

MEASURING UP!

OSEP
Annual Progress
Report - FFY2010

Data Report to
Stakeholders
December 14, 2011



Overview SPP and APR

- IDEA, Part C 1997 reauthorization required states to develop a State Performance Plan (SPP) using 14 OSEP specified indicators.
- The SPP was written for FFYs2005-2010 and extended in FFY2009 to 2012.
- States are required to report on each indicator annually on February 1st (APR).
- This report is for Federal Fiscal Year 2010 (7/1/10 - 6/30/11)
- Indiana has “Met Expectations” for the past three APRs

- The initial SPP was developed with a broad range of stakeholder.
 - Submitted December 2004
 - Current SPP extended through June 2013 (FFY2012)
 - Indiana revised SPP to extend targets and plans to 2012
- ICC, as the ongoing stakeholder group is provided opportunities for input today and in January
- Full copies of SPP and APRs 05, 06, 07, 08 & 09 are posted www.utsprokids.org First Steps Information

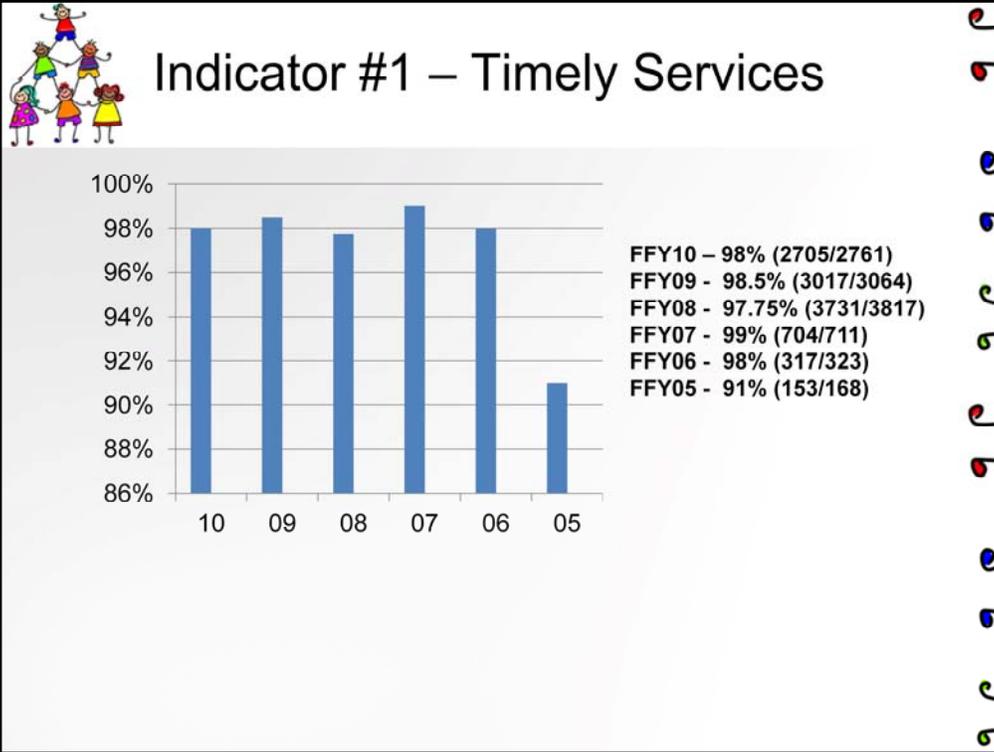


Indicator #1 – Timely Services

Indiana defines timely services as the initiation of all new services within 30 days of parent signature on the IFSP or service change page.

- Service start dates are documented on a service start form and in the revised progress reports. The report must include actual days to service start for any services not provided within 30 days.
- Exclusions are allowed for exceptional family circumstances (child/family illness, vacation, death in family or other circumstances that caused the family to delay service, also includes families who fail to return repeated calls to schedule and no shows of initial visits (these must be documented in the child's record).

- States vary in their definition, range is about 2-6 weeks
- Some state set and end date by which services must start
- SPOE report on CPPs and on-site record review item
- 30 days may seem a long time, but allows for
 - Physician to sign and return IFSP – 10 days
 - Provider to be selected, schedule appointment
 - Appointment to be rescheduled, if needed
- Few family exceptions reported
- Now must report the actual days from ISFP that child received services, if more than 30



In 2005 – began at a 91%, current level at 98%

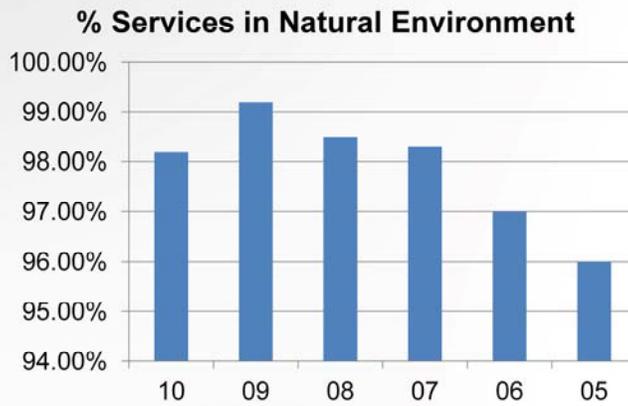
Various reasons:

- Start date not documented and could not confirm start date
- Difficulty contacting family
- Provider illness, vacation (family illness, vacation are excluded)
- Agency did not have provider available



Indicator #2 – Natural Environments

Percent of Infants and toddlers with IFSPs who primarily receive services in the home or programs for typically developing children.



Most all children in First Steps receive services in their home or child care site, including relative care.

On-Site – Mostly audiology and a few therapy services that have been justified as the most appropriate service location for the child/family.



Indicator #3 – Child Outcomes

The percent of children with IFSPs who achieve each of three OSEP prescribed child outcomes:

1. Positive social-emotional skills
2. Acquisition and use of knowledge and new skills
3. Use of appropriate behaviors to meet their needs

Measurement: AEPS entrance & exit scores to determine:

1. % did not improve
2. % improved, but not near same age peers
3. % improved near same age peers
4. % improved to the level of same age peers
5. % remained at the level of same age peers

New and over the years a bit of a moving target.

While the 3 child outcomes have not changed, how they are measured and reported have.

In Indiana, we compare entrance and exit AEPS scores in social-emotional, cognitive and adaptive for children in services at least 6 months. SPOEs collect data from EDTs and enter scores after exit. IIDC provides analysis of data.

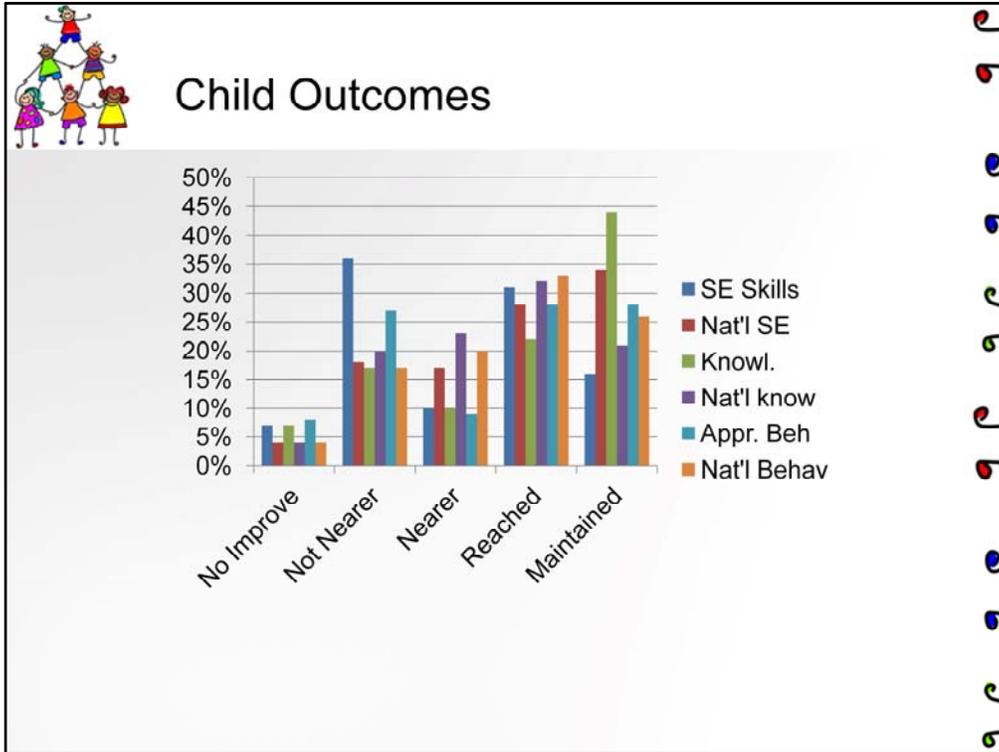
Children who did not improve functioning is calculated based on no changes in or a drop in the standard deviation scores and progress noted as “no.”

Children who improved functioning but not sufficient... is calculated for children with an exit score $\geq 1.5SD$ and progress noted as “yes.”

Children who improved functioning to a level nearer... is calculated for children with an exit score = 1.0SD and progress noted as “yes.”

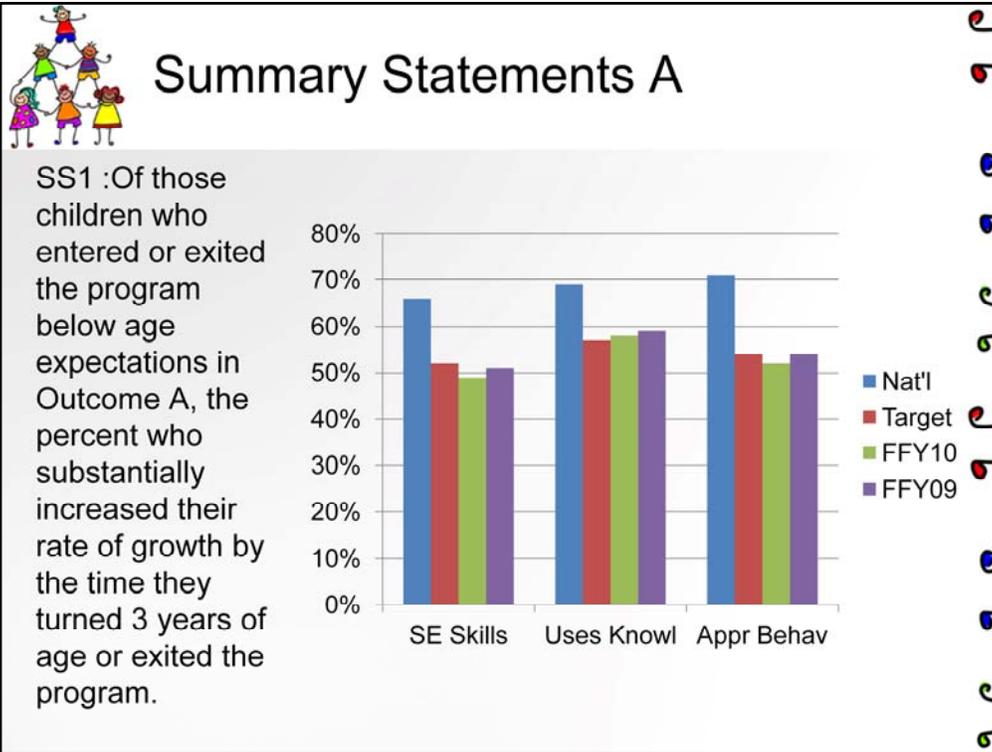
Children who improved functioning to a level comparable ... is calculated for children with an exit score = 0SD, and entry score $< 0SD$ and progress noted as “yes.”

Children who maintained functioning at a level comparable... is calculated for children with both entry/exit scores = 0SD and progress noted as “yes.”



Indiana's data varies from national because many states use Child Outcome Summary form which measures each outcome at an IFSP meeting based on a 7 point scale.

Indiana opted to use more objective measure at start and end of services. AEPS provides cut scores that indicate typical levels of development.



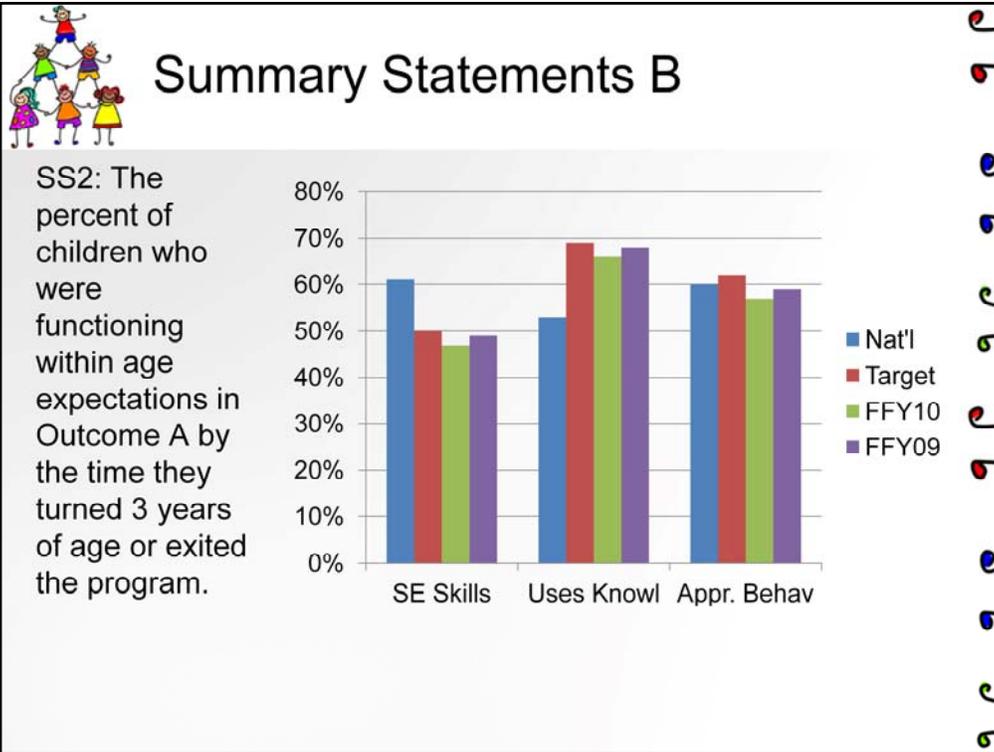
SS1: Percent = # (3) plus # (4) divided by [#(1) plus # (2) plus # (3) plus # (4)] times 100.

For Positive Social Skills use the AEPS Social Domain score – may be weakest area of the AEPS as the number of social emotional items is less.

- Last year took average scores from the previous 3 years for baseline
- Required to set target higher than baseline (chose up to a 1% increase)
- For this outcome actual is 1% below target

Not sure what this means

1. Measuring different children every year, only report on those who left the program after at least 6 months
2. Does not account for different levels of service, eligibility, race, gender
3. MCP did break these out and can look at data if interested
4. No consistency among states is what is used to measure outcomes



SS2: Percent = # (4) plus # (5) divided by the total # (1) + (2) + (3) + (4) + (5)] times 100.

Again lower SE skills outcome scores could be representative of the tool and not actual child outcomes

Not sure what this means

1. Measuring different children every year
2. Does not account for different levels of service, eligibility, race, gender
3. MCP did break these out and can look at data if interested



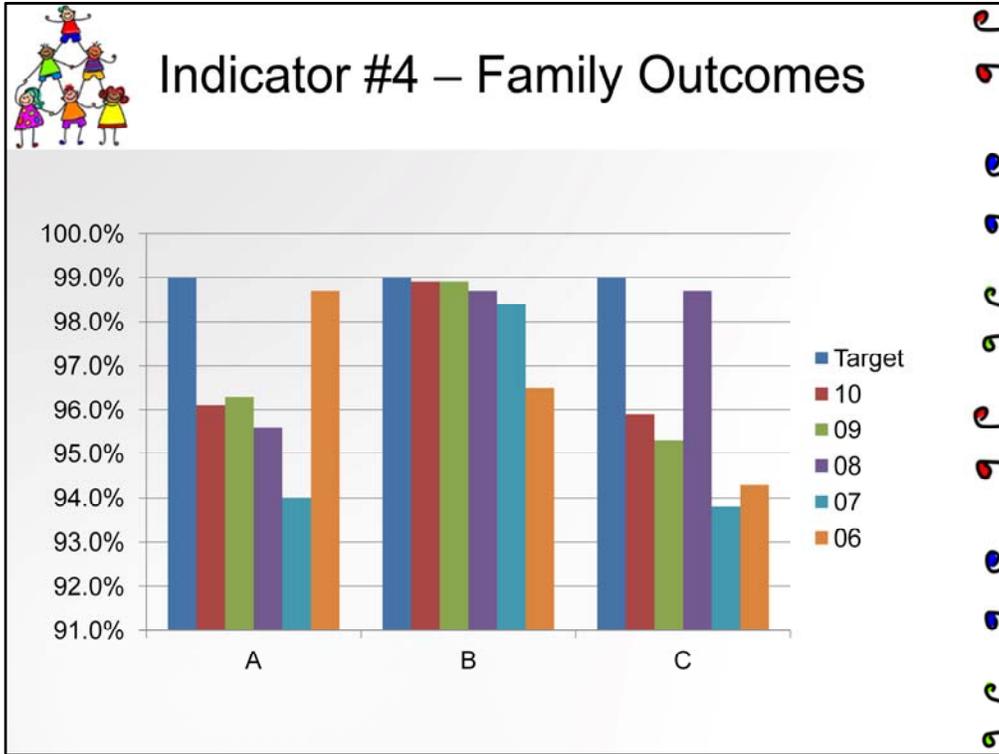
Indicator #4 – Family Outcomes

TARGETS:

- A. 99% of respondent families participating in Part C who report that early intervention services have helped the family know their rights.
- B. 99% of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs.
- C. 99% of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn.

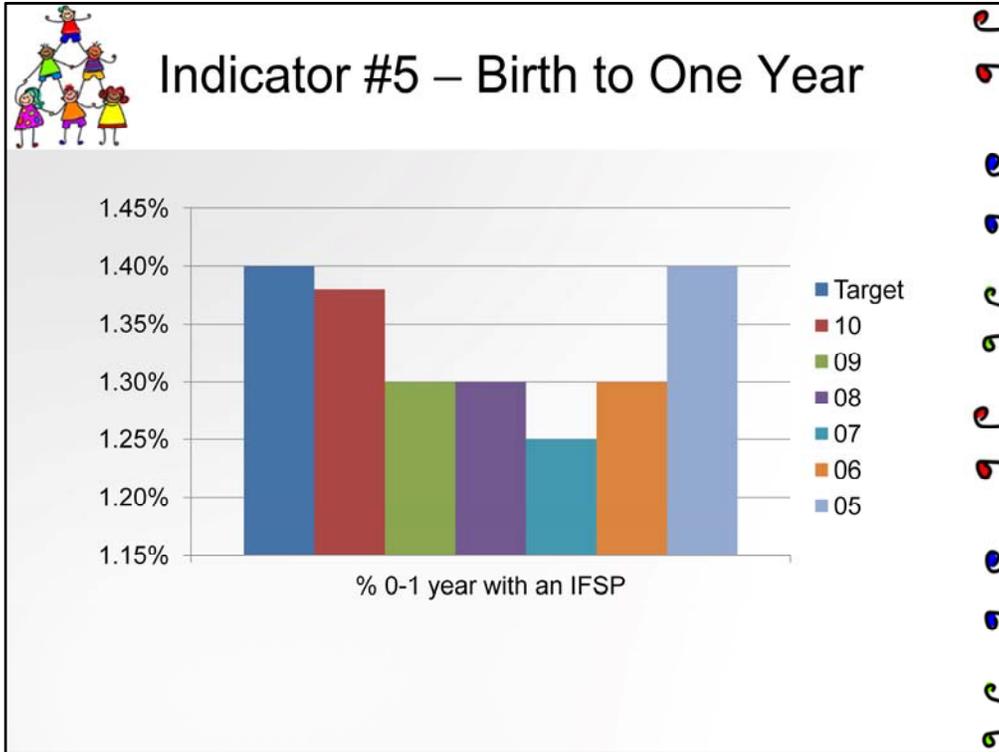
Originally, First Steps used a survey with yes no responses. In setting targets for the SPP, the baseline was set at 99%. In FFY09 we requested an adjustment in the targets, declined by OSEP.

These Family Outcomes are specified by OSEP



In 2006, Indiana adopted the ECO Family Survey. In FFY 09 changed to the revised questionnaire that is easier for parents to understand.

**Instructions: The Family Outcomes Survey focuses on the helpfulness of the First Steps Early Intervention program. For each question below, please select how helpful First Steps has been to you and your family over the past year: "Not at all helpful," "A little helpful," "Somewhat helpful," "Very helpful," or "Extremely helpful."	Not at all helpful	A little helpful	Somewhat helpful	Very helpful	Extremely helpful
Knowing your rights					
How helpful has early intervention been in ...					
1. giving you useful information about services and supports for you and your child?	<input type="checkbox"/>				
2. giving you useful information about your rights related to your child's special needs?	<input type="checkbox"/>				
3. giving you useful information about who to contact when you have questions or concerns?	<input type="checkbox"/>				
4. giving you useful information about available options when your child leaves the program?	<input type="checkbox"/>				
5. explaining your rights in ways that are easy for you to understand?	<input type="checkbox"/>				
Communicating your child's needs					
How helpful has early intervention been in ...					
6. giving you useful information about your child's delays or needs?	<input type="checkbox"/>				
7. listening to you and respecting your child's choices	<input type="checkbox"/>				
8. connecting you with other services or people who can help your child and family?	<input type="checkbox"/>				
9. talking with you about your child and family's strengths and needs?	<input type="checkbox"/>				
10. talking with you about what you think is important for your child and family?	<input type="checkbox"/>				
11. developing a good relationship with you and your family?	<input type="checkbox"/>				
Helping your child develop and learn					
How helpful has early intervention been in ...					
12. giving you useful information about how to help your child get along with others?	<input type="checkbox"/>				
13. giving you useful information about how to help your child learn new skills?	<input type="checkbox"/>				
14. giving you useful information about how to help your child take care of his/her needs?	<input type="checkbox"/>				
15. identifying things you do that help your child learn and grow?	<input type="checkbox"/>				
16. sharing ideas on how to include your child in daily activities?	<input type="checkbox"/>				
17. working with you to know when your child is making progress?	<input type="checkbox"/>				

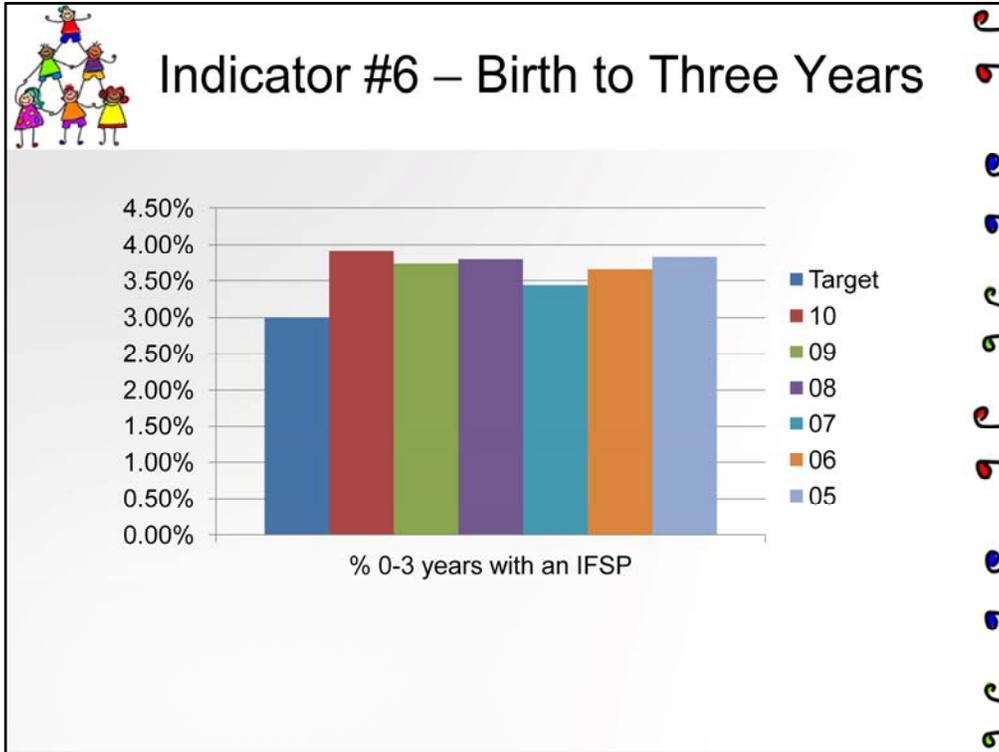


OSEP looks at child counts birth to one and birth to three

Counts are taken on 12/1 every year

Children with an active ISFP

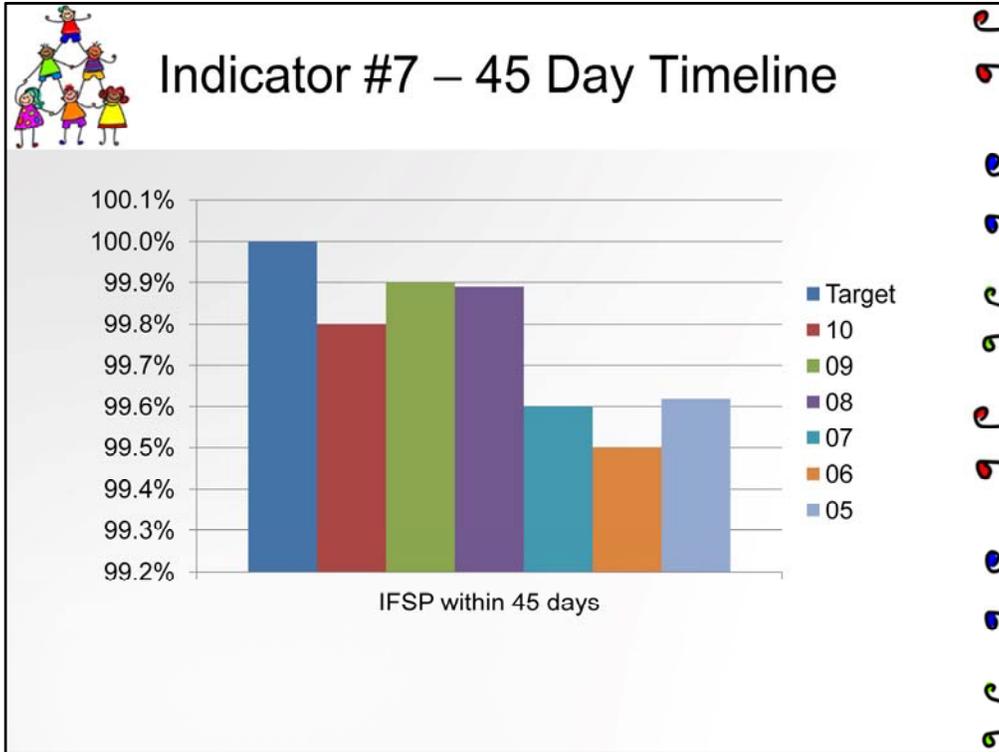
Target was set prior to the change in eligibility in 2006.



Counts are taken on 12/1 every year

Children with an active ISFP

Target was revised after the change in eligibility in 2006



This is a compliance indicator and target must be 100%

OSEP does allow states to exclude families who actions delay process (unable to contact, moved, phone # changed, did not return calls, no showed appointments) SPOEs are trained to schedule appointments with adequate time to accommodate a families request to reschedule appointment.

Must report to OSEP actual days to IFSP when it exceeds 45 days



Indicator #8 (A, B, C) Transition

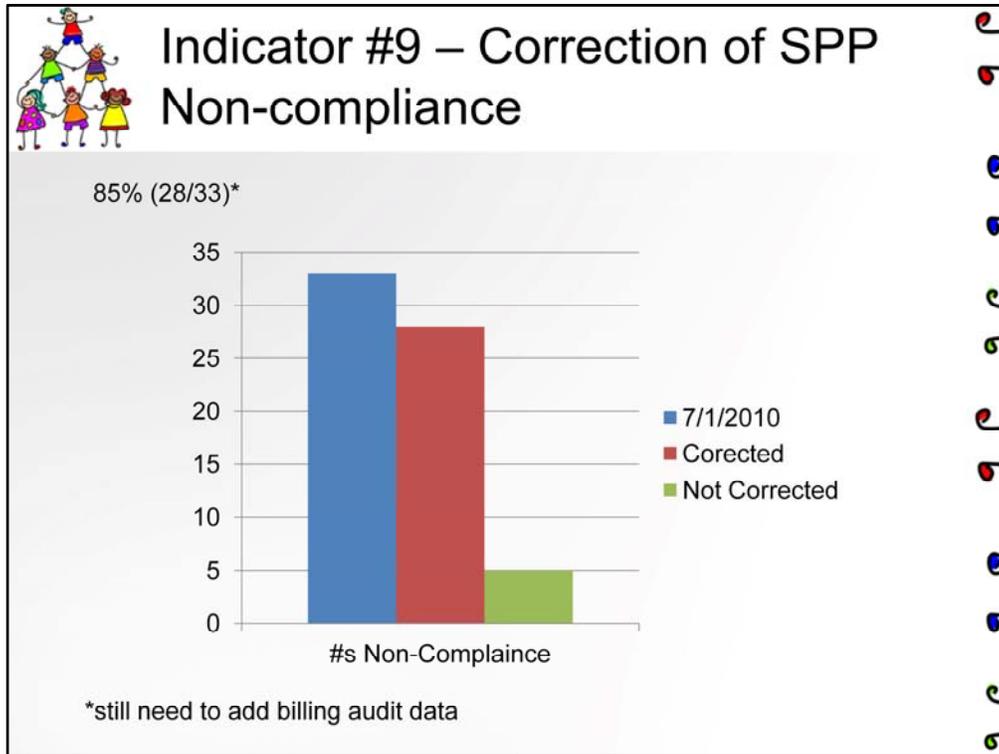
SPP Targets 2005-2012	A. 100% of eligible children will have IFSPs with transition steps and services.*	B. 100% of all children exiting Part C will have Notification to LEA, if child potentially eligible for Part B.	C. 100% of all children exiting Part C will have a transition conference, if child potentially eligible for Part B.
FFY2005	100% (18704/18704)	100% (3922/3922)	96% (3773/3922)
FFY2006	100% (348/348)	100% (7937/7937)	99% (3216/3234)
FFY2007	99.5% (647/650)	100% (5689/5689)	99% (309/312)
FFY2008	99.7% (2350/2357)	100% (5463/5463)	99.4% (1767/1775)
FFY2009	99.85% (1979/1980)	100% (5836/5836)	99.9% (1357/1358)
FFY2010	99.9% (2013/2014)	100% (7199/7199)	99.4% (1278/1286)

Transition planning involves 3 parts

A – transition steps and services in the IFSP – the IFSP form provides an entire section on transition planning, so this outcome should always be 100%, unless the page is blank.

B. – notification to LEAs of potentially eligible children. This is performed by electronic transfer. Typically 2 transfers are performed annually in April and October. This transfer did include children who should have been in the April transfer (≥ 18 months).

C. – transition meetings within the 90 to 270 days before 3rd birthday. Not only do clusters do a great job with transition meetings the percent with LEA participation has also increased significantly.



OSEP states that all non-compliance must be corrected ASAP, but in no circumstance longer than one year. Compliance indicators are 1, 7, 8A, B, & C and 9.

These reflect APR non-compliance at Cluster level. For the final APR, will also include financial audit paybacks. These were all resolved so the final correction of non-compliance will be higher than the 85% reported above.

#1	9	7	2	(E, G)
#7	3	2	1	(G)
#8A	1	1	0	
#8C	1	1	0	
6 month	2	2	0	
10 day	7	6	1	(H)
Income	8	7	1	(C)
Insurance	2	2	0	



Indicator #10 - Complaints

Percent of signed, written complaints with reports in 60 days. 100% compliance indicator.

Not Applicable

No signed, written complaints reported in FFY10

Complaints must be violations of federal rules. Indiana handles concerns at the local SPOE level



Indicator #11 - Due Process Hearings

- Percent of fully adjudicated due process hearings. (100% compliance indicator)
- No due process hearing requests



Indicator #12 – Resolution Sessions

- Percent of hearings that went to resolution sessions (applicable only if Part B due process procedures are adopted).
- This is Not Applicable to Indiana Part C.



Indicator #13 – Mediation Requests

- Percent of mediations that resulted in mediation agreements. (100% Compliance indicator)

No mediation requests



Indicator #14 – Valid, Reliable Data

- State reported data accurate and timely. (100% compliance Indicator)
- OSEP calculated result based on consistent and accurate data provided in APR and as reported in 12/1 - One Day Child Count, Complaints, Hearing and Resolution Data

Indicator 14 - SPP/APR Data			
APR Indicator	Valid and reliable	Correct calculation	Total
1	1	1	2
2	1	1	2
3	1	1	2
4	1	1	2
5	1	1	2
6	1	1	2
7	1	1	2
8A	1	1	2
8B	1	1	2
8C	1	1	2
9	1	1	2
10	1	1	2
11	1	1	2
12	1	1	2
13	1	1	2
		Subtotal	30
APR Score Calculation	Timely Submission Points (5 pts for submission of APR/SPP by February 2, 2009)		5
	Grand Total		35

Indicator 14 - 618 Data					
Table	Timely	Complete Data	Passed Edit Check	Responded to Date Note Requests	Total
Table 1 – Child Count Due Date: 2/1/08	1	1	1	1	4
Table 2 – Settings Due Date: 2/1/08	1	1	1	1	4
Table 3 – Exiting Due Date: 11/1/08	1	1	1	NA	3
Table 4 – Dispute Resolution Due Date: 11/1/08	1	1	1	N/A	3
				Subtotal	14
			Weighted Total (subtotal X 2.5; round ≤ .49 down and ≥ .50 up to whole number)		35
Indicator # 14 Calculation					
			A. APR Total	35	
			B. 618 Total	35	
			C. Grand Total	70	
Percent of timely and accurate data = (C divided by 70 times 100)			$(70) / (70) \times 100 =$		100%



Additional Data Reported to OSEP

- IFSP written prior to expiration – **99.8% (418/419)**
- 6th Month IFSP Review - **99.1% (734/741)**
- 10 day Written Prior Notice – **99.3% (3048/3069)**
- Income documentation - **98.1% (1609/1640)**
- Insurance documentation - **98.7% (1238/1254)**
- Data entry for child and family outcomes
 - Child Outcomes - **99% (7204/7273)**
 - Family Outcomes - **66% (4792/7273)**
13% declined and 20% unable to contact

Other elements that are reviewed by SPOEs and on-site Quality Review peer monitoring teams.