

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

Cause Number: 15508-AG16-0809-154

IN THE MATTER OF)
)
Galaher Settlements and)
Insurance Services Inc.)
78-365 Hwy 111, Ste 397)
La Quinta, CA 92253)
Respondent)
)
Type of Agency Action: Enforcement)
)
Producer's License: 30687)

FILED
SEP 30 2016
STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

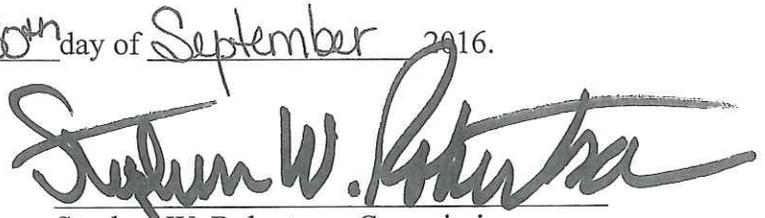
The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Cathleen Nine-Altevogt, and Galaher Settlements and Insurance Services Inc. (“Respondent”), a licensed nonresident insurance producer organization, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (“Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Respondent shall pay an administrative fine of two hundred fifty dollars (\$250) within thirty (30) days after the Commissioner signs the Final Order, for their failure to timely report the issuance of September 26, 2014 Order of Revocation issued by the Wisconsin Office of the Commissioner of Insurance.
2. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 30th day of September 2016.



Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Calla Dain, Insurance Investigator
Indiana Department Of Insurance
311 West Washington St, Suite 103
Indianapolis, Indiana 46204-2787

Galaher Settlements and Ins Services Inc.
78-365 Hwy 111, Ste 397
La Quinta, CA 92253

Certified Mail Receipt: 91 7190 0005 2720 0062 6957

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) SS:
COUNTY OF MARION)

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STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Cathleen Nine-Altevogt, and Galaher Settlements and Insurance Services Inc. ("Respondent"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of the Department's Commissioner, Stephen W. Robertson ("Commissioner");

WHEREAS, Respondent is a licensed nonresident producer organization, holding license number 30687;

WHEREAS, Respondent failed to timely report the issuance of a September 26, 2014 Order of Revocation issued by the Wisconsin Office of the Commissioner of Insurance;

WHEREAS, the Respondent's actions are in violation of Indiana Code §27-1-15.6-17(a), which states that a producer shall report to the Commissioner any administrative action taken

against the producer in another jurisdiction or by another governmental agency in Indiana, not more than thirty (30) days after the final disposition of the matter;

WHEREAS, Patricia Sullins, Corporate Licensing Manager, is authorized to act on behalf of Respondent and obligate it to perform in accordance with this agreement;

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall pay an administrative fine of Two Hundred Fifty Dollars (\$250) within thirty (30) days after the Commissioner signs the Final Order adopting this Agreed Entry.
6. Should additional violations manifest, further administrative actions will be taken for the new violation.
7. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.

8. Respondent has carefully read and examined this agreement and fully understands and accepts its terms.
9. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
10. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
11. Respondent is aware that failure to comply with any terms of this agreement will result in the matter being set for a hearing.

9-29-16
Date Signed

Cathleen Nine-Altevogt
Cathleen Nine-Altevogt, Attorney #32706-49
Indiana Department of Insurance

9/6/16
Date Signed

Patricia Sullins
Patricia Sullins, Corporate Licensing Manager of
Galaher Settlements and Insurance Services Inc,
Respondent

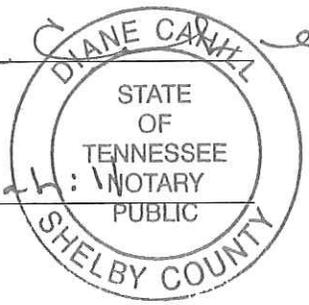
STATE OF TENNESSEE)
) SS:
COUNTY OF Shelby)

Before me a Notary Public for Shelby County, State of Tennessee personally appeared Patricia Sullins, in her capacity as Corporate Licensing Manager of Galaher Settlements and Insurance Services Inc, and being first duly sworn by me upon her oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 6th day of Sept, 2016.

[Handwritten Signature]
Signature

Diane Cahill
Printed



My Commission expires: 2/23/19

County of Residence: Shelby