

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
CAUSE NUMBER: 14311-AG15-0714-205

Swett & Crawford)
515 S Figueroa St, Ste 600)
Los Angeles, CA 90071)
Respondent)
)
Type of Agency Action: Enforcement)
)
License Number: 30834)

FILED
AUG 07 2015
STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Brigitte R. Collier, and Swett & Crawford (“Respondent”), a licensed nonresident insurance producer organization, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the “Commissioner”) for approval.

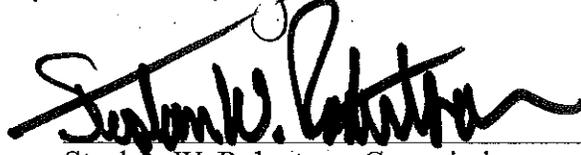
The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent shall pay an administrative fine of two hundred fifty dollars (\$250.00) within thirty (30) days after the signing of the Final Order, for their failure to timely report the 2014 issuance of a monetary penalty by the Alaska Division of Insurance.

2. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 7 day of August 2015.



Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Brigitte R. Collier, Attorney
Indiana Department of Insurance
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Swett & Crawford
515 S Figueroa St, Ste 600
Los Angeles, CA 90071

Certified Receipt Number: 91 7190 0005 2720 0047 3117

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 14311-AG15-0714-205

IN THE MATTER OF:)

Swett & Crawford)
515 S Figueroa St, Ste 600)
Los Angeles, CA 90071)

Respondent)

Type of Agency Action: Enforcement)

Producer's License: 30834)

FILED

AUG 07 2015

STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Brigitte R. Collier, and Swett & Crawford ("Respondent"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of the Department's Commissioner, Stephen W. Robertson ("Commissioner");

WHEREAS, Respondent failed to timely report the February 21, 2014 issuance of a monetary penalty by the Alaska Division of Insurance;

WHEREAS, the Respondent's actions are in violation of Indiana Code §27-1-15.6-17(a), which states that a producer shall report to the commissioner any administrative action taken against the producer in another jurisdiction or by another governmental agency in Indiana not more than thirty (30) days after the final disposition of the matter;

WHEREAS, Kevin Wolff, Executive Vice President of Swett & Crawford, is authorized to act on behalf of Respondent and obligate it to perform in accordance with this agreement;

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall pay an administrative fine of Two Hundred Fifty Dollars (\$250.00) within thirty (30) days after the Commissioner's Final Order adopting this Agreed Entry.
6. Should additional violations manifest, further administrative actions will be taken for the new violation.
7. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.
8. Respondent has carefully read and examined this agreement and fully understands and accepts its terms.
9. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
10. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall

not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.

11. Respondent is aware that failure to comply with any terms of this agreement will result in the matter being set for a hearing.

8-5-15
Date Signed

Brigitte Collier
Brigitte R. Collier, Attorney
Indiana Department of Insurance

7-24-15
Date Signed

Kevin Wolff
Kevin Wolff, Executive Vice President of Swett &
Crawford, Respondent

STATE OF ^{GEORGIA} CALIFORNIA)
) SS:
COUNTY OF COBB)

Before me a Notary Public for Cobb County, State of ^{Georgia} California personally appeared Kevin Wolff, in his capacity as Executive Vice President of Swett & Crawford and being first duly sworn by me upon his oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 24th day of July, 2015.

Celeste Morinek
Signature

Celeste Morinek
Printed

My Commission expires: _____

County of Residence: _____

