

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
CAUSE NUMBER: 14810-AG16-0106-001

Franklin Street Insurance Services LLC)
500 N. Westshore Blvd Ste 750)
Tampa, FL 33609)
)
Applicant)
)
Type of Agency Action: Enforcement)
)
Application ID: 511298)

FILED

FEB 29 2016

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Indiana Department of Insurance (“Department”), by counsel Brigitte R. Collier, and Franklin Street Insurance Services LLC (“Applicant”) an applicant for an Indiana Nonresident Producer Organization license, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the “Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

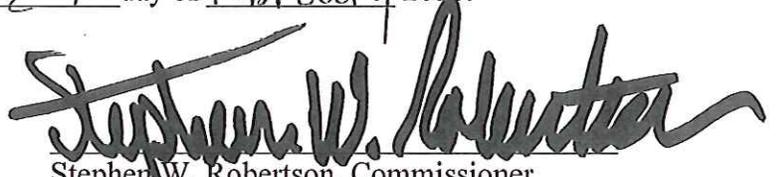
IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Applicant shall pay an administrative fine of five hundred dollars (\$500.00) to the Department within thirty (30) days after the Commissioner signs this Order, for failing to disclose the issuance of a September 12, 2014 Consent Order by the Florida

Department of Financial Services, and the January 23, 2015 issuance of a monetary penalty by the North Carolina Department of Insurance on an application for licensure.

2. Upon payment of said administrative fine, Applicant shall be granted a Nonresident Producer Organization license.
3. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 29 day of February 2016.


Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Calla Dain, Insurance Investigator
Indiana Department of Insurance
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Franklin Street Insurance Services LLC
C/O Pete Moore
500 N. Westshore Blvd Ste 750
Tampa, FL 33609

Certified Receipt Number: 91 7190 0005 2720 0056 2644

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

Cause Number: 14810-AG16-0106-001

IN THE MATTER OF:)
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Franklin Street Insurance Services LLC)
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Tampa, FL 33609)
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Applicant)
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Type of Agency Action: Enforcement)
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FILED

FEB 29 2016

STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel Brigitte R. Collier, and Franklin Street Insurance Services LLC (“Applicant”), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance.

WHEREAS, on November 17, 2015, Applicant submitted an application for a Nonresident Producer Organization license;

WHEREAS, on said license application, Applicant failed to disclose the issuance of a September 12, 2014 Consent Order by the Florida Department of Financial Services, and the January 23, 2015 issuance of a monetary penalty by the North Carolina Department of Insurance;

WHEREAS, Pete Moore, Partner of Franklin Street Insurance Services LLC, is authorized to act on behalf of Applicant and obligate it to perform in accordance with this agreement;

WHEREAS, the Department and Applicant (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Applicant voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Applicant voluntarily and freely waives the right to judicial review of this matter.
5. Applicant shall pay an administrative fine in the amount of five hundred dollars (\$500.00) within thirty (30) days after the Commissioner's Final Order adopting this Agreed Entry.
6. Provided no other issues arise, once said administrative fine is paid, Applicant's application for licensure shall be granted.
7. The Department agrees to accept Applicant's compliance with this agreement as full satisfaction of this matter.
8. Applicant has carefully read and examined this agreement and fully understands its terms.
9. Applicant has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
10. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall

not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.

11. Applicant is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

2-25-2016
Date Signed



Brigitte R. Collier, Attorney for the
Indiana Department of Insurance,
Enforcement Division

2/17/16
Date Signed



Pete Moore, Partner of
Franklin Street Insurance Services LLC, Applicant

STATE OF FLORIDA)
) SS:
COUNTY OF Pinellas)

Before me a Notary Public for Pinellas County, State of Florida personally appeared Pete Moore, in his capacity as Partner for Franklin Street Insurance Services LLC, and being first duly sworn by me upon his oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 17th day of February, 2016.

Julie D. Siefkes
Signature

Julie D. Siefkes
Printed

My Commission expires: 10/03/2016

County of Residence: Pinellas

