

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
CAUSE NUMBER: 15281-AG16-0427-111

Douglas A. Stockton)
17207 Windsor Crest Blvd)
Wildwood, MO 63038)
)
Applicant)
)
Type of Agency Action: Enforcement)
)
Application ID: 528091)

FILED
JUL 29 2016
STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Indiana Department of Insurance (“Department”), by counsel, Cathleen Nine-Altevogt, and Douglas A. Stockton (“Applicant”), an applicant for an Indiana nonresident producer license, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the “Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Applicant’s license shall be granted and placed on probation for a period of one (1) year, for failing to disclose on his application for licensure the January 22, 2016 issuance of a restricted license by the California Department of Insurance.

2. Any violations of Title 27 during the probationary period will result in the Department seeking immediate revocation of Applicant's license.
3. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 29 day of July 2016.


Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Calla Dain, Insurance Investigator
Indiana Department of Insurance
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Douglas A. Stockton
17207 Windsor Crest Blvd
Wildwood, MO 63038

Certified Receipt Number: 91 7190 0005 2720 0062 1129

STATE OF INDIANA)
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BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 15281-AG16-0427-111

IN THE MATTER OF:)

Douglas A. Stockton)
17207 Windsor Crest Blvd)
Wildwood, MO 63038)

Applicant)

Type of Agency Action: Enforcement)

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STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel *Kathleen Nune-Altevogt* ~~Brigitte R. Collier~~, and Douglas A. Stockton ("Applicant"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of the Department's Commissioner, Stephen W. Robertson ("Commissioner");

WHEREAS, on March 30, 2016, Applicant submitted an application for licensure;

WHEREAS, on said application, Applicant failed to disclose a January 22, 2016 issuance of a restricted license by the California Department of Insurance;

WHEREAS, the Applicant's actions are in violation of Indiana Code § 27-1-15.6-12(b)(1), which states a producer shall not provide incorrect, misleading, incomplete, or materially untrue information in a license application;

WHEREAS, the Department and Applicant (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

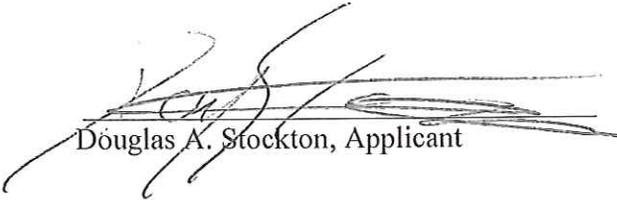
1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Applicant voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Applicant voluntarily and freely waives the right to judicial review of this matter.
5. Applicant's license will be granted and placed on probation for a period of one (1) year during which time any violations of Title 27 will result in the Department seeking immediate revocation of Applicant's license.
6. Should additional violations manifest, further administrative actions will be taken for the new violation.
7. The Department agrees to accept Applicant's compliance with this agreement as full satisfaction of this matter.
8. Applicant has carefully read and examined this agreement and fully understands and accepts its terms.
9. Applicant has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
10. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.

11. Applicant is aware that failure to comply with any terms of this agreement will result in the matter being set for a hearing.

7-28-16
Date Signed


Brigitte R. Collier, Attorney *Calvin Nine-Altercat*
Indiana Department of Insurance *32706-49*

7/28/16
Date Signed


Douglas A. Stockton, Applicant

STATE OF MISSOURI)
) SS:
COUNTY OF ST. LOUIS)

Before me a Notary Public for ST. LOUIS County, State of Missouri personally appeared Douglas A. Stockton and being first duly sworn by me upon his oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 25th day of JULY, 2016.



MARISA A. GRIGGS
My Commission Expires
September 4, 2018
St. Charles County
Commission #14629894

Marisa A. Griggs
Signature

MARISA A GRIGGS
Printed

My Commission expires: 9-4-18

County of Residence: ST. CHARLES