

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE.

Cause Number: 14664-AG15-1209-309

Albert Rosebush)
602 Lincoln Way West)
Mishawaka, IN 46544)
Respondent)
Type of Agency Action:)
Enforcement)
Non-Resident Producer License: 2414350)

FILED

JAN 15 2016

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

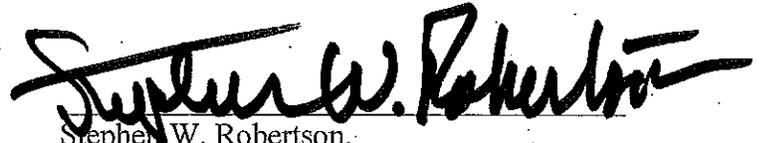
The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Joshua Harrison, and Albert Rosebush (“Respondent”), an Indiana Resident Producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the “Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

- 1: Respondent shall pay a civil penalty of Two Hundred and Fifty Dollars and 00/100 (\$250.00), for failing to report an administrative action taken by Financial Industry Regulatory Authority (FINRA).

ALL OF WHICH IS ORDERED this 15 day of January 2016.



Stephen W. Robertson,
Commissioner
Indiana Department of Insurance

Distribution:

Joshua Harrison
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Albert Rosebush
602 Lincolnway West
Mishawaka, IN 46544

Certified Receipt Number: 91 7190 0005 2720 0054 0789

STATE OF INDIANA)
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COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
CAUSE NUMBER:14664-AG15-1209-309

IN THE MATTER OF:)
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Mishawaka, IN 46544)
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Respondent)
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Type of Agency Action:)
Enforcement)
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Non-Resident Producer License: 2414350)

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JAN 15 2016
STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Joshua Harrison, and Albert Rosebush ("Respondent"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance.

WHEREAS, on May 26th, 1994, Respondent obtained an Indiana Resident Producer's license under number 42414350; and,

WHEREAS, Indiana Code §27-1-15.6-17(a) states that producers shall report to the Commissioner any administrative action taken against the producer in another jurisdiction or by another governmental agency in Indiana not more than thirty (30) days after the final disposition of the matter. The report shall include a copy of the order, consent to order, or other relevant legal documents; and,

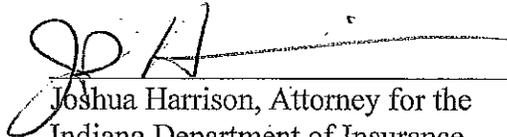
WHEREAS, Respondent failed to disclose the September 2015 administrative action taken by the Financial Industry Regulatory Authority (FINRA) within thirty (30) days; and,

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this agreement and the Commissioner's Final Order.
5. Respondent shall pay a civil penalty in the amount of Two Hundred and Fifty dollars and 00/100 (\$250.00) within thirty (30) days of the Commissioner's Final Order adopting this Agreed Entry.
6. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
7. Applicant has carefully read this agreement and fully understands and accepts its terms.

1-12-16
Date Signed



Joshua Harrison, Attorney for the
Indiana Department of Insurance,
Enforcement Division

12/30/2015
Date Signed



Albert Rosebush, Respondent

STATE OF INDIANA)
COUNTY OF ST. JOSEPH) SS:

Before me a Notary Public for St. Joseph County, State of Indiana, personally appeared Albert Rosebush, and being first duly sworn by me upon her oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 30th day of DECEMBER, 2015.

Cindy Berg
Signature

CINDY BERG
Printed

My Commission expires: 4/13/18

County of Residence: St. Joseph