

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

Cause Number: 14201-AG15-0605-159

IN THE MATTER OF)
)
Griffith-Catlett Hampton Inc)
2250 Thunderstick Dr, Ste 1104)
Lexington, KY 40505)
)
Respondent)
)
Type of Agency Action: Enforcement)
)
Resident Producer License: 823550)

FILED

FEB 12 2016

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel Brigitte R. Collier, and Griffith-Catlett Hampton Inc (“Respondent”), a licensed nonresident insurance producer organization, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the “Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

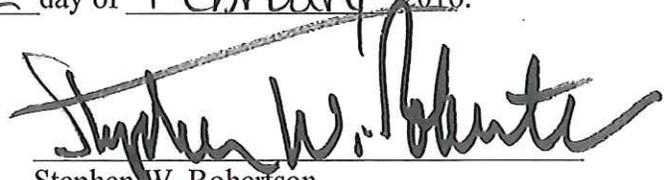
IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Respondent shall pay an administrative fine of two hundred fifty dollars (\$250.00) to the Department within thirty (30) days after the Commissioner signs this Order,

for failing to disclose a February 14, 2012 Consent Order issued by the Florida Department of Financial Services on an application for licensure.

2. The Department agrees to accept this agreement as full resolution of this matter.

ALL OF WHICH IS ORDERED this 12 day of February 2016.



Stephen W. Robertson,
Commissioner
Indiana Department of Insurance

Distribution:

Calla Dain, Insurance Investigator
Indiana Department Of Insurance
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Ellen Griffith
2250 Thunderstick Dr, Ste 1104
Lexington, KY 40505

Certified Mail Receipt: 91 7190 0005 2720 0055 4182

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 14201-AG15-0605-159

IN THE MATTER OF:)

Griffith-Catlett Hampton Inc)
2250 Thunderstick Dr, Ste 1104)
Lexington, KY 40505)

Respondent)

Type of Agency Action: Enforcement)

Producer's License: 823550)

FILED

FEB 12 2016

STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Brigitte R. Collier, and Griffith-Catlett Hampton Inc ("Respondent"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of the Department's Commissioner, Stephen W. Robertson ("Commissioner");

WHEREAS, on June 05, 2012, Respondent submitted an application for a Nonresident Producer Organization license and was granted license number 823550;

WHEREAS, on said license application Respondent failed to disclose a February 14, 2012 Consent Order issued by the Florida Department of Financial Services;

WHEREAS, the Respondent's actions are in violation of Indiana Code §27-1-15.6-12(b)(1), which states that a producer shall not provide incorrect, misleading, incomplete, or materially untrue information in a license application;

WHEREAS, Herbert Russell Griffith, President of Griffith-Catlett Hampton Inc, is authorized to act on behalf of Respondent and obligate it to perform in accordance with this agreement;

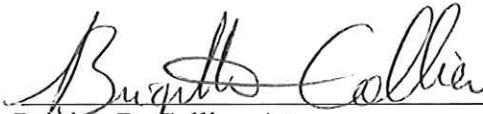
WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall pay an administrative fine of Two Hundred Fifty Dollars (\$250.00) within thirty (30) days after the Commissioner signs the Final Order adopting this Agreed Entry.
6. Should additional violations manifest, further administrative actions will be taken for the new violation.
7. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.
8. Respondent has carefully read and examined this agreement and fully understands and accepts its terms.

9. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.
10. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
11. Respondent is aware that failure to comply with any terms of this agreement will result in the matter being set for a hearing.

2-10-16
Date Signed



Brigitte R. Collier, Attorney
Indiana Department of Insurance

2/3/16
Date Signed

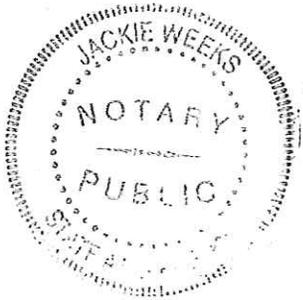


Herbert Russell Griffith, President of Griffith-Catlett Hampton Inc

STATE OF KENTUCKY)
) SS:
COUNTY OF Fayette)

Before me a Notary Public for Fayette County, State of Kentucky personally appeared Herbert Russell Griffith, in his capacity as President of Griffith-Catlett Hampton Inc and being first duly sworn by me upon his oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 3rd day of February, 2016.



Jackie C. Weeks
Signature

Jackie C. Weeks
Printed

My Commission expires: 1-26-2020

County of Residence: Madison