

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  15G171	X2) MULTIPLE CONSTRUCTION A. BUILDING <u>01</u> B. WING _____	X3) DATE SURVEY COMPLETED  09/17/2015
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NAME OF PROVIDER OR SUPPLIER  TRADEWINDS SERVICES INC	STREET ADDRESS, CITY, STATE, ZIP CODE 220 E GREENWOOD CROWN POINT, IN 46307
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K 0000  Bldg. 01	<p>A Life Safety Code Recertification Survey was conducted by the Indiana State Department of Health in accordance with 42 CFR 483.470(j).</p> <p>Survey Date: 09/17/15</p> <p>Facility Number: 000705 Provider Number: 15G171 AIM Number: 100248690</p> <p>At this Life Safety Code survey, Tradewinds Services Inc. was found not in compliance with Requirements for Participation in Medicaid, 42 CFR Subpart 483.470(j), Life Safety from Fire and the 2000 edition of the National Fire Protection Association (NFPA) 101, Life Safety Code (LSC), Chapter 33, Existing Residential Board and Care Occupancies.</p> <p>This one story facility with a basement was determined to be nonsprinklered. The facility has a fire alarm system with hard wired smoke detection in corridors and common living areas including the basement and ground floor levels. Client rooms were equipped with hard wired smoke detectors. The facility has the capacity for 8 and had a census of 8 at the time of this survey.</p>	K 0000		
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LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (\*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (see instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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K S017  Bldg. 01	<p>Calculation of the Evacuation Difficulty Score (E-Score) using NFPA 101A, Alternative Approaches to Life Safety, Chapter 6, rated the facility Prompt with an E-Score of 1.3.</p> <p>Quality Review on 09/21/15 - DA</p> <p>483.470(j)(1)(i) LIFE SAFETY CODE STANDARD</p> <p>The separation walls of sleeping rooms are capable of resisting fire for not less than ½ hour, which is considered to be achieved if the partitioning is finished on both sides with lath and plaster or materials providing a 15 minute thermal barrier. Sleeping room doors are substantial doors, such as those of 1¼ inch thick, solid-bonded wood core construction or other construction of equal or greater stability and fire integrity. Any vision panels are fixed fire window assemblies in accordance with 8.2.3.2.2 or are wired glass not exceeding 1296 sq. in. each in area and installed in approved frames. 33.2.3.6.1, 33.2.3.6.2.</p> <p>Exception No. 1: In prompt evacuation facilities, all sleeping rooms are separated from the escape route by smoke partitions in accordance with 8.2.4. Door closing is regulated by 33.2.3.6.4.</p> <p>Exception No. 2: This requirement does not apply to corridor walls that are smoke partitions in accordance with 8.2.4 and that are protected by automatic sprinklers in accordance with 33.2.3.5 on both sides of the wall and door. In such instances, there is no limitation on the type or size of glass panels. Door closing is regulated by</p>			

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	<p>33.2.3.6.4.</p> <p>Exception No. 3: Sleeping arrangements that are not located in sleeping rooms are permitted for nonresident staff members, provided that the audibility of the alarm in the sleeping area is sufficient to awaken staff that might be sleeping.</p> <p>Exception No. 4: In previously approved facilities, where the group achieves an E-score of three or less using the board and care methodology of NFPA 101A, Guide on Alternative Approaches to Life Safety, sleeping rooms are separated from escape routes by walls and doors that are smoke resistant.</p> <p>No louvers or operable transoms or other air passages penetrate the wall, except properly installed heating and utility installations other than transfer grilles. Transfer grilles are prohibited.</p> <p>Based on observation and interview, the facility failed to ensure 4 of 6 client doors slept in a room provided with a door which would close and latch securely in the door frame. This deficient practice could affect 6 clients.</p> <p>Findings include:</p> <p>Based on observation with the Qualified Intellectual Disability Professional on 09/17/15 between 1:42 p.m. and 1:56 p.m., the following was discovered:</p> <p>a. East #3 bedroom door failed to positively self-latch when tested</p>	K S017	<p>On the day of the survey, TradeWinds failed to ensure 4of 6 client doors slept in a room provided with a door which would close and latch securely in the door frame. Since the survey, the doors have been examined by the maintenance staff and the doors are able to close and latch securely. It is the responsibility of the house manager to document all maintenance issues and concerns and to notify the maintenance staff. A maintenance procedure and form has been developed and implemented. (Please see attached documents) to ensure themaintenance staff is notified of</p>	10/03/2015

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K S046 Bldg. 01	<p>b. East #2 bedroom door failed to positively self-latch latch when tested c. East #1 bedroom door failed to positively self-latch latch when tested d. 1 of 2 Basement East bedroom door failed to positively self-latch when tested e. Basement North bedroom door failed to positively self-latch when tested Based on interview at the time of each observation, the Qualified Intellectual Disability Professional acknowledged each of the aforementioned conditions.</p> <p>483.470(j)(1)(i) LIFE SAFETY CODE STANDARD Utilities comply with Section 9.1. 32.2.5.1, 33.2.5.1</p> <p>Based on observation and interview, the facility failed to ensure 2 of 2 multiplug adapters and 9 of 9 flexible cords were not used as a substitute for fixed wiring to provide power equipment with a high current draw. NFPA 70, National Electrical Code, 1999 Edition, Article 400-8 requires that, unless specifically permitted, flexible cords and cables shall not be used as a substitute for fixed wiring of a structure. This deficient practice affects staff and all clients.</p> <p>Findings include:  Based on observation with Qualified Intellectual Disability Professional on</p>	K S046	<p>all maintenance issues/concerns in a timely matter.</p> <p>On the day of the survey, TradeWinds failed to ensure 2 of 2 multiplug adapters and 9 of 9 flexible cords were not used as a substitute for fixed wiring to provide power equipment with a high current draw. Since the survey, the multi-plug adapters have been removed. It is the responsibility of the house manager to ensure multi-plug adapters are not used as a substitute for fixed wiring to provide power equipment with a high current draw. The QIDP, Residential Coordinator in addition to the Building and Grounds staff will also ensure multi-plug adapters are not used as a substitute for fixed wiring to provide power equipment with a</p>	10/03/2015

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	<p>09/17/15 between 1:40 p.m. to 2:16 p.m. the following was discovered:</p> <ul style="list-style-type: none"> <li>a) An extension cord powering a surge protector powering TV equipment in the East #3 Bedroom</li> <li>b) An extension cord powering two surge protectors powering TV equipment in the East #2 bedroom</li> <li>c) An extension cord powering a surge protector powering TV equipment in the Basement East Bedroom</li> <li>d) An extension cord powering TV equipment in the Basement North Bedroom</li> <li>e) A multiplug adapter powering a house phone in the Kitchen</li> <li>f) A multiplug adapter powering a refrigerator in the Medication Room</li> <li>g) A surge protector powering a refrigerator in the Office</li> </ul> <p>Based on interview at the time of observation, the Qualified Intellectual Disability Professional acknowledged each aforementioned condition.</p>		highcurrent draw during visits to the home.		