

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 15G317	X2) MULTIPLE CONSTRUCTION A. BUILDING 00 B. WING _____	X3) DATE SURVEY COMPLETED 03/12/2014
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NAME OF PROVIDER OR SUPPLIER VOCA CORPORATION OF INDIANA	STREET ADDRESS, CITY, STATE, ZIP CODE 580 MAIN ST LAWRENCEBURG, IN 47025
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W000000 W000000	<p>This visit was for a post certification revisit survey (PCR) to the recertification and state licensure survey completed on December 19, 2013.</p> <p>This visit was in conjunction with the investigation of complaint #IN00145468.</p> <p>Survey Dates: March 10, 11 and 12, 2014.</p> <p>Facility Number: 000835 Provider Number: 15G317 AIMS Number: 100243660</p> <p>Surveyor: Jo Anna Scott, QIDP.</p> <p>These deficiencies also reflect state findings in accordance with 460 IAC 9.</p> <p>Quality review completed March 21, 2014 by Dotty Walton, QIDP.</p>	W000000		

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (see instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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W000104	483.410(a)(1) GOVERNING BODY The governing body must exercise general policy, budget, and operating direction over the facility.	W000104	<p>W104: The governing body must exercise general policy, budget, and operating direction over facility.</p> <p>Corrective action:</p> <ul style="list-style-type: none"> · Medication area has been moved to new location (Attachment A). · Downstairs bathroom has been repaired (Attachment A). · Removing wallpaper, and painting home has been scheduled. · Carpet is scheduled to be cleaned. · Flooring has been replaced (Attachment A). · Light at bottom of stairs has been repaired, and 2 way switch for upstairs light is being installed. · Windows have been replaced in home (Attachment A). · New rod will be installed in closet for curtain; clients like the curtain and a door would block ingress into 	04/11/2014	

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			<p>bedroom.</p> <ul style="list-style-type: none"> · Heating vents have been cleaned and painted. · Weather stripping for back door is being installed. <p>How we will identify others: Program Manager will review Maintenance requests for completion.</p> <p>Measures to be put in place: New Maintenance Request protocol, revised Maintenance request, Maintenance checklist, and weekly Preventative Maintenance checklists have been implemented (Attachment B).</p> <p>Monitoring of Corrective Action: EDOM Site Visit Checklist will be completed bi monthly by Operations Manager to ensure that home is in good repair, and maintenance requests are completed (Attachment C).</p> <p>Completion Date: 4-11-2014</p>	
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W000104	<p>483.410(a)(1) GOVERNING BODY</p> <p>The governing body must exercise general policy, budget, and operating direction over the facility.</p> <p>Based on observation and interview for 4 of 4 sampled clients (clients #1, #2, #3 and #4) and 4 additional clients (clients #5, #6, #7 and #8), the facility's governing body failed to exercise general policy and operating direction over the facility to ensure the group home was maintained and in good repair.</p> <p>Findings include:</p> <p>During the observations at the group home of clients #1, #2, #3, #4, #5, #6, #7 and #8 on 3/10/13 between 5:15 PM to 7:00 PM and on 3/11/13 between 8:30 AM to 6:30 PM, the following was observed:</p> <ul style="list-style-type: none"> - The home was an older two story home. The medication area is in the living room and has an overhead swag light for the area containing 5 bulbs with two that didn't work. There is no other light in the area for the dispensing of medication. - The downstairs bathroom tub had a brown/black substance around the trim, the toilet was cracked, tiles were broken, some of the molding was missing, and Plexiglas was nailed to the window. 	W000104	<p>W104: The governing body must exercise general policy, budget, and operating direction over facility.</p> <p>Corrective action:</p> <ul style="list-style-type: none"> · Medication area has been moved to new location (Attachment A). · Downstairs bathroom has been repaired (Attachment A). · Removing wallpaper, and painting home has been scheduled. · Carpet is scheduled to be cleaned. · Flooring has been replaced (Attachment A). · Light at bottom of stairs has been repaired, and 2 way switch for upstairs light is being installed. · Windows have been replaced in home (Attachment A). · New rod will be installed in closet for curtain; clients like the curtain and a door would block ingress into 	04/11/2014
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	<p>- The wall paper covering the stairs and the upstairs walls was peeling. There were cracks and small holes noted in walls throughout the home. The paint on the walls was chipped.</p> <p>- The light switch at the bottom and top of the stairs did not function properly and the clients had to go up the stairs and/or come down the stairs without sufficient lighting. The light at the bottom of the stairs did not work.</p> <p>- The carpet on the bottom steps of the stairs was stained with a black substance. The carpet in the hall at the top of the stairs had large round dark stains on it.</p> <p>- The floor in client #3 and #4's room had three spots, each 6 to 10 inches in diameter where the laminate flooring was broken. The top pane of glass in the window in front of client #4's bed was broken. The walls had holes where objects had been nailed to the walls. The paint was peeling.</p> <p>Clients #6 and #8 shared an upstairs bedroom. The bedroom closet did not have a shelf, a curtain hung at the closet and the doors were missing. There were three large windows in the bedroom with Plexiglas screwed to the frames. Outside air could be felt coming inside around the windows.</p> <p>The heating/cooling air vents throughout the house were rusted.</p>		<p>bedroom.</p> <ul style="list-style-type: none"> · Heating vents have been cleaned and painted. · Weather stripping for back door is being installed. <p>How we will identify others: Program Manager will review Maintenance requests for completion.</p> <p>Measures to be put in place: New Maintenance Request protocol, revised Maintenance request, Maintenance checklist, and weekly Preventative Maintenance checklists have been implemented (Attachment B).</p> <p>Monitoring of Corrective Action: EDOM Site Visit Checklist will be completed bi monthly by Operations Manager to ensure that home is in good repair, and maintenance requests are completed (Attachment C).</p> <p>Completion Date: 4-11-2014</p>		

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	<p>The back door going into the kitchen had a broken seal which allowed outside air to come into the house around the door.</p> <p>Interview with administrative staff #2 on 3/10/14 at 1:50 PM indicated they did not receive the CER (Capital Expense Report) approval until 2/14/14 and the contractor ordered the new windows and cabinets on 2/25/14. Administrative staff #2 stated: "We have been replacing furniture and scrubbing floors but now we just have to wait for the material to come in and the contractor to get the work done."</p> <p>Interview with clients #1 and #3 on 3/10/14 at 6:00 PM indicated the new furniture was a big improvement and the house was much warmer since the weather had improved. Clients #1 and #3 did indicate the windows needed to be replaced.</p> <p>9-3-1(a)</p>						

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W000429	483.470(e)(2)(i) HEATING AND VENTILATION The facility must maintain the temperature and humidity within a normal comfort range by heating, air conditioning or other means.	W000429	<p>W429: The facility must maintain the temperature and humidity within a normal comfort range by heating, air conditioning or other means.</p> <p>Corrective action:</p> <ul style="list-style-type: none"> · Baseboard heater has been installed in client room (Attachment A). · New windows have been installed (Attachment A). <p>How we will identify others: Clinical Supervisors will ensure that adequate heating is in all bedrooms, and window air conditioners removed.</p> <p>Measures to be put in place: New Maintenance Request protocol, revised Maintenance request, Maintenance checklist, and weekly Preventative Maintenance checklists have been implemented (Attachment B).</p> <p>Monitoring of Corrective Action:</p>	04/11/2014	

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			<p>Program Manager will review Maintenance Requests weekly to ensure that all requests have been completed.</p> <p>Completion Date: 4-11-2014</p>	

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W000429	<p>483.470(e)(2)(i) HEATING AND VENTILATION The facility must maintain the temperature and humidity within a normal comfort range by heating, air conditioning or other means. Based on observation and interview for 2 of 8 clients (clients #6 and #8) living in the group home, the facility failed to main the heat above 68 degrees F (Fahrenheit) inside the clients' bedroom.</p> <p>Findings include:</p> <p>Observations were conducted at the group home on 3/11/14 between 8:30 AM to 6:30 PM. Clients #6 and #8 shared an upstairs bedroom. At 3:00 PM client #6 stated: "Our bedroom is much better since the air conditioner has been taken out; but the room is still cold."</p> <p>Interview with administrative staff #3 on 3/11/14 at 9:00 AM indicated the home was cold this winter but the new windows (on order) will be a big improvement.</p> <p>9-3-7(a)</p>	W000429	<p>W429: The facility must maintain the temperature and humidity within a normal comfort range by heating, air conditioning or other means.</p> <p>Corrective action:</p> <ul style="list-style-type: none"> · Baseboard heater has been installed in client room (Attachment A). · New windows have been installed (Attachment A). <p>How we will identify others: Clinical Supervisors will ensure that adequate heating is in all bedrooms, and window air conditioners removed.</p> <p>Measures to be put in place: New Maintenance Request protocol, revised Maintenance request, Maintenance checklist, and weekly Preventative Maintenance checklists have been implemented (Attachment B).</p> <p>Monitoring of Corrective Action:</p>	04/11/2014			

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W009999		W009999	<p>Program Manager will review Maintenance Requests weekly to ensure that all requests have been completed.</p> <p>Completion Date: 4-11-2014</p> <p>There is no W9999 site on this survey, it was corrected in original POC.</p>	04/11/2014	