

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 15G319	X2) MULTIPLE CONSTRUCTION A. BUILDING 00 B. WING _____	X3) DATE SURVEY COMPLETED 10/21/2011
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NAME OF PROVIDER OR SUPPLIER REM-INDIANA INC	STREET ADDRESS, CITY, STATE, ZIP CODE 211 W 3RD ST PERU, IN46970
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETION DATE
W0000	<p>This visit was for the investigation of complaint #IN00098512</p> <p>Complaint #IN00098512: SUBSTANTIATED, Federal and State deficiencies related to the allegation was cited at W289.</p> <p>This survey was completed in conjunction with post certification revisit (PCR) to the investigation of complaint #IN00096220 completed September 21, 2011.</p> <p>Dates of Survey: October 17, 18, 19, 20, and 21, 2011.</p> <p>Surveyor: Susan Eakright, Medical Surveyor III/QMRP</p> <p>Provider Number: 15G319 Facility Number: 000837 AIM Number: 100243970</p> <p>The following deficiencies also reflect state findings in accordance with 460 IAC 9.1.</p> <p>Quality Review completed 11/4/11 by W. Chris Greeney ICF-ID Surveyor Supervisor</p>	W0000	<p>All Mentor Supervisors and DSPs (Direct Support Employees) are trained on each client's Behavioral support plan if the consumer has a behavior plan. They are also trained on Physical Intervention Alternatives (PIA) when hired. During both of these trainings staff are reminded that the least restrictive method of redirection or physical intervention is always to be used. For PIA staff are taught the heirarchy of physical intervention and complete skills test for all Physical Interventions which may be used.</p>	

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (see instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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W0289	<p>The use of systematic interventions to manage inappropriate client behavior must be incorporated into the client's individual program plan, in accordance with §483.440(c) (4) and (5) of this subpart.</p> <p>Based on record review, and interview, for 1 of 1 sample client (client A) who had physical interventions employed for behavior, the facility failed to describe in client A's plans physical behavioral interventions/Physical Intervention Alternative (PIA) which were used for client A.</p> <p>Findings include:</p> <p>On 10/18/11 at 9am, client A's 7/25/11 addendum BSP (Behavior Support Plan), client A's 12/21/10 ISP (Individual Support Plan), and a 5/2011 "Quick Reference Guide" for client A's "revised 3/2011 behavior development plan" (BDP) indicated her behaviors included but were not limited to physical assault. Client A's "Physical Assault" was defined as "attempts or actual attacks directed at one or more individuals with the apparent intent to produce pain or injury, and taking the form of one or more of the following: hitting, scratching, pinching, kicking, biting, pulling hair, head butting, pushing, and/or striking with a held or propelled object." Client A's plan indicated she "required" one on one staff</p>	W0289	Behavioral Support Plans will ensure that when referring to PIA the plans will specify what PIA stands for which is Physical Intervention Alternatives (PIA). Physical Intervention Alternatives (PIA) training teaches the heirarcy of least restrictive interventions up to the most restrictive which is physical restraint. Supervisors and DSPs are trained to always use the least restrictive and attached to the BSP will be a copy of the heirarcy of PIA. Behavior plans will specify to use least restrictive techniques, list the progressions of the PIA heirarcy in the plan from least restrictive to most restrictive which is physical restraint and the plan will refer to the heirarcy of PIA attached to the plan for staff guidance if needed. The Behavior plan should specify when a physical restraint is to be used as acceptable and in what senerios it is needed for the consumer.Addendum.Client A's Behavior Plan will be revised to indicate for each targeted behavior which least restrictive intervention is to be utilized on the initial attempt of redirection and will state the progression of interventions appropriate up to physical retrain if needed and	11/18/2011	

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	<p>supervision during "all" awake hours because of client A's physical aggression toward staff and other clients. Client A's 7/25/11 addendum BSP indicated client A "continued aggression toward other consumers and staff." Client A's 7/25/11 addendum BSP indicated "if [client A] exhibits a physical assault or property destruction during (her behavioral episodes) implement an appropriate PIA containment procedure." Client A's record did not define PIA. No written documented evidence was available for review that described specific interventions from least restrictive to most intrusive techniques that were necessary for client A.</p> <p>On 10/18/11 at 9:20am, an interview with the QMRP (Qualified Mental Retardation Professional) was completed. The QMRP indicated no documented evidence was available for review which described written interventions from least restrictive to most intrusive techniques staff were to employ for client A's behaviors.</p> <p>On 10/18/11 at 9:30am, the facility's "Hierarchy of Physical Interventions" (PIA) was reviewed with the QMRP. The policy indicated "6. Physical restraint (PR) refers to the application of physical force to prevent the person from harming him/herself or others. PR is not a</p>		<p>appropriate. These progressions will follow the Mentor Hierarchy of Physical Interventions in which staff are trained to utilize for redirection and assistance in dealing with client behaviors needing staff intervention.</p>		

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	<p>therapeutic technique and is only utilized in emergency situations when everything else has failed. It may only be used for extreme behaviors." The QMRP stated "yes, PIA" was used for client A's behaviors and was not defined as a part of her written plan.</p> <p>This federal tag relates to complaint #IN00098512.</p> <p>9-3-5(a)</p>				