

ETHICS 101



CODE OF ETHICS

42 IAC 1-5-1	GIFTS; TRAVEL EXPENSES; WAIVERS
42 IAC 1-5-2	DONOR RESTRICTIONS
42 IAC 1-5-3	HONORARIA
42 IAC 1-5-4	POLITICAL ACTIVITY
42 IAC 1-5-5	MOONLIGHTING
42 IAC 1-5-6	CONFLICTS OF INTEREST; DECISIONS AND VOTING
42 IAC 1-5-7	CONFLICTS OF INTEREST; CONTRACTS
42 IAC 1-5-8	ADDITIONAL COMPENSATION
42 IAC 1-5-9	BRIBERY
42 IAC 1-5-10	BENEFITTING FROM CONFIDENTIAL INFORMATION
42 IAC 1-5-11	DIVULGING CONFIDENTIAL INFORMATION
42 IAC 1-5-12	USE OF STATE PROPERTY
42 IAC 1-5-13	GHOST EMPLOYMENT
42 IAC 1-5-14	POST-EMPLOYMENT
42 IAC 1-5-15	NEPOTISM
42 IAC 1-5-16	COMMUNICATIONS BY STATE OFFICERS

RECOMMENDATIONS



INSPECTOR GENERAL REPORT

2014-07-0154

November 19, 2014

LEGISLATIVE RECOMMENDATIONS FOR THE 2015 SESSION OF THE INDIANA GENERAL ASSEMBLY

Inspector General David O. Thomas and State Ethics Director Cyndi Carrasco, reports as follows:

Summary

The Indiana Office of Inspector General (OIG) is statutorily charged to make recommendations to the Indiana General Assembly to strengthen public integrity laws. Accordingly, the OIG respectfully makes the following recommendations for consideration during the 2015 legislative session:

Recommendation 1

That the ethics law governing conflicts of interests set forth in I.C. 4-2-6-9 be amended to clarify that an individual is prohibited from participating in activity related to a decision or vote that gives rise to a conflict of interest under this law.

Recommendation 2

That the ethics law governing conflicts of interests set forth in I.C. 4-2-6-9 be amended to establish a secondary, alternative written conflict of interest disclosure process.

1. Conflicts of Interest

2. Post-Employment

RECENT OPINIONS

CONFLICTS OF INTEREST

I.C. 4-2-6-9

(As the rule relates to post-employment situations)

A state employee may not participate in any decision or vote if the employee has knowledge that any of the following has a financial interest in the outcome of the matter:

1. The state officer, employee, or special state appointee.
...
2. Any person or organization with whom the employee is negotiating or has an arrangement concerning prospective employment.

The term "financial interest" includes an interest arising from employment or prospective employment for which negotiations have begun.

AO 12-1-17	AO 12-1-16	AO 12-1-15
AO 12-1-6	AO 12-1-5	AO 11-1-5
AO 11-1-9	AO 11-1-5	AO 10-1-11
AO 10-1-7		

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POST-EMPLOYMENT

I.C. 4-2-6-11

I. ONE YEAR "COOLING OFF" PERIOD

- a. Lobbying
[AO 12-1-7](#) [AO 08-1-14](#) [AO 06-1-28](#)
[AO 06-1-8](#)
- b. Contracts: Negotiated or Administered and Could Make Discretionary Decisions
[AO 11-1-11](#) [AO 11-1-8](#) [AO 10-1-10](#)
[AO 07-1-7](#)
- c. Regulatory or Licensing Decisions
[AO 11-1-7](#) [AO 10-1-11](#) [AO 10-1-6](#)

II. PARTICULAR MATTERS

- a. 12 Particular Matters
[AO 12-1-16](#) [AO 11-1-13](#) [AO 10-1-16](#)
[AO 10-1-3](#) [AO 08-1-10](#) [AO 06-1-15](#)
- b. Personal and Substantial
[AO 12-1-10](#) [AO 12-1-2](#) [AO 11-1-17](#)
[AO 11-1-17](#) [AO 11-1-7](#) [AO 10-1-7](#)
[AO 07-1-8](#)
- c. Lifetime Ban

III. ABSOLUTE PROHIBITION

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