

INDIANA GAMING COMMISSION BUSINESS MEETING

November 21, 2013
Indiana Government Center
South Auditorium
Indianapolis, Indiana

Call to Order and Roll Call:

Chairman Matt Bell called the meeting to order at 2:08 p.m. local time. A quorum was present.

Present:

Commission members: Matt Bell, Chairman, Tim Murphy, Robert Morgan, Susan Williams and Cris Johnston. Commission staff: Ernest Yelton, Jenny Reske, Joby Jerrells, Larry Rollins, Derek Young, Sara Tait, Jeff Neuenschwander, Chris Gray, Tami Timberman-Wright, Angie Bunton, Sherry Green, Robert Paugh, Tom Stuper, Larry Delaney, Garth Brown, Danielle Leek, Diane Freeman, Kenny Rowan, Andy Means, Tracy Gallogly and members of the public.

Approval of the Minutes:

Upon motion by Robert Morgan, second by Cris Johnston, and unanimous vote of those present, the Commission approved the proposed minutes from the September 12, 2013 Business Meeting.

Report of the Executive Director:

Staff Update: The Executive Director provided a staff update by welcoming the newest staff attorney, Erica Sullivan. He then announced the promotions of Sherry Green to Information Analyst and Tracy Gallogly to Occupational Licensing Coordinator.

Reinvestigation Summary: Since the last business meeting, the Background and Financial Division completed its reinvestigation of suppliers Aristocrat, Bally and Midwest Game Supply Company. Those reports have been submitted to the Commission. Directors Brown and Leek were present to answer questions.

Exclusion List:

Since the September meeting, IGC staff has added thirteen individuals to the Exclusion List, which bars those patrons from entering any casino in Indiana. Of those thirteen individuals, two were placed on the exclusion list for capping, pinching, or past posting

bets while at an Indiana casino. Eleven were placed on the exclusion list for taking illegal possession of a TITO, casino chips, or U.S. Currency in excess of approximately \$500 or making fraudulent cash withdrawals while at an Indiana casino.

For the year 2013, the IGC has placed fifty-nine patrons on the Exclusion List, bringing the total to 381 individuals.

Waiver of Rules and Regulations:

Casino Association: Granted relief from the requirement to provide a list of employees authorized to participate in the currency collection process as a soft count to an enforcement agent.

A waiver was also granted from the requirement of a check cashing aggregate prior to establishing check cashing privileges. The Commission granted an increase to the aggregate amount for in-house checks to \$5,000, and for checks cashed utilizing a check guarantee service an increase to \$10,000.

Also, they are relieved of the requirement that security personnel must escort maintenance and vendor personnel while in the soft count room for problem resolution. This will only be allowed if the soft count procedures are not being performed at the time. Security will still be needed for ingress and egress into the soft count room, and an ingress and egress log will be completed and approved. Approval will be needed from a local gaming agent prior to that. Prior to the entry, security will also perform a visual sweep to confirm that no cash or cash equivalents will be present in the soft count room.

Ameristar: Granted a waiver from the requirement that the log must be completed after an individual has entered an EGD. This relates to reliefs to drop team members and the slot technician assigned to the drop team while participating in the drop process.

Blue Chip: Granted relief from the requirement that a photograph of a patron be attached to each multiple transaction control log. Photos for unknown patrons will still be taken, and the casino will continue to capture a physical description of the patron on the MTL.

Hollywood: Granted relief from the requirement that the name of the riverboat licensee must be imprinted on cards, dice, chips, tokens, TITO tickets, table game layouts, and roulette wheels. It will be changed to Indiana Gaming Company LLC as they are replaced or reordered.

It was allowed to remove surveillance equipment from the IGC office on the vessel given that the Gaming Agents are able to perform the same job duties and functions in the dockside IGC office located in the pavilion. Additionally, the computers and surveillance equipment located in the dockside office shall be connected to the emergency circuit, ensuring that the pavilion office will continue to have power and functioning surveillance equipment should the pavilion experience a disruption to electrical service.

Horseshoe Hammond and Southern Indiana: Permitted to use a keyboard on the EGDs to identify the reason for entering the EGDs instead of completing the MEAL log. All other information required by the mail log will be captured by the EGDs when the employees place an access card into the machine. The information will be printed for view on an end list. A report will be retained for at least five years. The casino is conducting a 30-day trial parallel test and will submit a report to the Commission after the 30 days is concluded.

They are also granted relief from Jack Binion's Steak House employees from wearing their game badge when working in the Steak House, as employees will wear their normal name tags. The employees will carry their gaming badges with them and display them whenever they are not inside the Steak House.

Lastly, Horseshoe Hammond was allowed to use Horseshoe Casino and Hammond, Indiana, on their commemorative \$5 chip.

Old Business: No old business to report

New Business:

Commission Matters:

Voluntary Exclusion Program:

**Orders Concerning
The Voluntary Exclusion Program**

2013-173	2013-182
2013-174	2013-183
2013-175	2013-184
2013-176	2013-185
2013-177	2013-186
2013-178	2013-187
2013-179	2013-188
2013-180	2013-189
2013-181	

Order 2013-173 approved the remittance of winnings by VEP participant John Doe #94 in the amount of \$900.00. Ameristar Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2013-174 approved the remittance of winnings by VEP participant John Doe #95 in the amount of \$97.50. Ameristar Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2013-175 approved the remittance of winnings by VEP participant John Doe #96 in the amount of \$4.00. Blue Chip Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2013-176 approved the remittance of winnings by VEP participant John Doe #97 in the amount of \$20.00. Blue Chip Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2013-177 approved the remittance of winnings by VEP participant John Doe #98 in the amount of \$225.00. Blue Chip Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2013-178 approved the remittance of winnings by VEP participant John Doe #99 in the amount of \$302.50. Hollywood Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2013-179 approved the remittance of winnings by VEP participant John Doe #100 in the amount of \$185.00. Hollywood Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2013-180 approved the remittance of winnings by VEP participant John Doe #101 in the amount of \$1,362.00. Hoosier Park Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2013-181 approved the remittance of winnings by VEP participant John Doe #102 in the amount of \$3,152.50. Hoosier Park Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2013-182 approved the remittance of winnings by VEP participant John Doe #103 in the amount of \$3,442.16. Indiana Grand Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2013-183 approved the remittance of winnings by VEP participant John Doe #104 in the amount of \$110.00. Majestic Star Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2013-184 approved the remittance of winnings by VEP participant John Doe #105 in the amount of \$2.00. Majestic Star Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2013-185 approved the remittance of winnings by VEP participant John Doe #106 in the amount of \$1,221.00. Rising Star Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2013-186 approved the remittance of winnings by VEP participant John Doe #107 in the amount of \$170.20. Tropicana Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2013-187 approved the remittance of winnings by VEP participant John Doe #108 in the amount of \$1113.60. Tropicana Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2013-188 approved the remittance of winnings by VEP participant John Doe #109 in the amount of \$650.00.00. Ameristar Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Order 2013-189 approved the remittance of winnings by VEP participant John Doe #110 in the amount of \$30.00. Hollywood Casino withheld the winnings as required by Commission regulations and will remit the winnings on behalf of the patron.

Action: Upon motion by Tim Murphy, second by Robert Morgan, and unanimous vote of those present, the Commission approved orders 2013-173 through 2013-189.

Order 2013-190

An Order of the Indiana Gaming Commission Rescinding Order 2013-38

Action: Upon motion by Robert Morgan, second by Tim Murphy, and unanimous vote of those present, the Commission rescinded the order approving the remittance of funds pursuant to a Voluntary Exclusion Program application.

Order 2013-191

An Order Regarding the Appeal of the Voluntary Exclusion Remittance of John Doe #13-43

Action: Upon motion by Cris Johnston, second by Tim Murphy, and unanimous vote of those present, the Commission affirmed the Administrative Law Judge's Order pursuant to IC 4-21.5-3-6.

Order 2013-192

An Order Regarding the Appeal of the Voluntary Exclusion Remittance of John Doe #13-72

Action: Upon motion by Robert Morgan, second by Tim Murphy, and unanimous vote of those present, the Commission approved the settlement agreement between the Commission and John Doe #13-72.

Order 2013-193

Regarding the Request for Removal of Jennifer Knox from Exclusion List

Action: Upon motion by Tim Murphy, second by Robert Morgan, and unanimous vote of those present, the Commission approved the adoption of the findings of fact and recommendations of the review officer.

Suppliers:

Order 2013-194

Order of the Indiana Gaming Commission Concerning the Renewal of Supplier's Licenses

Action: Upon motion by Cris Johnston, second by Robert Morgan, and unanimous vote of those present, the Commission granted renewal of the license of each of the following suppliers for a period of one year:

- 1) Aristocrat Technologies, Inc.: valid November 9, 2013 to November 8, 2014
- 2) Global Surveillance Associates, Inc.: valid October 1, 2013 to August 30, 2014
- 3) Paltronics, Inc.: valid November 17, 2013 to November 16, 2014

Order 2013-195

An Order of the Indiana Gaming Commission Granting a Permanent Supplier's License to Cummins-Allison Corp.

Action: Upon motion by Tim Murphy, second by Cris Johnston, and unanimous vote of those present, the Commission grants an annual Supplier's License to Cummins-Allison for a period of one year from November 21, 2013 through November 20, 2014.

Disciplinary Action:

**Settlement Agreement of
IGT
13-IGT-01
Order 2013-196**

A settlement was reached in regard to IGT's alleged acts and omissions constituting a breach of the IC 4-33 and/or 68 IAC. IGT has agreed to promptly remit payment in the amount of \$2,500.00 and shall waive all rights to further administrative or judicial review of the subject matter of this Agreement.

Action: Upon motion of Cris Johnston, second by Tim Murphy, and unanimous vote of those present, the Commission approved Order 2013-196.

**Settlement Agreement of
SHFL Entertainment
13-SHFL-01
Order 2013-197**

A settlement was reached in regard to SHFLs alleged acts and omissions constituting a breach of the IC 4-33 and/or 68 IAC. SHFL has agreed to promptly remit payment in the amount of \$20,000 and shall waive all rights to further administrative or judicial review of the subject matter of this Agreement.

Action: Upon motion of Robert Morgan, second by Cris Johnston, and unanimous vote of those present, the Commission approved Order 2013-197.

Casino Matters:

Occupational Licensees:

Order 2013-198

Approving the Settlement Agreement Between the Indiana Gaming Commission and Lois Coyle-Duffy

Action: Upon motion of Cris Johnston, second by Robert Morgan, and unanimous vote of those present, the Commission approved the findings of fact and recommended the settlement of \$1,500.00 and Commission agreement to not pursue disciplinary action Ms. Coyle-Duffy provided she remains in good tax standing with Federal and State of Indiana Departments of Revenue.

Financing:

Order 2013-199

Ratifying the Previously Awarded Interim Waiver and Approval of the Debt Activity Proposed by Penn National Gaming, Inc.

Action: Upon motion of Robert Morgan, second by Cris Johnston, and unanimous vote of the present, the Commission ratified the Executive Director's October 2nd, 2013 interim approval and waiver letter.

Settlement:

**Settlement Agreement of
Blue Chip Casino, LLC
13-BC-03
Order 2013-200**

A settlement was reached regarding Blue Chip's alleged acts and omissions constituting a breach of the Riverboat Gambling Act, Title 68 of the of the Indiana Administrative Code and/or Blue Chip's approved internal control procedures. Blue Chip has agreed to an amount of \$5,000.00 in lieu of disciplinary action.

**Settlement Agreement of
French Lick Resort-Casino
13-FL-04
Order 2013-201**

A settlement was reached regarding French Lick's alleged acts and omissions constituting a breach of the IC 4-33, 68 IAC and/or French Lick's approved internal control procedures. French Lick has agreed to an amount of \$1,500.00 in lieu of disciplinary action.

**Settlement Agreement of
Indiana Gaming Company, L.P.
13-HW-04
Order 2013-202**

A settlement was reached regarding Indiana Gaming Company, L.P.'s alleged acts and omissions constituting a breach of IC 4-33, 68 IAC and/or Hollywood's approved internal control procedures. Hollywood has agreed to an amount of \$3,500.00 in lieu of disciplinary action.

**Settlement Agreement of
Hoosier Park L.P.: Centaur, Inc.
13-HP-02
Order 2013-203**

A settlement was reached regarding Hoosier Park's alleged acts and omissions constituting a breach of the Riverboat Gambling Act, Title 68 of the of the Indiana Administrative Code and/or Hoosier Park's approved internal control procedures. Hoosier Park has agreed to an amount of 1,168.86 in lieu of disciplinary action.

**Settlement Agreement of
Horseshoe Hammond, Inc.
13-HH-04
Order 2013-204**

A settlement was reached regarding Horseshoe Hammond's alleged acts and omissions constituting a breach of IC 4-33, 68 IAC and/or Horseshoe's approved internal control procedures. Horseshoe has agreed to an amount of \$7,500.00 in lieu of disciplinary action.

**Settlement Agreement of
Caesars Riverboat Casino, LLC
13-CS-03
Order 2013-205**

A settlement was reached regarding Caesars' alleged acts and omissions constituting a breach of IC 4-33, 68 IAC and/or Caesar's approved internal control procedures. Caesars has agreed to an amount of \$4,000.00 in lieu of disciplinary action.

**Settlement Agreement of
Centaur Acquisition, LLC
13-IG-03
Order 2013-206**

A settlement was reached regarding Indiana Grand's alleged acts and omissions constituting a breach of IC 4-35, 68 IAC and/or Indiana Grand's approved internal control procedures. Indiana Grand has agreed to an amount of \$7,500.00 in lieu of disciplinary action.

**Settlement Agreement of
Gaming Entertainment (Indiana), LLC
13-RR-05
Order 2013-207**

A settlement was reached regarding Rising Star's alleged acts and omissions constituting a breach of IC 4-33, 68 IAC and/or Rising Star's approved internal control procedures. Rising Star has agreed to an amount of \$13,000.00 in lieu of disciplinary action.

Action: Upon motion of Robert Morgan, second by Tim Murphy, and unanimous vote of those present, the Commission approved Orders 2013-200 through Orders 2013-207.

Renewals:

Order 2013-208

An Order of the Indiana Gaming Commission Prospectively Renewing the Casino Owner's License of Tropicana Evansville ("Aztar").

Order 2013-209

An Order of the Indiana Gaming Commission Renewing the Casino Owner's License of Caesars Riverboat Casino, LLC

Action: Upon motion of Tim Murphy, second by Robert Morgan, and unanimous vote of those present, the Commission approved orders 2013-208 through orders 2013-209.

Rules:

Resolution 2013-210

A Resolution Adopting LSA Document #13-354 as a Final Rule

Action: Upon motion of Robert Morgan, second by Tim Murphy, and unanimous vote of those present, the Commission adopted the rule as a final rule.

Order 2013-211

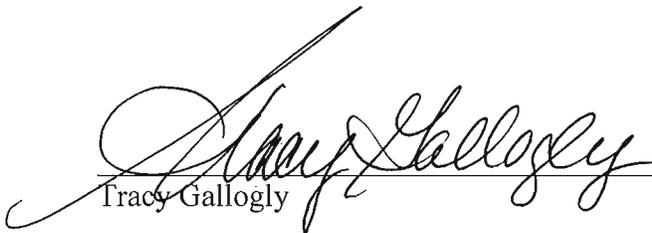
Regarding the Commission's Claim Against Fists will Fly, LLC's Surety Bond

Action: Upon motion of Cris Johnston, second by Robert Morgan, and unanimous vote of those present, the Commission approved the recovery of the required payments in the amount of \$4,088.50 through the exercise of the Promoter's bond.

Presentation:

Anthony Sanfilippo, gave a presentation on Belterra Casino properties.

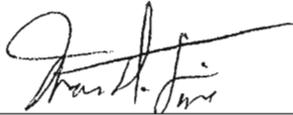
Action: Upon motion of Tim Murphy, second by Robert Morgan, and unanimous vote of those present, the Commission approved the meeting for adjournment at 3:36 p.m.


Tracy Gallogly

THE INDIANA GAMING COMMISSION:



Matt Bell, Chair



Marc Fine, Vice Chair

3-20-2014

Date