

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
CAUSE NO.: 13663-AG15-0303-040

IN THE MATTER OF:)

Richard Davis)
Guideone Insurance)
1111 Ashworth Road)
West Des Moines, IA 50265)

Respondent)

License Number: 578999)

FILED

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STATE OF INDIANA
DEPT. OF INSURANCE

FINDINGS OF FACT AND SUSPENSION ORDER

WHEREAS, Richard Davis (“Respondent”) is an individual nonresident insurance producer under license #492346 and held a Non-Resident Surplus Lines from January 17, 2008 to January 31, 2014 under license # 578999.

WHEREAS, Indiana Code 27-1-15.6-12(g) states that a licensed producer must furnish the commissioner with a full and complete report listing each insurer with which the licensee has held an appointment during the year preceding within ten (10) days of a request.

WHEREAS, Respondent’s Non-Resident Surplus Lines License # 578999 has been inactive effective January 31, 2014 for failure to renew. However, appointments must be furnished for the period of January 1, 2014 to January 31, 2014, when the license was still active.

WHEREAS, on January 27, 2015, the Commissioner of the Indiana Department of Insurance (“Commissioner”) mailed Respondent a certified letter requesting a list of appointments.

WHEREAS, on January 31, 2015, the certified mail receipt for article # 9214 8901 0661 5400 0048 9878 19, was returned by the United States Postal Service ("USPS") marked as delivered and signed by Respondent, indicating receipt of the Commissioner's request.

WHEREAS, Respondent has failed to provide a list of appointments within ten (10) days as requested by the Commissioner.

WHEREAS, Respondent's failure to provide said list within ten (10) days constitutes a violation of Indiana Code 27-1-15.6-12(g).

WHEREAS, Indiana Code 27-1-15.6-12(h) provides that the Commissioner may, without a hearing and in his sole discretion, issue an order to suspend any insurance license held by the licensee in the event that the licensee fails to remit the list of appointments within ten (10) days.

WHEREAS, pursuant to Indiana Code 4-21.5-4-4, the Commissioner shall set this matter for hearing on this Order upon a request by Respondent.

IT IS THEREFORE ORDERED BY THE COMMISSIONER that Respondent's license number 492346 is hereby suspended, effective immediately, and will remain suspended until Respondent submits the list of appointments and response requested by the Commissioner.

ALL OF WHICH IS ORDERED the 10th day of March, 2015.


Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Copies to:

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