

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 15G273	X2) MULTIPLE CONSTRUCTION A. BUILDING <u>00</u> B. WING _____	X3) DATE SURVEY COMPLETED 09/04/2015
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NAME OF PROVIDER OR SUPPLIER TRADEWINDS SERVICES INC	STREET ADDRESS, CITY, STATE, ZIP CODE 10151 W 93RD ST ST JOHN, IN 46373
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W 0000 Bldg. 00	<p>This visit was for the fundamental annual recertification and state licensure survey.</p> <p>Dates of Survey: August 25, 26, 27, 28 and September 3 and 4, 2015.</p> <p>Facility Number: 000793 Provider Number: 15G273 AIM Number: 100243530</p> <p>These federal deficiencies also reflect state findings in accordance with 460 IAC 9. Quality Review of this report completed by #15068 on 9/11/15.</p>	W 0000		
W 0104 Bldg. 00	<p>483.410(a)(1) GOVERNING BODY</p> <p>The governing body must exercise general policy, budget, and operating direction over the facility.</p> <p>Based on observation and interview, for 4 of 4 sampled clients and 4 additional clients (clients #1, #2, #3, #4, #5, #6, #7 and #8), the governing body failed to exercise general policy and operating direction over the facility to ensure the</p>	W 0104	<p>On 9/21/2015, all staff was trained on (Governing Body)keeping the carpet cleaned at all times and free of debris. Staff is to notify maintenance if there is damage to the carpet or if it needs to be replaced or</p>	10/04/2015

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE _____ TITLE _____ (X6) DATE _____

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (see instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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	<p>group home carpet was clean.</p> <p>Findings include:</p> <p>A morning observation was conducted at the group home on 8/25/15 from 5:15 A.M. until 7:20 A.M.. Upon entering clients #1, #2, #3, #4, #5, #6, #7 and #8's home the carpeting leading from the front door, down the hallway and into the living room was observed to have stains throughout the entire carpeting.</p> <p>An evening observation was conducted at the group home on 8/26/15 from 5:20 P.M. until 7:00 P.M.. Upon entering clients #1, #2, #3, #4, #5, #6, #7 and #8's home the carpeting leading from the front door, down the hallway and into the living room was observed to have stains throughout the entire carpeting.</p> <p>An interview with the Qualified Intellectual Disabilities Professional (QIDP) was conducted on 9/3/15 at 2:38 P.M.. The QIDP indicated the carpeting needed to be replaced. The QIDP further indicated the carpeting is cleaned about twice a year.</p> <p>9-3-1(a)</p>		professionally cleaned. (Please see attached document) The carpet at the 93rd Group Home will be cleaned by October 4, 2014.				

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W 0247 Bldg. 00	<p>483.440(c)(6)(vi) INDIVIDUAL PROGRAM PLAN</p> <p>The individual program plan must include opportunities for client choice and self-management.</p> <p>Based on observation and interview, the facility failed for 2 of 4 sampled clients observed during breakfast time (clients #2 and #4) to allow client choice and self-management pertaining to eating while seated at the table without waiting for staff to pour water into cups.</p> <p>Findings include:</p> <p>On 8/25/15 at 6:45 A.M., clients #1, #2, #3, #4, #5, #6, #7 and #8 were observed preparing to eat breakfast. As clients #1, #2, #3, #4, #5, #6, #7 and #8 sat at the fully prepared table, clients #2 and #4 stated "I want to eat." Direct Support Professional (DSP) #4 stated "you have to wait until we finish pouring the water." At 6:50 A.M., clients #1, #2, #3, #4, #5, #6, #7 and #8 were prompted to say prayer. The clients then began eating their meal.</p> <p>An interview with the Qualified Intellectual Disabilities Professional (QIDP) was conducted on 9/3/15 at 2:38 P.M.. The QIDP indicated clients should</p>	W 0247	<p>On 9/21/2015, staffs were trained on (Individual Program Plan)meals.(Please see attached documents) Staff has been informed that during mealtimes, to allow clients choice and self-management pertaining to eating while seatedat the table without waiting for staff to pour water into cups. Although it's aregulation and strongly encouraged that family style dining is conducted at each meal, staff will be allowing client choice and self-management pertaining to eat while seated at the table without waiting for staff to pour water into cups. <i>The QIDPs will conduct weekly visits in addition tounscheduled/pop up visits to the home to ensure compliance. A form has beendeveloped and implemented for the QIDPs to utilize when conducting the visitsto the home. (Please see attached document)</i></p>	09/25/2015

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W 0268 Bldg. 00	<p>be allowed choice and self management of being able to eat when seated at the table.</p> <p>9-3-4(a)</p> <p>483.450(a)(1)(i) CONDUCT TOWARD CLIENT These policies and procedures must promote the growth, development and independence of the client. Based on observation and interview, the facility failed for 1 of 4 sampled clients (client #3), to promote the client's dignity by not ensuring her hair was groomed and she had a hair cut.</p> <p>Findings include:</p> <p>A morning observation was conducted at the group home on 8/25/15 from 5:15 A.M. until 7:20 A.M.. During the entire observation period, client #3 was observed to have her hair unkempt, matted and in need of a hair cut. Direct Support Professional (DSP) #4 stated to client #3, "You need to get your hair cut."</p> <p>An evening observation was conducted at the group home on 8/26/15 from 5:30 P.M. until 7:30 P.M.. During the entire</p>	W 0268	<p>On 9/21/2015, staffs were trained on (Conduct toward Client)promoting the client's dignity and ensuring client#3 hair is groomed and cut.(Please see attached training documents) All clients should be groomed at all times. All staff has been informed that the clients should be taken for a haircut at least monthly and sometimes twice a month depending on the client's specific needs. The House Manager is responsible for ensuring that the clients are well groomed with haircuts at all times.</p>	09/25/2015

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W 0436 Bldg. 00	<p>observation period, client #3 was observed to have her hair unkempt, matted and in need of a hair cut.</p> <p>An interview with the Qualified Intellectual Disabilities Professional (QIDP) was conducted on 9/3/15 at 2:38 P.M.. The QIDP indicated clients should be groomed at all times. The QIDP further indicated staff should take the clients for haircuts when needed.</p> <p>9-3-5(a)</p> <p>483.470(g)(2) SPACE AND EQUIPMENT The facility must furnish, maintain in good repair, and teach clients to use and to make informed choices about the use of dentures, eyeglasses, hearing and other communications aids, braces, and other devices identified by the interdisciplinary team as needed by the client.</p> <p>Based on observation, record review, and interview, for 1 of 4 sampled clients who wore prescribed hearing aids (client #2), the facility failed to encourage and teach the use of the client's prescribed hearing aids.</p> <p>Findings include:</p>	W 0436	<p>On 9/21/2015, the Cline Group Home staff were trained on (Spaceand Equipment) the need to prompt and encourage individual consumers to use their adaptive equipment(s). Staff has been trained on the importance of teaching the individual consumers to use and to make informed choices about the use of their adaptive equipment's, such as:</p>	09/25/2015

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	<p>A morning observation was conducted at the group home on 8/25/15 from 5:15 A.M. until 7:20 A.M.. During the entire observation period, client #2 did not wear her hearing aids and was not prompted to wear her hearing aids. During the observation staff would walk up to client #2 and talk loudly in her ear when communicating with her.</p> <p>An evening observation was conducted at the group home on 8/26/15 from 5:30 P.M. until 7:30 P.M.. During the entire observation period, client #2 did not wear her hearing aids and was not prompted to wear her hearing aids. During the observation staff would walk up to client #2 and talk loudly in her ear when communicating with her.</p> <p>A review of client #2's record was conducted on 8/26/15 at 3:00 P.M.. Client #2's hearing evaluation/assessment dated 11/5/13 indicated she was prescribed hearing aids.</p> <p>The Qualified Intellectual Disabilities Professional (QIDP) was interviewed on 9/3/15 at 2:38 P.M.. The QIDP indicated staff should be teaching client #2 to wear her hearing aids at all times.</p>		<p>eyeglasses, walker, wheelchair, hearing aid, braces (leg/ankle), dentures, communication devices and etc... Staff has been trained on to prompt and encourage individual consumers touse all adaptive equipment(s); however, if there are several refusals, staff must document in the consumers communication book in addition to thebehavior tracking sheet (if the consumer receives behavioral services), so there is documentation of refusals noted in the communication book and orbehavior tracking sheets. (Please see attached training documents) The group home manager is responsible for ensuring that staff is prompting and encouraging the individual consumers to use their adaptive equipment(s). In addition, the QIDP will observe staff during unannounced visits to the group home to ensure staff is prompting and encouraging individual consumers to use their adaptive equipment(s). An adaptive equipment form has been developed and implemented. (Please see attached document)</p>				

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W 0488 Bldg. 00	<p>9-3-7(a)</p> <p>483.480(d)(4) DINING AREAS AND SERVICE The facility must assure that each client eats in a manner consistent with his or her developmental level. Based on observation and interview, the facility failed to assure 4 of 4 sampled clients (clients #1, #2, #3 and #4) and 4 additional clients (client #5, #6, #7 and #8) were involved in meal preparation.</p> <p>Findings include:</p> <p>A morning observation was conducted at the group home on 8/25/15 from 5:15 A.M. until 7:20 A.M.. During the observation period, clients #1, #2, #3, #4, #5, #6, #7 and #8 sat in the living room while Direct Support Professional (DSP) #3 prepared the morning meal which consisted of cold cereal and toast. At 7:05 A.M., Direct Support Professional (DSP) #3 prompted clients #1, #2, #3, #4, #5, #6, #7 and #8 to the table to eat their meal. At 6:25 A.M., clients #1, #2, #3, #4, #5, #6, #7 and #8 ate their morning meal independently. Clients #1, #2, #3, #4, #5, #6, #7 and #8 did not assist in meal preparation.</p>			W 0488	<p>On 9/21/2015, all staff was re-trained on (Dining Areas and Services) involving consumers in meal preparation. (Please see attached documents)The group home manager is responsible for monitoring staff to ensure that the consumers are involved in the meal preparations. In addition, the QIDP will also observe staff during unannounced visits to the group home to ensure that the consumers are involved in the meal preparation and serving the meals according to their level of functioning.</p>		09/25/2015

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	<p>An evening observation was conducted at the group home on 8/26/15 from 5:30 P.M. until 7:30 P.M.. During the observation period, DSP #8 was observed in the kitchen cooking the evening meal which consisted of chicken, tater tots and broccoli as clients #1, #5, #6, #7 and #8 sat in the living room. At 6:25 P.M., DSP #8 poured orange pop into clients #1, #2, #3, #4, #5, #6, #7 and #8's cups, as the clients sat in the living room. At 6:40 P.M., clients #1, #2, #3, #4, #5, #6, #7 and #8 ate their evening meal independently. Clients #1, #2, #3, #4, #5, #6, #7 and #8 did not assist in meal preparation.</p> <p>An interview with the Qualified Intellectual Disabilities Professional (QIDP) was conducted on 9/3/15 at 4:30 P.M.. The QIDP indicated clients were capable of assisting in meal preparation and further indicated they should be assisting in meal preparation at all times.</p> <p>9-3-8(a)</p>			