a history of aspiration pneumonia and left lower lung infiltrate that had been treated with antibiotics in October 2013 and on 3/19/14. LPN #1 stated, "I don't think the infiltrate ever really resolved. [Client A] was just too weak to cough it up, the infection up with any force. It just didn't seem to resolve." LPN #1 indicated client A was at risk for silent aspiration with her decreased ability to swallow and pocketing of fluid/foods and general decline in functioning. LPN #1 indicated she had implemented a dysphasia trigger tracking sheet to monitor client A regarding coughing, drooling, wet vocal quality, watery eyes and/or facial redness.</p> <p>LPN #1 was interviewed on 4/9/14 at 1:08 PM. When asked if measures to monitor client A regarding aspiration pneumonia had been developed/implemented, LPN #1 stated, "No, I didn't have staff do daily vitals/temperatures. I had been in the home on a weekly basis, I took her vitals weekly but we didn't do it daily or each shift." LPN #1 indicated client A's vitals and temperatures should have been monitored daily to assess for aspiration pneumonia.</p> <p>This federal tag relates to complaint #IN00147011.</p> | | | |

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

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