

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
CAUSE NUMBER: 13400-AG14-1015-192

David L. Williams)
3949 South 700 East, Ste 450)
Salt Lake City, UT 84107)
Applicant)
Type of Agency Action: Enforcement)
Application ID: 448564)

FILED

FEB 13 2015

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Indiana Department of Insurance (“Department”), by counsel, Joshua D. Harrison, and David L. Williams (“Applicant”), an applicant for an Indiana Nonresident Producer’s license, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the “Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

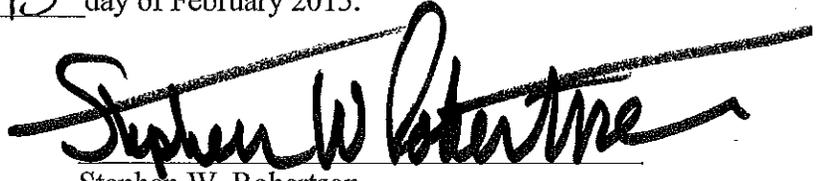
IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent’s Producer’s license shall be placed on probationary status for a period of one (1) year, and Respondent shall pay a civil penalty of Two Hundred Fifty

Dollars and 00/100 (\$250.00), for failing to disclose a license revocation on his application for licensure.

2. The administrative fine shall be stayed during the probationary period. If no further violations arise during said probationary period, the fine amount shall be vacated.

ALL OF WHICH IS ORDERED this 13th day of February 2015.



Stephen W. Robertson,
Commissioner
Indiana Department of Insurance

Distribution:

Joshua D. Harrison
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

David L. Williams
3949 South 700 East, Ste 450
Salt Lake City, UT 84107

Certified Receipt Number: 9214 8901 0661 5400 0050 0213 10

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

Cause Number: 13400-AG14-1015-192

IN THE MATTER OF:)
)
David L. Williams)
3949 South 700 East, Ste 450)
Salt Lake City UT 84107)
)
Applicant)
)
Type of Agency Action:)
Enforcement)
)
Application ID: 448564)

FILED

FEB 13 2015

STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Joshua D. Harrison, and David L. Williams (“Applicant”), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance.

WHEREAS, on October, 7 2014, Applicant submitted an application for a Nonresident Producer’s license; and,

WHEREAS, on said license application, Applicant failed to disclose a 2012 license revocation by the Wisconsin Office of the Commissioner of Insurance; and,

WHEREAS, the Applicant’s conduct constitutes a violation of Indiana Code §27-1-15.6-12(b)(1) which states that an applicant shall not provide incorrect, misleading, incomplete, or materially untrue information in a license application; and,

WHEREAS, the Applicant's conduct constitutes a violation of Indiana Code §27-1-15.6-12(b)(9); which states that an applicant shall not have had an insurance producer license, or its equivalent denied, suspended, or revoked in any other state, province, district, or territory; and,

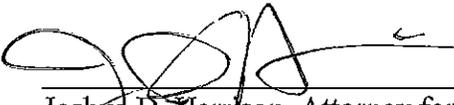
WHEREAS, the Department and Applicant (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Applicant voluntarily and freely waives the right to a public hearing on this matter.
4. Applicant voluntarily and freely waives the right to judicial review of this agreement and the Commissioner's Final Order.
5. Applicant's Producer's license shall be granted and placed on probationary status for a period of one (1) year, and Applicant shall be ordered to pay an administrative fine in the amount of Two Hundred Fifty Dollars and 00/100 (\$250.00).
6. The administrative fine shall be stayed during the probationary period. If no further violations arise during the said probationary period, the fine amount shall be vacated.
7. Should additional violations manifest, this fine shall be in addition to any administrative actions for the new violation.

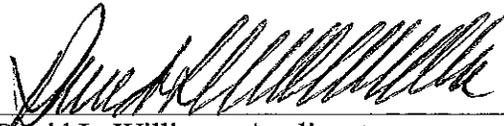
9. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
10. Applicant has carefully read this agreement and fully understands and accepts its terms.

2-10-15
Date Signed



Joshua D. Harrison, Attorney for the
Indiana Department of Insurance,
Enforcement Division

Feb 2, 2015
Date Signed



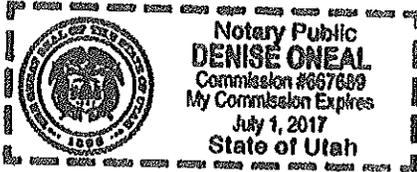
David L. Williams, Applicant

CERTIFIED MAIL RECEIPT: 9214 8901 0661 5400 0046 7975 40

STATE OF UTAH)
) SS:
COUNTY OF _____)

Before me a Notary Public for Salt Lake County, State of Utah,
personally appeared David L Williams, and being first duly sworn by me upon his oath, says that
the facts alleged in the foregoing instrument are true.

Signed and sealed this 2 day of February, 2015.



Denise Oneal
Signature

Denise Oneal
Printed

My Commission expires: July 1, 2017

County of Residence: Salt Lake