

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 12484-AG13-0923-110

James Jones)
2123 Newton Street)
Jasper, IN 47546-1609)
)
Applicant)
)
Type of Agency Action: Enforcement)
)
Application ID: 384919)

FILED
OCT 01 2010
STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Indiana Department of Insurance (“Department”), by counsel, Michael F. Mullen, and James Jones (“Applicant”), an applicant for an Indiana Insurance Producer’s license, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (the “Commissioner”) for approval.

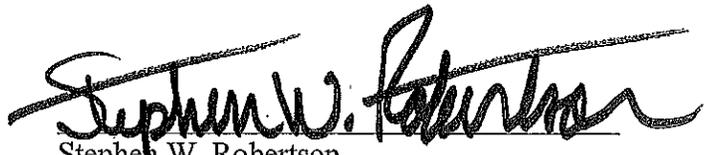
The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry, attached, as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Applicant will pay Administrative Fine of Two Hundred and Fifty Dollars (\$250.00) within Thirty (30) days of the Commissioner’s Final Order.
2. Provided no other issues arise, once said administrative fine is paid, Applicant’s application for licensure shall be granted.

3. The Department agrees to accept Applicant's compliance with the terms of this agreement as full resolution of the issue.

ALL OF WHICH IS ORDERED this 1st day of October 2013.


Stephen W. Robertson,
Commissioner
Indiana Department of Insurance

Distribution:

Michael F. Mullen
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

James Jones
2123 Newton Street
Jasper, IN 47546-1609

Certified Receipt Number: 9214 8901 0661 5400 0021 9809 74

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 12484-AG13-0923-110

IN THE MATTER OF:)

James Jones)
2123 Newton Street)
Jasper, IN 47546)

Applicant,)

Type of Agency Action: Enforcement)

Application ID: 384919)

FILED

OCT 01 2013

STATE OF INDIANA
DEPT OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance ("Department"), by counsel, Michael F. Mullen and James Jones ("Applicant"), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of the Department's Commissioner, Stephen W. Robertson.

WHEREAS, Applicant submitted an application to add a variable life and annuity qualification to license number 433399 on or about September 12, 2013; and

WHEREAS, on the license application, Applicant failed to disclose that in 2008 the Kentucky Division of Securities filed a Settlement Agreement and Order against his license; and

WHEREAS, Applicant's conduct, as alleged herein, constitutes a violation of Indiana Code §27-1-15.6-12(b)(1); and

WHEREAS, the Department and Applicant (collectively, the "Parties") desire to resolve this matter without the necessity of a hearing; and

IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

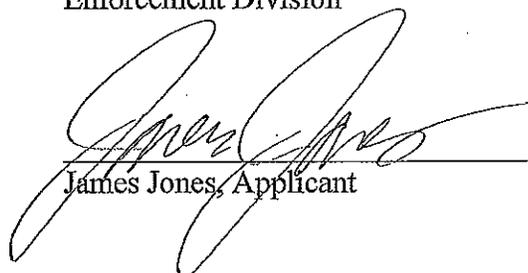
1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the Parties.
3. Applicant voluntarily and freely waives the right to a public hearing on this matter.
4. Applicant voluntarily and freely waives the right to judicial review of this agreement and the Commissioner's Final Order.
5. Applicant shall pay an administrative fine in the amount of Two Hundred and Fifty Dollars and 00/100 (\$250.00) within thirty (30) days of the Commissioner's Final Order adopting this Agreed Entry.
6. Provided no other issues arise, once said administrative fine is paid, Applicant's application for licensure shall be granted.
7. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
8. Applicant has carefully read this agreement and fully understands and accepts its terms.

9/27/2013
Date Signed



Michael F. Mullen, Attorney for the,
Indiana Department of Insurance,
Enforcement Division

09/24/2013
Date Signed



James Jones, Applicant

STATE OF INDIANA)
) SS:
COUNTY OF Clark)

Before me a Notary Public for Clark County, State of Indiana,
personally appeared James Jones, and being first duly sworn by me upon his oath, says that the
facts alleged in the foregoing instrument are true.

Signed and sealed this 24 day of September, 2013.

Melissa S. Jacobs
Signature

Melissa S. Jacobs
Printed

My Commission expires: 2/15/17

County of Residence: Clark