



*Indiana Office of Technology*

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**Indiana Office of Technology (IOT)  
Delivery Services  
Enterprise Service Level Agreement**  
(version 3.1)

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Effective July 2009 – June 2010  
*(will be updated annually)*

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# IOT Delivery Services Enterprise Service Level Agreement

## Introduction

The Indiana Office of Technology (IOT) has partnered with many of the State of Indiana Agencies to consolidate and centralizing IT functions within four service areas into IOT Delivery Services. IOT partner agencies shall be supported with a new service delivery model that provides industry wide best practice service levels within these service areas. This Service Level Agreement defines the requirements for IOT to provide levels of service that meet IOT and State Agency expectations.

Since technology and IOT services change through each year, this document will be updated annually in July to reflect those changes. The current version will be posted on the IOT website.

Also, please visit the following website for a current detailed list and cost of our services:

[http://www.in.gov/iot/files/FY2010\\_IOT\\_Services\\_Descriptions\\_and\\_Rates.pdf](http://www.in.gov/iot/files/FY2010_IOT_Services_Descriptions_and_Rates.pdf).

## IOT Delivery Services Service Environment

This Indiana Office of Technology (IOT), Delivery Services Service Level Agreement (SLA) defines the service environment, service descriptions with roles and responsibilities, and service level requirements (SLRs) for providing State of Indiana Agencies with the following services:

- Customer Service provides an operational Level 2 Help Desk for the State of Indiana private network distributed computing environment as defined in the IOT Delivery Services Customer Services portion of this Service Level Agreement.
- Systems Administration services for administration and support of State of Indiana desktops, remote servers, and local (data center) servers as defined in the IOT Delivery Services Systems Administration Services portion of this Service Level Agreement.
- Network Services provides State of Indiana private network support, maintenance, and management as defined in the IOT Delivery Services Network Services portion of this Service Level Agreement.
- Field Operations provides for the support, maintenance, and management for the State of Indiana private network distributed computing environment as defined in the IOT Delivery Services Field Operations portion of this Service Level Agreement.

## IOT Delivery Services Service Level Agreement Components

This SLA is presented in four sections. Each section provides the description and levels of service for an IOT Delivery Services service area as identified in the IOTs Delivery Services Service Environment above.

The four sections are as follows:

1. Customer Services
2. Systems Administration Services
3. Network Services
4. Field Operations

Each service area provides the following:

- Introductory description of the service area's support functions
- Service goals
- Service environment and facilities
- Hardware and software supported
- Roles and responsibilities of the service area
- Service level requirements that identify the levels of service to be provided

## **IOT Delivery Services – Customer Service, Service Level Requirements (SLR's)**

Indiana Office of Technology (IOT) Delivery Services shall provide State of Indiana Agency customer service in the form of an end-user computing resource Customer Service desk. IOT Delivery Services shall be responsible for operating a single point of contact, level two (2), Customer Service team in support of requests for computing for State of Indiana Agencies. IOT Customer Service shall provide support to the customer for all services and activities included in the scope of the agreement, including all Service Level Requirements.

### IOT Delivery Services Customer Service Goals

IOT Delivery Services has established several goals for Customer Service. The overall goal is to provide measured high quality customer service in a cost-effective manner. A summary of the Customer Service goals are as follows:

1. Customer Service shall be the single point of contact to initiate solving State of Indiana customer's computing resource and information technology problems from processing a customer trouble call, and to request a development project.
2. Customer Service shall strive to meet the following Service Level Requirements
  - a. Speed to Answer calls,
  - b. Call Abandonment Rate,
  - c. E-Mail Response Rate (via Helpdesk Assistant),
  - d. 1<sup>st</sup> Level Call Resolution Rate,
  - e. Customer Satisfaction.
3. Customer Service management tool(s) and the Automated Call Distribution (ACD) system shall provide data to:
  - a. Track trends,
  - b. Determine issues,
  - c. Provide reporting for root cause analysis,
  - d. Allow for appropriate reassignment of issues to other IOT service groups.

### General Description of Service Environment and Facilities

IOT Delivery Services Customer Service shall provide services to all State of Indiana Agencies within the private network. The team operates 6am – 6pm Monday through Friday, with a small subset of the team working a few designated hours on weekends. Outside of these hours of operation a trouble call will be forwarded to a designated Customer Service standby staff.

IOT Delivery Services is located on the 5<sup>th</sup> floor of the Indiana Government Center North building.

### Hardware and Software

All hardware and software used for the provision of Customer Service described in this Service Level Agreement are provided and supported by IOT Delivery Services.

Roles and Responsibilities

The following are the roles and responsibilities for providing Customer Services.

<b>IOT Customer Service Roles and Responsibilities</b>	
1.	Develop, document, approve, & implement procedures that meet requirements & policies.
2.	Provide a single point of contact for State of Indiana Agency customers to request assistance (service requests, problem notification, inquiries, etc...) for the specified coverage times indicated in Service Level Requirement (SLR).
3.	Provide a system to document, manage, and track all requests for service, problem reports, and inquiries regardless of the means by which the request is submitted (i.e. telephone, email, direct online input by end-users).
4.	Categorize, prioritize, and log all IT inquiries/problems/requests entered into the management and tracking system.
5.	Comply with all escalation and notification requirements.
6.	Track call data and statistics such as number of calls and calls abandoned; implement processes to minimize call abandonment.
7.	Create and maintain contact lists.
8.	Issue broadcasts and notifications to provide status updates as required.
9.	Prepare and issue service request and incident reports as required.
10.	Execute procedures for conducting end-user satisfaction surveys according to service level requirements.
11.	Maintain a continuous improvement program that improves help desk and telecommunications service desk service delivery.
12.	Adhere to all IOT security policies and procedures when resolving customer issues.

IOT Delivery Services Customer Service – Service Level Requirements

<b>Customer Service Availability SLR</b>		
<b>Definition</b>	Refers to the required timeframes when certain service provided by Customer Service must be available to users, and response to automatically generated trouble tickets is achieved.	
<b>Availability</b>	<b>Service Measure</b>	<b>Performance Target</b>
Normal Business Hours Support	Schedule	Mon – Fri, 0600 - 1800
Additional for BVM		Sat 0700 - 1300
After Hours (reduced) Support	Schedule	Mon – Fri, 1800 - 0600
		Sun – Sat, 0000 - 2400, Holidays

<b>Customer Service Response Time SLR</b>			
<b>Definition</b>	Response time is the number of seconds or cycles it take a Customer Service representative of IOT Delivery Services to connect with user seeking service.		
<b>Availability</b>	<b>Service Measure</b>	<b>Performance Target</b>	<b>SLR</b>
Speed to Answer	Phone response time	< 60 seconds	<b>90%</b>
Call Abandonment rate	Phone response time	< 5%	<b>98%</b>
E-mail response rate (via Helpdesk Assistant)	Online response time	< 1 hour	<b>98%</b>
<b>Formula</b>	Number of events per event type per target/total number of events per type during measurement period = service level attained.		
<b>Measure Interval</b>	Measure daily, report monthly		
<b>Measurement Tool</b>	Provide auditing, monitoring, and reporting utilizing Altiris and ACD. Time to answer and call volume statistics are tracked via the ACD and reported to Customer Service manager.		

<b>Customer Service Incident Resolution SLR</b>			
<b>Definition</b>	<p>First Call Resolution – The desired percentage of total contacts planned for resolution at this level. First contact completion applies when the person the end-user reaches answers the question, resolves the problem, or dispatches service where appropriate. Warm transfers and call-backs should be considered second or greater contact.</p> <p>Severity in Resolution – Elapsed Time to Resolve following responses to different classes of system failure incidents severity level.</p>		
<b>Incident Resolution</b>	<b>Service Measure</b>	<b>Performance Target</b>	<b>SLR</b>
First call resolution rate	Response Time	Resolution on first call	<b>90%</b>
<b>Formula</b>	<p>Number of calls resolved per Target per type/Total number of calls per type received during measurement period = service level attained.</p>		
<b>Measure Interval</b>	Measure daily, report monthly		
<b>Measurement Tool</b>	Provide auditing, monitoring, and reporting utilizing Altiris and ACD.		

<b>Customer Service Customer Satisfaction SLR</b>			
<b>Definition</b>	<p>A subjective rating obtained through a combination of periodic client surveys and feedback from random follow-up calls where satisfaction is measured by a Yes or No answer to the Question: "Did you have a positive experience?"</p>		
<b>Customer Satisfaction</b>	<b>Service Measure</b>	<b>Performance Target</b>	<b>SLR</b>
Random Follow Up	Response/Distribution Rate	5% of closed trouble tickets surveyed within 30 days of closing ticket.	<b>98%</b>
Periodic Sample Satisfaction	Customer Satisfaction Rate	Users surveyed should be "Positive."	<b>95%</b>
<b>Formula</b>	<ol style="list-style-type: none"> <li>1. Number of responses with "Yes" rating/total number of responses.</li> <li>2. Sum of survey results from each participant/total number of participants responding to periodic sample.</li> <li>3. Sum of survey result from each participant/total number of participants responding to scheduled survey.</li> </ol>		
<b>Measure Interval</b>	Measure monthly, report monthly.		
<b>Measurement Tool</b>	Customer Satisfaction Survey.		

## **IOT Delivery Services – Systems Administration Services, Service Level Requirements (SLR's)**

This Service Level Agreement defines the service environment, service descriptions with roles and responsibilities, and service level requirements (SLRs) for the provisioning of State of Indiana systems administration support services for State Agency end-users.

### **IOT Delivery Services Systems Administration Service Goals**

IOT Delivery Services has established several goals for systems administration services. The overall goal is to provide high-quality Microsoft Active Directory, Exchange, mainframe / servers / server-based applications, storage and account management services to customers using the State of Indiana network in a cost-effective manner. A summary of the systems administration services goals follows:

1. Operating and monitoring computer systems workload to meet the processing requirements of the State of Indiana Agencies.
2. Managing, supporting, and administration of Windows and UNIX server systems located in the IOT data center and remote office data centers
3. Managing, supporting, and administration of shared storage systems and Storage Area Networks located in the IOT data center and remote office data centers
4. Administration of enterprise computing systems such as Microsoft Exchange, DNS, McAfee Anti-Virus and other State forest requirements.
5. Perform data backup functions and support backup systems.
6. Simplify and streamline administration and management services to facilitate smooth and efficient accommodation of service requests.

Work within the framework of the IOT Delivery Services Change Control Process.

### **General Description of Service Environment and Facilities**

IOT Delivery Services Systems Administration Services shall provide State of Indiana Mainframe, Windows and UNIX server support and Microsoft Active Directory services to all State of Indiana Agency customers within the private network. Systems administration supports the State's Active Directory, Exchange, and the support and administration of all accounts within this framework. Systems administration services support shall include maintaining a complete backup of all systems residing within the IOT and remote office data centers (backup utilizing storage systems).

IOT Delivery Services Systems Administration Services are centrally located on the 5<sup>th</sup> floor of the Indiana Government Center North building.

### **Hardware and Software**

The hardware supported by Delivery Services Systems Administration Services includes the following:

1. Configuration, administration, management, and maintenance related support functions for all servers located within the IOT Data Center and remote office data centers
2. Configuration, administration, management, and maintenance related support functions for all storage, networked storage, and backup systems
3. Miscellaneous hardware utilized for support systems administration and maintenance.

Software utilized to provide systems administration support includes the following:

1. Microsoft Windows Server 2000/2003 administrative tools.
2. Microsoft Exchange System Manager
3. NetIQ AppManager
4. Symantec NetBackup
5. Symantec PureDisk Remote Office Edition
6. Symantec Enterprise Vault
7. EMC Control Center

Roles and Responsibilities

<b>IOT Systems Administration Services Roles and Responsibilities</b>	
1.	Develop, document, and approve systems administration policies and procedure.
2.	Monitor and control storage availability according to systems administration policies.
3.	Maintain storage resource facilities and space requirements.
4.	Setup, manage and delete user accounts, perform access control, manage files and disk space.
5.	Perform system or component configuration changes necessary to support Statewide enterprise computing services.
6.	Identify, coordinate and/or perform data center and/or facility changes needed to support installation of new or upgraded hardware.
7.	Install manufacturer field change orders, firmware upgrades, and other supplied hardware improvements.
8.	Replace defective parts and systems.
9.	Coordinate maintenance activities and repair third-party supported hardware.
10.	Manage warranties for hardware to ensure hardware under warranty are replaced under the terms of the warranty agreement.
11.	Perform capacity planning to develop resource requirements and projects.
12.	Manage computing data centers resources to ensure availability of adequate capacity at all times to meet IOT Statewide processing requirements.
13.	Provide technical advice and support to agency application development staffs as required.
14.	Evaluate, identify, and recommend configurations or changes to configurations that will enhance system availability
15.	Authorize and implement improvement plans.
16.	Review and approve systems engineering plans and schedules.
17.	Conduct testing of all new or upgraded equipment, software, or services to include unit, system, integration, and testing.
18.	Assess and communicate the overall impact and potential risk to existing operations prior to implementing changes.
19.	Coordinate implementation and migration support activities with the IOT help desk.
20.	Perform data migration from existing systems to new systems. Assist as needed during transitions.
21.	Perform software maintenance according to established procedures.
22.	Install/apply preventative maintenance releases and fixes, service packs, and other program services to ensure proper operation of installed software.
23.	Upgrade software to new versions/releases as needed to provide improved/enhanced functionality or to maintain current supported releases.
24.	Perform diagnostics as needed to identify the cause of software problems and report findings.
25.	Maintain web server operating system, including system updates and security patches.
26.	Create, manage, and maintain web structure and domains.
27.	Perform, manage, and track all storage and data backup according to prescribed IOT polices.
28.	Comply with all IOT hardware and software configuration management policies.
29.	Comply with all IOT systems security requirements.
33.	Provide technical assistance to help desk as required.
34.	Comply with all IOT asset management procedures and policies.

IOT Delivery Services Systems Administration Service – Service Level Requirements

<b>System Server Administration SLR</b>			
<b>Definition</b>	Actions taken by Delivery Services for proactive monitoring and intervention to minimize over capacity stressing. Also perform activities required to implement approved capacity and operational usage change requests.		
<b>System Administration Task</b>	<b>Service Measure</b>	<b>Performance Target</b>	<b>SLR</b>
<b>Capacity/Performance</b> <ul style="list-style-type: none"> <li>Continuously monitor server capacity, server performance, and storage capacity for defined threshold, provide alerts for anomalies.</li> <li>Notify Delivery Services systems administration management staff when alerts are triggered or anomalies are identified on system resources</li> </ul>	Accuracy of monitoring and reporting threshold alerts.  NetIQ monitor cycle every 5 minutes.  Response time to report	< 1 hour notification to Systems Administration Service Management of verification of trigger or anomaly identification.	<b>99%</b>
<b>Capacity/Performance Planning</b> <ul style="list-style-type: none"> <li>Trend Analysis and reporting across all platforms in Delivery Services Data Center(s) and remote office servers</li> </ul>	Proactive daily monitoring and preemptive intervention to increase server and storage capacity	Weekly or Monthly analysis reports and interim reports on rapidly developing events and trend identification.	<b>98%</b>
Storage capacity change requests (change control)	Elapsed time	Increases/decreases of +/- 10% of installed capacity within 1 month	<b>98%</b>
Deploy service/security patches and anti-virus updates necessary to protect or repair environment vulnerabilities	Response Time	Same business day as signoff subject to agreed upon change control procedures	<b>99%</b>
<b>Formula</b>		Number of requests completed on time/total all of all requests occurring during the measurement period.	
<b>Measure Interval</b>		Measure daily and weekly, report monthly	
<b>Measurement Tool</b>		Windows based Server availability is monitored through NetIQ and MS Server 2000/2003 Admin Tools. EMC storage is monitored using EMC Control Center.	

<b>Server/Mainframe Availability SLR</b>			
<b>Definition</b>	<p>Systems availability is defined as the server (CPU, system memory, disks, and peripherals) up to the connection to the network.</p> <p>All prescheduled systems downtime, unless otherwise agreed upon in advance by Delivery Services, will occur:</p> <ol style="list-style-type: none"> <li>1. For systems with 24x7x365 requirements, maintenance shall be performed between 600 and 1000 on Sunday.</li> <li>2. For systems having non 24x7x365 requirements, maintenance shall be performed outside the normal system availability guidelines of 0600 to 1800 five days per week, or at the same time as the systems listed in (1) above.</li> </ol>		
<b>System Server</b>	<b>Service Measure</b>	<b>Performance Target</b>	<b>SLR</b>
Citrix Servers	Availability	Mon - Fri, 0600-1800	<b>99.9%</b>
Email Servers	Availability	Mon - Fri, 0600-1800	<b>99.9%</b>
Shared File Storage Systems	Availability	Mon - Fri, 0600-1800	<b>99.9%</b>
SQL Servers	Availability	Mon - Fri, 0600-1800	<b>99.9%</b>
Web/App Servers	Availability	Mon - Fri, 0600-1800	<b>99.9%</b>
Windows Servers	Availability	Mon - Fri, 0600-1800	<b>99.9%</b>
Mainframe - IBM	Availability	Mon - Fri, 0600-1800	<b>99.9%</b>
Mainframe - IMS Region	Availability	Mon - Fri, 0600-1800	<b>99.9%</b>
Mainframe - DB2 Connect	Availability	Mon - Fri, 0600-1800	<b>99.9%</b>
<b>Formula</b>	$\text{Availability (\%)} = 100\% - \text{Unavailability (\%)}$ <p>Where Unavailability is defined as:  <math display="block">\text{(Outage Duration x 100\%)} / (\text{Schedule Time} - \text{Planned Outage})</math></p>		
<b>Measure Interval</b>	Measure daily, report monthly		
<b>Measurement Tool</b>	Windows based Server availability is monitored through NetIQ and MS Server 2000/2003 Admin Tools.		

<b>Account Administration SLR</b>			
<b>Definition</b>	<p>Routine functions, such as setting up user ID's, changing user authorization and authentication tables, changing account codes and similar functions associated with configuration and administration of user accounts.</p>		
<b>System Server</b>	<b>Service Measure</b>	<b>Performance Target</b>	<b>SLR</b>
Disable User Account	Response Time	Within four (4) business hours of authorized request.	<b>98%</b>
New User Account	Response Time	Completed within two (2) business days of authorized request.	<b>99%</b>
Privilege/Rights Changes	Response Time	Completed eight (8) business hours of request.	<b>97%</b>
<b>Formula</b>	$\text{Number of tasks per type completed per target} / \text{total number of tasks per type performed within measured period.}$		
<b>Measure Interval</b>	Measure monthly, report monthly		
<b>Measurement Tool</b>	Windows based Server access utilizing MS Server 2000/2003 Admin Tools.		

## **IOT Delivery Services - Network Services, Service Level Requirements (SLR's)**

This Service Level Agreement defines the service environment, service descriptions with roles and responsibilities, and service level requirements (SLRs) for the provisioning of State of Indiana private network support services for State Agency end-users.

### IOT Delivery Services Network Service Goals

IOT Delivery Services has established several goals for network services. The overall goal is to provide high-quality support services to customers using the State of Indiana physical network in a cost-effective manner. A summary of the network services goals follows:

1. Provide State of Indiana Agency customers support services including maintenance, administration, and management of the State of Indiana physical network.
2. Increase the operational performance, dependability, and reliability of the State of Indiana private network.
3. Perform proactive capacity planning for network facilities to ensure adequate bandwidth to all locations and 24x7 monitoring of network performance.
4. Develop and manage the State's private network extranet business partners and general public access to specified information and data.
5. Simplify and streamline network administration and management services to facilitate smooth and efficient accommodation of service requests.
6. Provide support for the expansion of a service oriented architecture, high performance infrastructure, and technologies when applicable such as secure wireless.
7. Work within the framework of the Delivery Services Change Control Process.

### General Description of Service Environment and Facilities

IOT Delivery Services Network Services shall provide State of Indiana private network support services to all State of Indiana Agency customers within the private network. The State of Indiana private network comprises the IGC Campus Area Network, All Agency Local Area Networks including remote offices LAN's, and management and electronic monitoring of all Wide Area Networks utilized by such State Agency Customers. Support services shall include all network component maintenance (including repair and replacement), configuration and administration of network components, and management of the entire State of Indiana private network, including all networks described above, based upon specific agency maintenance programs with the product manufacturer or vendor. Delivery Services shall manage State of Indiana network through Layer seven (7).

IOT Delivery Services Network Services is located on the 5<sup>th</sup> floor of the Indiana Government Center North building.

### Hardware and Software

The hardware utilized and supported by Delivery Services Network Services includes the following:

1. Router and switch models currently under maintenance. Upgrades and replacements will be standardized in the future.
2. Protocol analyzers and Intrusion Protection appliances.

Note: Replacement of equipment not under maintenance is the responsibility of the specific agency, and the IOT will provide direction for the replacement equipment.

Software utilized to provide network support includes the following:

1. Configuration Management
2. Inventory Management
3. Asset Management

Roles and Responsibilities

<b>IOT Network Services Roles and Responsibilities</b>	
1.	Develop, document, approve, and implement network support procedures that meet Network Services requirements and policies.
2	Provide maintenance, administration, and management of all supported State of Indiana private network components, devices, and peripherals.
4.	Manage efforts of ITN public carrier to meet defined schedules and project plans.
5.	Maintain IP node table, addressing schemes, router and switch configurations, routing tables, VPN configurations, and router system logs.
6.	Develop and adhere to daily, weekly, and monthly scheduled maintenance tasks as part of network management efforts.
7.	All changes in network configurations shall comply with the IOT Delivery Services change control process.
8.	Monitor network 24x7, with automated notification.
9.	Identify network problems involving circuits, hardware, software and others and resolve in accordance with established procedures. Coordinate activities with the help desk. Escalate as required.
10.	Coordinate resolution of circuit problems with public carrier – based on contractual obligations with the public carrier.
11.	Provide on-site staff during IOT normal business hours in State of Indiana facilities as required (e.g., to perform network maintenance and problem resolution activities).
12.	Provide technical assistance (e.g., respond to inquiries) as needed in support of the help desk, State Agency end users and external entities using the State of Indiana private network.
13.	Track and report status of network activities and problems via a trouble management system.
15.	Coordinate/perform facilities changes needed to support installation of New/upgraded network hardware.
16.	Install (or coordinate installation of) new or enhanced network hardware (e.g., routers, etc.) components to meet IOT and customer agency requirements.
18.	Replace defective parts on supported hardware, coordinate maintenance activities and repair of third-party provider-supported hardware, and monitor hardware warranties to ensure that parts under warranty are replaced under the terms of the warranty.
19.	Monitor private network component hardware warranties to ensure that parts under warranty are replaced under the terms of the warranty.
21.	Manage the technical configuration and ensure all network operating components are effectively integrated, and maintain network operating system software and network management utilities.
22.	Perform tuning across the Campus network.
23.	Manage network resources & devices to meet defined availability requirements (as specified in the Network Services SLR's).
24.	Provide technical advice and support to the application maintenance and development staffs as required.
25.	Evaluate, identify and recommend configurations or changes to configurations that will enhance network availability.
27	Develop, authorize, implement & improvement plans as appropriate.
29.	Document and maintain network specifications, configurations, topology and diagrams.
30.	Document and maintain inventory of all software used in managing the network

	environment, all supported hardware used in the network environment, and all supported network circuits and point-to-point connections in the State of Indiana private network environment.
31.	Prepare network engineering plans and schedules to support new and enhanced applications, architectures, and standards.
32.	Conduct pre-installation site surveys, as applicable, stage new and upgraded equipment and software to smoothly transition into production environment, and install new hardware and software components into production (e.g., routers, firewalls, RAS servers, etc.).
33.	Install/apply preventative maintenance releases and fixes, service packs, and other program services provided by manufacturers to ensure proper operation of installed operating systems as needed.

IOT Delivery Services Network Service – Service Level Requirements

<b>Network Availability SLR</b>			
<b>Definition</b>	Network availability is defined as the time during which the network is fully operational and functioning as specified, connectivity between the user and the application system(s) and server(s) is established, and normal business operations can be carried out with no data loss or downtime.		
<b>Pre-scheduled downtime requirements</b>	All pre-scheduled system downtime, unless otherwise agreed upon in advance by Delivery Services will occur: <ul style="list-style-type: none"> <li>• For networks having 24x7x365 requirements – All pre-scheduled maintenance shall be performed between the period beginning Sunday 600 to 1000, or</li> <li>• For networks having non-24x7x365 requirements – pre-scheduled maintenance shall be performed outside the normal system availability guidelines as specified below.</li> </ul> * Must conform to IOT Change Management Guidelines.		
	<b>Service Type</b>	<b>Service Measure</b>	<b>Performance Target</b>
	CAN Availability (Campus)	Fully Functional	Mon - Fri, 0600-1800
	Dial-Up Availability	Fully Functional	Mon - Fri, 0600-1800
	Switch Availability (Campus)	Fully Functional	Mon - Fri, 0600-1800
	VPN Availability	Fully Functional	Mon - Fri, 0600-1800
	WAN Availability (Remote Sites)	Fully Functional	Mon - Fri, 0600-1800
			<b>SLR</b>
			<b>99.9%</b>
			<b>99.9%</b>
			<b>99.9%</b>
			<b>99.9%</b>
			<b>98.9%</b>
<b>Formula</b>	$\text{Availability (\%)} = 100\% - \text{Unavailability (\%)}$ Where Unavailability is defined as: $\text{(Outage Duration x 100\%)} / (\text{Schedule Time} - \text{Planned Outage})$		
<b>Measure Interval</b>	Monitor continuously, Measure daily, report monthly		
<b>Measurement Tool</b>	Router and switch availability is measured utilizing appropriate & available network tools.		

<b>Network Administration Services SLR</b>			
<b>Definition</b>	Routers to be managed proactively using either product-specific or proprietary network monitoring and management tools.  Measurement for this network component is a 24x7x365 requirement.  Pre-scheduled maintenance shall be performed between 0600 to 1000 hours on Sunday.		
<b>Administration Task</b>	<b>Service Measure</b>	<b>Performance Target</b>	<b>SLR</b>
Allocate additional resources per pre-defined parameters and/or observed growth patterns.	Proactive monitoring and preemptive intervention to advise Agency/Customer of the need to increase capacity.	Sustained average daily utilization reaches 80% of installed capacity.	<b>99%</b>
Network Service capacity reallocation or change	Response time	Mon - Sat 0700 – 1800 < 24 hours	<b>99%</b>
Setup/Modify VPN & Dial-Up User ID or Authorization changes (password resets not included in this SLR)	Response Time;  1-5 Users ID's  6-10 Users ID's  > 10 User ID's	Mon – Fri 0600 - 1800  < 4 hours of request  < 8 hours of request  Per Agreed upon time	<b>99%</b>
<b>Formula</b>	Transactions completed within required time/total transactions		
<b>Measure Interval</b>	Monitor continuously, Measure daily, report weekly or monthly		
<b>Measurement Tool</b>	Router and switch availability is measured utilizing appropriate & available network tools.		

## **IOT Delivery Services – Field Operations, Service Level Requirements (SLR's)**

This Service Level Agreement defines the service environment, service descriptions with roles and responsibilities, and service level requirements (SLRs) for the provisioning of distributed computing services for State of Indiana Agencies end-users.

### IOT Delivery Services Field Operations Goals

IOT Delivery Services has established several goals for Field Operations. The overall goal is to provide high quality desktop, remote server, and networked peripheral computing equipment support and maintenance service in a cost-effective manner. A summary of IOT Field Operations goals follow:

1. Provide premier desktop and server support and maintenance at a reduced cost.
2. Lower the total cost of ownership – This could include more standardization of images and reduced images and more remote control diagnostics.
3. Maximize and optimize equipment performance - Improve configuration management and performance testing as equipment is deployed. This includes periodic evaluation of help desk calls to identify trends in equipment failure.
4. Minimize the number of desktop configurations – IOT is proceeding towards statewide standardization for all PCs and remote servers, when completed, everyone in the enterprise should be running on the same version of the standard software. Exceptions would only be those applications specific to certain areas.
5. Improve license management procedures – Management and version control of software licenses should be tracked to ensure both compliance and application currency.

### General Description of Service Environment and Facilities

Field Operations are comprised of all support, maintenance, repair and/or replacement of State Agency's desktop PC's, remote servers, networked printers, and miscellaneous networked peripherals. Support includes all scheduled and non-scheduled (trouble call generated) maintenance. Approximately 30,000 desktops and remote servers are supported. Additional miscellaneous devices attached to the network may be included.

### Hardware and Software

All hardware and software used for the provisioning of distributed services described in this Service Level Agreement are provided by IOT Field Operations.

Several remote desktop control software systems are utilized, and the appropriate desktop client, will be installed on all IOT Delivery Services supported desktops (client PC's).

## Roles and Responsibilities

The following are IOT Service Operation's roles and responsibilities for providing Field Operations.

<b>IOT Field Operations Roles and Responsibilities</b>	
1.	Develop and document distributed computing operations and workload monitoring requirements and policies, including schedules for the operation of Field Operations. Ensure procedures developed meet requirements and adhere to defined policies.
2.	Manage and support test-to-production migration of desktop or remote server activities.
3.	Approve monitoring and problem resolution procedures.
4.	Monitor operation of distributed hardware and systems as scheduled.
5.	Answer and respond to inquiries and trouble resolution items (trouble tickets) and escalate in accordance with established notification procedures.
6.	Provide level 2 and 3 computing technical assistance for the help desk.
7.	Approve software deployment/management procedures.
8.	Manage software deployment, including the use of automated tools.
9.	Issue broadcasts to announce availability of upgrades to desktop and remote server software.
10.	Develop and implement desktop images/builds to meet State Agency business needs.
11.	Perform all State desktop and remote server software upgrades.
12.	Install new or enhanced hardware components or peripherals to meet State Agency computing and/or processing requirements.
13.	Perform diagnostics as required to identify cause of hardware problems, and report findings.
14.	Provide direct contact with dispatch for management of warranty maintenance and support.
15.	Install manufacturer changes, firmware upgrades, and other manufacturer supplied hardware improvements.
16.	Replace defective parts on non-warranty standard hardware, but not to exceed 80% of replacement value.
17.	Ensure all hardware maintenance activities conform to configuration management and change control processes.
18.	Perform tuning to maintain optimum performance across the distributed computing environment
19.	Provide technical advice and support to Customer Service staff as required.
20.	Evaluate, identify, and recommend configuration changes which will enhance distributed computing performance.
21.	Adhere to all configuration management requirements.
22.	Perform data migration from existing distributed systems to new systems.
23.	Provide technical assistance during all Agency remote and local office moves.
24.	Ensure all support activities adhere to defined security IOT Delivery Services requirements.

IOT Delivery Services Field Operations – Service Level Requirements

<b>Deployment – New Workstation SLR</b>			
<b>Definition</b>	The time required to deploy a single new desktop (client PC) or branch STARS workstation once the hardware has been received on-site at IOT's Delivery Services. If IOT procures the equipment and bills back to agency, IOT will start the hardware procurement process and complete the Service Order Form to IOT procurement within 48 hours. More than 5 workstations will require a project be established.		
<b>Distributed Task</b>	<b>Service Measure</b>	<b>Performance Target</b>	<b>SLR</b>
<b>New Workstation Deployment and Installation</b>	Target time from time equipment received on-site for local or remote installation. The network must be available prior to installation.	5 business days	<b>98%</b>
<b>Remote Office Moves</b> (All office moves will have a project established and measured against the project-based SLA)	Target time for IOT once advanced notification by agency for new site (remote office) is provided to ensure all requirements are met.		
<b>Formula</b>	Request types completed <= SLA target/total request types completed within measurement interval = Service Level Attained		
<b>Measure Interval</b>	Measure monthly, report monthly		
<b>Measurement Tool</b>	Provide monitoring, auditing, and reporting tools.		

<b>Workstation Peripheral and Software Installation SLR</b>			
<b>Definition</b>	The time required to install desktop (client PC) peripherals and/or workstation software (max. of 5 devices or packages/request).		
<b>Distributed Task</b>	<b>Service Measure</b>	<b>Performance Target</b>	<b>SLR</b>
<b>Approved Workstation Peripheral(s) and Software Installation</b>	Target time from time received on-site for local installation.	1 business day	<b>98%</b>
(All non-std. items will have a project established and measured against the project-based SLA)	Target time from time received on-site for remote installation.	3 business days	<b>98%</b>
<b>Formula</b>	Request types completed <= SLA target/total request types completed within measurement interval = Service Level Attained		
<b>Measure Interval</b>	Measure monthly, report monthly		
<b>Measurement Tool</b>	Provide monitoring, auditing, and reporting tools.		

<b>(BMV Only) Branch Move SLR</b>			
<b>Definition</b>	The time required to setup new Branch location		
<b>Distributed Task</b>	<b>Service Measure</b>	<b>Performance Target</b>	<b>SLR</b>
<b>Complete Branch Move</b>	Target time from approved BMV possession date of new facility to actual Branch Opening	6 business days	<b>98%</b>
<b>Formula</b>	Request types completed <= SLA target/total request types completed within measurement interval = Service Level Attained		
<b>Measure Interval</b>	Measure monthly, report weekly		
<b>Measurement Tool</b>	Provide monitoring, auditing, and reporting tools.		

<b>(BMV Only) Deployment – New Desk Phone SLR</b>			
<b>Definition</b>	The time required to deploy a new desktop phone request workstation once the hardware has been received on-site at IOTS Service Operations.		
<b>Distributed Task</b>	<b>Service Measure</b>	<b>Performance Target</b>	<b>SLR</b>
<b>New Workstation Deployment and Installation</b>	Target time from time received on-site for installation with data jacks available	5 business days	<b>98%</b>
	Target time from time received on-site for remote installations requiring new data jacks.	15 business days	<b>98%</b>
<b>Workstation Removal</b>	Target time from notification to remove to actual pick up of hardware	15 business days	<b>98%</b>
<b>Formula</b>	Request types completed <= SLA target/total request types completed within measurement interval = Service Level Attained		
<b>Measure Interval</b>	Measure monthly, report weekly		
<b>Measurement Tool</b>	Provide monitoring, auditing, and reporting tools.		

# IOT Delivery Services – Escalation Process

## Standard Escalation Process:

Every “Incident” that is created in Altiris has a “Target Resolution Time” associated with it. These times are associated with the following groups of ticket categories:

<b>Target Res. Time</b>	<b>Major Altiris Category</b>
8 Hrs	Account Management
16 Hrs	Applications
32 Hrs	Data Management
40 Hrs	Hardware
24 Hrs	Operating System
12 Hrs	Telecommunications

### **During Normal Business Hours:**

Our Service Level Agreement states we must successfully resolve 90% of all Incidents within these Target Resolution Times to achieve a GREEN rating. To maximize the IOT’s chances of meeting our Service Level Agreement, we have implemented an automated process to identify any Incident that has not been resolved within 75% of the allotted time, and notify via e-mail both the Manager responsible for the specific Incident, as well as the worker that has been assigned the Incident. These notifications continue every two hours during normal business hours until the Incident has been resolved.

### **After-Hours Escalation Process:**

We provide some support outside of normal business hours. This service is typically limited to the simpler, routine customer issues, including issues like password resets, network printer resets, and emergencies. The after-hours Helpdesk has access to the IOT on-call list should an emergency arise.

## Emergency Response Process

When the IOT is aware of a problem that is affecting many customers (network outages, server outages, etc.) we follow our Emergency Escalation Process.

The appropriate IOT support personnel are contacted by the Helpdesk immediately via the Altiris system and by phone if necessary. The Manager over the team responsible for resolving the issue is also contacted. If deemed appropriate by the Manager, the Delivery Service Director and/or the Chief Information Officer are also informed. The Helpdesk updates our website Issue Notification Area detailing the issue, and the appropriate IT contact at the affected agencies is notified via e-mail. All required hands, including vendors if necessary, are on the issue until the issue can be resolved.

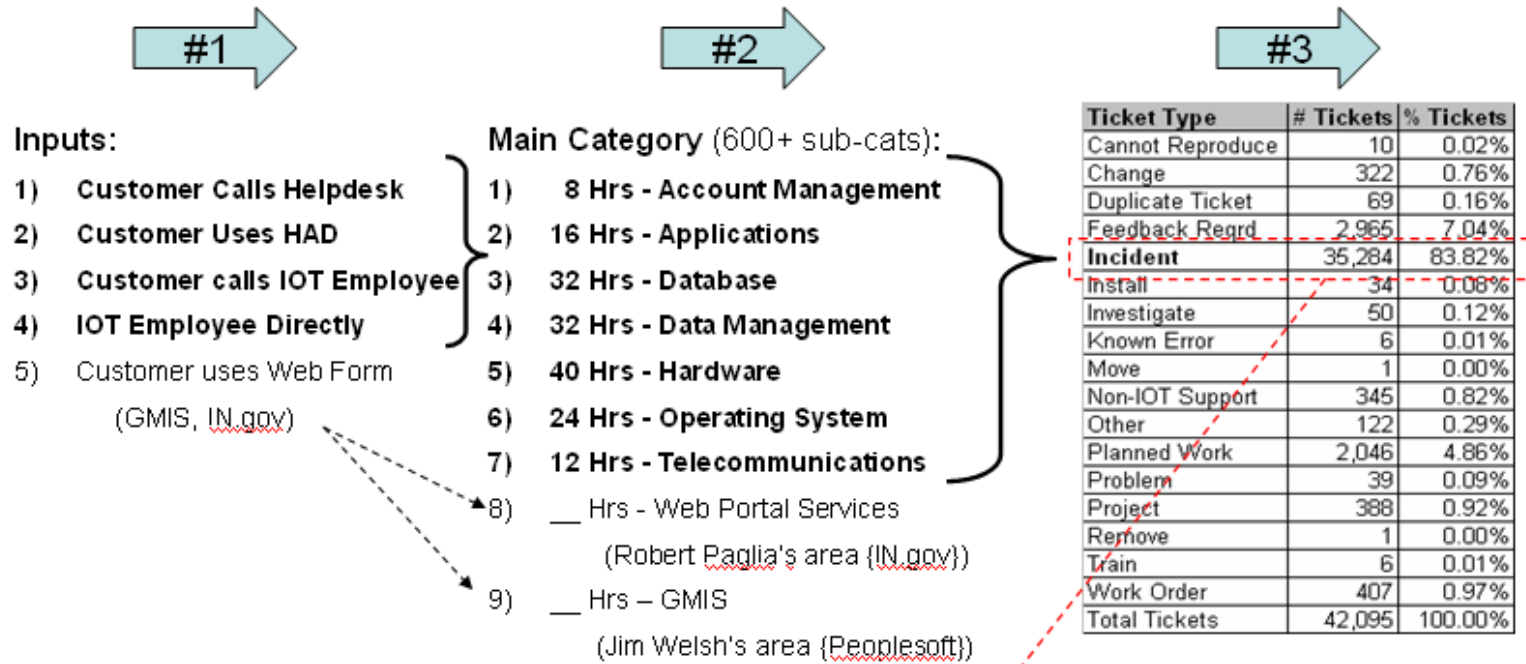
# Sample Monthly SLA Compliance Report



IT Service Operations  
SLA Compliance  
Enterprise Level Agreements  
For May 2008

Service Level Agreement	Target Performance	Current Performance
<b>Customer Service</b>		
Speed To Answer Calls	90% Calls Answered Under 60 Seconds	85% <span style="color: red;">●</span>
Call Abandonment Rate	Less than 5% Abandoned	5% <span style="color: green;">●</span>
Level 1 Resolution Rate	90% Of Calls Resolved By Level 1	98% <span style="color: green;">●</span>
Email Response Rate	98% Response within 1 Business hour	99% <span style="color: green;">●</span>
User Sampling Survey	95% Of Satisfied Customers	96% <span style="color: green;">●</span>
Resolution Of Incidents On Time	90% Calls Resolved On Time (by Grouping)	98% <span style="color: green;">●</span>
Account Management	8 Business Hours	99.6% <span style="color: green;">●</span>
Applications	16 Business Hours	91.6% <span style="color: green;">●</span>
Data Management	32 Business Hours	98.6% <span style="color: green;">●</span>
Database	32 Business Hours	100% <span style="color: green;">●</span>
Hardware	40 Business Hours	96.4% <span style="color: green;">●</span>
Operating System	24 Business Hours	98.4% <span style="color: green;">●</span>
Telecom	12 Business Hours	98.8% <span style="color: green;">●</span>
<b>Network Availability</b>		
CAN Availability ( Campus Area )	24x7 Availability ( 99.9% )	99.9% <span style="color: green;">●</span>
Dial-Up Availability	24x7 Availability ( 99.9% )	100% <span style="color: green;">●</span>
Switch Availability	24x7 Availability ( 99.9% )	99.9% <span style="color: green;">●</span>
VPN Availability	24x7 Availability ( 99.9% )	100% <span style="color: green;">●</span>
WAN Availability ( Remote Sites )	24x7 Availability ( 98.9% )	99.9% <span style="color: green;">●</span>
<b>Server and Storage Administration</b>		
Overall Average Windows Server Availability		99.9% <span style="color: green;">●</span>
Citrix Server Availability	99.9% Availability	99.9% <span style="color: green;">●</span>
E-Mail Server Availability	99.9% Availability	100% <span style="color: green;">●</span>
Shared File Server Availability	99.9% Availability	99.9% <span style="color: green;">●</span>
SQL Server Availability	99.9% Availability	99.6% <span style="color: yellow;">●</span>
Web App Server Availability	99.9% Availability	99.9% <span style="color: green;">●</span>
Overall Average Mainframe Availability		99.9% <span style="color: green;">●</span>
IBM Mainframe Availability	99.9% Availability	99.9% <span style="color: green;">●</span>
DMS Region Availability	99.9% Availability	100% <span style="color: green;">●</span>
DB2 Connect Availability	99.9% Availability	99.9% <span style="color: green;">●</span>
<b>Account Management</b>		
Disable Network Account Requests	Disabled Within 4 Business hours ( 98% )	100% <span style="color: green;">●</span>
New Network Account Requests	Creation Within 2 Business Days ( 99% )	99.8% <span style="color: green;">●</span>
Privilege Rights Change Requests	Change Within 8 Business Hours ( 97% )	100% <span style="color: green;">●</span>
<b>Field Operations</b>		
Server/Workstation Removals	Disabled Within 15 Business Days ( 98% )	100% <span style="color: green;">●</span>
New Server Installation	Installation Within 5 Business Days ( 98% )	99.8% <span style="color: green;">●</span>
New Workstation Installation	Installation Within 5 Business Days ( 98% )	100% <span style="color: green;">●</span>
Peripheral and Software Installation	Installation Within 3 Business Days ( 98% )	99.8% <span style="color: green;">●</span>
<div style="display: flex; justify-content: space-between;"> <div> <p><span style="color: green;">●</span> In compliance</p> <p><span style="color: yellow;">●</span> Within Tolerance</p> <p><span style="color: red;">●</span> Out of compliance</p> <p><span style="border: 1px solid black; border-radius: 50%; width: 10px; height: 10px; display: inline-block;"></span> Insufficient data available this month</p> </div> <div> <p>Run Date 5/20/2008</p> </div> </div>		

## Altiris "IOT Service Level Agreement" Map



**The customer receives an e-mail notice when the ticket is "Opened"**

**The Ticket is "processed" until the issue is resolved.**

*{ Altiris Worker Console Listing, Hourly (targeted), Daily (3) & Weekly (3) Internal IOT Support Notifications / Reports }*

**The customer receives an e-mail notice when the ticket is "Closed"**

### The Monthly IOT Service Operations SLA Compliance Report Process

Gather all non-Pension, non-GMIS, non-IN.gov "Incidents" that were "Closed" within the specified month.

Compare resolution times to the appropriate category target times and report.