

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 15G134		X2) MULTIPLE CONSTRUCTION A. BUILDING 00 B. WING _____		X3) DATE SURVEY COMPLETED 01/22/2014	
NAME OF PROVIDER OR SUPPLIER ARC OPPORTUNITIES INC				STREET ADDRESS, CITY, STATE, ZIP CODE 0170 W 300 N HOWE, IN 46746			
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W000000	<p>This visit was for a fundamental recertification and state licensure survey.</p> <p>Dates of Survey: January 21 and 22, 2014.</p> <p>Facility number: 000671 Provider number: 15G134 AIM number: 100234320</p> <p>Surveyors: Susan Reichert, QIDP-TC Carla Lundberg, Federal Surveyor</p> <p>The following federal deficiencies also reflect state findings in accordance with 460 IAC 9. Quality Review completed 1/30/14 by Ruth Shackelford, QIDP.</p>			W000000			
W000126	<p>483.420(a)(4) PROTECTION OF CLIENTS RIGHTS The facility must ensure the rights of all clients. Therefore, the facility must allow individual clients to manage their financial affairs and teach them to do so to the extent of their capabilities.</p> <p>Based on interview and record review, the facility failed to teach skills to</p>			W000126	<p>It will be the responsibility of the Asst. Res. Director to revise the comprehensive functional assessment to identify all the</p>		02/28/2014

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (see instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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	<p>clients which resulted in accessing and using their money to the extent of their capabilities. This affected three of three clients in the core sample (Clients #1, #2, and #3).</p> <p>Findings include:</p> <p>Client #1 was interviewed on 1/22/14 at 7:45 AM. She indicated her money was kept in the bank and she was paid twice weekly from her job at the workshop.</p> <p>Staff #1 was interviewed on 1/22/14 at 7:50 AM. She indicated the clients' money was locked in the medication room and she was uncertain as to how clients were able to access their money. She indicated the clients did not take spending money to work.</p> <p>Client #2's record was reviewed on 1/22/14 at 11:15 AM. Client #2's comprehensive functional assessment dated 2/14 failed to assess her ability to carry money or the amount she was capable of carrying in her possession. Her IHP (Individual Habilitation Plan) dated 11/21/13 included an objective to</p>		<p>individuals specific developmental and behavioral management needs. Point in case to address the capability of an individual to carry money in their possession. If assessed to be capable of carrying money on their person, the IDT will determine the amount of money an individual would be recommended to be responsible for to be carried on their person for personal discretionary spending requirements. Accessibility to resources for discretionary spending will be addressed and recommendations made by the IDT with mutual consent from all parties within reason. Time frames will be individualized i.e. daily, weekly, monthly, upon request, etc...It will be the responsibility of the QIDP to assess all of the residents and call special IDT meetings to address and implement the findings. QIDP will also be responsible to train all residential and day program staff on new money goals and/or protocols. In the future it will be the responsibility of the QIDP in conjunction with the IDT to assist the individuals in assessing and identifying the skill deficits and then to develop and implement aggressive active treatment to teach them to manage their personal affairs to the extent the individuals are capable of.</p>		

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	<p>identify coins.</p> <p>Client #3's record was reviewed on 1/22/14 at 12:45 PM. Client #3's comprehensive functional assessment dated 2/14 failed to assess her ability to carry money or the amount she was capable of carrying in her possession. Her IHP dated 4/29/13 included an objective to make a purchase with staff.</p> <p>The clients' financial records were reviewed on 1/22/14 at 1:30 PM. Client #2 and #3's 2013 ledgers indicated they had \$20.00 each month deposited into the funds kept at the group home for personal spending.</p> <p>The Assistant Residential Director, the Comptroller and the Qualified Intellectual Disabilities Professional (QIDP) were interviewed at 3:30 PM on 1/22/14. The Comptroller stated each client was given \$20.00 each month as "spending money." The Comptroller indicated the provider served as representative payee and each client was required to provide receipts for all money spent. When asked if any of the</p>				

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	clients kept their "spending money," the Comptroller indicated that was not possible due to the responsibility the agency had as the clients' representative payee. The QIDP indicated all money was safeguarded by staff and when clients went shopping, money was handed to them at or near the cash register at which time each client was encouraged to make purchases by interacting with the cashier. When asked how the clients accessed money in their personal accounts if they wished to purchase something which cost more than the amount maintained at the residence, the Comptroller indicated they had to request the money from their individual bank account. The Comptroller indicated the money request process was generally completed and their money available within two weeks. When asked if the provider had developed a system which allowed a client to access money more quickly in the event she wanted to purchase something which exceeded the cash maintained at the residence, the Comptroller indicated that had not come up. The QIDP indicated the				

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	<p>comprehensive assessment and the IHP did not identify how much money clients #2 and #3 could carry or skills necessary needed to learn to use and safeguard their money.</p> <p>The QIDP was interviewed at 4:40 PM on 1/22/14 with Client #1's record available for reference. The QIDP indicated neither the IHP, dated 11/21/13, nor the Comprehensive Assessment, dated 3/2013, addressed what skills Client #1 needed to learn in order to use and safeguard her money. The QIDP indicated Client #1 did not use or carry money on a routine basis. The QIDP indicated Client #1 was handed money only at the time of purchase when going out to eat or on shopping trips. The QIDP indicated staff safeguarding money until the point of purchase was viewed as a protection and indicated the facility had not viewed the opportunity to use and carry money as an means to teach skills which might lead to greater independence.</p> <p>9-3-2(a)</p>				

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W000214	<p>483.440(c)(3)(iii) INDIVIDUAL PROGRAM PLAN The comprehensive functional assessment must identify the client's specific developmental and behavioral management needs.</p> <p>Based on interview and record review, the facility failed to individually assess needs related to money management for three of three clients in the core sample (Clients #1, #2 and #3).</p> <p>Findings include:</p> <p>Client #1 was interviewed on 1/22/14 at 7:45 AM. She indicated her money was kept in the bank and she was paid twice weekly from her job at the workshop.</p> <p>Client #2's record was reviewed on 1/22/14 at 11:15 AM. Client #2's comprehensive functional assessment dated 2/14 failed to assess her ability to carry money or the amount she was capable of carrying in her possession.</p>	W000214	<p>It will be the responsibility of the Asst. Res. Director to revise the comprehensive functional assessment to identify all the individuals specific developmental and behavioral management needs. Point in case to address the capability of an individual to carry money in their possession. If assessed to be capable of carrying money on their person, the IDT will determine the amount of money an individual would be recommended to be responsible for to be carried on their person for personal discretionary spending requirements. Accessibility to resources for discretionary spending will be addressed and recommendations made by the IDT with mutual consent from all parties within reason. Time frames will be individualized i.e. daily, weekly, monthly, upon request, etc...It will be the responsibility of the QIDP to assess all of the residents and</p>	02/28/2014	

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	<p>Client #3's record was reviewed on 1/22/14 at 12:45 PM. Client #3's comprehensive functional assessment dated 2/14 failed to assess her ability to carry money or the amount she was capable of carrying in her possession.</p> <p>The clients' financial records were reviewed on 1/22/14 at 1:30 PM. Client #2 and #3's 2013 ledgers indicated they had \$20.00 each month deposited into the funds kept at the group home for personal spending.</p> <p>The Assistant Residential Director, the Comptroller and the Qualified Intellectual Disabilities Professional (QIDP) were interviewed at 3:30 PM on 1/22/14. The Comptroller indicated each resident was given \$20.00 each month as "spending money." The Comptroller indicated the provider served as representative payee and each client was required to provide receipts for all money spent. When asked if decisions about "spending money" were based on individual assessed need and/or made with input from the client, the Comptroller and the Assistant</p>		<p>call special IDT meetings to address and implement the findings. QIDP will also be responsible to train all residential and day program staff on new money goals and/or protocols. In the future it will be the responsibility of the QIDP in conjunction with the IDT to assist the individuals in assessing and identifying the skill deficits and then to develop and implement aggressive active treatment to teach them to manage their personal affairs to the extent the individuals are capable of.</p>		

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	<p>Residential Director indicated the facility practice was for each client to receive \$20 each month and indicated how each client's account was justified to the receipts which showed how the money was spent. The QIDP indicated the comprehensive assessment and the IHP did not identify how much money clients #2 and #3 could carry or skills necessary needed to learn to use and safeguard their money.</p> <p>The QIDP was interviewed at 4:40 PM on 1/22/14 with Client #1's record available for reference. The QIDP indicated neither the IHP, dated 11/21/13, nor the Comprehensive Assessment, dated 3/2013, provided assessment data related to how much money Client #1 could use and spend without the need to provide receipts. The QIDP indicated neither the IHP nor the Comprehensive Assessment provided information about what skills Client #1 had related to safeguarding money in order for her to carry and use her personal money on a routine basis. The QIDP indicated staff safeguarding money at the residence and in the</p>						

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W000240	<p>community until the point of purchase was viewed as a protection and was not based on individual assessed need.</p> <p>9-3-4(a)</p> <p>483.440(c)(6)(i) INDIVIDUAL PROGRAM PLAN The individual program plan must describe relevant interventions to support the individual toward independence. Based on observation, interview and record review, the facility failed to develop written instruction to staff about supports to be provided for 1 of 3 sampled clients (client #2) who had been diagnosed with edema.</p> <p>Findings include:</p> <p>During observation at the day services on 1/21/14 from 3:15 PM until 3:50 PM, client #2 sat in a chair with her feet dangling to the ground. During observation at the group home on 1/22/14 from 7:22 AM until 8:45 AM, client #2 sat in a dining chair during breakfast, and was then transferred by</p>	W000240	<p>It will be the responsibility of the Service Coordinator to revise the ISP/IPP to include the risk plan addressing lymphedema and all recommendations by consulting practitioners relating to lymphedema issues. It will be the responsibility of the Agency Nurse to write a risk plan for Client #2 related to lymphedema. Nurse will coordinate physician referral to address lymphedema and appropriateness of her wheelchair and any further required adaptations or assessments by PT/OT. It will be the responsibility of the Agency Nurse to obtain a Dietary consult to address potential dietary modifications related to lymphedema issues for Client #2. It will be the responsibility of the QIDP in conjunction with the Agency Nurse to train all Residential and Day Program</p>	02/28/2014			

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	<p>staff #1 to a recliner where she sat with her feet elevated from 7:30 AM until 8:45 AM with the exception of periods of 5 minutes or less when she used the restroom, took her medication and brushed her teeth. Client #2's ankles were swollen and had indentation marks from the elastic in the cuffs of her anklet socks.</p> <p>Client #2 was interviewed on 1/22/14 at 7:30 AM. She indicated she had an opportunity to elevate her legs only at home and not at day services.</p> <p>Client #2's record was reviewed on 1/22/14 at 11:15 AM. Client #2's health care plan dated 7/25/13 indicated she had a diagnosis of hereditary edema (excess fluid of the tissue) of the legs and other lymphedema (swelling of the arms and legs). Client #2's Risk Plan dated 1/11/12, her IHP (Individual Habilitation Plan) dated 11/21/13 and/or her health care plan failed to address client #2's edema.</p> <p>The facility nurse was interviewed on 1/22/14 at 2:30 PM and indicated she was not aware of a plan to provide</p>		<p>Staff who work with Client #2 on the risk and treatment plans relating to lymphedema issues. In the future it will be the responsibility of the QIDP to ensure that the ISP/IPP describes all relevant interventions to support the program participants toward independence.</p>				

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	instructions for staff to address client #2's edema. 9-3-4(a)				