

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  15G326	X2) MULTIPLE CONSTRUCTION A. BUILDING 00 B. WING _____	X3) DATE SURVEY COMPLETED  12/13/2013
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W000000	<p>This visit was for an annual fundamental recertification and state licensure survey.</p> <p>Survey Dates: December 5, 10, 11 and 13, 2013.</p> <p>Facility Number: 000844 Provider Number: 15G326 AIMS Number: 100243650</p> <p>Surveyors: Vickie Kolb, RN-TC Steve Corya, Surveyor Supervisor, 12/11/13.</p> <p>These deficiencies also reflect state findings in accordance with 460 IAC 9.</p> <p>Quality review completed December 18, 2013 by Dotty Walton, QIDP.</p>	W000000		

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (\*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (see instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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W000312	<p>483.450(e)(2) DRUG USAGE Drugs used for control of inappropriate behavior must be used only as an integral part of the client's individual program plan that is directed specifically towards the reduction of and eventual elimination of the behaviors for which the drugs are employed. Based on record review and interview for 4 of 4 sampled clients receiving medications to control behaviors (#1, #2, #3 and #4), the facility failed to ensure: __A specific plan of reduction to reduce and eventually eliminate the behaviors for which each psychoactive medication was to target for clients #1, #2, #3 and #4. __Client #1's use of Melatonin was incorporated into the client's BSP (Behavior Support Plan).</p> <p>Findings include:</p> <p>1. Client #1's record was reviewed on 12/11/13 at 12 PM. Client #1's physician's orders dated 9/16/13 indicated client #1 took Depakote 1500 mg (milligrams) a day for impulse control, Latuda 40 mg a day for mood disorder and Melatonin 3 mg a day for anxiety. Client #1's BSP of 5/16/13 indicated client #1 had targeted behaviors of verbal/physical aggression, self injurious behaviors, non-compliance, suicidal threats/verbal threats, depression, property destruction, lying and stealing.</p>	W000312	<p>Corrective action: Behavior Support Plans for Clients #1, #2, #3, and #4 have been revised (Attachment A). Staff have been inserviced on revisions of Behavior Support plans (Attachment B). How we will identify others: Clinical Supervisors will review Behavior Support Plans to ensure that all medications are included and reduction plans are in place for all psychotropic medications.. Measures to be put in place: QIPD, Operations Manager East, will review Behavior Support Plans and sign IDT to ensure that all medications are included and reduction plans are correct.. Monitoring of Corrective Action: Operations Manager will perform bi-monthly EDOM checklist, including including review of Behavior Support Plans to ensure that all medications are included and plans of reduction are in place. Completion Date: 12-23-2013</p>	12/23/2013			

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	<p>Client #1's BSP indicated:            ___ "When [client #1] has achieved overall objective of 15 episodes per month, Latuda may be considered for a reduction up to 10% per psychiatric recommendations and IDT (Interdisciplinary Team) consensus."            ___ "When [client #1] has achieved overall objective of 15 episodes per month, Depakote may be considered for a reduction up to 10% per psychiatric recommendations and IDT consensus."</p> <p>Client #1's BSP did not define "episodes."            Client #1's BSP did not indicate the targeted behaviors for which client #1 was taking each behavior modification medication. Client #1's BSP did not include the use of Melatonin.</p> <p>2. Client #2's record was reviewed on 12/11/13 at 1 PM. Client #2's physician's orders dated 9/16/13 indicated client #2 took Adderall XR 30 mg a day for ADHD (Attention Deficit Hyperactivity Disorder), Klonopin 2 mg a day for anxiety, Paxil 40 mg a day for depression and Geodon 40 mg a day for anxiety. Client #2's BSP of 8/12/13 indicated client #2 had targeted behaviors of depression, non compliance and attention deficit disorder. Client #2's BSP did not indicate the behaviors for which client #2</p>						

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	<p>was taking each behavior modification medication. Client #2's BSP indicated "As per IDT (Interdisciplinary team) and psychiatrist agreement [client #2] is on the minimal therapeutic dosage for this medication and medication will not be changed." Client #2's BSP did not indicate a plan of reduction directed specifically towards the reduction of and eventual elimination of the behaviors for which the behavior medications were employed.</p> <p>3. Client #3's record was reviewed on 12/11/13 at 2 PM. Client #3's physician's orders dated 8/29/13 indicated client #3 took Depakote 500 mg, Seroquel 200 mg and Risperidone 8 mg a day for mood disorder, Ativan 3 mg a day for anxiety and Cogentin 1 mg a day for side effects of the behavior modification medications. Client #3's BSP of 3/8/13 indicated client #3 had targeted behaviors of verbal/physical aggression, non compliance, lying, ADHD, and inappropriate interactions and stealing.</p> <p>Client #3's BSP indicated: __ "When he [client #3] has achieved overall objective of 10 episodes of defined behavior per month Divalproex ER may be considered for a reduction up to 10% per psychiatric recommendations and IDT consensus."</p>			

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	<p>__ "When he [client #3] has achieved overall objective of 10 episodes of defined behavior per month Risperidone may be considered for a reduction up to 10% per psychiatric recommendations and IDT consensus."</p> <p>__ "When he [client #3] has achieved overall objective of 10 episodes of defined behavior per month Lorazepam may be considered for a reduction up to 10% per psychiatric recommendations and IDT consensus."</p> <p>__ "When he [client #3] has achieved overall objective of 10 episodes of defined behavior per month Seroquel XR may be considered for a reduction up to 10% per psychiatric recommendations and IDT consensus."</p> <p>Client #3's BSP did not define "episodes." Client #3's BSP did not indicate the specific targeted behaviors for which client #3 was taking each behavior modification medication.</p> <p>4. Client #4's record was reviewed on 12/11/13 at 3 PM. Client #4's physician's orders dated 12/2/13 indicated client #4 took Luvox 300 mg a day for depression and IED (Intermittent Explosive Disorder), Invega 3 mg a day for IED, Ativan 5 mg a day for anxiety disorder and Cogentin 2 mg a day for side effects of the behavior modification medications.</p>			

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	<p>Client #4's BSP of 12/13/12 indicated client #4 had targeted behaviors of verbal/physical aggression and OCD (Obsessive Compulsive Disorder).</p> <p>Client #4's BSP indicated:            ___ "When he [client #4] has achieved overall objective of 15 episodes per month Cogentin may be considered for a reduction up to 10% per psychiatric recommendations and IDT consensus."            ___ "When he [client #4] has achieved overall objective of 15 episodes per month Invega may be considered for a reduction up to 10% per psychiatric recommendations and IDT consensus."            ___ "When he [client #4] has achieved overall objective of 15 episodes per month Luvox may be considered for a reduction up to 10% per psychiatric recommendations and IDT consensus."            ___ "When he [client #4] has achieved overall objective of 15 episodes per month Ativan may be considered for a reduction up to 10% per psychiatric recommendations and IDT consensus."</p> <p>Client #4's BSP did not define "episodes."            Client #4's BSP did not indicate the specific targeted behaviors for which client #4 was taking each behavior modification medication.</p> <p>Interview with the QIDPD (Qualified</p>						

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	<p>Intellectual Disabilities Professional Designee) on 12/11/13 at 3 PM indicated the QIDPD had written client #1's, #2's, #3's and #4's BSPs. The QIDPD indicated the facility did not have a behavior specialist for the group homes. The QIDPD indicated the BSPs were changed last year after a survey to include a plan of reduction for every medication. When asked what was meant by episode, the QIDP stated, "Their behaviors." When asked if the clients' BSPs specified which targeted behaviors each medication was taken for, the QIDPD stated, "No, we go by the diagnosis." The QIDPD indicated the use of Melatonin was not included in client #1's BSP. The QIDPD indicated client #2's doctor and his mother did not want client #2's medications changed as he was at his minimal therapeutic dosage and client #2 did not have a plan of reduction in place for any of his behavior modification medications. When asked when his last medication reduction was attempted, the QIDPD indicated she did not know.</p> <p>9-3-5(a)</p>				