



INSPECTOR GENERAL REPORT

2014-04-0070

April 2, 2014

INDIANA PROTECTION AND ADVOCACY SERVICES COMMISSION REVIEW

Inspector General David O. Thomas reports as follows:

This is a continuation of random reviews by the Office of Inspector General (OIG) of agency responses to previous reports and findings by the State Board of Accounts (SBOA). The SBOA periodically reviews state agencies for compliance with the SBOA Accounting and Uniform Compliance Guidelines Manuals ("Manuals"). IC 5-11-1-24. The SBOA may also review agencies for compliance with "any" law. IC 5-11-5-1(a).

This report addresses the compliance by the Indiana Protection and Advocacy Services Commission (IPASC) with SBOA Report B40520 filed June 20, 2012.

The OIG is responsible for addressing fraud, waste, abuse, and wrongdoing in agencies. IC 4-2-7-2. The OIG is also charged to initiate, supervise, and coordinate investigations, recommend policies and carry out other

activities designed to deter, detect, and eradicate fraud, waste, abuse, mismanagement, and misconduct in state government, and to provide advice to an agency on developing, implementing, and enforcing policies and procedures to prevent or reduce the risk of fraudulent or wrongful acts within the agency. IC 4-2-7-3.

In this case, a previous SBOA finding was chosen that addressed the SDO Fund within IPASC. *See*: Uniform Government Code §360 SDO Funds.¹

Similar to the SBOA, the OIG is likewise specifically authorized to review state agencies such as the IPASC. IC 4-2-7-1(1).

The IPASC cooperated in this review. Its Executive Director responded to our inquiry by noting it had reduced the SDO advance from \$5,000 to \$2,000 on June 11, 2012. Documentation was provided to corroborated this assertion, and which placed the agency within compliance of the rule. *See Exhibits A and B, attached.*

With our finding compliance with the SBOA Manuals, this investigation is closed.

/s/ David O. Thomas, Inspector General

¹ The Uniform Government Code, created by the Office of the Inspector General, is an on-line codification of Executive Branch operating rules: <http://www.in.gov/ig/2408.htm#fin360>. This particular section cites to IC 4-13-2-20(d) through (h); SBOA State and Quasi Agency Manual, Chapter 7; and Budget Agency Circular 96-3.

6002

INDIANA PROTECTION AND ADVOCACY SERVICES

SDO Account, fax exempt #992-916-812-001-9
4701 N. Keystone Ave., Suite 222, Indianapolis, IN 46205
state form 47874 (6-96)
Approved by State Board of Accounts, 1996
Approved by Auditor, 1996

DATE 6-11-2012

2011-187
740

PAY TO THE ORDER OF State of Indiana

\$ 3000.00

DOLLARS

Three Thousand 00/100

Reduce SDO From \$5000.00

to \$2000

CHASE

JPMorgan Chase Bank, N.A.
Indianapolis, Indiana 46277
www.Chase.com

Judith Green (liberal)

FOR A T

⑆006002⑆ ⑆074000910⑆

⑆93146222⑆

Exh. A



**Report of Collections/Receipts
(Due Treasurer of State)**
State Form 14311(R/01-08)
Approved by State Board of Accounts, 2008

FOR TREASURER USE ONLY

Business Unit: 00060
Deposit ID: 0000004463

Business Name: Auditor of State
Bank ID: AOS
Bank Account #: AOS

Sequence	Payment ID	Amount	Pay Method	Enter Date	Description
1	6002	3,000.00	CHK	6/18/2012	REDUCE SDO LPN 3496 FROM 2008
Total:		3,000.00			

I certify that this report is true and correct to the best of my knowledge and belief.

Prepared By: Relly, Mary-060	Date: 6/18/2012 2:29:05 PM
---------------------------------	-------------------------------

Cash	\$0.00
Checks	\$3,000.00
Deposits in Bank	\$0.00
Wiro Transfers	\$0.00
ACH	\$0.00
EFT	\$0.00
Lock Box	\$0.00
Credits	\$0.00
Debits	\$0.00
NSF's	\$0.00
Grand Total:	\$3,000.00



Exh. B