

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO.: 13892-AG15-0810-226

IN THE MATTER OF:)

Grace Smith)
Respondent)

11926 Dupplin Castle Dr)
Charlotte, NC 28277)

License Number: 756016)

Type of Action: Enforcement)

FILED

AUG 21 2015

STATE OF INDIANA
DEPT. OF INSURANCE

FINDINGS OF FACT AND SUSPENSION ORDER

WHEREAS, grace Smith (“Respondent”) is a nonresident insurance producer under license # 756016.

WHEREAS, Indiana Code 27-1-15.6-12(g) states that a licensed producer must furnish the commissioner with a full and complete report listing each insurer with which the licensee has held an appointment during the year preceding within ten (10) days of a request.

WHEREAS, on June 12, 2015, the Commissioner of the Indiana Department of Insurance (“Commissioner”) mailed Respondent a certified letter requesting a list of appointments.

WHEREAS, on August 04, 2015, certified mail article # 91 7190 0005 2720 0045 4123 was returned by the United States Postal Service (“USPS”) marked as return to sender because it was unclaimed.

WHEREAS, on August 04, 2015, the Commissioner resent the June 12, 2015 request for a list of appointments to Respondent via email to the email address gsmith2@tiaa-cref.org, which is on record for Respondent.

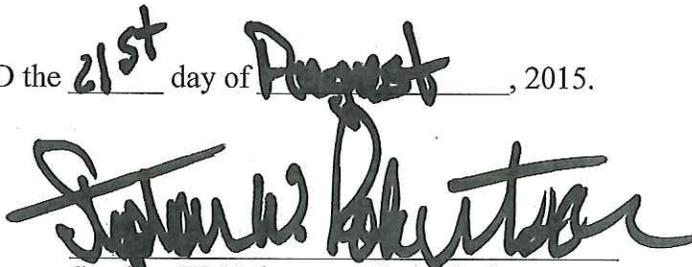
WHEREAS, the August 04, 2015 email included a request for a "delivery receipt". A delivery receipt was received by the Department that same day, indicating that the email to Respondent was successfully delivered.

WHEREAS, Respondent has failed to provide a list of appointments within ten (10) days as required under Indiana Code 27-1-15.6-12(g).

WHEREAS, Indiana Code 27-1-15.6-12(h) provides that the commissioner may, without a hearing and in his sole discretion, suspend any insurance license held by the licensee in the event that the licensee fails to remit the list of appointments within ten (10) days.

IT IS THEREFORE ORDERED BY THE COMMISSIONER that Respondent's license number 756016 is hereby suspended, effective immediately, and will remain suspended until Respondent submits the list of appointments requested by the Commissioner.

ALL OF WHICH IS ORDERED the 21st day of August, 2015.


Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Certified Mail Receipt Number: 91 7190 0005 2720 0047 7313

Copies to:

Grace Smith
11926 Dupplin Castle Dr
Charlotte, NC 28277

Calla Dain, Investigator
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