

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 15G372	X2) MULTIPLE CONSTRUCTION A. BUILDING 00 B. WING _____	X3) DATE SURVEY COMPLETED 08/24/2012
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W0000	<p>This visit was for the investigation of complaint #IN00114844.</p> <p>COMPLAINT #IN00114844: SUBSTANTIATED. Federal and State deficiencies related to the allegation(s) are cited at W104 and W454.</p> <p>Date of Survey: August 24, 2012.</p> <p>Facility number: 000886 Provider number: 15G372 AIM number: 100244330</p> <p>Surveyor: Kathy Wanner, Medical Surveyor III</p> <p>The following federal deficiencies also reflect state findings in accordance with 460 IAC 9.</p> <p>Quality review completed August 30, 2012 by Dotty Walton, Medical Surveyor III.</p>	W0000		

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (see instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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W0104	<p>483.410(a)(1) GOVERNING BODY</p> <p>The governing body must exercise general policy, budget, and operating direction over the facility.</p> <p>Based on observation, interview and record review, the governing body failed to exercise operating directions over the group home to ensure the environment was well maintained and sanitary for 4 of 4 sampled clients (clients A, B, C and D), and 4 additional clients (clients E, F, G and H).</p> <p>Findings include:</p> <p>Observations were conducted at the group home on 8/24/12 from 6:53 A.M. until 8:09 A.M. During the observation the following maintenance/sanitation needs were found. The kitchen where clients A, B, C, D, E, F, G and H prepare their meals had one cabinet drawer front missing. The corner of the kitchen wall had plaster damage 3' (three feet) high beginning at the baseboard. There were three areas of the kitchen wall which were patched but unpainted. The interior of the kitchen cabinets contained crumbs, dust, wood shavings and black droppings. Some of the cabinets had drawer liner paper which was crumpled and dirty/stained. The drawer at the bottom of the stove also had black droppings in and around the bottom of the drawer. The</p>	W0104	<p>AWS had been working with a contractor and an exterminator prior to this survey. The contractor was also working on painting the drywall patching and rebuilding and fixing the drawer knobs which had not yet been completed. The staff also have a cleaning list which they were signing for nightly to indicate that the assigned tasks had been complete. Client A has been going through some psychotropic medication changes due to an increase or resurfacing of stuffing behaviors including stuffing dirt, food and trash in his pockets. The "brown substance" on his bed and floor was dirt from the landscape bed outside the home. The client's behavior support plan addresses this type of behavior and innappropriate urination and staff have been retrained on this plan. The managers have been conducting checks of the house daily to ensure that the staff have been cleaning per their assignments to make sure a clean/healthy environment is maintained. This is being documented and turned into the director for review to monitor compliance. The director has been completeing weekly checks to ensure this as well.</p>	09/24/2012			

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	<p>fireplace in the living room contained black droppings. The hallway restroom had two areas by the sink which were patched but not painted. One area was 18" x 3" (eighteen inches by three inches) and the other area was 4" x 4" (four inches by four inches). Above the shower the plaster was water damaged, bubbled and peeling around the top of the shower. In the small bathroom by the kitchen the vanity was missing three of the four drawer knobs. The area behind the commode was unpainted. The bedroom where clients C and D sleep had a patched area which was unpainted. The area was 14" x 10" (fourteen inches by 10 inches). Client D's dresser was missing the top two drawer fronts. In client A and B's bedroom the carpeting had areas which were covered with a scattered brown substance, some of the brown substance had been pushed into the carpeting by foot traffic. The brown substance was mostly beside client A's bed. Client A's bed had a plastic mattress cover which had several small rips in it. The mattress was scattered with a brown substance over the top of it. Client A and B's bedroom had an odor. Client B's closet had an odor. There were two wet tee shirts on the top shelf of the closet. In the basement storage area where the emergency food supply was kept, there were wood shavings and black droppings found on the shelves of stored foods and</p>			

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	<p>candy. In the large room of the basement there was a sign posted on the wall below a 1' x 1' (one foot by one foot) ground level tile coal/wood chute. The sign indicated "Mice believed to be coming in here. (Per [name of pest control company])." There were four professional mouse traps found to be located in the basement food storage area, one mouse trap located in the large room of the basement, two mouse traps were located in the kitchen, and one mouse trap was located in the fireplace.</p> <p>Client C was interviewed on 8/24/12 at 7:15 A.M. When asked if he had seen any mice in the house client C stated, "I saw one behind the trash can in the kitchen, and there was one behind my tape recorder in my bedroom." Client C then pointed out where he had seen the mice.</p> <p>A series of confidential interviews (CI) were conducted and indicated the following.</p> <p>CI #1: When asked about the smell in client A and B's bedroom CI #1 stated, "It is urine, [Client A] throws his wet clothes and incontinence briefs into his roommate's closet." When asked if this was a new behavior CI #1 stated, "Not really, I found 15 (fifteen) wet briefs in there one time." When asked about the</p>			

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	<p>brown substance in client A and B's bedroom CI #1 stated, "[Client A] likes to put it (soil/earth) in his pockets and bring it in from the outside." CI #1 indicated the Group Home Manager (GHM) and the Qualified Developmental Disabilities Professional (QDDP) were aware of client A's behaviors.</p> <p>CI #2: When asked about the black droppings found in the kitchen and the food storage area in the basement CI #2 stated, "It is mouse droppings, we had a bad problem with mice, but it has been much better this past week."</p> <p>CI #3: When asked about mice in the home CI #3 stated, "I was scared there were mice. It is better now. I did hear one I think last night."</p> <p>The Regional Residential Director (RRD) was interviewed on 8/24/12 at 11:05 A.M. The RRD stated, "Our houses are not dirty. We do not allow them to be dirty. I am appalled and embarrassed to have it found in this condition. It is a country home and we do usually have a mouse or two each year, but we have monthly pest control treatments. If staff knew there was a mouse they should have cleaned out the cabinets. "The RRD stated, "The wires have been repaired, they are no longer exposed."</p>			

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	<p>A maintenance work order dated 8/13/12 was reviewed on 8/24/12 at 11:27 A.M. The maintenance order indicated "We are having a mouse problem right now and there are wires above the window back by the freezer where the mice have chewed them and they are exposed and live. Also the exterminators believe they know where the mice are getting in. The back wall of the house has an old shoot (sic) like contraption between the windows and directly under the fireplace in the living room."</p> <p>Pest control invoices were reviewed on 8/24/12 at 11:30 A.M. indicating pest control services (rodent control) were conducted at the home on 7/5/12, 8/1/12 and 8/10/12.</p> <p>This federal tag relates to complaint # IN00114844.</p> <p>9-3-1(a)</p>				

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W0454	<p>483.470(l)(1) INFECTION CONTROL</p> <p>The facility must provide a sanitary environment to avoid sources and transmission of infections.</p> <p>Based on observation, interview and record review, the facility failed to provide a sanitary environment for 4 of 4 sampled clients (clients A, B, C and D), and 4 additional clients (E, F, G and H).</p> <p>Findings include:</p> <p>Observations were conducted at the group home on 8/24/12 from 6:53 A.M. until 8:09 A.M. During the observation the following sanitation needs were found. In the kitchen where clients A, B, C, D, E, F, G and H prepare their meals the interior of the kitchen cabinets contained crumbs, dust, wood shavings and black droppings. Some of the cabinets had drawer liner paper which was crumpled and dirty/stained. The drawer at the bottom of the stove also had black droppings in and around the bottom of the drawer. The fireplace in the living room contained black droppings. In client A and B's bedroom the carpeting had areas which were covered with a scattered brown substance; some of the brown substance had been pushed into the carpeting by foot traffic. The brown substance was mostly beside client A's bed. Client A's bed had a plastic mattress cover which</p>	W0454	<p>AWS had been working with a contractor and an exterminator prior to this survey. The contractor was also working on painting the drywall patching and rebuilding and fixing the drawer knobs which had not yet been completed. The staff also have a cleaning list which they were signing for nightly to indicate that the assigned tasks had been complete. Client A has been going through some psychotropic medication changes due to an increase or resurfacing of stuffing behaviors including stuffing dirt, food and trash in his pockets. The "brown substance" on his bed and floor was dirt from the landscape bed outside the home. The client's behavior support plan addresses this type of behavior and inappropriate urination and staff have been retrained on this plan. The managers have been conducting checks of the house daily to ensure that the staff have been cleaning per their assignments to make sure a clean/healthy environment is maintained. This is being documented and turned into the director for review to monitor compliance. The director has been completeing weekly checks to ensure this as well.</p>	09/24/2012			

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	<p>had several small rips in it. The mattress had a scattered brown substance over the top of it. Client A and B's bedroom had an odor. Client B's closet had an odor. There were two wet tee shirts on the top shelf of the closet. In the basement storage area where the emergency food supply was kept, there were wood shavings and black droppings found on the shelves of stored foods and candy. In the large room of the basement there was a sign posted on the wall below a 1' x 1' (one foot by one foot) ground level tile coal/wood chute. The sign indicated "Mice believed to be coming in here. (Per [name of pest control company])." There were four professional mouse traps found to be located in the basement food storage area, one mouse trap located in the large room of the basement, two mouse traps were located in the kitchen, and one mouse trap was located in the fireplace.</p> <p>Client C was interviewed on 8/24/12 at 7:15 A.M. When asked if he had seen any mice in the house client C stated, "I saw one behind the trash can in the kitchen, and there was one behind my tape recorder in my bedroom." Client C then pointed out where he had seen the mice.</p> <p>A series of Confidential Interviews (CI) were conducted and indicated the following.</p>				

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