

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
CAUSE NUMBER:12540-AG13-1016-126

Ashwell Peters)
156 Columbia Blvd.)
Waterbury, CT 06710)
)
Applicant)
)
Type of Agency Action: Enforcement)
)
Application ID: 381289)

FILED
NOV 22 2013
STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Indiana Department of Insurance (“Department”), by counsel, Michael F. Mullen, and Ashwell Peters (“Applicant”), an applicant for an Indiana Nonresident Producer license, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the “Commissioner”) for approval.

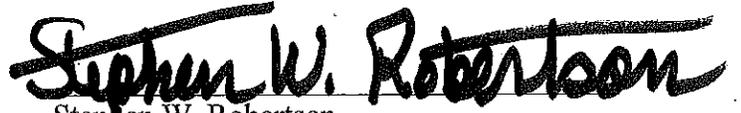
The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Applicant shall pay an administrative fine of Two Hundred Fifty Dollars and no/100 (\$250.00) within thirty (30) days of today’s date.

2. Upon payment of said administrative fine, Applicant shall be granted a nonresident producer license.

ALL OF WHICH IS ORDERED this 22nd day of November 2013.



Stephen W. Robertson,
Commissioner
Indiana Department of Insurance

Distribution:

Michael F. Mullen
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Ashwell Peters
156 Columbia Blvd.
Waterbury, CT 06710

Certified Receipt Number: 9214 8901 0661 5400 0023 9411 57

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE
CAUSE NUMBER:12540-AG13-1016-126

IN THE MATTER OF:)
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Type of Agency Action:)
Enforcement)
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Application ID: 381289)

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AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Michael F. Mullen, and Ashwell Peters (“Applicant”), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Stephen W. Robertson, Commissioner of the Indiana Department of Insurance.

WHEREAS, Applicant submitted an application for a Non-Resident Producer’s license on August 26, 2013; and

WHEREAS, on the license application, Applicant failed to disclose that his 2003 application for a producer’s license in North Carolina was denied; and;

WHEREAS, Applicant’s conduct, as alleged herein, constitutes a violation of Indiana Code §27-1-15.6-12(b)(1); and

WHEREAS, the Department and Applicant (collectively, the “Parties”) desire to resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Applicant voluntarily and freely waives the right to a public hearing on this matter.
4. Applicant voluntarily and freely waives the right to judicial review of this agreement and the Commissioner's Final Order.
5. Applicant shall pay an administrative fine in the amount of Two Hundred Fifty Dollars and 00/100 (\$250.00) within thirty (30) days of the Commissioner's Final Order adopting this Agreed Entry.
6. Provided no other issues arise, once said administrative fine is paid, Applicant's application for licensure shall be granted.
7. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.

8. Applicant has carefully read this agreement and fully understands and accepts its terms.

11/7/2013

Date Signed



Michael F. Mullen, Attorney for the
Indiana Department of Insurance,
Enforcement Division

10/21/2013

Date Signed



Ashwell Peters, Respondent

STATE OF CONNECTICUT)
COUNTY OF Hartford) SS:

Before me a Notary Public for Hartford County, State of Connecticut,
personally appeared Ashwell Peters, and being first duly sworn by me upon his oath, says that
the facts alleged in the foregoing instrument are true.

Signed and sealed this 21 day of October, 2013.



Christine Stolfi
Signature
Christine Stolfi
Printed

My Commission expires: 7-31-17
County of Residence: Hartford

Certified Mail Receipt: 9214 8901 0661 5400 0022 7860 25