

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 157179	X2) MULTIPLE CONSTRUCTION A. BUILDING 00 B. WING _____	X3) DATE SURVEY COMPLETED 12/28/2012
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NAME OF PROVIDER OR SUPPLIER ST FRANCIS HOME HEALTH AND HOSPICE	STREET ADDRESS, CITY, STATE, ZIP CODE 421 NORTH EMERSON GREENWOOD, IN 46143
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G0000	<p>This visit was a Home Health federal complaint investigation survey.</p> <p>Complaint number: IN00119405 - Substantiated: Federal deficiencies related to the allegation are cited.</p> <p>Survey date: December 28, 2012</p> <p>Facility number: IN005346</p> <p>Surveyor: Kelly Ennis, BSN, RN, Public Health Nurse Surveyor</p> <p>Quality Review: Joyce Elder, MSN, BSN, RN January 3, 2013</p>	G0000		

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (see instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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G0142	<p>484.14(f) PERSONNEL HOURLY/PER VISIT CONTRACT</p> <p>If personnel under hourly or per visit contracts are used by the HHA, there is a written contract between those personnel and the agency that specifies the following:</p> <ol style="list-style-type: none"> (1) Patients are accepted for care only by the primary HHA. (2) The services to be furnished. (3) The necessity to conform to all applicable agency policies, including personnel qualifications. (4) The responsibility for participating in developing plans of care. (5) The manner in which services will be controlled, coordinated, and evaluated by the primary HHA. (6) The procedures for submitting clinical and progress notes, scheduling of visits, periodic patient evaluation. (7) The procedures for payment for services furnished under the contract. <p>Based on contract review and interview, the home health agency failed to ensure an adequate written contract was in place for all personnel under hourly or per visit contracts for 1 of 2 (#1) contracts reviewed with the potential to affect all those patients who receive services from contract #1 personnel.</p> <p>The findings include:</p> <ol style="list-style-type: none"> 1. A document titled "Leased Employee Agreement," signed by employee B, Administrator, on 	G0142	The document titled "Leased Employee Agreement" between VNS at St Francis, Inc. and Franciscan St Francis Health on Behalf of St Francis Home Health will be updated to ensure an adequate written contract is in place to meet the required components. Additions to include: A. Specify that all patients are accepted for care only by the primary Home Health Agency. B. Specify that the Registered Nurse, Physical Therapist, Occupational Therapist, Speech and Language Therapist, Master's Prepared Social Worker, and Home Care Aide are responsible for	01/25/2013	

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	<p>9/19/11 and by employee C, President/CEO, on 9/26/11 states, "This leased employee Agreement ("Agreement") is entered into by and between VNS at St. Francis, Inc. ("Group") and Franciscan Alliance, Inc. D/B/A [doing business as] Franciscan St. Francis Health on Behalf of St. Francis Home Health ("Home Health"). Review of the contract failed to evidence all of the required components were specified in the document.</p> <p>A. The document failed to specify that all patients are accepted for care only by the primary Home Health Agency.</p> <p>B. The services to be furnished were listed under "Exhibit A". The disciplines included the following: Registered Nurse, Physical Therapist, Occupational Therapist, Speech and Language Therapist, Master's Prepared Social Worker, and Home Care Aide.</p> <p>The document failed to specify the Physical Therapist, Occupational Therapist, Speech and Language Therapist, Master's Prepared Social Worker, and Home Care Aide responsibility for participating in developing plans of care. The only discipline specified was that of a</p>		<p>participating in developing plans of care. C. Specify the manner in which services will be controlled, coordinated, and evaluated by the primary Home Health Agency. D. Specify the procedures for submitting clinical and progress notes, scheduling of visits, and periodic patient evaluation. E. Specify the procedures for payment for services furnished under the contract. The contract will be reviewed on a biannual cycle. The President (Administrator) of St Francis Home Health and Hospice will be responsible for monitoring these corrective actions and to ensure that the deficiencies are corrected and will not recur.</p>		

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	<p>Registered Nurse.</p> <p>C. The document failed to specify the manner in which services will be controlled, coordinated, and evaluated by the primary HHA.</p> <p>D. The document failed to specify the procedures for submitting clinical and progress notes, scheduling of visits, and periodic patient evaluation.</p> <p>E. The document failed to specify the procedures for payment for services furnished under the contract.</p> <p>2. On 12/28/12 at 12:45 PM, employee A, Director of Nursing, indicated she could not find all required components in the contract. Employee A placed a call to corporate and was told this was the only contract available for review between St. Francis and VNS.</p>				

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N0000	<p>This visit was a Home Health state complaint investigation survey.</p> <p>Complaint number: IN00119405 - Substantiated: State deficiencies related to the allegation are cited.</p> <p>Survey date: December 28, 2012</p> <p>Facility number: IN005346</p> <p>Surveyor: Kelly Ennis, BSN, RN, Public Health Nurse Surveyor</p> <p>Quality Review: Joyce Elder, MSN, BSN, RN January 3, 2013</p>	N0000			

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N0458	<p>410 IAC 17-12-1(f) Home health agency administration/management Rule 12 Sec. 1(f) Personnel practices for employees shall be supported by written policies. All employees caring for patients in Indiana shall be subject to Indiana licensure, certification, or registration required to perform the respective service. Personnel records of employees who deliver home health services shall be kept current and shall include documentation of orientation to the job, including the following:</p> <ol style="list-style-type: none"> (1) Receipt of job description. (2) Qualifications. (3) A copy of limited criminal history pursuant to IC 16-27-2. (4) A copy of current license, certification, or registration. (5) Annual performance evaluations. <p>Based on interview, the home health agency failed to ensure all employees had a signed job description for 1 of 1 employee files reviewed of employees that required a signed job description. (A)</p> <p>Findings include:</p> <p>Employee A, Director of Nursing, indicated she did not have a signed job description for St. Francis Home Health. She indicated the only signed job description she had was for Visiting Nurse Services.</p>	N0458	Director of Nursing signed the job description for St Francis Home Health. Human Resource Department will audit all current job descriptions for signature compliance. Human Resource department will audit annually, at evaluation time, for ongoing compliance. The Manager of Human Resources will be responsible for monitoring these corrective actions to ensure that the deficiencies are corrected and will not recur.	01/25/2013	

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N0478	<p>410 IAC 17-12-2(d) Q A and performance improvement Rule 12 Sec. 2(d) If personnel under contracts are used by the home health agency, there shall be a written contract between those personnel and the home health agency that specifies the following:</p> <p>(1) That patients are accepted for care only by the primary home health agency. (2) The services to be furnished. (3) The necessity to conform to all applicable home health agency policies including personnel qualifications. (4) The responsibility for participating in developing plans of care. (5) The manner in which services will be controlled, coordinated, and evaluated by the primary home health agency. (6) The procedures for submitting clinical notes, scheduling of visits, and conducting periodic patient evaluation. (7) The procedures for payment for services furnished under the contract.</p> <p>Based on contract review and interview, the home health agency failed to ensure an adequate written contract was in place for all personnel under hourly or per visit contracts for 1 of 2 (#1) contracts reviewed with the potential to affect all those patients who receive services from contract #1 personnel.</p> <p>The findings include:</p> <p>1. A document titled "Leased Employee Agreement," signed by employee B, Administrator, on</p>	N0478	The document titled "Leased Employee Agreement" between VNS at St Francis, Inc. and Franciscan St Francis Health on Behalf of St Francis Home Health will be updated to ensure an adequate written contract is in place to meet the required components. Additions to include: A. Specify that all patients are accepted for care only by the primary Home Health Agency. B. Specify that the Registered Nurse, Physical Therapist, Occupational Therapist, Speech and Language Therapist, Master's Prepared Social Worker, and Home Care Aide are responsible for	01/25/2013	

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	<p>Registered Nurse.</p> <p>C. The document failed to specify the manner in which services will be controlled, coordinated, and evaluated by the primary HHA.</p> <p>D. The document failed to specify the procedures for submitting clinical and progress notes, scheduling of visits, and periodic patient evaluation.</p> <p>E. The document failed to specify the procedures for payment for services furnished under the contract.</p> <p>2. On 12/28/12 at 12:45 PM, employee A, Director of Nursing, indicated she could not find all required components in the contract. Employee A placed a call to corporate and was told this was the only contract available for review between St. Francis and VNS.</p>				