

STATE OF INDIANA )  
 ) SS:  
COUNTY OF MARION )

BEFORE THE INDIANA  
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 14252-AG15-0617-171

Scott Rector )  
505 S Albany St. )  
Selma, IN 47383 )  
Respondent )  
Type of Agency Action: Enforcement )  
License Number: 503014 )

**FILED**  
JUL 17 2015  
STATE OF INDIANA  
DEPT. OF INSURANCE

**FINAL ORDER**

The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Brigitte R. Collier, and Scott Rector (“Respondent”), a licensed resident insurance producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the “Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent shall pay an administrative fine of two hundred fifty dollars (\$250.00) within thirty (30) days after the signing of the Final Order, for his failure to disclose his placement in a pretrial diversion program for the misdemeanor charge of criminal conversion.

2. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 17 day of July 2015.

A handwritten signature in black ink, appearing to read "Stephen W. Robertson", written over a horizontal line.

Stephen W. Robertson, Commissioner  
Indiana Department of Insurance

Distribution:

Brigitte R. Collier, Attorney  
Indiana Department of Insurance  
311 West Washington Street, Suite 103  
Indianapolis, Indiana 46204-2787

Scott Rector  
505 S Albany St.  
Selma, IN 47383

**Certified Mail Receipt: 91 7190 0005 2720 0046 4443**

STATE OF INDIANA )  
 ) SS:  
COUNTY OF MARION )

BEFORE THE INDIANA  
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 14252-AG15-0617-171

IN THE MATTER OF: )

Scott Rector )  
505 S. Albany St )  
Selma, IN 47383 )

Respondent )

Type of Agency Action: Enforcement )

Producer's License: 503014 )

**FILED**

JUL 17 2015

STATE OF INDIANA  
DEPT. OF INSURANCE

**AGREED ENTRY**

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Brigitte R. Collier, and Scott Rector ("Respondent"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of the Department's Commissioner, Stephen W. Robertson ("Commissioner");

WHEREAS, the Respondent is a licensed Resident Insurance Producer, holding license number 503014;

WHEREAS, on April 22, 2014, Respondent was placed on a pretrial diversion program for the misdemeanor charge of criminal conversion, which was not disclosed to the Department in a timely manner;

WHEREAS, the Respondent's actions are in violation of Indiana Code § 27-1-15.6-17(b), which states not more than thirty (30) days after an initial pretrial hearing date, a producer shall report to the commissioner any criminal prosecution of the producer initiated in any jurisdiction;

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall pay an administrative fine of Two Hundred Fifty Dollars (\$250.00) within thirty (30) days after the Commissioner signs the Final Order adopting this Agreed Entry.
6. Should additional violations manifest, further administrative actions will be taken for the new violation.
7. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.
8. Respondent has carefully read and examined this agreement and fully understands and accepts its terms.
9. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
10. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall

not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.

11. Respondent is aware that failure to comply with any terms of this agreement will result in the matter being set for a hearing.

7-10-15  
Date Signed

Brigitte Collier  
Brigitte K. Collier, Attorney  
Indiana Department of Insurance

6-29-15  
Date Signed

Scott Rector  
Scott Rector, Respondent

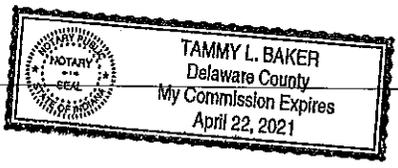
STATE OF INDIANA )  
 ) SS:  
COUNTY OF \_\_\_\_\_ )

Before me a Notary Public for Madison County, State of Indiana personally appeared Scott Rector and being first duly sworn by me upon their oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 29<sup>th</sup> day of June, 2015.

Tammy L. Baker  
Signature

Printed



My Commission expires: 4-22-2021

County of Residence: Delaware

VIA CERTIFIED MAIL: 91 7190 0005 2720 0045 5878