

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

Cause Number: 13233-AG14-0828-153

Leigh C. Montgomery)
2020 W. 89th Street, Second Floor)
Leawood, KS 66206)

Respondent)

Type of Agency Action: Enforcement)

Producer License)
Number: 779138)

FILED

DEC 12 2014

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Joshua D. Harrison, and Leigh C. Montgomery (“Respondent”), an Indiana Nonresident Producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the “Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent shall pay a civil penalty of Five Hundred Dollars and no/100 (\$500.00) within thirty (30) days of today's date, for failing to disclose a Misdemeanor conviction of Shoplifting on her application for licensure and for failing to report a Maine Administrative Action.

ALL OF WHICH IS ORDERED this 12th day of December 2014.



Stephen W. Robertson,
Commissioner
Indiana Department of Insurance

Distribution:

Joshua D. Harrison
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Leigh C. Montgomery
2020 W. 89th Street, Second Floor
Leawood, KS 66206

Certified Receipt Number: 9214 8901 0661 5400 0042 0060 04

STATE OF INDIANA)
) SS:
COUNTY OF MARION)
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BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
Cause Number: 13233-AG14-0828-153

IN THE MATTER OF:)
)
Leigh C. Montgomery)
2020 W. 89th Street, Second Floor)
Leawood, KS 66206)
)
Respondent.)
)
Type of Agency Action:)
Enforcement)
)
Producer's License: 779138)

FILED

DEC 12 2014

STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Holly Williams, and Leigh C. Montgomery ("Respondent"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance.

WHEREAS, on September 23, 2011, Respondent submitted an application for a Nonresident Producer's license; and,

WHEREAS, on said license application, Respondent failed to disclose a 2008 Misdemeanor conviction for Shoplifting; and,

WHEREAS, after having obtained said license, Respondent failed to report an administrative action taken against her by the State of Maine Bureau of Insurance in 2013; and

WHEREAS, Respondent's conduct, as alleged herein, constitutes a violation of Indiana Code §27-1-15.6-12(b)(1) and §27-1-15.6-17(a); and

WHEREAS, the Department and Respondent (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Respondent voluntarily and freely waives the right to a public hearing on this matter.
4. Respondent voluntarily and freely waives the right to judicial review of this agreement and the Commissioner's Final Order.
5. Respondent acknowledges Ind. Code § 27-1-15.6-17(b) requires her to report to the Commissioner, not more than thirty (30) days after an initial pretrial hearing date, any criminal prosecution initiated in any jurisdiction.
6. Respondent also acknowledges Ind. Code § 27-1-15.6-17(a) requires her to report to the Commissioner, not more than thirty (30) days after the final disposition of the matter, any administrative action taken against the producer in another jurisdiction or by another governmental agency in Indiana.
7. Respondent shall pay an administrative fine in the amount of Five Hundred Dollars and 00/100 (\$500.00) within thirty (30) days of the Commissioner's Final Order adopting this Agreed Entry.
8. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall

not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.

9. Respondent has carefully read this agreement and fully understands and accepts its terms.

10.15.14

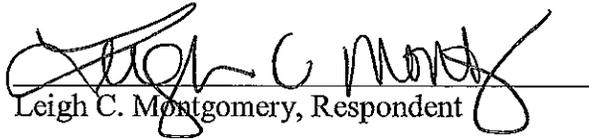
Date Signed



Holly Williams, Attorney for the
Indiana Department of Insurance,
Enforcement Division

9/10/2014

Date Signed

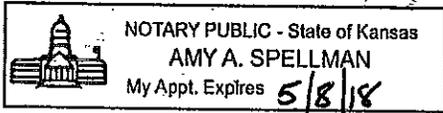


Leigh C. Montgomery, Respondent

STATE OF KANSAS)
) SS:
COUNTY OF Johnson)

Before me a Notary Public for Johnson County, State of Kansas,
personally appeared Leigh C. Montgomery, and being first duly sworn by me upon his oath, says
that the facts alleged in the foregoing instrument are true.

Signed and sealed this 11th day of September, 2014.



Amy A. Spellman
Signature

Amy A. Spellman
Printed

My Commission expires: 5-8-18

County of Residence: Jackson County, Mo

CERTIFIED MAIL RECEIPT: 9214 8901 0661 5400 0038 8887 13