

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

Cause Number: 15029-AG16-0224-036

IN THE MATTER OF)
)
Amy Sparling)
2010 S. Ogden St)
Denver, CO 80210)
Respondent)
)
Type of Agency Action: Enforcement)
)
Producer's License: 929367)

FILED

MAR 11 2016

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel Brigitte R. Collier, and Amy Sparling (“Respondent”), a licensed nonresident insurance producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the “Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Respondent shall pay an administrative fine of two hundred fifty dollars (\$250.00) within thirty (30) days after the Commissioner signs the Final Order, for her failure to timely report her September 15, 2014 criminal proceedings for misdemeanor Driving Under the Influence.
2. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 11 day of March 2016.



Stephen W. Robertson,
Commissioner
Indiana Department of Insurance

Distribution:

Calla Dain, Insurance Investigator
Indiana Department Of Insurance
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Amy Sparling
2010 S. Ogden St
Denver, CO 80210

Certified Mail Receipt: 91 7190 0005 2720 0056 9476

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AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel Brigitte R. Collier and Amy Sparling ("Respondent"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance ("Commissioner").

WHEREAS, Respondent is a licensed Nonresident Insurance Producer, holding license number 929367;

WHEREAS, on September 15, 2014, the Respondent was subject to criminal proceedings for misdemeanor Driving Under the Influence that were not timely disclosed to the Department;

WHEREAS, the Respondent's conduct is a violation of Indiana Code § 27-1-15.6-17(b), which states not more than thirty (30) days after an initial pretrial hearing date, a producer shall report to the Commissioner any criminal prosecution of the producer initiated in any jurisdiction;

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall pay an administrative fine of Two Hundred Fifty Dollars (\$250.00) within thirty (30) days after the Commissioner signs the Final Order adopting this Agreed Entry.
6. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.
7. Respondent has carefully read and examined this agreement and fully understands its terms.
8. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
9. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall

not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.

10. Respondent is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

3/10/16
Date Signed

Brigitte Collier
Brigitte R. Collier, Attorney
Indiana Department of Insurance

3/3/16
Date Signed

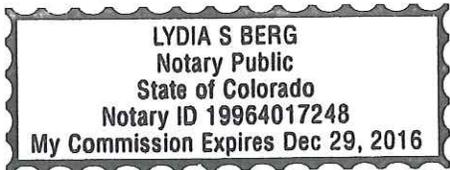
Amy Sparling
Amy Sparling, Respondent

Handwritten text, possibly a stamp or signature, located below the respondent's signature.

STATE OF COLORADO)
) SS:
COUNTY OF Denver)

Before me a Notary Public for Denver County, State of Colorado,
personally appeared Amy Sparling, and being first duly sworn by me upon her oath, says that the
facts alleged in the foregoing instrument are true.

Signed and sealed this 3rd day of MARCH, 2016.



Lydia S. Berg
Signature

LYDIA S. BERG
Printed

My Commission expires: 12-29-2016

County of Residence: ARAPAHOE