

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO.: 14637-AG15-1109-281

IN THE MATTER OF:)

James P. Cates)
Respondent)

3545 St. John's Bluff Rd Ste 1-209)
Jacksonville, FL 32224)

License Number: 449844)

Type of Action: Enforcement)

FILED

FEB 19 2016

STATE OF INDIANA
DEPT. OF INSURANCE

FINDINGS OF FACT AND SUSPENSION ORDER

WHEREAS, James P. Cates ("Respondent") is a nonresident insurance producer under license number 449844.

WHEREAS, Indiana Code 27-1-15.6-12(g) states that a licensed producer must furnish the Commissioner with a full and complete report listing each insurer with which the licensee has held an appointment during the year preceding within ten (10) days of a request.

WHEREAS, on January 28, 2016, the Commissioner of the Indiana Department of Insurance ("Commissioner") mailed Respondent a certified letter requesting a list of appointments.

WHEREAS, on February 01, 2016, certified mail article number 91 7190 0005 2720 0055 0146 was marked as delivered by the United States Postal Service ("USPS"), indicating receipt of the Commissioner's request.

WHEREAS, Respondent has failed to provide a list of appointments within ten (10) days as required under Indiana Code 27-1-15.6-12(g).

WHEREAS, Indiana Code 27-1-15.6-12(h) provides that the Commissioner may, without a hearing and in his sole discretion, suspend any insurance license held by the licensee in the event that the licensee fails to remit the list of appointments within ten (10) days.

IT IS THEREFORE ORDERED BY THE COMMISSIONER that Respondent's license number 449844 is hereby suspended, effective immediately, and will remain suspended until Respondent submits the list of appointments requested by the Commissioner.

ALL OF WHICH IS ORDERED the 19 day of February, 2016.



Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution to:

James P. Cates
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Certified Mail Receipt Number: 91 7190 0005 2720 0055 9064