



# Liberty Behavioral Health Corporation Indiana Sex Offender Management and Monitoring Program Monthly Utilization and Status Report

December 31, 2008

## I. EXECUTIVE SUMMARY

Movement of sexual offenders from Plainfield and Miami Correctional Facilities, and transition of INSOMM Program Phase II counseling staff, to New Castle Correctional Facility continued during the month of December. Four INSOMM Program clinical staff have been working full time at the New Castle Correctional Facility since mid November, and eight additional INSOMM Program staff are scheduled to begin providing services there in January, 2009. As a result, all INSOMM Program Phase II services at Plainfield and Miami Correctional Facilities will discontinue in mid to late January, 2009.

Approximately 500 GEO Group, Inc., employees at New Castle Correctional Facility were provided training during the month of December by INSOMM Program staff. Training received by GEO employees included an overview of the INSOMM Program, and information relating to the unique characteristics of sexual offenders, and the custody and management of sexual offenders in a correctional setting.

Dr. Deming, Melissa Gharst, and Cari Rezman attended a meeting at Pendleton Juvenile Correctional Facility on December 17, 2008. The meeting addressed issues relating to the parole supervision of juvenile sex offenders, and the role of INSOMM Program counselors in providing services to juvenile sex offenders at Pendleton. Two counseling positions have been re-allocated to the Pendleton facility, and two INSOMM Program counselors began working with IDOC personnel and providing services to juvenile sex offenders at Pendleton on December 15, 2008.

At the request of the Department of Correction, the INSOMM Program will begin providing services to female sex offenders at the Indianapolis Juvenile Correctional Facility in January, 2009. Dr. Deming and Cari Rezman attended a meeting at the Indianapolis Juvenile Correctional Facility on December 17, 2008 to discuss the treatment needs of female offenders there with administrative staff and Dr. William Elliott. Given the relatively small number of sex offenders at the facility (3 to 4), plans include having one of the INSOMM Program counselors currently providing services at the Pendleton Juvenile Correctional Facility provide services at the Indianapolis Juvenile Correctional Facility on an as needed basis.

## II. CONTRACT DELIVERABLES AND PERFORMANCE INDICATORS

### A. Phases I and II and Re-Entry – Facility Based Services

SCREENING AND ASSESSMENT FOR ADULT MALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/08 – 12/08	Total Since 07/06
Number of Static-99's Completed			
▪ Low Risk	25	108	918
▪ Medium Risk	33	173	1,581
▪ High Risk	24	131	1,234
▪ <b>Total</b>	<b>82</b>	<b>412</b>	<b>3,733</b>
Number of Offenders Screened for Group			
▪ Number Accepting Treatment	76	264	3,674
▪ Number Refusing Treatment	0	5	71
▪ <b>Total Screened</b>	<b>76</b>	<b>269</b>	<b>3,745</b>

SCREENING AND ASSESSMENT FOR ADULT FEMALE OFFENDERS	Total this Month	Fiscal Year Total to Date 10/08 – 12/08	Total Since 10/07
Number of Assessments Completed	3	9	13
Number of Offenders Screened for Group			
▪ Number Accepting Treatment	4	9	36
▪ Number Refusing Treatment	0	0	0
▪ <b>Total Screened</b>	<b>4</b>	<b>9</b>	<b>36</b>

FACILITY-BASED POLYGRAPH TESTING FOR ADULT MALE OFFENDERS								
Facility	Outcome	TEST TYPE			TESTS COMPLETED		OTHERS REFERRED FOR TESTING BUT TESTS NOT COMPLETED	
		Sexual Offense History	Instant Offense	Special Issues	Total This Month	Fiscal Year Total to Date 10/08 – 12/08	Total This Month	Fiscal Year Total to Date 10/08 – 12/08
MCF	NDI	0	0	0	0	0		
	DI	0	0	0	0	0		
	Inconclusive	0	0	0	0	0		
	<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
PCF	NDI	0	0	0	0	0		
	DI	0	1	0	1	7		
	Inconclusive	0	0	0	0	0		
	<b>Total</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>7</b>	<b>1*</b>	<b>2</b>

NDI = Non-Deception Indicated; DI = Deception Indicated

\*One test scheduled at PCF was not completed as one offender refused to take the exam.

<b>RISK-BASED TREATMENT PROGRAMMING FOR ADULT MALE OFFENDERS</b>	<b>Total this Month</b>	<b>Fiscal Year Total to Date 10/08 – 12/08</b>	<b>Total Since 07/06</b>
Number of Offenders Initiating, but Failing to Complete Treatment <ul style="list-style-type: none"> <li>▪ Low Risk</li> <li>▪ Medium Risk</li> <li>▪ High Risk</li> <li>▪ <b>Total</b></li> </ul>	 5 4 4 <b>13**</b>	 16 24 34 <b>74</b>	 128 362 373 <b>863</b>
Number of Offenders Currently Enrolled <ul style="list-style-type: none"> <li>▪ Low Risk</li> <li>▪ Medium Risk</li> <li>▪ High Risk</li> <li>▪ <b>Total</b></li> </ul>	 163 223 201 <b>587</b>		
Number of Successful Completers <ul style="list-style-type: none"> <li>▪ Low Risk</li> <li>▪ Medium Risk</li> <li>▪ High Risk</li> <li>▪ <b>Total</b></li> </ul>	 10 23 0 <b>33</b>	 20 55 29 <b>104</b>	 257 339 213 <b>809</b>

\*\*Four of these offenders were released early to the community, one was released to the court system, one was transferred to another facility, one moved to the Re-Entry Unit at PCF before completing risk-based treatment, one died during incarceration, and one was removed due to placement in segregation. An additional three offender were removed for behavioral problems, and one offender quit. Code 116 conduct reports were written on these latter four offenders for failure to participate in mandatory facility-based treatment.

<b>RE-ENTRY UNIT TREATMENT PROGRAMMING FOR ADULT MALE OFFENDERS AT PCF</b>	<b>Total this Month</b>	<b>Fiscal Year Total to Date 10/08 – 12/08</b>	<b>Total Since 01/08</b>
Number of Offenders Initiating, but Failing to Successfully Participate in Re-Entry Unit Treatment	0	1	6
Number of Offenders Currently Enrolled	21		
Number of Offenders Who Released to the Community After Successfully Participating in Re-Entry Unit Treatment	86	158	447

<b>TREATMENT PROGRAMMING FOR ADULT FEMALE OFFENDERS</b>	<b>Total this Month</b>	<b>Fiscal Year Total to Date 10/08 – 12/08</b>	<b>Total Since 10/07</b>
Number of Offenders Initiating, but Failing to Successfully Participate in Treatment	0	1	5
Number of Offenders Currently Enrolled	24		
Number of Offenders Who Released to the Community After Successfully Participating in Treatment	1	1	21

<b>TREATMENT GROUPS COMPLETED / CANCELLED (Miami, Plainfield, and Rockville Correctional Facilities)</b>	<b>Total Groups Run this Month</b>	<b>Total Groups Cancelled This Month</b>	<b>Total Groups Scheduled This Month</b>
Number of Groups	378	176	554
% of This Month's Scheduled Groups	68%	32%	100%

<b>REASONS FOR CANCELLED TREATMENT GROUPS</b>	<b>Number Of Groups</b>	<b>% of the 176 Cancelled Groups</b>
Group Counselor on Paid Time Off	58	33%
National Holiday (Christmas)	33	19%
Group Counselor Receiving Training	32	18%
Group Counselor Attending INSOMM Program All-Staff Meeting	27	15%
Facility / Institutional Reason (Preparatory and Set-Up Activities Related to Move From PCF to New Castle Correctional Facility – 9 Groups; No Movement – 6 Groups; Group Room Unavailable – 4 Groups; Attending Department Head's Meeting – 1 Group; Count Letter Error – 1 Group)	21	12%
Group Counselor Providing Training	3	2%
Group Counselor Working on Group Re-Structuring	1	1%
Group Counselor Interviewing Applicant For Open INSOMM Program Counselor Position	1	1%
<b>Total</b>	<b>176</b>	<b>100%</b>

<b>RE-ENTRY PROGRAMMING FOR ADULT MALE OFFENDERS</b>						
	<b>PAROLE PLACEMENT AND EDUCATION</b>			<b>SEX OFFENDER REGISTRATION EDUCATION</b>		
<b>Facility</b>	<b>Total This Month</b>	<b>Fiscal Year Total to Date 10/08 – 12/08</b>	<b>Total Since 01/06</b>	<b>Total This Month</b>	<b>Fiscal Year Total to Date 10/08 – 12/08</b>	<b>Total Since 09/06</b>
<b>MCF</b>	14	34	859	0	12	655
<b>PCF</b>	42	144	1,184	37	131	1,115
<b>Other Facilities</b>	0	0	628	0	0	2
<b>Total</b>	<b>56</b>	<b>178</b>	<b>2,671</b>	<b>37</b>	<b>143</b>	<b>1,772</b>

<b>RE-ENTRY PROGRAMMING FOR ADULT FEMALE OFFENDERS</b>						
	<b>PAROLE PLACEMENT AND EDUCATION</b>			<b>SEX OFFENDER REGISTRATION EDUCATION</b>		
<b>Facility</b>	<b>Total This Month</b>	<b>Fiscal Year Total to Date 10/08 – 12/08</b>	<b>Total Since 10/07</b>	<b>Total This Month</b>	<b>Fiscal Year Total to Date 10/08 – 12/08</b>	<b>Total Since 10/07</b>
<b>RCF</b>	0	0	10	0	0	21
<b>Other Facilities</b>	0	0	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>10</b>	<b>0</b>	<b>0</b>	<b>21</b>

**B. Phase III - Community-Based Services**

<b>PAROLE TREATMENT PARTICIPATION SUMMARY FOR ADULT OFFENDERS</b>	<b>Number of Offenders</b>
Number of Current INSOMM Adult Parolees Not Enrolled in Community-Based Treatment <ul style="list-style-type: none"> <li>▪ Unknown Risk</li> <li>▪ Low Risk</li> <li>▪ Medium Risk</li> <li>▪ High Risk</li> <li>▪ <b>Total</b></li> </ul>	 5 2 10 13 <b>30</b>
Number of Current INSOMM Adult Parolees Enrolled in Community-Based Treatment <ul style="list-style-type: none"> <li>▪ Unknown Risk</li> <li>▪ Low Risk</li> <li>▪ Medium Risk</li> <li>▪ High Risk</li> <li>▪ <b>Total</b></li> </ul>	 65 148 231 201 <b>645</b>
Number of Current INSOMM Adult Parolees Who Have Successfully Completed Community-Based Treatment <ul style="list-style-type: none"> <li>▪ Unknown Risk</li> <li>▪ Low Risk</li> <li>▪ Medium Risk</li> <li>▪ High Risk</li> <li>▪ <b>Total</b></li> </ul>	 0 6 5 1 <b>12</b>
<b>Total Current INSOMM Adult Parolees</b>	<b>687</b>

<b>PAROLE TREATMENT PARTICIPATION SUMMARY FOR JUVENILE OFFENDERS</b>	<b>Number of Offenders</b>
Number of Current INSOMM Juvenile Parolees Not Enrolled in Community-Based Treatment	1
Number of Current INSOMM Juvenile Parolees Enrolled in Community-Based Treatment	51
Number of Current INSOMM Juvenile Parolees Who Have Successfully Completed Community-Based Treatment	1
<b>Total Current INSOMM Juvenile Parolees</b>	<b>53</b>

<b>REASONS FOR NOT BEING IN TREATMENT AMONG ADULT OFFENDERS</b>	<b>Number Of Offenders</b>	<b>% of the 30 Offenders Not In Treatment</b>
Not Compliant with Treatment Requirements	11	37%
Financially Unable to Pay or Suspended from Treatment Due to Prior Non-Payment for Services	4	13%
Pending Charge / Jail Detainer / Outstanding Warrant / Delinquent / Incarcerated on VOP	3	10%
Medical Reasons	3	10%
No Parole Stipulations	3	10%
Unable to Benefit From Treatment (Due To Mental Status / Cognitive Deficits)	2	7%
Agent Decision Not to Refer Offender For Treatment Due To Short Time Remaining on Parole	1	3%
Agent Decision Not to Refer Offender For Treatment Due To Offender's Cognitive Deficits, Lack of Income, and Short Time Remaining on Parole	1	3%
Offender Has Pending Inter-District Transfer	1	3%
Waiting for Agent to Indicate That Offender Has Stable Residence	1	3%
<b>Total</b>	<b>30</b>	<b>100%</b>

<b>REASONS FOR NOT BEING IN TREATMENT AMONG JUVENILE OFFENDERS</b>	<b>Number Of Offenders</b>	<b>% of the Offenders Not In Treatment</b>
Offender Not in Treatment Due to Pending Discharge	1	100%
<b>Total</b>	<b>1</b>	<b>100%</b>

<b>PAROLE POLYGRAPH PARTICIPATION SUMMARY FOR ADULT OFFENDERS</b>	<b>Total This Month</b>	<b>Fiscal Year Total to Date 10/08 – 12/08</b>	<b>Total Since Program Inception</b>
Total Polygraphs Scheduled	125	430	
<ul style="list-style-type: none"> <li>▪ Polygraphs For Which Offenders Were Unavailable for Testing After Scheduling (Due to Incarceration, VOP, Delinquency, Discharge, Inter-State Transfer, INS Custody, or Deportation)</li> </ul>	18	86	
<ul style="list-style-type: none"> <li>▪ Polygraphs Cancelled (See Reasons Below)</li> </ul>	46	136	
<ul style="list-style-type: none"> <li>▪ Polygraphs Completed</li> </ul>	61	208	4,857

<b>PAROLE POLYGRAPH PARTICIPATION SUMMARY FOR JUVENILE OFFENDERS</b>	<b>Total This Month</b>	<b>Fiscal Year Total to Date 10/08 – 12/08</b>	<b>Total Since Program Inception</b>
Total Polygraphs Scheduled	4	26	
<ul style="list-style-type: none"> <li>▪ Polygraphs For Which Offenders Were Unavailable for Testing After Scheduling (Due to Incarceration, VOP, Delinquency, Discharge, Inter-State Transfer, INS Custody, or Deportation)</li> </ul>	1	5	
<ul style="list-style-type: none"> <li>▪ Polygraphs Cancelled (See Reasons Below)</li> </ul>	2	12	
<ul style="list-style-type: none"> <li>▪ Polygraphs Completed</li> </ul>	1	9	82

<b>REASONS FOR CANCELLED OR MISSED POLYGRAPH APPOINTMENTS AMONG ADULT OFFENDERS</b>	<b>Number of Offenders</b>	<b>% of the 46 Offenders Who Missed Polygraph Appt.</b>
Financial Reason	18	39%
Medical Reasons	6	13%
Containment Team Decision to Cancel and/or Reschedule the Exam (Exam Rescheduled to an Earlier Date – 4 Offenders; Exam Scheduled Too Close to Offender’s Anticipated Discharge Date; Exam Rescheduled at 6-Month Interval Rather Than 3-Month Interval Due to Offender’s Compliance on Parole)	6	13%
No Show / Late to Appt.	5	11%
Polygraph Examiner Opted Not to Conduct Exam (Offender Displayed Uncooperative Behavior – 2 Offenders; Inclement Weather; Offender Made Pre-Test Disclosure on his Instant Offense Exam Admitting That He Committed the Offense He Was Charged With, Thereby Negating The Need to Run Physiological Portion of Exam)	4	9%
Polygraph Examiner Cancelled (Examiner Was Ill – 2 Offenders; Examiner No Longer Conducting Exams For the INSOMM Program)	3	7%
Parole Agent Decision to Cancel and/or Reschedule the Exam (Exam Rescheduled to an Earlier Date)	1	2%
District Re-Entry and Resource Coordinator (DRRC) Decision to Cancel and/or Reschedule the Exam (DRRC Rescheduled This Exam For a Later Date Because Offender’s Institutional Release Date Was Moved Back Making The Scheduled Exam Too Close to His Institutional Release)	1	2%
District Re-Entry and Resource Coordinator (DRRC) Error (DRRC Erroneously Scheduled This Exam to Occur Three Weeks After Offender Had Already Been Tested)	1	2%
Offender’s Money Order Payment Appeared to be Lost in the Mail as He Had a Receipt Documenting Delivery, But Examiner Never Received It	1	2%
<b>Total</b>	<b>46</b>	<b>100%</b>

<b>REASONS FOR CANCELLED OR MISSED POLYGRAPH APPOINTMENTS AMONG JUVENILE OFFENDERS</b>	<b>Number of Offenders</b>	<b>% of the 2 Offenders Who Missed Polygraph Appt.</b>
Containment Team Decision to Cancel and/or Reschedule the Exam (Exam Rescheduled to an Earlier Date)	1	50%
District Re-Entry and Resource Coordinator (DRRC) Decision to Cancel and/or Reschedule Exam (Exam Cancelled Due to Impending IDOC Policy Changes Pertaining to Juvenile Offenders)	1	50%
<b>Total</b>	<b>2</b>	<b>100%</b>

<b>PAROLE-RELATED MEETINGS PERTAINING TO THE MONITORING OF ADULT OFFENDERS</b>	<b>Total This Month</b>	<b>Fiscal Year Total to Date 10/08 – 12/08</b>	<b>Total Since Program Inception</b>
Offenders Reviewed in Containment Meetings	337	1,356	29,729

<b>PAROLE-RELATED MEETINGS PERTAINING TO THE MONITORING OF JUVENILE OFFENDERS</b>	<b>Total This Month</b>	<b>Fiscal Year Total to Date 10/08 – 12/08</b>	<b>Total Since Program Inception</b>
Offenders Reviewed in Containment Meetings	13	88	636

<b>RECURRENT TRAININGS PROVIDED BY INSOMM PROGRAM STAFF MEMBERS</b>	<b>Total This Month</b>	<b>Fiscal Year Total to Date 10/08 – 12/08</b>
IDOC New Employee Orientation Training <ul style="list-style-type: none"> <li>▪ Number of Meetings</li> <li>▪ Number of Participants</li> </ul>	0 0	2 17
Parole Agent Training For The Supervision of Adult and Juvenile Sex Offenders <ul style="list-style-type: none"> <li>▪ Number of Meetings</li> <li>▪ Number of Participants</li> </ul>	3 22	3 22

<b>ONE-TIME TRAININGS PROVIDED BY INSOMM PROGRAM STAFF MEMBERS</b>	<b>Total This Month</b>	<b>Fiscal Year Total to Date 10/08 – 12/08</b>
Training for GEO Staff at NCCF Providing an Overview of the INSOMM Program <ul style="list-style-type: none"> <li>▪ Number of Meetings</li> <li>▪ Number of Participants</li> </ul>	6 500	6 500
HIPPA Compliance Training for All INSOMM Program Staff	1 35	1 35
Static-99 Training for INSOMM Program Counselors at MCF <ul style="list-style-type: none"> <li>▪ Number of Meetings</li> <li>▪ Number of Participants</li> </ul>	0 0	1 6
Training for IDOC Staff and Other Contractual Employees at MCF Providing an Overview of the INSOMM Program <ul style="list-style-type: none"> <li>▪ Number of Meetings</li> <li>▪ Number of Participants</li> </ul>	0 0	1 40

### III. QUALITY IMPROVEMENT

During the past month, efforts to improve the quality of services provided by the INSOMM Program have focused most heavily on the following areas:

- **Community Treatment Quality Assurance** – The INSOMM Program conducted quality assurance audits on two Network Treatment Provider Agencies in Anderson on December 12<sup>th</sup>. Results from the audits were rated as Provisionally Acceptable at one agency and Unacceptable at the other agency. The primary feedback given at the former agency emphasized the need to ensure that (a) Initial Evaluation Reports and Bi-Annual Progress Reports are submitted to the INSOMM Program main office in a timely fashion, and that (b) the provider maintain copies of these records at his agency. The primary feedback given at the latter agency emphasized the need to ensure that (a) Initial Evaluation Reports and Bi-Annual Progress Reports are submitted to the INSOMM Program main office in a timely fashion, (b) agency files are structured and organized in a clear, logical, and consistent manner, and (c) all agency files contain progress notes that are up-to-date and document relevant aspects of offender participation during group. A follow-up audit will be conducted with this latter agency some time in the spring of 2009 to ensure that corrective actions have been taken. The next round of Network Treatment Provider Quality Assurance Audits are scheduled to occur at two different agencies in Indianapolis, one on January 28, 2009, and the other on January 30, 2009.
- **Polygraph Quality Assurance:** Materials were received from two of the three polygraph examiners who were randomly selected to undergo a quality assurance audit this quarter. A follow-up e-mail was sent to the third of these examiners reminding him to submit his materials for this audit. Once the third examiner's materials have been received the audits for this quarter will be conducted.

### IV. PERSONNEL / HUMAN RESOURCES

There is currently one vacant position within the INSOMM Program. The District Coordinator position for Parole District 1 and 4B was vacated by Denise Ackerman as of December 12, 2008. Interviews to fill this position were held throughout the month of December, and a new District Coordinator has been hired. Lisa Bohr will begin as INSOMM Program District Coordinator for Parole District 1 and 4B on January 5, 2009.

### V. GOALS AND UPCOMING EVENTS

Goals for the month of January, 2009 include the following:

- Conduct Phase III INSOMM Program Network Treatment Provider audits and schedule additional audits for the month of February.
- Conduct interviews for Counselor 1 and Counselor 2 positions that will be left vacant by those INSOMM Program staff unable to make the transition from either Plainfield or Miami Correctional Facilities to New Castle Correctional Facility.

Upcoming events for the INSOMM Program include:

- An INSOMM Program Management Committee Meeting will be held on January 6, 2009 at the Indiana Government Center.
- Dr. Deming, Dr. Casbon, and Melissa Gharst will visit with INSOMM Program Network Treatment Providers to conduct Quality Assurance Audits on January 28 and 30, 2009.