



AGENDA

MORNING

8:00 am – 8:55 am **REGISTRATION**

8:55 am – 9:00 am **WELCOME**

60 min. 9:00 am – 10:00 am **PROCUREMENT FRAUD: GOVERNMENT
VULNERABILITY TO COLLUSIVE PRACTICES**
Michael Boomgarden, Antitrust Division, United States
Department of Justice

Mr. Boomgarden will provide an overview of federal antitrust laws. He also will provide an overview of best practices for detecting, discouraging, and enforcing antitrust crimes.

30 min. 10:00 am - 10:30 am **KEEPING THEM HONEST: HOW FEDERAL
ANTI-CORRUPTION LAW ENSURES PUBLIC
SERVICE IS FOR THE PUBLIC GOOD**
Josh Minkler, U.S. Attorney, Southern District of Indiana, United
States Department of Justice

US Attorney Minkler will present an overview of the ethics and legal issues involved in fighting public corruption at the federal level and how federal and local and state governments can work together to deter corruption.

15 min. 10:30 am – 10:45 am Break/transition

BREAK OUT SESSIONS (Please choose one for each session)

30 min. 10:45 am – 11:15 am **ATTORNEYS - The AG's Collection & Bankruptcy**
Section: An Overview
Steve Vaughan, Deputy Attorney General, Office of the Indiana Attorney General

Mr. Vaughan will provide an overview of how the Collection and Bankruptcy Section fits within the Attorney General's Office and the service it provides to executive branch agencies.

AUDITORS - Uniform Guidance
Beth Kelly, State Audit Director, State Board of Accounts

This session will provide a brief overview of the new Federal Uniform Guidance and the major changes resulting from its implementation.

INVESTIGATORS - Preparing a Case for Prosecution
Barbara Trathen, Director of Training, Marion County Prosecutor's Office

Barbara Trathen will provide guidance on the requirements for preparing a case for criminal prosecution including working with the prosecutor's office to determine the appropriate charges to pursue and compiling and presenting the evidence needed to support each count. She will illustrate the process by discussing some examples of cases she has worked on with the OIG.

30 min. 11:15 am - 11:45 am **ATTORNEYS - Ethics and Professional Responsibility: Recent Decisions**
Michael Witte, Executive Secretary, Indiana Disciplinary Commission

Secretary Witte will provide an update regarding attorney disciplinary cases recently considered by the Indiana Supreme Court. Secretary Witte will highlight cases that relate specifically to government attorneys.

AUDITORS - Internal Controls
Jenny Wagner, Field Examiner, State Board of Accounts

This session will provide an overview of the Internal Control requirements as defined in the Uniform Guidance and provide case studies of internal controls that are properly designed, implemented and effective in preventing, or detecting and correcting material misstatements or material noncompliance.

INVESTIGATORS - Cooperative Investigations: How the FBI works with State Agencies to Fight Public Corruption

Gregory Massa, Assistant Special Agent in Charge, FBI, Indianapolis Office

ASAC Massa will provide an overview on the FBI's Public Corruption Investigations. He will provide some case examples and techniques the FBI employs to combat corruption of elected officials and corrupt law enforcement officers.

15 min. 11:45 am – 12:00 pm Break/Transition

LUNCHEON

50 min. 12:00 – 12:50 pm **LUNCHEON KEYNOTE: “Public Corruption and Government Ethics after *McDonnell v. United States*”**

Randall Eliason, Professorial Lecturer in Law, George Washington University Law School

Professor Eliason's keynote address will address the recent SCOTUS decision in one of the most high-profile public corruption cases in recent history and its implications on government corruption investigations, prosecutions, and future legislation related to government ethics.

AFTERNOON

BREAK OUT SESSIONS (Please choose one)

30 min. 1:00 pm – 1:30 pm **ATTORNEYS - Navigating Ethical Pitfalls as a Government Attorney**

Terry Tolliver, Supervising Deputy Attorney General, Office of the Indiana Attorney General

In the course of their work, government attorneys interact with various stakeholders such as private citizens, private attorneys and other government attorneys. The ethical issues government attorneys face can often differ from those faced by private practitioners. This session will focus on the unique ethical issues confronted by government lawyers and offer advice on how to

avoid potential pitfalls and advance general civility when dealing with various stakeholders.

AUDITORS - Proactive Measures in Contract Compliance

Linda Pierce, Field Audit Supervisor, and
Serena Crites, Field Auditor, Department of Child Services

Ms. Pierce and Ms. Crites will share some of the proactive measures their group has been using to prevent waste and ensure that services are being provided through DCS contracts, and provide auditors with some fresh techniques and ideas to take back to their respective agencies.

INVESTIGATORS - The Office of Inspector General: Who we are and what we do (Part 1)

Mark Mitchell, Special Agent, Indiana Office of Inspector General

The Indiana Inspector General is responsible for investigating and addressing fraud, waste, abuse, and wrongdoing in any agency within the executive branch of Indiana state government. Since 2005 several complaints have been investigated that resulted in criminal prosecutions, filing of state ethics violations before The State Ethics Commission who has ultimate authority to interpret the Indiana Code of Ethics, and the issuance of efficiency reports to address waste and abuse. During this session we will review our investigative procedures and results.

30 min.

1:30 pm – 2:00 pm

ATTORNEYS - Federal Review of State Agencies: A Federal OIG perspective

Thomas Utz, Jr., Special Agent in Charge, U.S. Department of Education, Office of Inspector General, Chicago Regional Office

Special Agent in Charge Utz will discuss the relationship between a federal Inspector General's Office and a state agency and the intersection of the laws between the two. Mr. Utz will draw from his experiences with the U.S. Department of Education Office of Inspector General to talk about common mistakes, pitfalls, risk areas etc. that he has identified from conducting audits, reviews or investigations of state agency actions.

AUDITORS - Best Practices: Demystifying Cybersecurity

Scott R. Nickerson, CPA, CGMA, Partner, and
Blake Gardner, Technology Risk Senior Consultant, Crowe Horwath

Scott Nickerson and Blake Gardner will provide an overview on some best practices for government auditors in using technology and cybersecurity measures.

**INVESTIGATORS: The Office of Inspector General:
Who we are and what we do (Part 2)**

Mark Mitchell, Special Agent, Indiana Office of Inspector General

The Indiana Inspector General is responsible for investigating and addressing fraud, waste, abuse, and wrongdoing in any agency within the executive branch of Indiana state government. Since 2005 several complaints have been investigated that resulted in criminal prosecutions, filing of state ethics violations before The State Ethics Commission who has ultimate authority to interpret the Indiana Code of Ethics, and the issuance of efficiency reports to address waste and abuse. During this session we will review our investigative procedures and results.

15 min. 2:00 pm – 2:15 pm Break/Transition

60 min. 2:15 pm – 3:15 pm **CONQUERING COGNITIVE BIASES IN AUDITS,
EXAMINATIONS & INVESTIGATIONS**

Robert Hogan, CFE, Hogan Consulting Group

During this session Mr. Hogan will demonstrate how cognitive biases affect our rational view of the world around us and impact our work. Mr. Hogan will teach attorneys how to identify the common and not-so-common cognitive biases that impact their work and develop techniques to counter these biases and maintain their objectivity in cases and investigations.

30 min. 3:15 pm – 3:45 pm **ETHICS & INVESTIGATIONS IN THE
EXECUTIVE BRANCH**

Office of the Indiana Governor

Government attorneys, auditors and investigators often work closely in conducting agency audits and investigations. Governor Pence will review the investigative and auditing results of the year for the Executive branch of state government.