

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO.: 14314-AG15-0728-216

IN THE MATTER OF:)

Brian W. James)
Respondent)

4553 La Tienda Dr)
Thousand Oaks, CA 91362)

License Number: 730391)

Type of Action: Enforcement)

FILED

JUL 31 2015

STATE OF INDIANA
DEPT. OF INSURANCE

FINDINGS OF FACT AND SUSPENSION ORDER

WHEREAS, Brian W. James (“Respondent”) is a nonresident insurance producer under license # 730391.

WHEREAS, Indiana Code 27-1-15.6-12(g) states that a licensed producer must furnish the commissioner with a full and complete report listing each insurer with which the licensee has held an appointment during the year preceding within ten (10) days of a request.

WHEREAS, on June 29, 2015, the Commissioner of the Indiana Department of Insurance (“Commissioner”) mailed Respondent a certified letter requesting a of a list of appointments.

WHEREAS, on July 06, 2015, certified mail article # 91 7190 0005 2720 0045 9890 was marked as delivered by the United States Postal Service (“USPS”), but then returned to the Department on July 15, 2015, marked by the recipient as return to sender.

WHEREAS, on July 15, 2015, the Commissioner resent the June 29, 2015 request for a list of appointments to Respondent via email to the email address blaktraxx@gmail.com, which is on record for Respondent.

WHEREAS, the July 15, 2015 email included a request for a "delivery receipt". A delivery receipt was received by the Department that same day, indicating that the email to Respondent was delivered successfully.

WHEREAS, Respondent has failed to provide a list of appointments within ten (10) days as required under Indiana Code 27-1-15.6-12(g).

WHEREAS, Indiana Code 27-1-15.6-12(h) provides that the commissioner may, without a hearing and in his sole discretion, suspend any insurance license held by the licensee in the event that the licensee fails to remit the list of appointments within ten (10) days.

IT IS THEREFORE ORDERED BY THE COMMISSIONER that Respondent's license number 730391 is hereby suspended, effective immediately, and will remain suspended until Respondent submits the list of appointments requested by the Commissioner.

ALL OF WHICH IS ORDERED the 31 day of July, 2015.

Stephen W. Robertson

Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Certified Mail Receipt Number: 91 7190 0005 2720 0047 0840

Copies to:

Brian W. James
4553 La Tienda Dr
Thousand Oaks, CA 91362

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