

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 15G791	X2) MULTIPLE CONSTRUCTION A. BUILDING 01 B. WING _____	X3) DATE SURVEY COMPLETED 04/09/2012
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NAME OF PROVIDER OR SUPPLIER SPECTRUM COMMUNITY SERVICES OF INDIANA LLC	STREET ADDRESS, CITY, STATE, ZIP CODE 474 WHITEWOOD DR VALPARAISO, IN 46385
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K0000	<p>A Life Safety Code Walk-Thru Survey was conducted by the Indiana State Department of Health in accordance with 42 CFR 483.470(j).</p> <p>Survey Date: 04/09/12</p> <p>Facility Number: 012557 Provider Number: 15G791 AIM Number: 201017960A</p> <p>Surveyor: Dennis Austill, Life Safety Code Supervisor</p> <p>At this Life Safety Code Walk-Thru survey, Spectrum Community Services of Indiana, LLC was found not in compliance with Requirements for Participation in Medicaid, 42 CFR Subpart 483.470(j), Life Safety from Fire and the 2000 edition of the National Fire Protection Association (NFPA) 101, Life Safety Code (LSC), Chapter 33, Existing Residential Board and Care Occupancies.</p> <p>This one story facility was fully sprinklered. The facility has a fire alarm system with smoke detection in the client sleeping rooms and common living areas. The facility has a capacity of 4 and had a census of 4 at the time of this survey.</p>	K0000		

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (see instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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	<p>Calculation of the Evacuation Difficulty Score (E-Score) using NFPA 101A, Alternative Approaches to Life Safety, Chapter 6, rated the facility Prompt with an E-Score of 0.1.</p> <p>Quality Review by Robert Booher, Life Safety Code Specialist-Medical Surveyor on 04/11/12.</p> <p>The facility was found not in compliance with the aforementioned regulatory requirements as evidenced by:</p>			

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K0130	<p>1. Based on observation and interview, the facility failed to ensure 1 of 4 portable fire extinguishers was mounted on a wall. NFPA 101, Section 4.5.7 states any device, equipment or system required for compliance with this Code shall thereafter be maintained unless the Code exempts such maintenance. NFPA 10, Standard for Portable Fire Extinguishers, Section 1-6.7 requires portable fire extinguishers shall be securely installed on the hanger or in the bracket supplied or placed in cabinets or wall recesses. The hanger or bracket shall be securely and properly anchored to the mounting surface in accordance with the manufacturer's instructions. Section 1-6.10 states, in no case shall the clearance between the bottom of the fire extinguisher and the floor be less than 4 inches. This deficient practice could affect any staff and/or clients.</p> <p>Findings include:</p> <p>Based on observation at 1:15 p.m. on 04/09/12 with the Direct Support Professional, the portable fire extinguisher in the garage was set on the garage floor. Based on interview at the time of observation, the Direct Support Professional indicated the fire</p>	K0130	Fire extinguisher was repositioned on the wall. A generator check sheet has been developed and is in place to monitor when the generator runs and a monthly maintenance check.	04/10/2012			

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	<p>extinguisher was mounted on the garage wall until recently when a refrigerator was moved into the garage in front of where the fire extinguisher used to hang.</p> <p>2. Based on observation and interview, the facility failed to ensure 1 of 1 emergency generators was tested and maintained. NFPA 101, Section 7.9.2.3 states emergency generators providing power to emergency lighting systems shall be installed, tested, and maintained in accordance with NFPA 110, the Standard for Emergency and Standby Power Systems. NFPA 110 Section 6-4.1 requires Level 1 and Level 2 EPSS's, including all appurtenant components, shall be inspected weekly and shall be exercised under load at least monthly. Section 6-4.2 requires generator sets in Level 1 and Level 2 service shall be exercised at least once monthly, for a minimum of 30 minutes, using one of the following methods:</p> <p>a. Under operating temperature conditions or at not less than 30 percent of the EPS nameplate rating</p> <p>b. Loading that maintains the minimum exhaust gas temperatures as recommended by the manufacturer</p> <p>The date and time of day for required testing shall be decided by the owner, based on facility operations.</p> <p>Section 6-3.4 requires a written record of</p>			

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	<p>the EPSS inspections, tests, exercising, operation, and repairs shall be maintained on the premises. The written record shall include the following:</p> <ul style="list-style-type: none"> a. The date of the maintenance report b. Identification of the servicing personnel c. Notation of any unsatisfactory condition and the corrective action taken, including parts replaced d. Testing of any repair for the appropriate time as recommended by the manufacturer. <p>This deficient practice could affect any staff and clients.</p> <p>Findings include:</p> <p>Based on observation at 1:10 p.m. on 04/09/12 with the Direct Support Professional, the facility had an emergency generator outside the house with the transfer switch inside the garage. Based on interview at the time of observation, the Direct Support Professional indicated there was no documentation of testing or maintenance available for the emergency generator.</p>			

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KS056	<p>483.470(j)(1)(i) LIFE SAFETY CODE STANDARD PROMPT</p> <p>Where an automatic sprinkler system is installed, for either total or partial building coverage, the system is in accordance with Section 9.7, 33.2.3.5.2 and activates the fire alarm system in accordance with 33.2.3.4.1. The adequacy of the water supply is documented to the authority having jurisdiction.</p> <p>Exception No. 1: In prompt evacuation facilities, an automatic sprinkler system in accordance with NFPA 13D, Standard for the Installation of Sprinkler Systems in One and two Family Dwellings and Manufactured Homes, is permitted. Automatic sprinklers are not required in closets not exceeding 24 sq. ft. and in bathrooms not exceeding 55 sq. ft., provided that such spaces are finished with lath and plaster or materials providing a 15 minute thermal barrier.</p> <p>Exception No. 2: Not applicable</p> <p>Exception No. 3: In prompt and slow evacuation capability facilities where an automatic sprinkler system is in accordance with NFPA 13, Standard for the Installation of Sprinkler Systems, automatic sprinklers are not required in closets not exceeding 24 sq. ft and in bathrooms not exceeding 55 sq. ft., provided that such spaces are finished with lath and plaster or material providing a 15 minute thermal barrier.</p> <p>Exception No. 4: In prompt and slow evacuation capability facilities up to and including four stories in height, systems in accordance with NFPA 13R, Standard for the Installation of Sprinkler Systems in</p>			

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	<p>Residential Occupancies up to and Including Four Stories in Height, are permitted.</p> <p>Exception No. 5: Not applicable</p> <p>Exception No. 6: Initiation of the fire alarm system is not required for existing installations in accordance with 33.2.3.5.5.</p> <p>SLOW Where an automatic sprinkler system is installed, for either total or partial building coverage, the system is in accordance with Section 9.7 and activates the fire alarm system in accordance with 33.2.3.4.1. The adequacy of the water supply is documented to the authority having jurisdiction.</p> <p>Exception No. 1: Not Applicable</p> <p>Exception No. 2: Not Applicable</p> <p>Exception No. 3: In prompt and slow evacuation capability facilities where an automatic sprinkler system is in accordance with NFPA 13, Standard for the Installation of Sprinkler Systems, automatic sprinklers are not required in closets not exceeding 24 sq. ft. and in bathrooms not exceeding 55 sq. ft., provided that such spaces are finished with lath and plaster or material providing a 15 minute thermal barrier.</p> <p>Exception No. 4: In prompt and slow evacuation capability facilities up to and including four stories in height, systems in accordance with NFPA 13R, Standard for the Installation of Sprinkler Systems in Residential Occupancies up to and Including Four Stories in Height, are permitted.</p> <p>Exception No. 5: Not Applicable</p>			

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	<p>Exception No. 6: Initiation of the fire alarm system is not required for existing installations in accordance with 33.2.3.5.5.</p> <p>IMPRACTICAL Where an automatic sprinkler system is installed, for either total or partial building coverage, the system is in accordance with Section 9.7 and activates the fire alarm system in accordance with 33.2.3.4.1. The adequacy of the water supply is documented to the authority having jurisdiction. 33.2.3.5.2.</p> <p>Exception No. 1: Not Applicable.</p> <p>Exception No. 2: In slow and impractical evacuation capability facilities, an automatic sprinkler system in accordance with NFPA 13D, Standard for the Installation of Sprinkler Systems in One and Two Family Dwellings and Manufactured Homes, with a 30 minute water supply, is permitted. All habitable areas and closets are sprinklered. Automatic sprinklers are not required in bathrooms not exceeding 55 sq. ft., provided that such spaces are finished with lath and plaster or materials providing a 15 minute thermal barrier.</p> <p>Exception No. 3: Not Applicable.</p> <p>Exception No. 4: Not Applicable.</p> <p>Exception No. 5: In impractical evacuation capability facilities up to and including four stories in height, systems in accordance with NFPA 13R, Standard for the Installation of Sprinkler Systems in Residential Occupancies up to and Including Four Stories in Height, are permitted. All habitable areas and closets are sprinklered. Automatic</p>			
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	<p>sprinklers are not required in bathrooms not exceeding 55 sq. ft., provided that such spaces are finished with lath and plaster or materials providing a 15 minute thermal barrier.</p> <p>Exception No. 6: Initiation of the fire alarm system is not required for existing installations in accordance with 33.2.3.5.5.</p> <p>Based on record review and observation, the facility failed to maintain 1 of 1 sprinkler systems. LSC 9.7.5 refers to NFPA 25, Standard for the Inspection, Testing, and Maintenance of Water-Based Fire Protection Systems. NFPA 25, 1-9.2 states inspection and periodic testing determine what, if any, maintenance actions are required to maintain the operability of a water based fire protection system. This deficient practice could affect all clients, staff and visitors.</p> <p>Findings include:</p> <p>Based on review of the McDaniel Sprinkler system Inspection Report dated 03/16/12 on 04/09/12 at 1:00 p.m. with the Direct Support Professional, there were "No supervisory switches or locks." The previous sprinkler inspection report dated 03/18/11 indicated , "Valves are not supervised or locked." Based on observation at 1:15 p.m. with the Direct Support Professional in the garage where the sprinkler riser was located, the valves</p>	KS056	McDaniel Fire Systems reviewed findinds and is gathering an estimate for repair. As soon as the estimate is received by SCSI, repair will be requested for within 24 hours.	05/04/2012			

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	on the riser were not locked in the open position or secured in any other manner to prevent water to the sprinkler system from being turned off.			