

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  15G273	X2) MULTIPLE CONSTRUCTION A. BUILDING 01 B. WING _____	X3) DATE SURVEY COMPLETED  09/17/2015
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NAME OF PROVIDER OR SUPPLIER  TRADEWINDS SERVICES INC	STREET ADDRESS, CITY, STATE, ZIP CODE 10151 W 93RD ST ST JOHN, IN 46373
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K 0000  Bldg. 01	<p>A Life Safety Code Recertification Survey was conducted by the Indiana State Department of Health in accordance with 42 CFR 483.470(j).</p> <p>Survey Date: 09/17/15</p> <p>Facility Number: 000793 Provider Number: 15G273 AIM Number: 100243530</p> <p>At this Life Safety Code survey, Tradewinds Services Inc. was found not in compliance with Requirements for Participation in Medicaid, 42 CFR Subpart 483.470(j), Life Safety from Fire and the 2000 edition of the National Fire Protection Association (NFPA) 101, Life Safety Code (LSC), Chapter 33, Existing Residential Board and Care Occupancies.</p> <p>This one story facility with a basement was determined to be fully sprinklered. The facility has a fire alarm system with hard wired smoke detection on all levels, in corridors and living areas. Battery powered smoke detectors are provided in all client sleeping rooms. The facility has the capacity for 8 and had a census of 8 at the time of this survey.</p>	K 0000		
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LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE \_\_\_\_\_ TITLE \_\_\_\_\_ (X6) DATE \_\_\_\_\_

Any deficiency statement ending with an asterisk (\*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (see instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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K S046 Bldg. 01	<p>Calculation of the Evacuation Difficulty Score (E-Score) using NFPA 101A, Alternative Approaches to Life Safety, Chapter 6, rated the facility Prompt with an E-Score of 4.9..</p> <p>Quality Review on 09/21/15 - DA</p> <p>483.470(j)(1)(i) LIFE SAFETY CODE STANDARD Utilities comply with Section 9.1. 32.2.5.1, 33.2.5.1</p> <p>1. Based on observation and interview, the facility failed to maintain 1 of 1 electrical switch in the Laundry room and 1 of 1 electrical outlet in the Garage. NFPA 70, National Electrical Code 70, 1999 edition, Article 410-3, Live Parts, requires receptacles to have no live parts normally exposed to contact. This deficient practice could affect staff only. Findings include: Based on observation with the Qualified Intellectual Disability Professional on 09/19/15 at 12:17 p.m. then again at 12:27 p.m., an electrical switch receptacle in the Laundry room did not have a protective cover. Then again an electrical outlet in the garage did not have a protective cover. Based on interview at the time of each observation, the Qualified Intellectual Disability</p>	K S046	<p>On the day of the survey, TradeWinds failed to maintain 1of 1 electrical switch in the Laundry room and 1 of 1 electrical outlet in the Garage. TradeWinds also failed to ensure 1 of 1 flexible cord were not used asa substitute for fixed wiring to provide power equipment with a high current draw. Since the survey, the electrical switch in the Laundry room and the outlet in the garage has been repair. The multi-plug adapters have been removed. It is the responsibility of the house manager to make sure all electrical outlets are covered and to ensure multi-plug adapters are not used as a substitute for fixed wiring to provide power equipment with a high current draw. A maintenance procedure and form has been developed and implemented to notify the maintenance if there is an issue that must be addressed by the maintenance staff and etc. (Please see attached procedure and form)</p>	10/03/2015

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K S051  Bldg. 01	<p>Professional acknowledged the aforementioned conditions.</p> <p>2. Based on observation and interview, the facility failed to ensure 1 of 1 flexible cords were not used as a substitute for fixed wiring to provide power equipment with a high current draw. NFPA 70, National Electrical Code, 1999 Edition, Article 400-8 requires that, unless specifically permitted, flexible cords and cables shall not be used as a substitute for fixed wiring of a structure. This deficient practice affects staff only.</p> <p>Findings include:</p> <p>Based on observation with Qualified Intellectual Disability Professional on 09/17/15 at 12:16 p.m., an extension cord powering a surge protector that disappeared under the floor. Based on interview at the time of observation, the Qualified Intellectual Disability Professional acknowledged the aforementioned condition.</p> <p>483.470(j)(1)(i) LIFE SAFETY CODE STANDARD A manual fire alarm system is provided in accordance with Section 9.6, 33.2.3.4.1.</p>			

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	<p>Exception No 1: Where there are interconnected smoke detectors meeting the requirements of 33.2.3.4.3 and there is not less than one manual fire alarm box per floor arranged to continuously sound the smoke detector alarms.</p> <p>Exception No. 2: Other manually activated continuously sounding alarms acceptable to the authority having jurisdiction. Based on observation and interview, the facility failed to ensure 1 of 1 fire alarm systems was provided in accordance with Section 9.6. Section 9.6.1.4 refers to NFPA 72, National Fire Alarm Code. NFPA 72, Section 7-4.3 states all apparatus requiring resetting to maintain normal operation shall be reset as promptly as possible after each test and alarms. This deficient practice could affect all clients, staff, and visitors.</p> <p>Findings include:</p> <p>Based on observation with the Qualified Intellectual Disability Professional on 09/17/15 at 12:15 p.m., the fire alarm system trouble alarm was in silence mode and the zone 1 indicator lamp was illuminated. Based on an interview at the time of observation, the Qualified Intellectual Disability Professional was unaware of the fire alarm system trouble and acknowledged the aforementioned condition.</p>	K S051	On the day of the survey, TradeWinds failed to ensure 1of 1 fire alarm systems was provided in accordance with Section 9.6. Since the survey, the fire alarm system has been reset. TradeWinds currently contracts with a company, Shambaugh & Son,LP, who inspects the sprinkler system and alarm system; there is a schedule that has been developed annual to inspect the sprinkler system and alarm system at each TradeWinds home. However, Shambaugh & Son, LP is scheduled to revisit the 93rd Group Home to ensure the home is in compliance. A firewatch policy/log and inspection schedule has been developed and implemented. (Please see attached documents)	10/03/2015			

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K S056  Bldg. 01	<p>483.470(j)(1)(i) LIFE SAFETY CODE STANDARD PROMPT</p> <p>Where an automatic sprinkler system is installed, for either total or partial building coverage, the system is in accordance with Section 9.7, 33.2.3.5.2 and activates the fire alarm system in accordance with 33.2.3.4.1. The adequacy of the water supply is documented to the authority having jurisdiction.</p> <p>Exception No. 1: In prompt evacuation facilities, an automatic sprinkler system in accordance with NFPA 13D, Standard for the Installation of Sprinkler Systems in One and two Family Dwellings and Manufactured Homes, is permitted. Automatic sprinklers are not required in closets not exceeding 24 sq. ft. and in bathrooms not exceeding 55 sq. ft., provided that such spaces are finished with lath and plaster or materials providing a 15 minute thermal barrier.</p> <p>Exception No. 2: Not applicable</p> <p>Exception No. 3: In prompt and slow evacuation capability facilities where an automatic sprinkler system is in accordance with NFPA 13, Standard for the Installation of Sprinkler Systems, automatic sprinklers are not required in closets not exceeding 24 sq. ft and in bathrooms not exceeding 55 sq. ft., provided that such spaces are finished with lath and plaster or material providing a 15 minute thermal barrier.</p> <p>Exception No. 4: In prompt and slow evacuation capability facilities up to and including four stories in height, systems in</p>			
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	<p>accordance with NFPA 13R, Standard for the Installation of Sprinkler Systems in Residential Occupancies up to and Including Four Stories in Height, are permitted.</p> <p>Exception No. 5: Not applicable</p> <p>Exception No. 6: Initiation of the fire alarm system is not required for existing installations in accordance with 33.2.3.5.5.</p> <p>SLOW Where an automatic sprinkler system is installed, for either total or partial building coverage, the system is in accordance with Section 9.7 and activates the fire alarm system in accordance with 33.2.3.4.1. The adequacy of the water supply is documented to the authority having jurisdiction.</p> <p>Exception No. 1: Not Applicable</p> <p>Exception No. 2: Not Applicable</p> <p>Exception No. 3: In prompt and slow evacuation capability facilities where an automatic sprinkler system is in accordance with NFPA 13, Standard for the Installation of Sprinkler Systems, automatic sprinklers are not required in closets not exceeding 24 sq. ft. and in bathrooms not exceeding 55 sq. ft., provided that such spaces are finished with lath and plaster or material providing a 15 minute thermal barrier.</p> <p>Exception No. 4: In prompt and slow evacuation capability facilities up to and including four stories in height, systems in accordance with NFPA 13R, Standard for the Installation of Sprinkler Systems in Residential Occupancies up to and Including Four Stories in Height, are permitted.</p>			

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	<p>Exception No. 5: Not Applicable</p> <p>Exception No. 6: Initiation of the fire alarm system is not required for existing installations in accordance with 33.2.3.5.5.</p> <p>IMPRACTICAL Where an automatic sprinkler system is installed, for either total or partial building coverage, the system is in accordance with Section 9.7 and activates the fire alarm system in accordance with 33.2.3.4.1. The adequacy of the water supply is documented to the authority having jurisdiction. 33.2.3.5.2.</p> <p>Exception No. 1: Not Applicable.</p> <p>Exception No. 2: In slow and impractical evacuation capability facilities, an automatic sprinkler system in accordance with NFPA 13D, Standard for the Installation of Sprinkler Systems in One and Two Family Dwellings and Manufactured Homes, with a 30 minute water supply, is permitted. All habitable areas and closets are sprinklered. Automatic sprinklers are not required in bathrooms not exceeding 55 sq. ft., provided that such spaces are finished with lath and plaster or materials providing a 15 minute thermal barrier.</p> <p>Exception No. 3: Not Applicable.</p> <p>Exception No. 4: Not Applicable.</p> <p>Exception No. 5: In impractical evacuation capability facilities up to and including four stories in height, systems in accordance with NFPA 13R, Standard for the Installation of Sprinkler Systems in Residential</p>			

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	<p>Occupancies up to and including Four Stories in Height, are permitted. All habitable areas and closets are sprinklered. Automatic sprinklers are not required in bathrooms not exceeding 55 sq. ft., provided that such spaces are finished with lath and plaster or materials providing a 15 minute thermal barrier.</p> <p>Exception No. 6: Initiation of the fire alarm system is not required for existing installations in accordance with 33.2.3.5.5.</p> <p>1. Based on observation and interview, the facility failed to replace 1 of 1 corroded sprinklers in Bathroom #1.</p> <p>LSC 9.7.5 requires all automatic sprinkler systems shall be inspected, tested and maintained in accordance with NFPA 25, Standard for the Inspection, Testing, and Maintenance of Water-Based Fire Protection Systems. NFPA 25, 1998 edition, 2-2.1.1 requires any sprinkler shall be replaced which is painted, corroded, damaged, loaded, or in the improper orientation. This deficient practice could affect up to one clients or staff.</p> <p>Findings include:</p> <p>Based on observation with the Qualified Intellectual Disability Professional on 09/21/15 at 12:24 p.m., the only sprinkler head in Bathroom #1 was corroded with a green substance. Based on interview at the time of the observation, the Qualified</p>	K S056	<p>On the day of the survey, TradeWinds failed to replace 1of 1 corroded sprinkler in Bathroom #1. Since the survey, TradeWinds currently contracts with a company, Shambaugh &amp; Son, LP, who inspects the sprinkler system and alarm system; there is a schedule that has been developed annual to inspect the sprinkler system and alarm system at each TradeWinds home. However, Shambaugh &amp; Son, LP is scheduled to revisit the 93rd Group Home to ensure the home is in compliance. (Please see attached inspection schedule)</p>	10/03/2015			

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	<p>Intellectual Disability Professional acknowledged the aforementioned condition.</p> <p>2. Based on observation and interview, the facility failed to ensure 1 of 1 sprinkler systems was maintained. This deficient practice could affect staff and up to 1 client.</p> <p>Findings include:</p> <p>Based on observation with the Qualified Intellectual Disability Professional on 09/21/15 between 12:20 p.m. and 12:25 p.m., the sprinkler head in the pantry, bathroom #1, and in the hallway storage room were missing an escutcheon. Based on interview at the time of each observation, the Qualified Intellectual Disability Professional acknowledged the aforementioned conditions.</p>			