



Office of the  
INDIANA INSPECTOR GENERAL & STATE ETHICS COMMISSION

Annual Report 2013

**Images:**

Photographs are from our December 2013 Legal & Ethics Conference.  
Governor Pence issued his first Executive Order to require attendance at this annual event.

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Introduction

*This Annual Report reports our activity in 2013.*

LEGAL & ETHICS  
CONFERENCE  
LAW GIFTS  
LITIGATION  
GOVERNMENT  
ETHICS LAW  
SERVICE



LEGAL CASE  
GOVERNMENT  
LAWFUL  
CODE  
GIFTS

LAW  
LITIGATION  
GOVERNMENT  
ETHICS  
LAW

SERVICE

## Legal and Ethics Conference

In 2013, the seventh annual Legal and Ethics Conference, created and led by State Ethics Director Cynthia Carrasco, was held with the following speakers :

Hon. Sue Ellspermann, Lt. Governor  
Terry Curry, Marion County Prosecuting Attorney  
Larry Mackey, Attorney, Barnes & Thornburg  
Hon. Mike Pence, Governor  
Steve Key, Executive Director Hoosier State Press Association  
Kristi Shute, Attorney, Office of the Inspector General  
Hon. Ken Starr, President Baylor University  
Priscilla Keith, State Ethics Commissioner  
Matt Stout, Auditor, Partner BKD  
David Thomas, Inspector General  
Tiffany Mulligan, Asst. General Counsel, Governor  
Julie Tappendorf, Attorney, Ancel Glink, Chicago  
Hon. Greg Zoeller, Attorney General



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## State Ethics Commission

Jim Clevenger, JD, Chair

Priscilla Keith, JD

Bob Jamison, Retired FBI

Daryl Yost, PhD

Peter Nugent, JD

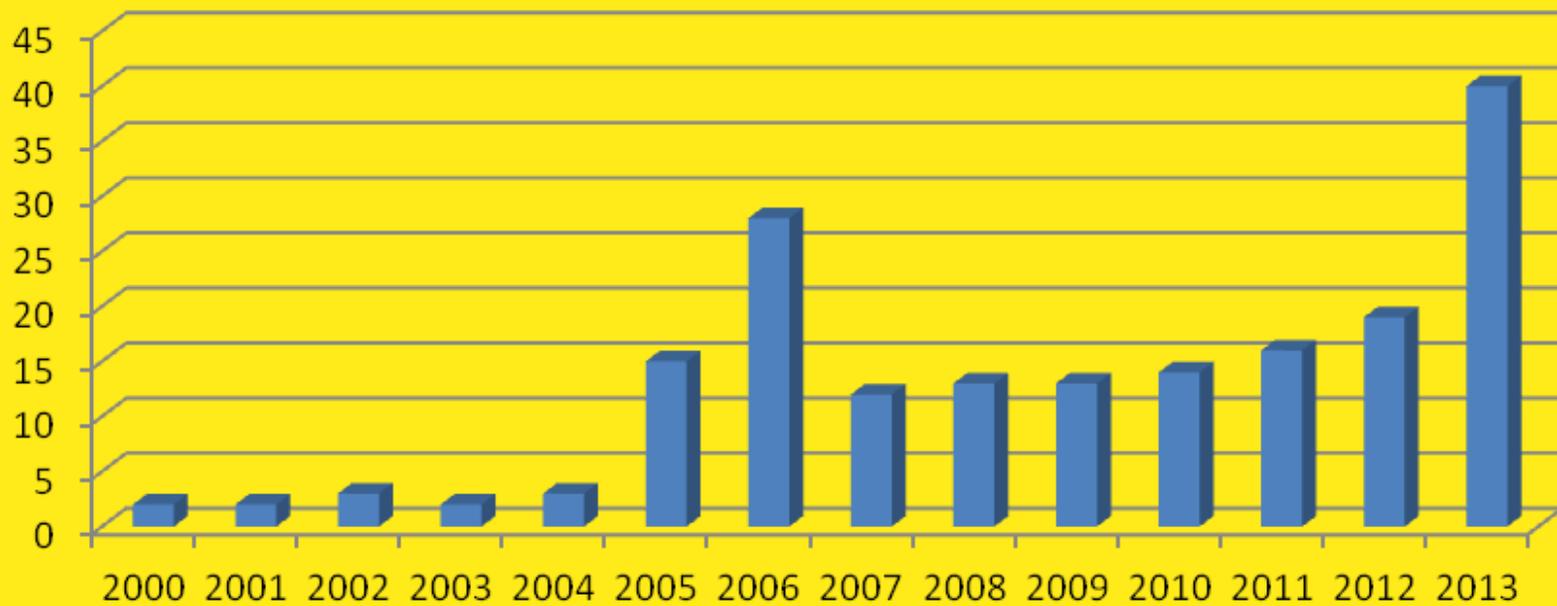


## Ethics Commission Activity

### 40 formal advisory opinions in 2013

Each formal advisory opinions requires substantial work. This includes the receipt of the request, legal research, a public hearing to receive evidence and arguments, and then the drafting and issuance of the formal advisory opinions which are then posted on the website ([www.in.gov/ig](http://www.in.gov/ig)).

## Formal Advisory Opinions



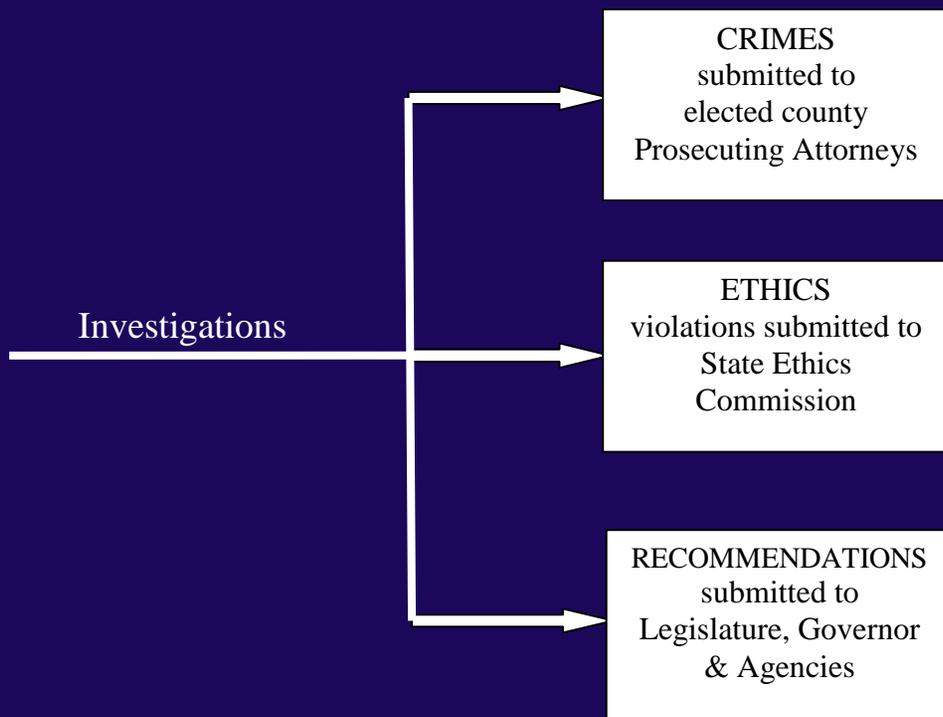
## 261 informal advisory opinions

In addition to Formal Advisory Opinions, Informal Advisory Opinions are written, confidential commitments by Inspector General staff attorneys regarding the application of the Code of Ethics. Inspector General staff attorneys issued 261 Informal Advisory Opinions to state employees in 2013.



LEGAL & ETHICS  
CONFERENCE

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Investigations





In 2013, the investigations of Inspector General Special Agents resulted in five criminal arrests and the filing of three ethics complaints (details of these cases are on our website: [www.in.gov/ig](http://www.in.gov/ig)):

2011-04-0153

INDOT FUEL THEFT

Theft of \$26,000 gasoline charged by Jennings County Prosecutor

2013-05-0095

STATE CONTRACTOR THEFT (4 arrests)

Theft of \$36,000 in child support money charged by Marion County Prosecutor

2012-07-0177

IDEM POST-EMPLOYMENT

State employee went to work for companies he regulated

2013-08-0149

DOE USE OF STATE PROPRTY

State Superintendent of Education used state property for political activity

2012-12-0305

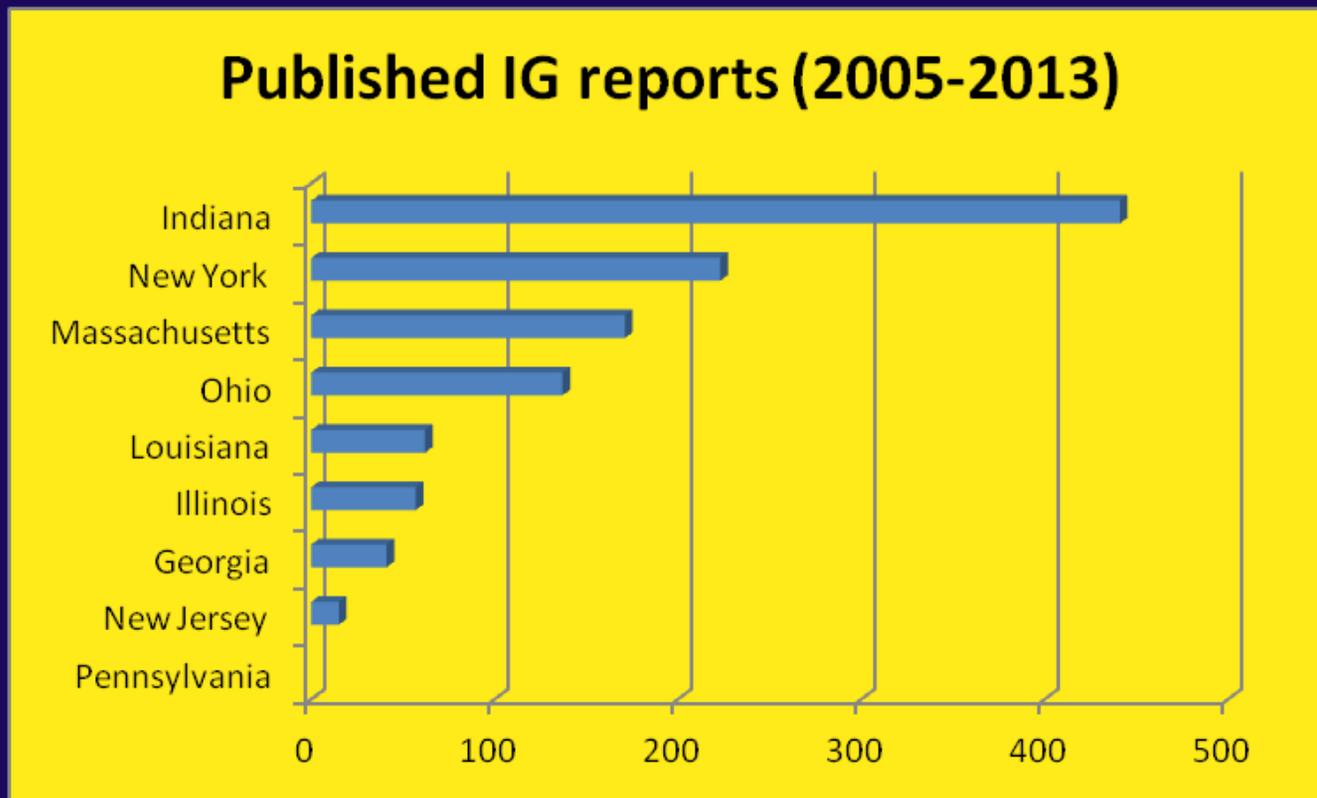
FSSA USE OF STATE PROPERTY

FSSA employee used state property to run outside business



# 6 Reports

Among the nation's state inspectors general, the Indiana Inspector General Office continues to publish more reports than any other ([www.in.gov/ig.2329.htm](http://www.in.gov/ig.2329.htm))





## Conclusion

We wish to thank the many entities and individuals who contribute to our efforts. These include the many agency ethics officers, the agency audit and investigative teams, and the State Board of Accounts.

It has been our honor to have the opportunity to work with you.