

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
CAUSE NO. 13457-AG15-0121-008

IN THE MATTER OF:)
)
Umpleby Attorneys, PC)
102 W Main St.)
Paoli, IN 47454)
Respondent.)

FILED
FEB 06 2015
STATE OF INDIANA
DEPT. OF INSURANCE

Type of Agency Action: Enforcement
Indiana Insurance License No.: 649445

FINAL ORDER

The Indiana Department of Insurance (“Department”), by its counsel, Joshua Harrison, and Umpleby Attorneys, PC (“Respondent”), a licensed title insurance agency, signed an Agreed Entry which purports to resolve all issues involved in the action by the Department and which has been submitted to the Commissioner of Insurance (the “Commissioner”) for approval.

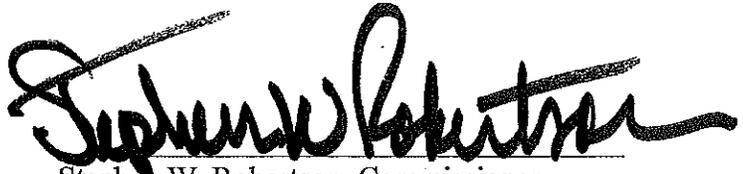
The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent shall pay an administrative penalty in the amount of three thousand three hundred seventy nine dollars (\$3,379.00) to the Department, in aggregate for failure to maintain licensure to act as a title agency. This amount is due in full within thirty (30) days of this Final Order.

2. Respondent shall develop, provide, and implement policies to ensure all employees and any agency that requires an insurance license is in compliance with Indiana insurance laws. Respondent shall implement these policies and provide the Department a copy of these policies within thirty (30) days of this Final Order.

ALL OF WHICH IS ORDERED this 6th day of February, 2015.



Stephen W. Robertson, Commissioner
Indiana Department of Insurance

Distribution:

Umpleby Attorneys, PC
102 W Main St.
Paoli, IN 47454

Joshua Harrison, Attorney
Indiana Department of Insurance
311 W. Washington St., Suite 103
Indianapolis, IN 46204

STATE OF INDIANA)
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COUNTY OF MARION)

BEFORE THE INDIANA
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CAUSE NUMBER: 13457-AG15-0121-008

IN THE MATTER OF:)
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Type of Agency Action: Enforcement)
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Indiana Producer License No.: 649445)

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STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Title Division of the Indiana Department of Insurance ("Department"), by counsel, Brigitte Collier, and Umpleby Attorneys, PC ("Respondent"), to resolve all issues in the above-captioned matter. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner, of the Indiana Department of Insurance ("Commissioner").

WHEREAS, Respondent is a resident title insurance agency licensed in the State of Indiana, holding license number 649445;

WHEREAS, Respondent's license lapsed on March 31, 2013; and

WHEREAS, Indiana Code § 27-1-15.6-13 (a) & (b) requires that a person shall not accept a commission, service fee, brokerage fee, or other valuable consideration for selling, soliciting, or negotiating insurance in Indiana unless that person is licensed;

WHEREAS, Respondent failed to maintain proper licensure to act as a title insurance agency in the State of Indiana hereto;

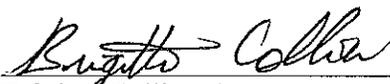
WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve their differences and settle the issues without the necessity of a hearing;

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the parties.
3. Respondent voluntarily and freely waives the right to a public hearing on the issues in this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent shall pay an administrative fine of three thousand three hundred seventy nine dollars (\$3,379.00) to the Department within thirty (30) days after the Commissioner's Final Order adopting this Agreed Entry.
6. Respondent shall develop, provide, and implement policies to ensure all employees and any agency that requires an insurance license is in compliance with Indiana insurance law. Respondent shall implement these policies and provide the Department a copy of these policies within thirty (30) days after the Commissioner's Final Order.
7. The Department agrees to accept Respondent's compliance with this agreement as full satisfaction of this matter.
8. Respondent has carefully read and examined this agreement and fully understands its terms.
9. Respondent has entered into this agreement freely, and has not been subject to duress, threat or undue influence.

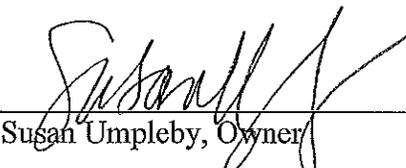
10. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
11. Respondent is aware that failure to comply with any of the terms of this agreement will result in the matter being set for a hearing.

2-3-15
Date Signed



Brigitte Collier, Attorney
Indiana Department of Insurance

1-29-15
Date Signed

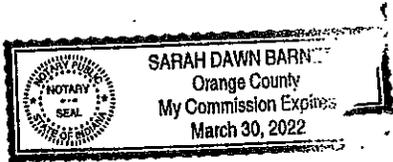


Susan Umpleby, Owner

STATE OF INDIANA)
) SS:
COUNTY OF Orange)

Before me a Notary Public for Orange County, State of Indiana, personally appeared Susan Umpleby on behalf of Umpleby Attorneys, PC and being first duly sworn by me upon her oath, states that the facts alleged in the foregoing instrument are true.

Signed and sealed this 29th day of January, 2015.



Sarah Dawn Barnett
Notary Signature

Sarah Dawn Barnett
Notary Name Printed

My Commission expires: March 30, 2022

County of Residence: Orange