

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  15G298		X2) MULTIPLE CONSTRUCTION A. BUILDING 00 B. WING _____		X3) DATE SURVEY COMPLETED  02/27/2012	
NAME OF PROVIDER OR SUPPLIER  TRANSITIONAL SERVICES SUB LLC				STREET ADDRESS, CITY, STATE, ZIP CODE 317 N MAIN ST HAUBSTADT, IN 47639			
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W0000	<p>This visit was for the investigation of complaint #IN00103140.</p> <p>Complaint #IN00103140-Substantiated, Federal/state deficiencies related to the allegation(s) are cited at W227 and W249.</p> <p>Dates of Survey: 2/20, 2/21 and 2/27/12</p> <p>Facility Number: 000817 Provider Number: 15G298 Aim Number: 100243700</p> <p>Surveyor: Paula Chika, Medical Surveyor III-Team Leader</p> <p>These deficiencies also reflect state findings in accordance with 460 IAC 9.</p> <p>Quality review completed on 3/04/2012 by Dotty Walton, Medical Surveyor III.</p>	W0000					

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (\*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (see instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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W0227	<p>483.440(c)(4) INDIVIDUAL PROGRAM PLAN The individual program plan states the specific objectives necessary to meet the client's needs, as identified by the comprehensive assessment required by paragraph (c)(3) of this section.</p> <p>Based on observation, interview and record review for 1 of 4 sampled clients (A), the client's Individual Support Plan (ISP) failed to address the client's identified mealtime training need.</p> <p>Findings include:</p> <p>During the 2/20/12 observation period between 1:10 PM and 6:40 PM, at the group home, client A did not consistently chew each bite of food the client placed into his mouth. At times, client A would swallow without chewing his food, and/or place large bites of food in his mouth. Staff #2 prompted client A to chew and take smaller bites of food one time.</p> <p>The facility's reportable incident reports and/or investigations were reviewed on 2/20/12 at 1:20 PM. The facility's 1/24/12 reportable incident report indicated client A choked on a muffin he had retrieved from the kitchen while staff were not present in the kitchen.</p> <p>Client A's record was reviewed on 2/21/12 at 11:03 AM. Client A's 1/27/12</p>	W0227	<p>IDT meeting was held on 3/15/2012. A formal goal was added for Client A to state ways to avoid choking and the consequences of not following the prescribed diet. Updates were made on 3/15/12 to Client A's aspiration/ choking risk plan and his dysphagia/ dining plan. Staff will receive training on the new goal prior to working their next scheduled shift. Staff will also receive training on monitoring Client A while eating or drinking to assist Client A in increasing his independence while eating or drinking. All staff will be trained on the new aspiration/ choking risk plan and his dysphagia/ dining plan prior to working their next scheduled shift. Management staff will do routine mealtime observations on an ongoing basis to ensure that Client A's plans are being followed. Management staff will also ensure the new goal is being implemented properly.</p>	03/28/2012	

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	<p>Aspiration Protocol indicated "[Client A] ...he tends to take large bites of food and often puts more food into his mouth before even attempting to swallow the first bite he took...."</p> <p>Client A's 8/10/11 Risk Management Assessment Plan indicated "...Staff will monitor [client A] while eating or drinking to prompt him to take smaller bites...."</p> <p>Client A's 2/21/12 ISP indicated client A did not have a formal mealtime training objective which addressed the client's chewing food and/or taking small bites of food.</p> <p>Interview with staff #3 on 2/20/12 at 6:40 PM indicated client A did not always chew his food before swallowing. Staff #3 stated client A would "stuff his mouth." Staff #3 indicated she did not think client A had a formal objective in place to teach the client to take smaller bites. Staff #3 stated: "We redirect him."</p> <p>Interview with staff #1 on 2/21/12 at 12:47 PM indicated client A did not have a formal training objective in place to address the client's identified meal time training needs.</p> <p>This federal tag is related to complaint</p>						

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	#IN00103140.  9-3-4(a)				

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W0249	<p>483.440(d)(1) PROGRAM IMPLEMENTATION</p> <p>As soon as the interdisciplinary team has formulated a client's individual program plan, each client must receive a continuous active treatment program consisting of needed interventions and services in sufficient number and frequency to support the achievement of the objectives identified in the individual program plan.</p> <p>Based on observation, interview and record review for 1 of 4 sampled clients (A), the facility failed to implement the client's dining plan in regard to monitoring the client while eating.</p> <p>Findings include:</p> <p>During the 2/20/12 observation period between 1:10 PM and 6:40 PM, at the group home, client A was sitting at the dining room table finishing a snack with a large glass of milk in front of him. No staff were present in the dining room with the client. Two staff (staff #2 and #3) were in an adjacent medication room behind a pulled curtain. Staff #2 and #3 did not monitor client A while he finished his snack and/or drank his large cup of milk. At 6:19 PM, client A was sitting at the dining room table finishing up his dinner meal. Staff #3, who was sitting at the end of the table with clients A and D, left the dining room area and went to the medication room while client A was still eating. Staff #2 was upstairs with another</p>	W0249	<p>IDT meeting was held on 3/15/2012. A formal goal was added for Client A to state ways to avoid choking and the consequences of not following the prescribed diet. Updates were made on 3/15/12 to Client A's aspiration/ choking risk plan and his dysphagia/ dining plan. Staff will receive training on the new goal prior to working their next scheduled shift. Staff will also receive training on monitoring Client A while eating or drinking to assist Client A in increasing his independence while eating or drinking. All staff will be trained on the new aspiration/ choking risk plan and his dysphagia/ dining plan prior to working their next scheduled shift. Management staff will do routine mealtime observations on an ongoing basis to ensure that Client A's plans are being followed. Management staff will also ensure the new goal is being implemented properly.</p>	03/28/2012			

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	<p>client and staff #1 was in the living room talking with a client. Client D called staff to come back to the table when client A touched client D's plate and cup. Staff did not stay in the dining room while client A was eating. During the above mentioned observation period, client A sat at the dining room table which was in the kitchen, and/or helped fix dinner in the kitchen. Client A spent the majority of his time in the kitchen area.</p> <p>The facility's reportable incident reports and/or investigations were reviewed on 2/20/12 at 1:20 PM. The facility's 1/24/12 reportable incident report indicated client "At approximately 9:35 pm on 1/24/12 the staff heard [client A] coughing in the kitched (sic) while she was assisting another client in another room. When she went into the kitchen [client A] was choking and unable to cough it up on his own. The (sic) started doing abdominal thrusts and this was unsuccessful so she called 911. Right after calling 911, [client A's] airway became clear and he was no longer choking. When the EMT's (Emergency Medical Technicians) arrived they assessed [client A] and felt there was no need for him to be assessed at the ER (emergency room) as his airway was clear and his lungs were clear and they saw no further signs of issues. [Client A] had a</p>				

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	<p>muffin earlier in the evening with staff assistance to follow his mechanical soft diet and ate with no problems. [Client A] got hungry and went into the kitchen and helped himself to another muffin while the staff was assisting another client. [Client A] has a dining plan but is very independent and sometimes refuses to follow it...."</p> <p>Client A's record was reviewed on 2/21/12 at 11:03 AM. Client A's 1/27/12 Aspiration Protocol indicated "[Client A] has a diagnosis of mild dysphagia, he needs to be observed during meals as he tends to take large bites of food and often puts more food into his mouth before even attempting to swallow the first bite he took. [Client A] has been known to sneak food and staff need to be aware of his whereabouts in the home to prevent him from being alone in the kitchen...."</p> <p>Client A's 8/10/11 Risk Management Assessment Plan indicated client A had an order to use a 4 ounce Resin cup "...to decrease the amount of intake while drinking due to his diagnosis of Dysphasia...." The 8/10/11 risk plan also indicated "...Staff will monitor [client A] while eating or drinking to prompt him to take smaller bites and to follow his soft diet...." Facility staff did not implement client A's protocols and/or risk plan</p>						

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	<p>strategies when opportunities existed.</p> <p>Interview with staff #3 on 2/20/12 at 6:40 PM indicated staff was to sit with the client when he ate and/or was in the kitchen.</p> <p>Interview with staff #1 on 2/21/12 at 12:47 PM indicated client A should be monitored when he was eating per the client's 1/27/12 aspiration protocol.</p> <p>This federal tag is related to complaint #IN00103140.</p> <p>9-3-4(a)</p>			