



slight differences in wording. For instance, the standard and some measures for health improvement plans are specific to local or state due to the distinction of community health improvement plans (CHIPs) at the local level and state health improvement plans (SHIPs) at the state level.

- **Numbers of Standards and Measures**

- There are 11 Domains—the administrative capacity and governance domain in Part A and the ten domains in Part B.
- There are 30 Proposed Standards applicable to state public health departments and 30 Proposed Standards applicable to local public health departments.
- There are 111 proposed measures applicable to state health departments.
- There are 102 proposed measures applicable to local health departments.

- **The Standards and measures address a broad range of governmental public health activities, including environmental public health, human resources, and IT even if these functions are conducted by another agency. Please see the Structural Arrangements, Issue 1 below for more details.**

- **Documentation Guidance**

There are many methods for producing the documents required or suggested in the Guidance. Some may be produced by local health department staff; others by state health department staff for the use by local health departments; others by partnerships, regional collaborations and/or the use of contracted services. The focus of documentation is that the material exists and is in use in the agency being reviewed, not who originated the material. All documentation must be in effect at the time of the PHAB accreditation survey. No draft documents will be reviewed for scoring. Similarly, documents must be dated in order for reviewers to evaluate compliance with timeframes. Documentation need not be presented in a single document; several documents may support demonstration of a single measure. Conversely, a single document may be relevant for more than one measure. Documents may be electronic, web-based and/or hard copy.

The documentation guidance for the measures can contain two types of information:

- **“Required Documentation”** is a description of the topics and issues that the documentation must contain to demonstrate the measure, and
- **“Examples of Documentation”** describes some examples of the types of documentation that could be presented. These examples are not inclusive of every type of documentation that a health department could present. Health departments are encouraged to present valid documentation in the formats used in regular agency operations.
- **Many types of documentation can be used to demonstrate performance:**
  - √ Examples of documentation that describe policies and processes: policies, procedures, protocols, standing operating procedures, ERP, manuals, flowcharts, logic models or other documentation
  - √ Examples of documentation for reporting activities, data, decisions: health data summaries, survey data summaries, data analysis, audit results, meeting agendas, committee minutes and packets, after-action evaluations, CE tracking reports, work plans, financial reports, quality improvement reports or other documentation
  - √ Examples of materials to show distribution and other activities: email, memoranda, letters, dated distribution lists, phone books, health alerts, Fax, case files, logs, attendance logs, position descriptions, performance evaluations, brochures, flyers, website screen prints, news releases, newsletters, posters, contracts or other documentation

**Note: The Documentation Guidance is still in development and will be expanded based on input during the Beta Test.**

- **Timeframes**  
Documentation for compliance with the standards must be within the five years prior to the PHAB Accreditation Survey date, unless the measure states a different timeframe. These other timeframes are defined below and in the PHAB Glossary. Some specific timeframes are longer than five years [the timeframe for strategic plans is five years] and some are shorter [the timeframe for data reports is annual]. There are references throughout the measures to timeframes, starting from the PHAB accreditation survey date, for certain activities. For the purposes of consistency, these are defined as:
  - Annually (within prior 14 months)
  - Current (within prior 24 months)
  - Biennially (at least every 24 months prior to accreditation survey date)
  - Regular (based on a pre-established schedule determined by the health department)
- **Type of Measure**  
Each measure has been designated as either a capacity, process or outcome measure (some may have characteristics of more than one type, the predominant characteristic is used) based on these brief definitions:
  - Capacity—something that is in place
  - Process—something that must be done
  - Outcome—a change or lack of change resulting from an action or intervention. Two subtypes of outcomes are used: process outcome, in which the results of a process are tracked, and health outcome, where the results may include health status information.
- **Type of Review for Individual Measures**  
There are two types of review that can be applied to each measure depending on whether the topic is addressed at the overall health department level or the topic applies to all programs. For measures that apply at the program level a sample type of review is conducted.
  - **Department Review:** The measure applies to the department level. However, meeting the measure may require the participation of many or all programs/activities within the organization. The measure is demonstrated only once at a central point in the agency (an example is human resources).
  - **Sample Review:** These measures apply to the program or activity level and a sample of programs must show individual demonstration of the measure.
- **Quality Improvement**  
The Exploring Accreditation Report noted that a voluntary national accreditation program should “promote high performance and continuous quality improvement.” This philosophy is reflected throughout the standards and measures, as well as in Domain 9, which focuses on evaluation of key public health processes, and all programs and interventions and the implementation of a formal quality improvement plan to implement improvements in selected program areas.
- **Applicability to State and Local Agencies**  
Standards are, for the most part, applicable to both state and local departments with some unique state measures. Throughout the standards development process, the wide variation in state and local structures was acknowledged and the intent is that the standards be broadly applicable to differing structures, sizes and complexities of agencies.  
  
The standards and measures focus on core public health functions (as defined by the 10 Essential Services) and exclude areas such as Medicaid, mental health, substance abuse, primary care and human service programs. However, when core public health functions are provided by more than one agency, the agencies will come together for the purposes of an accreditation survey (e.g., environmental public health functions are located in a different agency than communicable disease functions).
- **Glossary of Terms and an example of “Community”**  
The standards and measures are accompanied by a detailed, sourced Glossary for many of the terms used in the standards. The Glossary also contains a list of acronyms used in the standards. An

example is the definition of “community,” which is referenced throughout the standards. Turnock defines community as “a group of people who have common characteristics: communities can be defined by location, race, ethnicity, age, occupation, interest in particular problems or outcomes, or other common bonds.” A briefer convention used here is that “community” includes governing entity members, stakeholders, community partners and the public. Please see the PHAB Acronyms and Glossary for the definition of many other terms used in the standards.

# Summary of Standards

## Part A: Administrative Capacity and Governance

<b>Provide Infrastructure for Public Health Services</b> Standard A1 B: Develop and maintain an operational infrastructure to support the performance of public health functions.
<b>Provide Financial Management Systems</b> Standard A2 B: Establish effective financial management systems.
<b>Define Public Health Authority</b> Standard A3 B: Maintain current operational definitions and statements of the public health roles and responsibilities of specific authorities.
<b>Provide Orientation / Information for the Governing Entity</b> Standard A4 B: Provide orientation and regular information to members of the governing entity regarding their responsibilities and those of the public health agency.

## Part B

### Domain 1: Conduct and disseminate assessments focused on population health status and public health issues facing the community

<b>Collect and Maintain Population Health Data</b> Standard 1.1 B: Collect and maintain reliable, comparable, and valid data that provide information on conditions of public health importance and on the health status of the population.
<b>Analyze Public Health Data</b> Standard 1.2 B: Analyze public health data to identify health problems, environmental public health hazards, and social and economic risks that affect the public's health.
<b>Use Data for Public Health Action</b> Standard 1.3 B: Provide and use the results of health data analysis to develop recommendations regarding public health policy, processes, programs or interventions.

### Domain 2: Investigate health problems and environmental public health hazards to protect the community

<b>Investigate Health Problems and Environmental Public Health Hazards</b> Standard 2.1 B: Conduct timely investigations of health problems and environmental public health hazards in coordination with other governmental agencies and key stakeholders.
<b>Contain/Mitigate Health Problems and Environmental Public Health Hazards</b> Standard 2.2 B: Contain/mitigate health problems and environmental public health hazards in coordination with other governmental agencies and key stakeholders
<b>Maintain Provision for Epidemiological, Laboratory, and Support Response Capacity</b> Standard 2.3 B: Maintain access to laboratory and epidemiological/environmental public health expertise and capacity to investigate and contain/mitigate public health problems and environmental public health hazards.

**Maintain Policies for Communication**

**Standard 2.4 B: Maintain a plan with policies and procedures required for urgent and non-urgent communications.**

**Domain 3: Inform and educate about public health issues and functions**

**Provide Prevention and Wellness Policies, Programs, Processes, and Interventions**

**Standard 3.1 B: Provide health education and health promotion policies, programs, processes, and interventions to support prevention and wellness.**

**Communicate Information on Public Health Issues and Functions**

**Standard 3.2 B: Provide information on public health issues and functions through multiple methods to a variety of audiences.**

**Domain 4: Engage with the community to identify and address health problems**

**Engage the Public Health System and the Community in Identifying and Addressing Health Problems**

**Standard 4.1 B: Engage the public health system and the community in identifying and addressing health problems through an ongoing, collaborative process.**

**Engage the Community to Promote Policies to Improve the Public's Health**

**Standard 4.2 B: Promote understanding of and support for policies and strategies that will improve the public's health.**

**Domain 5: Develop public health policies and plans**

**Establish, Promote, and Maintain Public Health Policies**

**Standard 5.1 B: Serve as a primary resource to governing entities and elected officials to establish and maintain public health policies, practices, and capacity based on current science and/or promising practice.**

**Develop and Implement a Strategic Plan**

**Standard 5.2 B: Develop and implement a health department organizational strategic plan.**

**Conduct a State Health Improvement Planning Process**

**Standard 5.3 S: Conduct a comprehensive planning process resulting in a state health improvement plan [SHIP].**

**Maintain All Hazards/Emergency Response Plan**

**Standard 5.4 B: Maintain All Hazards/Emergency Response Plan (ERP).**

**Domain 6: Enforce public health laws**

**Maintain Up-to-Date Laws**

**Standard 6.1 B: Review existing laws and work with governing entities and elected officials to update as needed.**

**Educate About Public Health Laws**  
**Standard 6.2 B: Educate individuals and organizations on the meaning, purpose, and benefit of public health laws and how to comply.**

**Conduct Enforcement Activities**  
**Standard 6.3 B: Conduct and monitor enforcement activities for which the agency has the authority and coordinate notification of violations among appropriate agencies.**

## **Domain 7: Promote strategies to improve access to healthcare services**

**Assess Healthcare Capacity and Access to Healthcare Services**  
**Standard 7.1 B: Assess healthcare capacity and access to healthcare services.**

**Implement Strategies to Improve Access to Healthcare Services**  
**Standard 7.2 B: Identify and implement strategies to improve access to healthcare services.**

## **Domain 8: Maintain a competent public health workforce**

**Maintain a Qualified Public Health Workforce**  
**Standard 8.1 B: Recruit, hire and retain a qualified and diverse public health workforce.**

**Maintain a Competent Public Health Workforce**  
**Standard 8.2 B: Assess staff competencies and address gaps by enabling organizational and individual training and development opportunities.**

## **Domain 9: Evaluate and continuously improve processes, programs, and interventions**

**Evaluate the Effectiveness of Public Health Processes, Programs, and Interventions**  
**Standard 9.1 B: Evaluate public health processes, programs, and interventions provided by the agency and its contractors.**

**Implement Quality Improvement**  
**Standard 9.2 B: Implement quality improvement of public health processes, programs, and interventions.**

## **Domain 10: Contribute to and apply the evidence base of public health**

**Identify and Use Evidence-Based and Promising Practices**  
**Standard 10.1 B: Identify and use evidence-based and promising practices.**

**Promote Understanding and Use of Research**  
**Standard 10.2 B: Promote understanding and use of the current body of research results, evaluations, and evidence-based practices with appropriate audiences.**

# Standards, Measures and Guidance

## Part A: Administrative Capacity and Governance

Note: Timeframe for all standards and measures is within the last five years unless otherwise noted in the measure. See PHAB Acronyms and Glossary.

**Provide Infrastructure for Public Health Services**  
**Standard A1 B: Develop and maintain an operational infrastructure to support the performance of public health functions.**

Measure	Documentation Guidance	Type of Measure	Type of Review
A1.1 B: Maintain policies and procedures regarding agency operations, review policies regularly and make them accessible to staff	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Policy and Procedure Manual or individual policies (may be electronic)</li> <li>• Agency organizational chart</li> <li>• Reports of review at least every five years or proof of ongoing updating process</li> <li>• Description of methods for staff access to policies</li> </ul>	Process	Health Department Level
A1.2 B: Demonstrate written policies regarding confidentiality, including applicable HIPAA requirements	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Confidentiality Policies, including business associate agreements and electronic transfer of data policies</li> <li>• Documentation of training content and staff participants</li> <li>• Signed employee confidentiality forms, as required by policies</li> </ul>	Process	Health Department Level
A1.3 B: Maintain socially, culturally, and linguistically relevant approaches in agency processes, programs, and interventions	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Policy or procedure for development of culturally and linguistically appropriate interventions and materials</li> <li>• Two examples (policies or materials) that demonstrates provision of processes, programs or interventions in a cultural or linguistic competent manner, including application of social marketing activities</li> <li>• One example of documentation of training content and staff participants</li> </ul> <p>Other Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Report of a cultural and linguistic competence assessment of the agency (this could be the CLCPA</li> </ul>	Process Outcome	Health Department Level

	self-assessment from the National Center for Cultural Competence, assessment against CLAS standards or another tool)		
A1.4 B: Maintain a Human Resources system	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Human Resource Policy and Procedure Manual or individual policies(may be electronic) that address at least eight of these topics: <ul style="list-style-type: none"> <li>○ Personnel recruitment</li> <li>○ Personnel selection and appointment</li> <li>○ Salary structure</li> <li>○ Equal opportunity employment</li> <li>○ Hours of work</li> <li>○ Time reporting</li> <li>○ Overtime</li> <li>○ Benefit package</li> <li>○ Training and continuing education</li> <li>○ Performance evaluation and individualized training plan</li> <li>○ Sexual and other harassment</li> <li>○ Problem solving and complaint handling</li> <li>○ Computer use</li> </ul> </li> </ul> <p>Other Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Labor agreements, including description of mechanisms for working relationships</li> </ul> <p>See also Domain 8 for implementation of Human Resource policies and procedures</p>	Capacity	Health Department Level
A1.5 B: Maintain information systems that support the agency’s mission and workforce by providing infrastructure for data collection/analysis, program management, and communication	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Two examples that demonstrate use of technology to support public health functions</li> <li>• Inventory list of hardware</li> <li>• List of software, including capacity for data analysis, word processing, internet/website</li> </ul>	Capacity	Health Department Level
A1.6 B: Maintain facilities that are clean, safe, accessible, and secure	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Licenses for clinical areas</li> <li>• Inspection reports</li> <li>• Certificate of occupancy</li> <li>• Log of facility work orders or facility related issues</li> <li>• ADA compliance audit</li> </ul>	Capacity	Health Department Level

**Provide Financial Management Systems**  
**Standard A2 B: Establish effective financial management systems.**

<b>Measure</b>	<b>Documentation Guidance</b>	<b>Type of Measure</b>	<b>Type of Review</b>
A2.1 B: Comply with requirements for externally funded programs	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Audited financial statements</li> <li>• Program reports</li> </ul>	Process	Health Department Level
A2.2 B: Maintain written agreements with entities providing processes, programs and/or interventions delegated or purchased by the public health agency	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Two examples of current written contracts/MOUs, MOAs for processes, programs and/or interventions</li> </ul> <p>See Domain 9 for program evaluation of delegated/contracted service providers</p>	Capacity	Health Department Level
A2.3 B: Maintain financial management systems	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Annual agency budget approved by governing entity</li> <li>• Two examples of financial reports (at least quarterly)</li> <li>• Audited financial statements</li> </ul> <p>Other Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Documentation that audit has been reviewed by the governing entity and/or key agency staff</li> <li>• Documentation that financial reports reviewed by the governing entity and/or key agency staff</li> </ul>	Capacity	Health Department Level
A2.4 B: Seek resources to support agency infrastructure and processes, programs and interventions	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Annual budget submission</li> <li>• Budget revisions</li> <li>• Additional funding requests</li> <li>• Grant applications and fundraising</li> <li>• Newspaper articles/letters to the editor on the need for improvement in public health (can be issues specific)</li> <li>• Public Health meeting discussing public health funding</li> </ul>	Process	Health Department Level

**Define Public Health Authority**  
**Standard A3 B: Maintain current operational definitions and statements of the public health roles and responsibilities of specific authorities.**

Measure	Documentation Guidance	Type of Measure	Type of Review
A3.1B: Provide mandated public health operations and services	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Applicable laws and regulations and/or statewide listing of mandated public health services</li> <li>• Description of operations that reflect authorities (e.g., service descriptions, annual reports, meeting minutes)</li> </ul>	Process	Health Department Level
A3.2B: Demonstrate that the governing entity complies with regulations regarding governing entities	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Applicable laws and regulations</li> <li>• Governing entity operating rules</li> <li>• Self assessment of performance in compliance with applicable laws, regulations and operating rules</li> </ul>	Process Outcome	Health Department Level
A3.3 B: Demonstrate evaluation of the agency director by the governing entity	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Position description and qualifications for agency director</li> <li>• Current evaluation of the agency director</li> </ul> <p>See Domain 8 for evaluation of agency staff</p>	Process Outcome	Health Department Level

**Provide Orientation / Information for the Governing Entity**  
**Standard A4 B: Provide orientation and regular information to members of the governing entity regarding their responsibilities and those of the public health agency.**

Measure	Documentation Guidance	Type of Measure	Type of Review
A4.1 B: Provide orientation and regular information to the governing entity regarding the responsibilities of the public health agency	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Sample of training packets</li> <li>• Attendance records for governance member orientation meeting</li> <li>• Governing entity minutes</li> <li>• Documentation of governing entity member attendance at related informational sessions</li> </ul>	Process	Health Department Level
A4.2 B: Provide orientation and regular information to the governing entity regarding their responsibilities	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Governing entity meeting minutes with actions</li> <li>• Governing entity policies, memos, NALBOH news briefs or</li> </ul>	Process	Health Department Level

	<p>other documents</p> <ul style="list-style-type: none"> <li>• Documentation of governing entity member attendance at related informational sessions</li> <li>• Examples of governing entity action on responsibilities, including championship of community and political support for public health</li> </ul>		
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## Part B

### Domain 1: Conduct and disseminate assessments focused on population health status and public health issues facing the community

Note: Timeframe for all standards and measures is within the last five years unless otherwise noted in the measure. See PHAB Acronyms and Glossary.

**Collect and Maintain Population Health Data**  
**Standard 1.1 B: Collect and maintain reliable, comparable, and valid data that provide information on conditions of public health importance and on the health status of the population.**

Measure	Documentation Guidance	Type of Measure	Type of Review
1.1.1 B: Demonstrate that a surveillance system is in place for receiving reports 24/7 and for identifying health problems, threats, and environmental hazards	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>Processes and protocols to maintain the comprehensive collection, review, and analysis of data from multiple sources,</li> <li>Processes and protocols to assure data are maintained in a secure and confidential manner</li> <li>Current 24/7 contact information, in the form of a designated telephone line or a designated contact person (which may be provided in rural areas via regional or state agreements)</li> <li>Reports of testing 24/7 contact systems, such as, internet, fax, page phone line, etc.</li> </ul> <p>See Domain 2 for investigation and response activities</p>	Capacity	Health Department Level
1.1.2 B: Communicate with surveillance sites on at least an annual basis	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>List of providers and public health system partners who are surveillance sites reporting to the surveillance system</li> <li>Documentation of trainings/meetings held with surveillance sites regarding reporting requirements, reportable diseases/conditions, and timeframes</li> <li>Reports of surveillance data by reporting site</li> <li>Documentation of distribution of surveillance data (such as emails, phone calls, newsletters, etc.)</li> </ul>	Process	Health Department Level
1.1.3 B: Collect additional	Note: The scope of public health data	Process	Health

primary and secondary data on population health status	<p>assessment is broad and includes collection of information by local and state agencies or partners on communicable disease (food / water / air / waste / vector-borne), injuries, chronic disease / disability and morbidity / mortality for the purpose of analysis and use in health data profiles:</p> <ul style="list-style-type: none"> <li>• Primary data includes communicable disease and public health environmental hazard reports (collected in 1.1.1 B), as well as community surveys, registries and other methods for tracking chronic disease and injuries, census data, vital records</li> <li>• Secondary data includes other state health agency data, hospital discharge data and data collected by other agencies (e.g. EPA)</li> </ul> <p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Two examples of aggregated primary and secondary data collected and sources of each</li> <li>• Two examples of standardized data collection instruments</li> </ul>		Department Level
1.1.4 S Provide reports of primary and secondary data to LHDs	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Reports to LHDs may include aggregated information from entries in registries, web-based communicable disease reporting system, faxed paper reports, e-mail confirmation of receipt of reports</li> </ul> <p>See Domain 3 and 4 regarding provision of data/analysis to stakeholders</p>	Process Outcome	Health Department Level

<p><b>Analyze Public Health Data</b>  <b>Standard 1.2 B: Analyze public health data to identify trends in health problems, environmental public health hazards, and social and economic risks that affect the public’s health.</b></p>
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Measure	Documentation Guidance	Type of Measure	Type of Review
1.2.1 B: Analyze and draw conclusions from data to identify trends over time, clusters, health problems, behavioral risk factors,	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Two examples of reports containing analysis of data collected and conclusions from review of the data (such as</li> </ul>	Process Outcome (may include Health Outcomes)	Health Department Level

<p>environmental health hazards, and social and economic conditions that affect the public's health</p>	<p>epidemiologic reports, cluster identification or investigation reports, outbreak investigations, environmental public health hazards, population health status or key health indicator reports, community surveys, outbreak after action reports, Community Health Status Indicators [CHSI] reports, with the following characteristics:</p> <ul style="list-style-type: none"> <li>○ Reports are within defined timelines based on policy guidelines and/or evidence-based practice</li> <li>○ Reports compare data to other agencies and/or the state or nation and/or provide trend data</li> <li>● Minutes or documentation of meetings (e.g., internal/external, or leadership/community) to review and discuss selected data reports</li> </ul>		
<p>1.2.2 S: At least annually, provide statewide public health data to various audiences in the form of reports on a variety of public health issues</p>	<p>Note: includes data on health behaviors, diseases, etc. [See 1.1.3 B regarding scope] targeted to a variety of audiences (e.g., public health and health care providers, governing entity, key stakeholders, and the public), using a range of methods such as reports, presentations, minutes, press releases, etc. Required Documentation</p> <ul style="list-style-type: none"> <li>● Two examples of analytic reports designed to meet the needs of specific audiences with proof of distribution</li> </ul>	<p>Process</p>	<p>Health Department Level</p>

<p><b>Use Data for Public Health Action</b>  <b>Standard 1.3 B: Provide and use the results of health data analysis to develop recommendations regarding public health policy, processes, programs or interventions.</b></p>
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Measure	Documentation Guidance	Type of Measure	Type of Review
<p>1.3.1 B Use data to recommend and inform public health policy, processes, programs and/or interventions</p>	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>● Two examples that demonstrate use of data to inform public health policy, processes, programs and/or interventions</li> </ul>	<p>Process</p>	<p>Health Department Level</p>
<p>1.3.2 S Develop and</p>	<p>Note: Health profiles should include a</p>	<p>Process</p>	<p>Health</p>

<p>distribute statewide health data profiles to support health improvement planning processes at the state level</p>	<p>broad array of assessment indicators, supported by primary and secondary data, such as those described in MAPP, CHSI, PACE EH or other assessment frameworks.</p> <p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Completed state health data profiles at least every five years</li> <li>• Documented distribution to public health system partners, community groups and key stakeholders such as governing entities or community advisory groups</li> </ul> <p>See Domain 4 for planning processes and Domain 5 for plans using the data</p>	<p>Outcome</p>	<p>Department Level</p>
<p>1.3.3 S Provide support to LHDs in the development of community health data profiles</p>	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Assessment tools and guidance</li> <li>• Completed community health data profiles</li> </ul>	<p>Process</p>	<p>Health Department Level</p>

## Domain 2: Investigate health problems and environmental public health hazards to protect the community

Note: Timeframe for all standards and measures is within the last five years unless otherwise noted in the measure. See PHAB Acronyms and Glossary.

**Investigate Health Problems and Environmental Public Health Hazards**  
**Standard 2.1 B: Conduct timely investigations of health problems and environmental public health hazards in coordination with other governmental agencies and key stakeholders.**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
2.1.1 B: Maintain protocols for investigation process	Required Documentation <ul style="list-style-type: none"> <li>• Current written protocols that include:               <ul style="list-style-type: none"> <li>○ Assignment of responsibilities for investigations of health problems and environmental public health hazards</li> <li>○ Identifying information about the health problem or hazard, case investigation steps and timelines, and reporting requirements</li> </ul> </li> </ul>	Capacity	Health Department Level
2.1.2 S: Demonstrate expertise and capacity to conduct and/or support multiple investigations simultaneously	Note: This includes infectious health problems and environmental public health hazards investigations. Examples of Documentation <ul style="list-style-type: none"> <li>• Documented statement of qualifications for staff conducting investigations</li> <li>• Documentation of capacity to conduct multiple investigations</li> <li>• Documentation of on-the-job training related to investigations.</li> <li>• Audits, programmatic evaluations, case reviews or peer reviews of investigation reports against protocols</li> <li>• Completed AARs (see 2.2.3 B)</li> </ul>	Capacity	Health Department Level
2.1.3 B: Demonstrate expertise and capacity to conduct investigations of non-infectious health problems and hazards	Note: This includes morbidity and mortality associated with emergent and non-emergent non-infectious health problems (e.g. non-communicable health problems, drowning, injuries and environmental public health hazards) including risk factors and root causes Required Documentation	Capacity	Health Department Level

	<ul style="list-style-type: none"> <li>• Documented statement of qualifications for staff conducting investigations, OR</li> <li>• Documentation of on-the-job training related to investigations. OR</li> <li>• An example of a partnership established through contracts/MOA/MOU/agreement with other governmental agency or key stakeholder that plays a role in investigations</li> <li>• An example of a completed investigation of a non-infectious health problem or hazard</li> </ul>		
2.1.4 B: Establish partnerships and work collaboratively with governmental and community partners on reportable/disease outbreak or environmental public health investigations	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Two examples of partners/partnerships established through contracts/MOAs/MOUs/agreements with other governmental agencies and key stakeholders that play a role in investigation or have direct jurisdiction over investigation.</li> <li>• Two examples of working with partners to conduct investigations</li> </ul>	Process	Health Department Level
2.1.5 B: Monitor timely reporting of notifiable diseases, lab test results, and investigation results	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Current tracking log of reporting, lab tests and/or investigations with actual timelines noted, OR</li> <li>• Current report or audit of reporting, lab tests and/or investigations</li> <li>• Copy of applicable laws</li> </ul>	Process Outcome	Health Department Level
2.1.6 S: Provide epidemiological, lab and environmental public health consultation, technical assistance, and information to LHDs regarding disease/outbreak and public health hazard management	<p>Note: this measure includes identifying, analyzing and responding to infectious disease outbreaks and environmental public health hazards and regional as well as state assistance</p> <p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Documentation of requests and what was provided</li> <li>• Documentation of communications, meetings, trainings; emails</li> </ul>	Process	Health Department Level

**Contain/Mitigate Health Problems and Environmental Public Health Hazards**  
**Standard 2.2 B: Contain/mitigate health problems and environmental public health hazards in coordination with other governmental agencies and key stakeholders**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
2.2.1 B: Maintain protocols for containment/mitigation, including disease-specific procedures for outbreaks and conducting follow-up documentation and reporting	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Current written protocols for mitigation, contact and clinical management, providing prophylaxis, use of emergency biologics, and the process for exercising legal authority for disease control</li> </ul>	Process Outcome	Health Department Level
2.2.2 B: Demonstrate that protocols include decision criteria for determining when a public health event triggers the All Hazards Plan or the public health emergency response plan.	<p>Note: This includes outbreaks, clusters and environmental public health hazards.</p> <p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Infectious disease outbreak protocols describing initiation triggers for All Hazards/ERP or the public health emergency response plan</li> <li>• Environmental public health protocols describing initiation triggers for All Hazards/ERP or the public health emergency response plan</li> <li>• Cluster evaluation protocols describing initiation triggers for All Hazards/ERP or the public health emergency response plan</li> </ul> <p>[See Standard 5.4 B]</p>	Process	Health Department Level
2.2.3 B: Complete an After Action Report (AAR) following communicable disease outbreaks, environmental public health risks, natural disasters, and other events that threaten the health of people	<p>Note: While AARs have been used for drills and exercises as part of All Hazards Plans (see 5.4.3 B), the intent is to apply the AAR methodology to actual events that significantly threaten the health of people.</p> <p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Thresholds used to determine when events rise to significance and AAR review</li> <li>• List of significant events that occurred, including outbreaks, environmental public health risks, etc.</li> <li>• Completed After Action Reports for two events which document what worked well, identify issues and recommend changes in investigation/response procedures and other process improvements</li> </ul>	Process Outcome	Health Department Level

	See Domain 9 for use of AARs in program evaluation and quality improvement		
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**Maintain Provisions for Epidemiological, Laboratory, and Support Response Capacity  
Standard 2.3 B: Maintain access to laboratory and epidemiological/environmental public health expertise and capacity to investigate and contain/mitigate public health problems and environmental public health hazards.**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
2.3.1 B: Maintain provisions for 24/7 emergency access, including surge capacity, to epidemiological and environmental public health resources capable of providing for rapid detection, investigation and containment/mitigation of public health problems and environmental public health hazards	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• All Hazards Plan/ERP</li> <li>• Policies and Procedures ensuring 24/7 coverage</li> <li>• Call Down lists</li> <li>• Contracts/MOAs/MOUs/Mutual assistance agreements detailing relevant staff</li> </ul>	Capacity	Health Department Level
2.3.2 B: Maintain 24/7 access, including surge capacity, to laboratory resources capable of providing for rapid detection, investigation and containment of health problems and environmental public health hazards	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Laboratory certification, maintains a CLIA certificate or waiver for laboratory testing done on site</li> <li>• All Hazards Plan/ERP</li> <li>• Policies and Procedures ensuring 24/7 coverage</li> <li>• Contracts/MOAs/MOUs/Mutual assistance agreements with other public and private laboratories</li> <li>• Protocols for handling and submitting specimens</li> </ul>	Capacity	Health Department Level
2.3.3 B: Maintain access to other support personnel and infrastructure capable of providing additional surge capacity	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• All Hazards Plan/ERP</li> <li>• Protocol that pre-identifies support personnel to provide surge capacity</li> <li>• Call Down lists</li> <li>• Staffing list for surge capacity (e.g., nursing, health education, communications, IT, logistics, and administrative personnel) and description of how staff accesses this information</li> <li>• Documented availability of equipment for transportation,</li> </ul>	Capacity	Health Department Level

	<p>field communications, PPE (e.g., Equipment logs, Inventory of transportation vehicles)</p> <ul style="list-style-type: none"> <li>• On-going training/exercise schedule (e.g., Basic ICS , PPE training)</li> <li>• Contracts/MOAs/MOUs/Mutual assistance agreements for additional staff capacity for surge situations</li> </ul>		
2.3.4 B: Demonstrate that SHAs and LHDs work together to build capacity and share resources to address state and local efforts to provide for rapid detection, investigation, and containment/mitigation of public health problems and environmental public health hazards	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Reports or other documentation (e.g., meeting minutes, memoranda of understanding, emails) demonstrating shared resources and/or additional capacity</li> <li>• All Hazards Plan/ERP</li> <li>• Joint exercises</li> </ul>	Process	Health Department Level

<p><b>Maintain Policies for Communication</b>  <b>Standard 2.4 B: Maintain a plan with policies and procedures for urgent and non-urgent communications.</b></p>
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Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
2.4.1 B: Maintain written protocols for urgent 24/7 communications	<p>Required Documentation (at least two examples updated semiannually from the following)</p> <ul style="list-style-type: none"> <li>• Protocols that include lists of partners, addresses, telephone lists, email/website addresses for media, health providers, and other frequent contacts and provide for redundant communication mechanisms, if needed</li> <li>• Examples of information to the public on how to contact the LHD to report a public health emergency or environmental public health risk 24/7 which may include calling 911, or 211, or 311</li> <li>• Phone numbers for weekday/weekend and after-hours emergency contacts are available to law enforcement and appropriate local agencies and organizations, such as tribal</li> </ul>	Process	Health Department Level

	<p>governments, schools and hospitals</p> <ul style="list-style-type: none"> <li>• Emails, faxes, websites with contact information</li> <li>• Call-Down list, telephone tree</li> <li>• After-hours phone answering messages, 24/7 pager phone access</li> </ul>		
2.4.2 B: Implement a system to receive and provide health alerts and appropriate public health response for health care providers, emergency responders, and communities on a 24/7 basis	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Tracking system such as HAN system or other</li> <li>• Reports of testing 24/7 contact and phone line(s)</li> </ul>	Process Outcome	Health Department Level
2.4.3 B: Provide timely communication to local media during public health emergencies	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Press conference materials and packages or press releases with dates noted to validate timeliness</li> <li>• Factsheets</li> <li>• Media Contact Sheet</li> </ul>	Process	Health Department Level
2.4.4 B: Provide timely communication to the general public during public health emergencies	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Materials such as media contact sheets, website screen prints, flyers, factsheets, with dates noted to validate timeliness</li> </ul>	Process	Health Department Level
2.4.5 S: Provide consultation and technical assistance to LHDs on the accuracy and clarity of public health information associated with an outbreak, environmental public health event or other public health emergency	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Documentation of requests and what was provided</li> <li>• Documentation of communications, meetings, trainings; emails</li> <li>• Recorded conference calls</li> <li>• Documentation of guidelines for accurate and clear communication to the public</li> </ul>	Process	Health Department Level

### Domain 3: Inform and educate about public health issues and functions

Note: Timeframe for all standards and measures is within the last five years, unless otherwise noted in the measure. See PHAB Acronyms and Glossary.

**Provide Prevention and Wellness Policies, Programs, Processes, and Interventions**  
**Standard 3.1 B: Provide health education and health promotion policies, programs, processes, and interventions to support prevention and wellness.**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
3.1.1 B: Provide information to the public on health risks, health behaviors, health needs, prevention, and/or wellness approaches	Required Documentation: <ul style="list-style-type: none"> <li>• Two examples of providing information on health risks, health behaviors, health needs, prevention, and/or wellness including information provided, to whom, date, and for what purpose</li> </ul>	Process	Health Department Level
3.1.2 B: Implement health promotion strategies to protect the population from preventable conditions	Note: As in 1.1.3 B, the scope of preventable conditions is very broad.  Required Documentation <ul style="list-style-type: none"> <li>• Two examples of health education and promotion strategies that:               <ul style="list-style-type: none"> <li>○ Correspond to community needs identified through community health assessment data</li> <li>○ Are based on sound theory, evidence of effectiveness and/or promising practices</li> <li>○ Reflect social marketing methods</li> </ul> </li> <li>• Documentation that strategies have been implemented in collaboration with community partners</li> </ul>	Process	Health Department Level

**Communicate Information on Public Health Issues and Functions**  
**Standard 3.2 B: Provide information on public health issues and functions through multiple methods to a variety of audiences.**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
3.2.1 B: Provide information on public health mission, roles, processes, programs and interventions to improve the community's health	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Two examples of providing information on public health mission, roles, processes, programs, and/or interventions, including information provided, to whom, date, and for what purpose</li> <li>• At least one example of two of the following items: <ul style="list-style-type: none"> <li>○ Educational materials with logo(s)</li> <li>○ Reports or materials distributed to media (such as advertisements, press releases etc)</li> <li>○ Agency uniform/department apparel</li> <li>○ Appropriate Signage inside and outside the facility</li> </ul> </li> </ul>	Process	Health Department Level
3.2.2 B: Establish and maintain communication procedures to provide information outside the agency	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Written communications procedures with date created and updated biennially, that include: <ul style="list-style-type: none"> <li>○ Disseminating accurate, timely and appropriate information for different audiences</li> <li>○ Coordinating with community partners for the dissemination of public health messages</li> <li>○ Maintaining a current contact list of media and key stakeholders</li> <li>○ Designating a position or person as the public information officer. Responsibilities include managing media relationships, creating public health messages, and other communications activities</li> <li>○ Describing responsibilities</li> </ul> </li> </ul>	Process	Health Department Level

	for positions interacting with the news media and the public, including, as appropriate, any governing entity members and any public health staff member		
3.2.3 B: Maintain written risk communication plan	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>Written Risk Communication Plan</li> </ul>	Process	Health Department Level
3.2.4 B: Make information available through a variety of methods, including a website	<p>Note: The LHD may have own web site or be part of another entity's internet domain</p> <p>Required Documentation</p> <ul style="list-style-type: none"> <li>Website or web page that contains current information on, at a minimum, the following issues: <ul style="list-style-type: none"> <li>24 hr. contact number for reporting health emergencies</li> <li>Notifiable conditions line or contact number</li> <li>Health data, such as morbidity and mortality data</li> <li>Links to laws</li> <li>Information and materials from program activities such as communicable disease, environmental public health and prevention</li> <li>Hyperlink to SHA, CDC and other agencies, as appropriate</li> </ul> </li> </ul> <p>Other Examples of Documentation</p> <ul style="list-style-type: none"> <li>Description of communication strategies, including: <ul style="list-style-type: none"> <li>Methods (e.g., radio, telephone brochures, flyers, newsletters, press releases, and other mechanisms)</li> <li>Targeted audiences (e.g., the public, governing entity and elected officials, health care providers)</li> </ul> </li> </ul>	Capacity	Health Department Level
3.2.5 B Demonstrate that accurate and current information is available in formats that are accessible to everyone in the community	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>Demographic data regarding ethnicity and languages spoken in the community</li> <li>List of culturally competent staff or contractors providing interpretation or translation services, as needed based on</li> </ul>	Capacity	Health Department Level

	<p>demographic data</p> <ul style="list-style-type: none"> <li>• Availability of TTY and other assistive staff or technology devices to meet ADA requirements</li> <li>• Two examples of current materials that are culturally appropriate, in other languages, and/or at low reading level</li> </ul>		
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## Domain 4: Engage with the community to identify and address health problems

Note: Timeframe for all standards and measures is within the last five years, unless otherwise noted in the measure. See PHAB Acronyms and Glossary.

**Engage the Public Health System and the Community in Identifying and Addressing Health Problems**  
**Standard 4.1 B: Engage the public health system and the community in identifying and addressing public health problems through an ongoing, collaborative process.**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
4.1.1 B: Establish and actively participate in collaborative partnerships and coalitions to address public health issues	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Two examples of ongoing collaborations that address public health issues (e.g. tobacco coalition, maternal child health coalitions, HIV/AIDS coalition, or a planning process such as CHIP)</li> <li>• List of partners in each collaboration</li> <li>• Description of process and templates used for collecting feedback and evaluating at least one partnership.</li> <li>• Documentation of use of evaluation findings.</li> </ul>	Process	Health Department Level
4.1.2 B: Recruit and engage governing entity members, stakeholders, community partners and the public to participate in collaborative partnerships and coalitions to address important public health issues	<p>NOTE: The following is a list of groups, sectors, and types of organizations that should be considered for participation in collaborative partnerships and coalitions; community representatives, governmental agencies, medical care providers, education, criminal justice, environmental organizations, regulated entities, faith-based and business organizations, philanthropy and others, that reflects diversity and includes representatives of at-risk and vulnerable populations.</p> <p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Documentation of partners who participated in the planning process</li> <li>• Documentation of use of an established national or state model to engage a wide range of</li> </ul>	Process	Health Department Level

	diverse stakeholders (e.g. MAPP or use of the NPHPSP state/local public health system performance assessment, APEX-PH)		
4.1.3 S: Provide technical assistance to LHDs and/or public health system partners regarding models for recruiting and engaging the community	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Documentation of requests and what was provided</li> <li>• Documentation of technical assistance (e.g. documentation of on-site, telephone, web-based assistance or trainings) provided on using an established model of community planning (e.g., MAPP, PACE-EH, and others).</li> </ul>	Process	Health Department Level

**Engage the Community to Promote Policies to Improve the Public’s Health**  
**Standard 4.2 B: Promote understanding of and support for policies and strategies that will improve the public’s health.**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
4.2.1 S: Disseminate the results of community health assessments to statewide stakeholders	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Two examples of assessment reports on priority community health issues within the last 24 months</li> <li>• Documentation of distribution (e.g., e-mails, distribution list) and/or review of reports in Advisory or Coalition minutes within the last 24 months</li> </ul>	Process	Health Department Level
4.2.2 B: Engage the community about policies and strategies that will promote the public’s health	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Two examples of SHA efforts to educate the community, governing entity and/or elected officials (e.g., presentations, meeting packets, press stories, event summaries or other documentation)</li> </ul>	Process	Health Department Level

## Domain 5: Develop public health policies and plans

Note: Timeframe for all standards and measures is within the last five years, unless otherwise noted in the measure. See PHAB Acronyms and Glossary.

**Establish, Promote, and Maintain Public Health Policies**  
**Standard 5.1 B: Serve as a primary resource to governing entities and elected officials to establish and maintain public health policies, practices, and capacity based on current science and/or promising practice.**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
5.1.1 B: Monitor public health issues under discussion by governing entities and elected officials	Required Documentation <ul style="list-style-type: none"> <li>• Two examples of monitoring/tracking public health issues under discussion by various governing entities (e.g., a tracking system or other documentation such as meeting minutes, membership on list-serve, newsletters that show the agency periodically reviews policy development activity)</li> </ul>	Process	Health Department Level
5.1.2 S: Contribute to the development and/or modification of public health policy by facilitating stakeholder involvement and engaging in activities that inform the policy development process	Required Documentation <ul style="list-style-type: none"> <li>• Two current examples of any of the following:               <ul style="list-style-type: none"> <li>○ Informational materials (e.g., issue briefs, media statements, talking points, fact sheets)</li> <li>○ Records of public testimony by agency staff</li> <li>○ Documented participation in advisory groups responsible for advising on health policy</li> </ul> </li> </ul>	Process	Health Department Level
5.1.3 B: Inform governing entities, elected officials and the public of potential public health impacts (both intended and unintended) from current and/or proposed policies	Note: Policies include those from other sectors that impact public health such as land use, housing, transportation, etc. Required Documentation <ul style="list-style-type: none"> <li>• Two current examples of any of the following:               <ul style="list-style-type: none"> <li>○ Impact statements or fact sheets about the impact of current or proposed policies</li> <li>○ Documented distribution of memorandum, emails, briefing statements, or discussion of policy issues (e.g., agency staff involved, governing entity/elected</li> </ul> </li> </ul>	Process	Health Department Level

	<p>official contacted, topic discussed, response and follow up)</p> <ul style="list-style-type: none"> <li>○ Documented presentation of evaluations and/or assessments of current and/or proposed policies</li> </ul>		
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**Develop and Implement a Strategic Plan**  
**Standard 5.2 B: Develop and implement a health department organizational strategic plan.**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
5.2.1 B: Conduct a strategic planning process	<p>Note: The intent of these measures is to develop and implement a strategic plan to strengthen the organization internally. The <i>Strategic Plan</i> focuses on a range of agency level organizational goals, strategies and objectives including new initiatives. [See Glossary for definitions and relationships of Strategic Plans, SHIPs and quality improvement plans.]</p> <p>Required Documentation</p> <ul style="list-style-type: none"> <li>● Documentation of the planning process used to develop the organization’s strategic plan. This could include such topics as: <ul style="list-style-type: none"> <li>○ Membership of the planning group including agency staff and governing entity members</li> <li>○ Identification of external trends, events, or factors that may impact community health or the agency</li> <li>○ Assessment of agency strengths and weaknesses</li> <li>○ Link to SHIP or quality improvement plan, as appropriate</li> </ul> </li> </ul>	Process	Health Department Level
5.2.2 B: Develop a strategic plan	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>● Agency strategic plan dated within the last five years (may not be titled this, but should contain the following) including: <ul style="list-style-type: none"> <li>○ Mission, vision, guiding principles/values</li> </ul> </li> </ul>	Process	Health Department Level

	<ul style="list-style-type: none"> <li>○ Strategic priorities</li> <li>○ Goals and objectives with measureable and time-framed targets. Measurable and time-framed targets may be contained in another document such as an annual work plan, etc.</li> </ul>		
5.2.3 B: Implement the strategic plan	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>● Annual reports of progress towards goals and objectives including monitoring of performance measures and conclusions on progress toward targets</li> </ul> <p>Note: This measure is Not Applicable if measure 5.2.2 B is not met.</p>	Process Outcome	Health Department Level
5.2.4 B: Review and revise the strategic plan	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>● Documentation of revised strategic plan at least every five years</li> </ul> <p>Note: This measure is Not Applicable if measure 5.2.2 B is not met.</p>	Process	Health Department Level

<p><b>Conduct a State Health Improvement Planning Process</b>  <b>Standard 5.3 S: Conduct a comprehensive planning process resulting in a state health improvement plan [SHIP].</b></p>
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Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
5.3.1 S: Conduct a state health improvement process that includes broad participation from stakeholders	<p>Note: The <i>State Health Improvement Plan (SHIP)</i> has a larger focus than the organization, and will involve partners in the assessment, planning, and strategy development process, as well as in implementation of strategies. A SHIP and a Strategic Plan can and should cross-reference one another, so a strategic initiative that is in a SHIP may also be in the Strategic Plan. [See Glossary for definitions and relationships of Strategic Plans, CHIPs/SHIPs and quality improvement plans.] This may be a single plan or a series of plans that focus on populations or geographic areas.</p> <p>Required Documentation</p> <ul style="list-style-type: none"> <li>● Documentation that the process</li> </ul>	Process	Health Department Level

	<p>included:</p> <ul style="list-style-type: none"> <li>○ Broad participation of public health system partners</li> <li>○ Information from state health assessments</li> <li>○ Issues and themes identified by the stakeholders</li> <li>○ Identification of state assets and resources</li> <li>○ Established set of priority state health issues</li> <li>○ Development of measurable health objectives</li> <li>● Completed health improvement process framework such as MAPP, NPHPSP, or other tools</li> </ul>		
5.3.2 S: Produce a state health improvement plan as a result of the health improvement process	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>● State health improvement plan (SHIP) dated within the last five years that includes: <ul style="list-style-type: none"> <li>○ Assessment data about the prevailing health of the population</li> <li>○ Statewide health priorities, objectives, improvement strategies and performance measures with measurable and time-framed targets Measurable and time-framed targets may be contained in another document such as an annual work plan.</li> <li>○ Policy changes needed to accomplish health objectives</li> <li>○ Individuals and organizations that have accepted responsibility for implementing strategies (does not need to be a formal agreement such as an MOU)</li> <li>○ Measureable health outcomes or indicators to monitor progress</li> </ul> </li> </ul> <p>Other Examples of Documentation</p> <ul style="list-style-type: none"> <li>● If available, documentation of alignment between the health improvement plan and community/state/national priorities (i.e., SHIP takes local and national priorities into consideration)</li> </ul>	Process	Health Department Level

5.3.3 S: Implement elements and strategies of the state health improvement plan, in partnership with others	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Reports of actions taken related to strategies to improve health</li> <li>• SHIP work plan with documentation of progress</li> </ul> <p>Note: This measure is Not Applicable if measure 5.3.1 S is not met.</p>	Process	Health Department Level
5.3.4 S: Monitor progress on strategies and health improvement in order to revise the SHIP, as needed	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Evaluation reports on progress related to strategies in a SHIP including: <ul style="list-style-type: none"> <li>○ Monitoring of performance measures</li> <li>○ Progress related to health improvement indicators (for future iterations of accreditation cycles as these changes may take a while)</li> </ul> </li> <li>• Revised SHIP based on evaluation results</li> </ul> <p>Note: This measure is Not Applicable if measure 5.3.1 S is not met.</p>	Process Outcome	Health Department Level

<p><b>Maintain All Hazards/Emergency Response Plan</b>  <b>Standard 5.4 B: Maintain All Hazards/Emergency Response Plan (ERP).</b></p>
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<b>Measure</b>	<b>Documentation and Scoring Guidance</b>	<b>Type of Measure</b>	<b>Type of Review</b>
5.4.1 B: Participate in the development and maintenance of an All Hazards/ERP	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Documentation of preparedness meetings with other government agencies (e.g., meeting minutes, calendar of meetings, email exchanges, phone calls)</li> <li>• Documentation of collaboration in the testing of the All Hazards / ERP, through the use of drills and exercises <ul style="list-style-type: none"> <li>○ Description of real event or exercise including documented coordination with emergency response partners</li> <li>○ Evaluation report, meeting minutes from debriefing or After-Action Report (AAR) with required elements as specified by PPHR</li> </ul> </li> </ul>	Process	Health Department Level

	<ul style="list-style-type: none"> <li>• Documentation of collaboration in revision of the All Hazards / ERP <ul style="list-style-type: none"> <li>○ Documentation of review meeting within the last two years</li> <li>○ Documentation of updated contact information</li> </ul> </li> <li>• Documentation of coordination with emergency response partners</li> <li>• Revised All Hazards/ERP, as needed</li> </ul>		
<p>5.4.2 B: Develop and maintain a public health emergency response plan (ERP)</p>	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>○ ERP as defined by Project Public Health Ready (PPHR) or other state or national guidelines such as LEOP that includes: <ul style="list-style-type: none"> <li>○ Designation of an emergency response coordinator</li> <li>○ Roles and responsibilities of the agency and its partners</li> <li>○ Communication networks and/or communication plan</li> <li>○ Continuity of Operations</li> </ul> </li> <li>• Documentation of testing of the public health ERP, through the use of drills and exercises <ul style="list-style-type: none"> <li>○ Process for exercising and evaluating the public health ERP</li> <li>○ Evaluation report, meeting minutes from debriefing or After-Action Report (AAR) with required elements as specified by PPHR</li> </ul> </li> <li>• Documentation of revision of the public health ERP <ul style="list-style-type: none"> <li>○ Documentation of review meeting within the last two years</li> <li>○ Revised public health ERP, as needed</li> </ul> </li> </ul> <p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Documentation of designated staff, such as Incident Command System (ICS), as described in</li> </ul>	<p>Capacity</p>	<p>Health Department Level</p>

	<p>organizational charts, job descriptions and/or job action sheets</p> <ul style="list-style-type: none"> <li>• Memoranda of agreement/understanding regarding resources needed, as identified in the plan</li> <li>• Supply inventory lists with access to resources, deployment</li> </ul>		
5.4.3 S: Provide consultation and technical assistance to LHDs regarding evidence-based and/or promising practices/templates in ERP development and testing	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Documentation of requests and what was provided</li> <li>• Documentation of communications, meetings, trainings; emails</li> </ul>	Process	Health Department Level

## Domain 6: Enforce public health laws

Note: The term “laws” is used throughout this Domain and other standards to reference all types of statutes, regulations, rules, executive orders, ordinances, case law and codes applicable to the entity being accredited. Timeframe for all standards and measures is within the last five years, unless otherwise noted in the measure. See PHAB Acronyms and Glossary.

**Maintain Up-to-Date Laws**  
**Standard 6.1 B: Review existing laws and work with governing entities and elected officials to update as needed.**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
6.1.1B: Maintain access to legal and program expertise to assist in the review of laws	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Documentation that legal counsel is available to the LHD, such as a contract or MOU or MOA for services with legal counsel or documentation of legal assistance (e.g., legal opinions on file, review and feedback)</li> <li>• Documentation of using program experts to review proposed laws for conformance with programmatic requirements</li> </ul> <p>Other Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Documentation of attendance at seminars or training regarding laws</li> </ul>	Capacity	Health Department Level
6.1.2 B: Evaluate the need for changes in laws	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Two examples of review of laws (e.g., minutes or presentations) within last three years</li> <li>• At least one example of a regulation/ordinance or enforcement activity that changed as a result of review within last three years</li> </ul> <p>Other Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Evaluations of laws and enforcement activities for consistency with evidence-based and/or promising practices for achieving compliance</li> <li>• Documented use of model public health laws, checklists, templates and/or exercises in reviewing laws</li> <li>• Documentation of input solicited</li> </ul>	Process	Health Department Level

	from key stakeholders on proposed and/or reviewed laws (e.g., Issue forums; town meetings, hearings)		
6.1.3 B: Inform governing entity and elected officials of needed updates of laws and make recommendations for action	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Policy agendas, position papers, white papers, legislative briefs including recommendations for action</li> <li>• Documentation of distribution to governing entity and/or elected officials</li> </ul>	Process	Health Department Level
6.1.4 S: Demonstrate that the SHA and LHDs collaborate in reviewing, improving and developing state and local laws	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Joint policy and planning meeting agendas and minutes</li> <li>• Protocols for policy collaboration or MOUs</li> </ul>	Process	Health Department Level

**Educate About Public Health Laws**  
**Standard 6.2 B: Educate individuals and organizations on the meaning, purpose, and benefit of public health laws and how to comply.**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
6.2.1 B: Maintain agency knowledge and consistent application of public health laws	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• List of positions with regulatory and enforcement responsibilities and their job descriptions</li> <li>• Documentation of staff training in uses of laws to support public health interventions and practice, within the last two years</li> <li>• Documentation of consistent application of public health laws, e.g. audits of case files</li> </ul>	Capacity	Sample of Programs
6.2.2 B: Make laws, and permit/license application requirements accessible to the public	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Website access (or alternative physical location within the agency) to laws and permit/license application processes</li> <li>• Newsletters or direct mailings, with distribution list</li> <li>• Other documentation of distribution, e.g. responses to requests, logs of violations, and/or complaints</li> </ul>	Process	Health Department Level
6.2.3 B: Provide education to regulated entities regarding their responsibilities and	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Website FAQ site and other educational materials</li> </ul>	Process	Sample of Programs

methods to achieve full compliance with applicable laws	<ul style="list-style-type: none"> <li>• Newsletters, with distribution list</li> <li>• Training sessions, with attendance list and materials</li> <li>• Public meetings with minutes, agendas, and attendance list</li> <li>• Documentation of TA provided through email, phone logs, etc.</li> <li>• Press releases</li> </ul>		
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**Conduct Enforcement Activities**  
**Standard 6.3 B: Conduct and monitor enforcement activities for which the agency has the authority and coordinate notification of violations among appropriate agencies.**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
6.3.1 B: Maintain current written procedures and protocols for conducting enforcement actions	Required Documentation <ul style="list-style-type: none"> <li>• Documentation of authority to conduct enforcement activities</li> <li>• Procedures and protocols/decision trees for laws or enforcement actions for achieving compliance</li> </ul>	Process	Sample of Programs
6.3.2 B: Conduct inspection activities of regulated entities according to mandated frequency and/or a risk analysis method that guides the frequency and scheduling of inspections of regulated entities	Examples of Documentation <ul style="list-style-type: none"> <li>• Protocol/algorithm for scheduling inspections (e.g., identify restaurants with frequent violations) or documentation of compliance with mandated frequencies</li> <li>• Inspection work plan or schedule with appropriate frequencies</li> <li>• Database or log of inspection reports with actions, status, follow-up, reinspections and final disposition</li> </ul>	Process	Sample of Programs
6.3.3 B: Conduct enforcement activities and follow up on complaints according to procedures and protocols for both routine and emergency situations	Required Documentation <ul style="list-style-type: none"> <li>• Data base or log of actions with analysis and standards for follow-up at each level</li> <li>• Documentation of hearings, meetings with regulated entities, compliance plans</li> </ul>	Process Outcome	Sample of Programs
6.3.4 B: Conduct analysis of complaints, violations and enforcement activities to determine patterns, trends, compliance and effectiveness	Examples of Documentation <ul style="list-style-type: none"> <li>• Annual report summarizing complaints, violations, enforcement activities</li> <li>• Documentation of an evaluation of a random number of enforcement actions each year to determine compliance with timeliness and effectiveness of</li> </ul>	Process Outcome	Sample of Programs

	<p>enforcement procedures</p> <ul style="list-style-type: none"> <li>• Debriefings or other evaluations of specific enforcement actions with documentation of what worked well, issues and recommended changes in investigation/response procedures and other process improvements</li> </ul>		
<p>6.3.5 B: Coordinate notification of violations to the public, when required, and coordinate the sharing of information about enforcement activities, analysis, results and follow-up activities among appropriate agencies</p>	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Communication protocol for interagency notification cooperation</li> <li>• If notification of the public is required, documentation of the protocol for notification</li> <li>• Two examples of notification of enforcement actions, e.g. websites, minutes, conference calls, emails, correspondence, MOUs and MOAs that demonstrate sharing of information in enforcement activities</li> </ul>	<p>Process</p>	<p>Sample of Programs (two examples per program)</p>

## Domain 7: Promote strategies to improve access to healthcare services

Note: Timeframe for all standards and measures is within the last five years, unless otherwise noted in the measure. See PHAB Acronyms and Glossary.

Assess Healthcare Capacity and Access to Healthcare Services			
Standard 7.1 B: Assess healthcare capacity and access to healthcare services.			
Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
7.1.1 B: Convene and/or participate in a collaborative process to assess the availability of healthcare services	<p>Note: The intent of these measures is for SHAs to participate in statewide activities to assess and improve individuals' access to general healthcare services. The SHA is NOT expected to directly provide any general healthcare services in order to improve access. Healthcare services include, but are not limited to, clinical preventive services, EMS, emergency departments, urgent care, ambulatory care (primary and specialty), inpatient care, dental, and behavioral health. [See Glossary for definition of Healthcare Services]</p> <p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Charters, meeting agendas with minutes or rosters of coalitions/networks/councils and their members (e.g., healthcare providers, social services organizations, and other stakeholders) working on collaborative processes to assess availability of healthcare services</li> <li>• Description of partnerships across the SHA, LHDs, and the healthcare system to make comprehensive data available for the purposes of healthcare planning (e.g., regional health information organizations (RHIOs) and health information exchanges (HIEs), less formal local planning efforts)</li> </ul>	Process	Health Department Level
7.1.2 B: Identify underserved and at-risk populations and those who may experience barriers to healthcare services	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Specific reports of needs of the population as indicated in other consumer satisfaction surveys, and surveys of special population</li> </ul>	Process	Health Department Level

	<p>groups [See Standard 1.3 and Standard 5.3]</p> <ul style="list-style-type: none"> <li>• Description of process, e.g. sector maps or other tools, for including diverse sets of community partners, including communities of color, tribal representatives, and specific populations to assist in identification of programs gaps and barriers to accessing care</li> </ul>		
7.1.3 B: Identify gaps in access to healthcare services	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Data from across the partnership (see 7.1.1) that includes any of the following: <ul style="list-style-type: none"> <li>○ Assessment of capacity and distribution of healthcare workforce</li> <li>○ Availability of healthcare services such as clinical preventive services, EMS, emergency departments, urgent care, ambulatory care (primary and specialty), inpatient care, dental, behavioral health, and other services</li> <li>○ Results of periodic surveys of access, such as focus groups, studies of eligibles receiving services and other assessment information</li> </ul> </li> <li>• Analysis of data identifying gaps in access</li> </ul>	Process Outcome	Health Department Level

**Implement Strategies to Improve Access to Healthcare Services**  
**Standard 7.2 B: Identify and implement strategies to improve access to healthcare services.**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
7.2.1 B: Convene and/or participate in a collaborative process to establish strategies to improve access to healthcare services	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• One example of coalitions/networks/councils (e.g. charters or rosters) working on collaborative processes to reduce barriers to accessing healthcare services that are linked to gaps in access [See 7.1.3 above]</li> <li>• Reports or meeting minutes of coalitions/networks with identified strategies to improve</li> </ul>	Process	Health Department Level

	access to healthcare services		
7.2.2 B: Implement and/or collaborate to implement strategies to increase access to healthcare services, including linking individuals with needed services and/or establish systems of care in partnership with the community	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Two examples of active relationships with community providers such as schools, health care providers, tribal programs and social services agencies, which include mechanisms to share information, , assist people in obtaining the services they need and optimize access. Some examples include: <ul style="list-style-type: none"> <li>○ Memoranda of Understanding</li> <li>○ Cooperative system of referral used by agency and community partners to assist people who experience barriers to obtaining needed health services</li> <li>○ Documentation of outreach activities, case finding, case management, and activities to ensure that people can obtain the services they need</li> <li>○ Assistance to eligible beneficiaries with application and enrollment in Medicaid, or other medical assistance programs</li> <li>○ Service program coordination (e.g., common intake form) and/or co-location (e.g., WIC, Immunizations and lead testing) to optimize access</li> <li>○ Grant applications submitted by community partnerships</li> <li>○ Subcontracts in the community to deliver healthcare services</li> <li>○ Program/work plans that document strategies have been implemented</li> </ul> </li> </ul>	Process	Health Department Level
7.2.3 B: Lead or collaborate in culturally competent initiatives to increase healthcare access for underserved and at-risk populations	Note: Methods for including diverse sets of community partners, including communities of color, tribal representatives, and specific populations to assist in planning and implementing programs intended to reach targeted population groups	Process	Health Department Level

	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Examples of interventions delivered in a culturally competent manner, such as use of lay health advocates indigenous to targeted population groups</li> <li>• Documentation (such as staff resumes or attendance at training session for cultural competency) that agency staff with appropriate language and cultural competency skills work to gain trust and develop rapport with targeted population groups</li> </ul>		
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## Domain 8: Maintain a competent public health workforce

Note: Timeframe for all standards and measures is within the last five years, unless otherwise noted in the measure. See PHAB Acronyms and Glossary.

**Maintain a Qualified Public Health Workforce**  
**Standard 8.1 B: Recruit, hire and retain a qualified and diverse public health workforce.**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
8.1.1 B: Apply recruitment and retention policies and make policies available to staff	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Two examples of recruitment of qualified and diverse staff that reflects the population being served (e.g. job postings and position descriptions specify needed competencies, educational and experience requirements)</li> <li>• Two examples of conducting retention activities of qualified and diverse staff (e.g. employee satisfaction survey results, needs assessments of work environment, reward and recognition programs, career ladders, promotion opportunities, supervisor mentoring programs)</li> <li>• Two examples of how policies are made available to staff such as intranet, policy manual, or review of policies as part of orientation</li> <li>• One example of how recruitment efforts are designed to promote diversity</li> <li>• One example of succession planning for critical positions</li> </ul> <p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Data and analysis of recruitment actions (e.g. percent of minority staff, percent of vacancies filled in timely manner)</li> <li>• Data and analysis of retention actions (e.g. turnover rate for last three years)</li> </ul>	Process	Health Department Level
8.1.2 B Make job standards and position descriptions available to staff	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Demonstrate that job and position descriptions are available to staff</li> <li>• Documentation of review of job duties and responsibilities with</li> </ul>	Process	Sample of Programs

	new staff (within last two years)		
8.1.3 B: Confirm that staff meet qualifications for their positions, job classifications and licensure	Note: The intent of this measure is that SHAs have stated the qualifications, including core competencies, necessary for each position and verify staff compliance with these qualifications. Required Documentation <ul style="list-style-type: none"> <li>• Description of process to verify staff qualifications</li> <li>• Evidence that qualifications have been checked for all staff hired in last two years (e.g. logs or spreadsheets or other evidence showing verification of licensure or certification; education and/or degrees, required core competencies, prior public health experience)</li> </ul>	Capacity	Sample of Programs
8.1.4 B: Establish relationships and/or collaborate with schools of public health and/or other related academic programs to promote the development of qualified workers for public health	Required Documentation <ul style="list-style-type: none"> <li>• One example of partnership or collaboration with educational organization with evidence of strategies for promoting public health as a career or of training in public health fields, such as: <ul style="list-style-type: none"> <li>○ Practicum, student placements/academic service learning, and/or internship opportunities</li> <li>○ Involvement in joint programs</li> <li>○ Faculty positions or guest lectures</li> <li>○ Participation in high school, college and/or job/career fairs</li> </ul> </li> </ul>	Process	Health Department Level

**Maintain a Competent Public Health Workforce**  
**Standard 8.2 B: Assess staff competencies and address gaps by enabling organizational and individual training and development opportunities.**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
8.2.1 B: Complete performance evaluations and develop improvement/training plans	Required Documentation <ul style="list-style-type: none"> <li>• Policy for conducting employee evaluations</li> <li>• Template/form for performance evaluations with improvement/training objectives</li> <li>• Report on percent of eligible</li> </ul>	Process	Sample of Programs

	employees (employed longer than 12 months) with performance evaluations and improvement/training objectives updated annually or as required by agency policy		
8.2.2 B: Implement an agency workforce development plan that addresses the training needs of the staff and the development of core competencies	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Agency workforce development plan that includes: <ul style="list-style-type: none"> <li>○ Nationally adopted core competencies, such as Core Competencies for Public Health Professionals from Council on Linkages or other set of competencies</li> <li>○ Use of results from agency customer satisfaction studies (See Standard 9.1)</li> <li>○ Description of the overall work of the agency and how various functions contribute to that work</li> <li>○ Curricula and training schedules</li> </ul> </li> <li>• Two examples of implementing the agency workforce development plan (e.g. training curricula to address gap, staff attendance at state or national conferences)</li> </ul>	Process	Health Department Level
8.2.3 Make provisions for leadership and management development activities	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Documented training activities in the past two years, with content and attendance list</li> <li>• Documented participation in courses such as : <ul style="list-style-type: none"> <li>○ National Public Health Leadership Institute</li> <li>○ Environmental Public Health Leadership Institute</li> <li>○ Regional, state or local public health leadership institutes</li> <li>○ Executive management seminars or programs</li> <li>○ Graduate programs in leadership/management</li> </ul> </li> <li>• An example of succession planning for critical positions</li> </ul>	Process	Health Department Level
8.2.4 S: Provide consultation and technical assistance to LHDs regarding evidence-	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Documentation of requests and what was provided</li> </ul>	Process	Health Department Level

based and/or promising practices in the development of workforce capacity, training and continuing education	<ul style="list-style-type: none"> <li>• Documentation of communications, meetings, trainings; emails</li> </ul>		
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## Domain 9: Evaluate and continuously improve processes, programs, and interventions

Note: Timeframe for all standards and measures is within the last five years unless otherwise noted in the measure. See PHAB Acronyms and Glossary.

**Evaluate the Effectiveness of Public Health Processes, Programs, and Interventions**  
**Standard 9.1 B: Evaluate public health processes, programs, and interventions provided by the agency and its contractors.**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
9.1.1 B: Engage governing entity in establishing agency policy direction regarding a performance management system	<p>Note: this measure is focused on governing entity activities.</p> <p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Documentation of governing entity policy direction (e.g., meeting packets and minutes)</li> </ul>	Process	Health Department Level
9.1.2 B: Establish agency policy and capacity to implement a performance management system	<p>Note: this measure is focused on administrative capacity to support performance management</p> <p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Relevant agency policies and proof of updates</li> <li>• Documented staff availability and/or involvement to support evaluation of agency performance and quality improvement (e.g., access to or assignment of staff with knowledge and skill in evaluation methodologies and tools and in quality improvement methodologies and tools)</li> <li>• Quality Improvement Committee charter, minutes</li> </ul>	Capacity	Health Department Level
9.1.3 B: Establish goals, objectives and performance measures for processes, programs and interventions	<p>Note: The intent of this measure is that key processes and all programs and interventions of the agency (whether delivered directly, delegated or contracted) have goals, objectives, and quantifiable performance measures, including process and/or health outcomes</p> <p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Two examples of goals, objectives and related quantifiable performance measures with time-framed targets for a process,</li> </ul>	Capacity	Sample of Programs (two examples per selected number of programs)

	program, or intervention		
9.1.4 B: Monitor performance measures for processes, programs and interventions	<p>Note: The intent of this measure is that key processes and all programs and interventions of the agency have quantifiable performance measures that are monitored</p> <p>Required Documentation</p> <ul style="list-style-type: none"> <li>For the two examples in 9.1.3 B, documentation of monitoring actual performance (e.g., data reports, statistical summaries, graphical presentations of performance on the measures)</li> </ul> <p>Note: this measure is Not Applicable if 9.1.3 B is not met</p>	Process Outcome	Sample of Programs (two examples per program)
9.1.5 B: Evaluate the effectiveness of processes, programs, and interventions and identify needs for improvement	<p>Note: The intent of this measure is that key processes and all programs and interventions of the agency are evaluated and monitoring data is used to identify areas and methods for improvement</p> <p>Required Documentation</p> <ul style="list-style-type: none"> <li>For the two examples in 9.1.3 B, documentation of analysis of goals, objectives, actual performance on measures compared to time-framed targets, and use of quality improvement tools (e.g., root cause analysis) to identify areas for improvement</li> </ul> <p>Note: this measure is Not Applicable if 9.1.3 B is not met</p>	Process Outcome (may include Health Outcomes)	Sample of Programs (two examples per program)
9.1.6 B: Implement a systematic process for assessing and improving customers' satisfaction with agency services	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>Description of types of customers (e.g., vital statistics customers, restaurant operators, individuals receiving immunizations or other services) and specific processes and templates used for collecting feedback and evaluating results</li> <li>Two examples of results of collecting and analyzing customer satisfaction data</li> <li>Documentation of how these examples were used for improvement</li> </ul>	Process Outcome	Health Department Level
9.1.7 S: Provide training and	Examples of Documentation	Capacity	Health

technical assistance regarding evaluation methods and tools to SHA and LHD staff	<ul style="list-style-type: none"> <li>• Documentation of evaluation training, attendance rosters</li> <li>• Documentation of availability of staff with evaluation skills for technical assistance</li> </ul>		Department Level
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**Implement Quality Improvement  
Standard 9.2 B: Implement quality improvement of public health processes, programs, and interventions.**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
9.2.1 B: Establish a quality improvement plan based on organizational policies and direction	<p>Note: See Glossary for definitions of and relationships among Strategic Plans, Health Improvement Plans and Quality Improvement Plans.</p> <p>Opportunities for evaluation and improvement can be identified through:</p> <ul style="list-style-type: none"> <li>• Analysis of health data/health indicators</li> <li>• Program evaluations including surveillance functions</li> <li>• AARs</li> <li>• Planning processes, See Standard 5.2, Standard 5.3 and Standard 5.4</li> </ul> <p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Quality Improvement Plan that includes the following components:</li> <li>• Quality Improvement Plan that includes the following components: <ul style="list-style-type: none"> <li>○ Purpose and scope of quality improvement activities</li> <li>○ Goals and objectives with quantifiable and time-framed measures</li> <li>○ Responsible person(s) for each objective</li> <li>○ Description of quality improvement projects</li> <li>○ Description of process to evaluate the effectiveness of quality improvement activities</li> </ul> </li> </ul> <p>Other Examples of Documentation</p>	Process	Health Department Level

	<ul style="list-style-type: none"> <li>• Documentation of implementation of the quality improvement plan such as quality improvement project reports or reports of progress</li> <li>• Annual evaluation and revision of the quality improvement plan</li> </ul>		
9.2.2 B: Implement quality improvement efforts	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Two examples of implementing quality improvement (e.g., quality improvement project work plan, evidence of improvement actions and follow up monitoring)</li> </ul>	Process (may include Health Outcomes)	Health Department Level
9.2.3 S: Provide training and technical assistance regarding quality improvement methods and tools to SHA and LHD staff	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Documentation of quality improvement training, attendance rosters</li> <li>• Documentation of availability of staff with quality improvement skills for technical assistance</li> </ul>	Process	Health Department Level

## Domain 10: Contribute to and apply the evidence base of public health

Note: Timeframe for all standards and measures is within the last five years unless otherwise noted in the measure. See PHAB Acronyms and Glossary.

**Identify and Use Evidence-Based and Promising Practices**  
**Standard 10.1 B: Identify and use evidence-based and promising practices.**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
10.1.1 B: Review and use applicable evidence-based and/or promising practices when implementing new or improved processes, programs or interventions	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Two examples from within the past three years of review and use of evidence-based or promising practices, including:               <ul style="list-style-type: none"> <li>○ Source of EBP or promising practice</li> <li>○ Description of how EBP or promising practice was implemented in agency processes, programs and interventions</li> </ul> </li> </ul>	Process	Health Department Level
10.1.2 S: Foster innovation in practice and research	<p>Examples of Documentation</p> <ul style="list-style-type: none"> <li>• Publications, presentations</li> <li>• Workgroup minutes</li> <li>• Relationships with academic institutions, research centers/institutes</li> <li>• Participation in practice-based research networks</li> <li>• IRB documentation showing participation in research (e.g., minutes, submission documentation)</li> </ul>	Process	Health Department Level

**Promote Understanding and Use of Research**  
**Standard 10.2 B: Promote understanding and use of the current body of research results, evaluations, and evidence-based practices with appropriate audiences.**

Measure	Documentation and Scoring Guidance	Type of Measure	Type of Review
10.2.1 B: Communicate research findings, including public health implications	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Two examples of communication of research findings (evaluated pursuant to 10.2.3 S) and their implications to stakeholders, LHDs, public health system partners, and/or the public</li> </ul>	Process	Health Department Level

10.2.2 B: Develop and implement policies that ensure human subjects are protected when the agency is involved in research activities	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Policies regarding research, such as Institutional Review Board (IRB) policy</li> <li>• One example within the last three years, where applicable, of use of policies</li> </ul>	Process	Health Department Level
10.2.3 S: Maintain access to expertise to evaluate current research and its public health implications	<p>Note: This measure includes tracking of the current body of research relevant to public health practice, including but not limited to research that the agency has participated in.</p> <p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Documentation of expert availability (internal or external) for analysis of research</li> </ul>	Capacity	Health Department Level
10.2.4 S : Provide technical assistance, as requested, to LHDs and other public health system partners in applying relevant research results, evidence-based and/or promising practices	<p>Required Documentation</p> <ul style="list-style-type: none"> <li>• Two examples of technical assistance to LHDs and other organizations in applying relevant research, evidence-based and/or promising practices</li> </ul>	Process	Health Department Level